

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Administrative Office of the Courts

**Report Quarter:** 2021-1 (January)

**Print Date:** 12/18/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
<b>Judicial Branch/AOC</b>						
Construction - Bath County	Bath	2018-2020	Design/Phase B			
E-Case and Docket Management System Initial Authorization - House Bill 238 (2013 Regular Session)	Multi	Other	Complete/Closed Out	100	06/30/20	06/30/20
Henry County	Henry	2014-2016	In Construction	82	04/01/21	
Nicholas County	Nicholas	2014-2016	In Construction	58	08/01/21	
Renovation - Jefferson County Hall of Justice Comments: County didn't want to procedure with project due to lack of funds at their level.	Jefferson	2018-2020	Cancelled			
Renovation/Addition - Oldham County	Oldham	2018-2020	Design/Phase B			

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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<b>Education &amp; Workforce Development Cabinet/Education Dept</b>						
* KSB Howser Hall Renovation	Jefferson	2016-2018	Complete/Not Closed Out	100	06/13/19	06/13/19
* KSB McDaniel/Scoggin Educational Building	Jefferson	2016-2018	Complete/Not Closed Out	100	09/02/18	09/02/18
* KSD High Voltage Electrical Service System	Boyle	CPBOC-9/01/17	Complete/Not Closed Out	100	10/30/18	10/30/18
* KSD New Elementary Building	Boyle	2016-2018	Complete/Not Closed Out	100	02/21/19	02/21/19
<b>Education &amp; Workforce Development Cabinet/KY Educational Television</b>						
KET FCC Repacking Project	Multi	CPBOC-8/01/17	Complete/Not Closed Out	100	04/26/19	04/26/19
* Transmitter and Repack	Multi	2018-2020	Complete/Not Closed Out	100	08/01/19	08/01/19
Addition to KET FCC Repacking Project - ancillary systems and equipment upgrades that the FCC program will not reimburse as well as replacement of microwave radios						
<b>Energy &amp; Environment Cabinet/Environmental Protection</b>						
* Maxey Flats Cap	Fleming	2012-2014	Construction/Multiple Bid Packs			
Comments: Maxey Flats Cap complete. In monitoring phase with various small contracts to repair erosion and survey cap and drainages.						
* State-Owned Dam Repair - Boltz Lake Dam	Grant	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Bullock Pen Lake Dam	Multi	Pool	In Construction	65	03/02/21	
Comments: completing labyrinth walls in new spillway						
* State-Owned Dam Repair - Clements Lake Dam	Rowan	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Scenic Lake Dam	Henderson	Pool	In Construction	0	08/14/21	
Comments: Contractor mobilizing						
* State-Owned Dam Repair - Willisburg Lake Dam	Washington	Pool	Other			
Comments: Project on hold						
<b>Finance and Administration Cabinet/Facilities &amp; Support Services</b>						
* Air Handler Replacement and Repair - Central Lab	Franklin	2020-2022	A/E Selection			
Reallocation from Upgrade State Data Center Readiness (\$112,320) and Council of State Governments Building Complex (\$77,380)						
Comments: Pending A/E Selection						

