



MIKE HARMON
AUDITOR OF PUBLIC ACCOUNTS

May 16, 2018

The Honorable Stan Humphries, Co-Chair
The Honorable Phil Moffett, Co-Chair
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Frankfort, Kentucky 40601

Dear Senator Humphries, Representative Moffett, and Members of the Capital Projects and Bond Oversight Committee:

Enclosed, please find four reports, filed under the mandate of KRS 45A.860(3), certifying that the applicable procedural provisions of KRS 45A.840 to 45A.879 were met in the following procurements:

- Kentucky Higher Education Student Loan Corporation (KHESLC) Selection Committee for Underwriter and Financial Advisor for Fiscal Year 2018
- Kentucky Infrastructure Authority (KIA) Selection Committee for Senior Managing Underwriter for FY18 and FY19 and Bond Counsel for FY18
- State Property and Buildings Commission (SPBC) Selection Committee for Managing Underwriter, Financial Advisor, and Bond Counsel for SPBC for FY 2018
- Selection Committee for Local Co-Managing Underwriters for FY 2018

Should you have any questions about these reports, please contact either APA General Counsel L. Christopher Hunt or myself for clarification.

Sincerely,

A handwritten signature in blue ink that reads "Jettie Sparks".

Jettie Sparks, CPA, CIA
Auditor of Public Accounts

Enclosures

c: L. Christopher Hunt, APA
Ryan Barrow, OFM

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**Certification Report
Filed Pursuant to KRS 45A.860(3)**

To: Capital Projects and Bond Oversight Committee

C: Office of Financial Management, Finance and Administration Cabinet

From: Jettie Sparks, APA Selection Committee Member

Agency: State Property and Buildings Commission (SPBC)

Project Title: State Property and Buildings Commission (SPBC) Selection Committee for Managing Underwriter, Financial Advisor, and Bond Counsel for SPBC for FY 2018

Awarded To: Managing Underwriter: 1. Citigroup
2. Morgan Stanley
Financial Advisor: Compass
Bond Counsel: Dinsmore & Shohl LLP

I, the undersigned representative of the Auditor of Public Accounts, duly appointed pursuant to KRS 45A.843 as a merit employee and nonvoting member of the Managing Underwriter, Financial Advisor, and Bond Counsel Selection Committee referenced above, do hereby certify, to the best of my knowledge and based upon (a) the two meetings of the Selection Committee which either a former member from our staff or I attended, (b) Office of Financial Management documents made available to me, and (c) certifications executed pursuant to KRS 45A.860, the applicable procedural provisions of KRS 45A.840 to 45A.879 were met in all material respects regarding the above-referenced procurement.

The majority of documents supporting the certification made in this report are maintained in the files of the Office of Financial Management.



Merit Employee, Auditor of Public Accounts
Selection Committee Member

5/16/18

Date

**Certification Report
Filed Pursuant to KRS 45A.860(3)**

To: Capital Projects and Bond Oversight Committee

C: Office of Financial Management, Finance and Administration Cabinet

From: Jettie Sparks, APA Selection Committee Member


Agency: Kentucky Infrastructure Authority (KIA)

Project Title: Kentucky Infrastructure Authority (KIA) Selection Committee for Senior Managing Underwriter for FY18 and FY19 and Bond Counsel for FY18


Awarded To: Bond Counsel: Dinsmore & Shohl LLP
Managing Underwriter: Morgan Stanley

I, the undersigned representative of the Auditor of Public Accounts, duly appointed pursuant to KRS 45A.843 as a merit employee and nonvoting member of the Bond Counsel and Managing Underwriter Selection Committee referenced above, do hereby certify, to the best of my knowledge and based upon (a) the two meetings of the Selection Committee which I attended, (b) Office of Financial Management documents made available to me, and (c) certifications executed pursuant to KRS 45A.860, the applicable procedural provisions of KRS 45A.840 to 45A.879 were met in all material respects regarding the above-referenced procurement.

The majority of documents supporting the certification made in this report are maintained in the files of the Office of Financial Management.