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Capital Plaza Redevelopment Senate Bill 238 (2017 Regular Session) authorized the Finance and Administration Cabinet to enter into a public-private partnership (KRS 45A.077), built-to-suit (KRS 56.8161-56.820), or lease-purchase (KRS 56.8035) agreement. Authorization included the authorization under KRS 45.763. CPBOC approved built-to-suit lease award on December 19, 2017.	Franklin	Other	Complete/Closed Out	100	04/15/20	02/27/20
* Capitol Campus Upgrade	Franklin	2020-2022	Awaiting Initiation by Agency			
* DFSS Maintenance Pool - 2016-18 - L&N Structural Repair Reported to CPBOC on 8/15/2017	Jefferson	Pool	Complete/Not Closed Out	100	08/31/19	07/31/19
* DFSS Maintenance Pool - 2018-20 - Central Lab Boiler Replacement Reported to CPBOC on 10/15/2019 Comments: Project is very near completion. Final tuning of the new boilers is being finalized. Issue concerning new deareation tanks is pending.	Franklin	Pool	Complete/In Warranty	99	11/20/20	11/20/20
* DFSS Maintenance Pools - Halon System Replacement Reported to CPBOC on 11/19/2020 Comments: Contact awarded to Johnson Controls. Submittals and shop drawings are being reviewed. Contractor mobilization is pending.	Franklin	Pool	In Construction	1	05/08/21	
* Elevator Upgrades Phase 1	Multi	2020-2022	Awaiting Initiation by Agency			
* Emergency Generator Repair or Replacement, COT/CHR Comments: New generators arrived on site 12/1/20. Installation to occur month of December.	Franklin	2018-2020	In Construction	75	09/13/20	
* High Voltage System Upgrade - Capitol Campus	Franklin	CPBOC-2/01/18	Complete/Not Closed Out	100	10/15/19	10/15/19
* HVAC Replacement - CHR Building Comments: Finishing Covid delay. Equipment delay.	Franklin	2016-2018	In Construction	97	01/10/20	
* HVAC Replacement and Repair COT Building Comments: A. Current Schedule 1. Bidding: January 2021 2. Construction start: February 2021 3. Substantial Completion: August 2021 4. Final Completion: September 2021	Franklin	2020-2022	Design/Phase A			
* Install Energy Management System Controls	Multi	2014-2016	Construction/Multiple Bid Packs			
* L&N Building Security and Structural Upgrades	Jefferson	2018-2020	In Construction	90	03/22/21	
* Upgrade Capitol Mechanical and Electrical System, Phase I	Franklin	2018-2020	Design/Phase C			
* Upgrade L&N Building	Jefferson	2016-2018	Complete/Not Closed Out	100	08/31/19	07/31/19

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* Upgrade State Data Center Readiness \$112,320 of the \$2 million in bond funds authorized was reallocated to Air Handler Replacement and Repair - Central Lab	Franklin	2014-2016	Complete/Closed Out	100	01/30/19	01/30/19
<b>General Government Cabinet/KY River Authority</b>						
Construct Lock and Dam 10 Comments: Working on main dam cells in river	Multi	2016-2018	In Construction	77	06/05/21	
Design and Repair Dam 6	Woodford	2016-2018	Awaiting Initiation by Agency			
Design and Repair Dam 7	Jessamine	2016-2018	Awaiting Initiation by Agency			
Locks 2 and 3 Upper Guide Wall Repair	Multi	2020-2022	Awaiting Initiation by Agency			
<b>General Government Cabinet/Military Affairs</b>						
* Armory Modernization and DMA Maintenance Pools - 2018-20 - DMA Lexington NGA Interior Upgrade Submitted as new project rather than reported as a pool allocation	Fayette	CPBOC-1/01/20	Complete/In Warranty	100	10/24/20	10/24/20
* Armory Modernization Pool - 2018-20 - DMA Leitchfield Readiness Center Assembly Hall Addition Reported to CPBOC on 10/15/2019	Grayson	Pool	In Construction	60	01/15/21	
* Armory Modernization Pool - 2018-20 - DMA London Readiness Center Renovation Reported to CPBOC on 5/14/2019	Laurel	Pool	Complete/Closed Out	100	10/15/19	10/15/19
Construct Industrial Building at Bluegrass Station Approved pursuant to KRS 45.763 Comments: STILL PENDING INITIATION BY UA	Fayette	2018-2020	Awaiting Initiation by Agency			
Construct Multi-purpose Building Bluegrass Station Approved pursuant to KRS 45.763 Comments: STILL PENDING AUTHORIZATION BY UA	Fayette	2018-2020	Awaiting Initiation by Agency			
Construct Response Group Building KyANG Phase 1	Jefferson	2018-2020	In Construction	4	10/18/21	
Construct Wendell H. Ford Regional Training Center Qualification Training Range Was not reauthorized in 2016-2018 budget and authorized as new project for 2018-2020	Muhlenberg	2018-2020	Design/Phase B			
* DMA Barbourville Readiness Center Latrine Upgrade	Knox	CPBOC-6/01/20	Pending Authorization			
DMA Bluegrass Station Building 415 Modification	Fayette	CPBOC-7/01/20	In Construction	75	02/21/21	

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* DMA Boone National Guard Center Emergency Operations Center Renovation Comments: Project complete working on LD change order.	Franklin	CPBOC-8/01/19	Complete/In Warranty	100	08/21/20	09/22/20
* DMA Buechel NGA HVAC and Lighting	Jefferson	CPBOC-10/01/18	Complete/Closed Out	100	06/17/19	06/17/19
DMA Construct Joint Force Headquarters Boone National Guard Center	Franklin	CPBOC-1/01/20	Design/Phase B			
DMA Disney Training Site Battalion Headquarters	Knox	CPBOC-10/01/18	Complete/Not Closed Out	100	11/11/19	11/11/19
DMA Harold L. Disney Training Site Mobile Operations Urban Training Site Enhancement	Knox	CPBOC-8/01/20	In Construction	5	10/25/21	
DMA Indoor Firing Range, Boone Center Overseen by the Division of Real Properties	Franklin	CPBOC-2/01/18	Planning			
DMA Interior Renovation Wellman Armory - Boone National Guard Center	Franklin	CPBOC-10/01/17	Complete/Not Closed Out	100	04/29/19	04/29/19
DMA Kentucky Emergency Management Conditioned Storage/Multi-Purpose Building	Franklin	CPBOC-8/01/20	Awaiting Initiation by Agency			
DMA Range Operations Expansion - Wendell H. Ford Regional Training Center	Muhlenberg	CPBOC-10/01/17	Complete/Not Closed Out	100	08/22/19	08/22/19
DMA Records Holding Facility - Boone National Guard Center	Franklin	CPBOC-10/01/17	Complete/Not Closed Out	100	12/07/18	07/02/19
DMA Richmond Field Maintenance Shop No. 4 Bay Addition	Madison	CPBOC-9/01/16	Complete/Not Closed Out	100	06/19/17	08/04/17
* DMA Richmond Readiness Center Interior Modernization	Madison	CPBOC-6/01/20	In Construction	5	11/09/21	
DMA Unheated Storage and Paint Booth Combined Support Maintenance Shop	Madison	CPBOC-10/01/19	In Construction	90	02/05/21	
DMA Wendell H. Ford Regional Training Center 1103rd Military Police RC/Provost/Welcome Center	Muhlenberg	CPBOC-6/01/20	In Construction	18	08/05/21	
DMA Wendell H. Ford Regional Training Center Bridge Comments: Bridge is complete and open, awaiting reinstallation of permanent perimeter fence. Weather has been a factor.	Muhlenberg	CPBOC-10/01/19	In Construction	98	11/02/20	
DMA Wendell H. Ford Regional Training Center Cypress Creek Restoration Comments: Project still in design, currently being reviewed by WHFRT Staff for access road, culvert, and bridge needs.	Muhlenberg	CPBOC-8/01/19	Design/Phase C			

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DMA Wendell H. Ford Regional Training Center Fire Station Addition	Muhlenberg	CPBOC-6/01/20	In Construction	7	05/28/21	
DMA Wendell H. Ford Regional Training Center Multipurpose Athletic Field	Muhlenberg	CPBOC-6/01/20	In Construction	35	04/19/21	
Install Solar Panels at Armories Statewide	Multi	2018-2020	Construction/Multiple Bid Packs			

**General Government Cabinet/Veterans' Affairs**

* Construct Bowling Green Veterans Center Comments: AE RFP is in process.	Warren	2020-2022	A/E Selection			
* Construct Fourth State Veterans' Nursing Home	Hardin	2012-2014	Complete/Not Closed Out	100	04/21/17	04/20/17
* Construct State Veterans Cemetery - Southeast Kentucky (Leslie County)	Leslie	2006-2008	Complete/Closed Out	100	11/25/17	11/25/17
* Design for Bowling Green Nursing Home Authorization - House Bill 24 (2020 Regular Session) Comments: AE RFP is in process.	Warren	Other	A/E Selection			
Emergency Replacement Nurse Call System - Western KY Veterans' Center Comments: Awaiting bonds.	Hopkins	CPBOC-11/01/20	Awarding Contract			
Improve/Expand Pavement and Parking Areas Comments: This is a design build project with Substantial Completion set for 2/18/2021. DB Contractor is working on first phase design.	Multi	2020-2022	Design/Phase A			
* Nurse Call System Comments: Should be out to Bid after first of the year.	Multi	2018-2020	Design/Phase C			
Replace Cooling Tower - Eastern Kentucky Veterans Center Comments: Started Design.	Perry	2020-2022	Design/Phase A			
Replace Steam Boiler - Thomson-Hood Veterans Center	Jessamine	2020-2022	Awaiting Initiation by Agency			