Merit Employee, Auditor of Public Accounts
Selection Committee Member



Date

**Certification Report
Filed Pursuant to KRS 45A.860(3)**

To: Capital Projects and Bond Oversight Committee

C: Office of Financial Management, Finance and Administration Cabinet

From: Jettie Sparks, APA Selection Committee Member


Agency: Kentucky Higher Education Student Loan Corporation (KHESLC)

Project Title: Kentucky Higher Education Student Loan Corporation (KHESLC) Selection Committee for Underwriter and Financial Advisor for Fiscal Year 2018


Awarded To: Managing Underwriter: Bank of America Merrill Lynch
Financial Advisor: SL Capital Strategies

I, the undersigned representative of the Auditor of Public Accounts, duly appointed pursuant to KRS 45A.843 as a merit employee and nonvoting member of the Selection Committee referenced above, do hereby certify, to the best of my knowledge and based upon (a) the three meetings of the Selection Committee which I attended, (b) Office of Financial Management documents made available to me, and (c) certifications executed pursuant to KRS 45A.860, the applicable procedural provisions of KRS 45A.840 to 45A.879 were met in all material respects regarding the above-referenced procurement.

The majority of documents supporting the certification made in this report are maintained in the files of the Office of Financial Management.



Merit Employee, Auditor of Public Accounts
Selection Committee Member



Date

**Certification Report
Filed Pursuant to KRS 45A.860(3)**

To: Capital Projects and Bond Oversight Committee

C: Office of Financial Management, Finance and Administration Cabinet

From: Jettie Sparks, APA Selection Committee Member

Agency: Local Co-Managing Underwriter

Project Title: Selection Committee for Local Co-Managing Underwriters for FY 2018

Awarded To: Underwriters:


1. Hilliard Lyons
2. Raymond James
3. Baird
4. FTN Financial
5. First Kentucky Securities
6. Ross, Sinclaire & Associates

I, the undersigned representative of the Auditor of Public Accounts, duly appointed pursuant to KRS 45A.843 as a merit employee and nonvoting member of the Managing Underwriter Selection Committee referenced above, do hereby certify, to the best of my knowledge and based upon (a) the two meetings of the Selection Committee which either a former member from our staff or I attended, (b) Office of Financial Management documents made available to me, and (c) certifications executed pursuant to KRS 45A.860, the applicable procedural provisions of KRS 45A.840 to 45A.879 were met in all material respects regarding the above-referenced procurement.

The majority of documents supporting the certification made in this report are maintained in the files of the Office of Financial Management.



Merit Employee, Auditor of Public Accounts
Selection Committee Member



Date



**FINANCE AND ADMINISTRATION CABINET
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES**

Matthew G. Bevin
Governor

Division of Real Properties
403 Wapping Street, Suite 300
Frankfort, Kentucky 40601-2638
(502) 564-2205
Fax: (502) 564-8108


William M. Landrum III
Secretary

Robert M. Burnside
Commissioner

Scott Aubrey
Director

MEMORANDUM

TO: Katherine Halloran, Committee Staff Administrator
Capital Projects and Bond Oversight Committee

FROM: Brien S. Hoover, Leasing Manager 
Division of Real Properties

DATE: June 5, 2018

SUBJECT: PR-5553, Graves County
Cabinet for Health & Family Services
KRS 48.111(6) Advertisement Notice

Attached please find a copy of a pending lease invitation to lease space for the Cabinet for Health & Family Services in Graves County. We anticipate that the total annual cost of the lease may exceed \$200,000.00; therefore, this notice is provided in accordance with the provisions of KRS 48.111(6).

If you have any questions or require additional information concerning this matter, please advise.

BSH/bh

CC: Capital Construction Log
OSBD
PR-5553 File
BSH

Attachment



INVITATION TO LEASE SPACE

The Kentucky Finance and Administration Cabinet desires to lease approximately 15,366 square feet of office space with 93 reserved parking spaces to be located in Mayfield.

Interested parties may submit proposals to lease existing properties and/or proposals to construct new facilities. The initial proposal must include the name, address, and telephone number of the property owner; the name, address, and telephone number of the property agent if applicable; the street address of existing properties; and the street address or a location description for sites offered for new construction. Any property selected for lease must meet OSHA specifications, as well as ADA guidelines and all applicable building codes as enforced by the KY Division of Building Code Enforcement. Additional information regarding participation requirements for lease projects will be provided to all respondents.

Proposals may be made by any person in writing on or before **10:30 a.m. July 6, 2018**, and must be sent to: **Division of Real Properties, RE: PR-5553, ATTN: Leasing Branch, Suite 300, 403 Wapping Street, Frankfort, Kentucky 40601-2638.** FAX/email proposals will not be accepted. All responses received will be publicly opened and read at the above-designated time. If you plan to attend the bid opening and have a disability that requires accommodation, please contact our office by July 3 so that reasonable arrangements can be made. For additional information contact Brien Hoover, Division of Real Properties at 502.564.2430 or Brien.Hoover@ky.gov.