**Health and Family Services Cabinet/Behavioral Health**

* CHFS Maintenance Pools - Western State Hospital - HVAC Repairs and Patient Safety Enhancements Reported to CPBOC on 6/18/2019 (allocations from 2014-16, 2016-18, and 2018-20 bond-funded maintenance pools)	Christian	Pool	In Construction	92	02/01/21	
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Construct Replacement of Eastern State Hospital	Fayette	2008-2010	Complete/Not Closed Out	100	05/31/13	05/31/13
* Electrical & Telecom Upgrade-Western State Hospital Phase II	Christian	2018-2020	Design/Phase A			
* Electrical System Upgrade at Western State Hospital - Design	Christian	2012-2014	Design/Phase C			
* HVAC System Replacement - Hazelwood Comments: Project is remaining on budget. Some schedule adjustments and additional time have been granted due to COVID restrictions and restrictions on access.	Jefferson	2018-2020	In Construction	60	10/16/21	
* Oakwood Renovate/Replace Cottages - Phase II	Pulaski	2020-2022	Design/Phase A			
* Renovate/Replace Cottages - Oakwood, Phase I	Pulaski	2018-2020	In Construction	10	10/17/21	
* Replacement of Glasgow State Nursing Facility	Barren	2010-2012	Complete/Not Closed Out	100	05/21/13	05/31/13
* Western State Hospital-Electrical Upgrade - Phase III	Christian	2020-2022	Design/Phase B			
* Western State Hospital-Electrical Upgrade-Phase I	Christian	2016-2018	Complete/Not Closed Out	100	04/30/19	04/26/19

**Justice and Public Safety Cabinet/Corrections**

* Corrections Maintenance Pool - 2016-18 - Reformatory Psychiatric Treatment Unit HVAC Replacement Reported to CPBOC on 2/21/2017	Oldham	Pool	Awaiting Initiation by Agency			
* Corrections Maintenance Pools - Green River Correctional Complex Security Control for Buildings Reported to CPBOC on 8/19/2020 (allocations from 2018-20 and 2020-22 bond-funded maintenance pools)	Muhlenberg	Pool	Design/Phase B			
* Demolish & Repair Tower KSR Reallocation from Stabilization of Dorm 8 Kentucky State Reformatory (\$4.155 million) and Repair and Stabilize Tower Kentucky State Reformatory (\$3.716 million)	Oldham	2018-2020	Design/Phase A			
* Kentucky Correctional Institution for Women-Sewer Plant/Line Comments: Design is complete. Resolving issues with easement and agreement with Louisville MSD. Construction should begin early 2021.	Shelby	2016-2018	Design/Phase C			
* Repair and Stabilize Tower Kentucky State Reformatory \$3.716 million of the \$3.797 million in bond funds authorized was reallocated to Demolish & Repair Tower KSR	Oldham	2016-2018	Cancelled			

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* Repair/Replace Roofs - Eastern Kentucky Correctional Complex	Morgan	2020-2022	Bidding			
* Replace Perimeter Fence, Kentucky State Reformatory	Oldham	2018-2020	Design/Phase B			
* Stabilization of Dorm 8 Kentucky State Reformatory \$4.155 million in bond funds authorized was reallocated to Demolish and Repair Tower KSR	Oldham	2016-2018	Cancelled			
<b>Justice and Public Safety Cabinet/Criminal Justice Training</b>						
Bizzack Complex HVAC Repair/Replacement Comments: Next Phase of work is out for bid with a bid date of 01/07/2021	Madison	2016-2018	Construction/Multiple Bid Packs			
Funderburk Building HVAC Upgrade Comments: Final Phase of this project is under design. Plans are to bid late spring.	Madison	2016-2018	Construction/Multiple Bid Packs			
Funderburk Building HVAC Upgrade Emergency	Madison	CPBOC-10/01/15	Complete/Not Closed Out	100	11/08/16	11/08/16
<b>Justice and Public Safety Cabinet/Secretary's Office (Justice and Public Safety)</b>						
* Medical Examiner Office - Renovation of Bingham Building	Jefferson	CPBOC-10/01/15	Complete/Not Closed Out	100	01/31/17	01/31/17
<b>Postsecondary Education Cabinet/Eastern Kentucky University</b>						
* Construct Science Building - Phase 2 and 3	Madison	2014-2016	Complete/Not Closed Out	100	10/25/17	08/17/17
Construct Student Athlete Support Facility Account will remain open until Renovate/Improve Athletics Facilities project is complete	Madison	2014-2016	Complete/Not Closed Out		04/30/19	04/30/19
Renovate/Improve Athletics Facilities	Madison	2014-2016	Complete/Not Closed Out	100	10/01/17	10/05/17
<b>Postsecondary Education Cabinet/Kentucky State University</b>						
Acquire Land/Campus Master Plan - 2020-2022	Franklin	2020-2022	Awaiting Initiation by Agency			
Center for Families and Children	Franklin	CPBOC-12/01/08	Complete/Not Closed Out	100	12/02/11	12/29/11
Construct New Residence Hall Approved pursuant to KRS 45.763	Franklin	2020-2022	Awaiting Initiation by Agency			



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Kentucky State University Old Federal Building Renovation, Phase I	Franklin	CPBOC-12/01/09	Complete/Not Closed Out	100	07/20/12	07/20/12
Mold Remediation and Mechanical Repairs - The Halls	Franklin	CPBOC-2/01/19	Complete/Not Closed Out	100	10/31/19	07/26/19
Renovate Atwood Agricultural Research Building	Franklin	2012-2014	Complete/In Warranty	100	09/21/20	09/21/20
* Repair Boilers and Aging Distribution Lines	Franklin	2014-2016	Construction/Multiple Bid Packs			

Comments: Additional areas for infrastructure replacement are under review.

**Postsecondary Education Cabinet/KY Community and Technical College System**

Acquire and Improve Parking Lots - Jefferson CTC	Multi	2020-2022	Awaiting Initiation by Agency			
Const Advanced Technology Ctr. Phase II - Owensboro CTC	Daviess	2014-2016	Complete/Not Closed Out	100	11/15/17	02/08/18
Construct 2D Arts School Phase I - WKCTC	McCracken	2014-2016	Complete/Not Closed Out	100	03/09/17	03/09/17
* Construct Advanced Manufacturing Facility - Bluegrass CTC	Scott	2014-2016	Complete/Not Closed Out	100	12/28/17	12/28/17
Construct Ag Health & Career Tech - Hopkinsville CC Ph I	Christian	2014-2016	Complete/Not Closed Out	100	07/10/19	09/16/19
Construct Arts and Humanities Building - Somerset CC North	Pulaski	2014-2016	Planning			
Construct Carrollton Campus Phase I - Jefferson CTC	Jefferson	2014-2016	Complete/Not Closed Out	100	06/25/17	08/28/17
Construct CPAT Center, State Fire and Rescue Training	Boone	2014-2016	Complete/Not Closed Out	100	11/14/18	11/14/18
Construct Fire Commission Five Story Training Drill Tower	Muhlenberg	2020-2022	Design/Phase A			
Construct Fire Commission NRPC Classroom Building	Muhlenberg	2020-2022	Design/Phase A			
Construct Instructional Complex - Southcentral CTC	Warren	2014-2016	Complete/Not Closed Out	100	03/14/18	03/14/18
Construct National Responder Preparedness Center Parking Lot (Driving Course) - Fire Commission	Muhlenberg	2018-2020	In Construction	99	10/26/20	
Comments: A change order is pending for time extension						
Construct New Entrance - Leestown - Bluegrass CTC	Fayette	2016-2018	Complete/Not Closed Out	100	08/28/17	09/21/17
Construct Postsecondary Ed Center - Madisonville CC	Hopkins	2014-2016	Complete/Not Closed Out	100	12/19/17	12/19/17

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Construct State Fire Rescue Training Area 7 Building	Boone	2016-2018	Complete/Not Closed Out	100	11/14/18	11/14/18
Construct Technology Dr Campus Expansion-Ashland CTC	Carter	2018-2020	Awaiting Initiation by Agency			
Construct/Procure Transportation Center - Elizabethtown CTC	Hardin	2020-2022	Awaiting Initiation by Agency			
Design and Construct Carrollton Campus, Phase I - Jefferson CTC	Jefferson	2012-2014	Complete/Not Closed Out	100	06/25/17	08/25/17
Design and Construct Newtown Campus, Phase I - Bluegrass CTC	Fayette	2012-2014	Complete/Not Closed Out	100	07/28/14	07/28/14
Expansion of Pikeville Campus - Big Sandy CTC	Pike	2014-2016	Planning			
KCTCS Property Acquisition Pool - 2020-22 - Fire Commission, Main Office/Area 15 CPAT & Training Ctr Reported to CPBOC on 9/16/2020 Comments: Complete	Bourbon	Pool	Other			
Newtown Campus Expansion - Bluegrass CTC	Fayette	2014-2016	Complete/Not Closed Out	100	12/12/17	12/12/17
Relocate Student Center - Henderson CC	Henderson	2018-2020	Complete/In Warranty	100	07/02/20	05/27/20
Renovate Advance Manufacturing & Construction Ctr-Hazard CTC	Perry	2020-2022	A/E Selection			
Renovate Building A - Main Campus, SKYCTC	Warren	CPBOC-7/01/19	A/E Selection			
Renovate Campus Wide Facilities - Henderson CC	Henderson	2014-2016	Complete/Not Closed Out	100	12/08/17	12/08/17
Renovate Dental Hygiene Clinic - Big Sandy CTC - Mayo Campus	Johnson	2018-2020	Awaiting Initiation by Agency			
Renovate Industrial Education Building - Hazard CTC	Perry	2020-2022	Awaiting Initiation by Agency			
Renovate Instructional Space - Gateway CTC	Multi	2020-2022	Awaiting Initiation by Agency			
Renovate Main Bldg - College Drive - Ashland CTC	Boyd	2014-2016	Complete/Not Closed Out	100	12/28/17	12/28/17
Renovate Newtown Campus North Buildings - Bluegrass CTC Comments: still pending UA initiation	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate Parking Lot and Sidewalks - West Ky CTC	McCracken	2020-2022	Awaiting Initiation by Agency			
Replace HVAC System Phase I - Owensboro CTC	Daviess	2018-2020	Design/Phase C			

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Replace Meece HVAC System - Somerset CC - North Campus	Pulaski	2018-2020	Complete/Not Closed Out	100	07/02/18	07/02/18
Site and Infrastructure Improvements - Somerset CC	Pulaski	2014-2016	Planning			
Technical Campus Building B Roof Replacement	Jefferson	CPBOC-8/01/18	Complete/Not Closed Out	100	03/05/20	10/05/19
Upgrade Newtown Campus Buildings and Infrastructure - Bluegrass Comments: still pending UA initiating	Fayette	2016-2018	Awaiting Initiation by Agency			
Upgrade Welding Shop - Big Sandy CTC - Mayo Campus Comments: Out to bid.	Johnson	2018-2020	Bidding			
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Bluegrass CTC, Danville \$2.736 million bond funded Workforce Development Construction Pool allocation with use of \$5 million in restricted funds authorized in HB 200; pool allocation reported to CPBOC on 8/21/2018.	Boyle	Pool	In Construction	95	03/09/21	
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Jefferson CTC, Downtown Match for \$15.2 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Jefferson	Pool	Construction/Multiple Bid Packs			
Workforce Development Construction Pool - Constr. Community Intergenerational Ctr. -Hazard CTC, Lees \$2 million for the design portion was authorized in HB 235 as a KCTCS BuildSmart Investment for Kentucky Competitiveness project. Match for \$2.88 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Breathitt	2014-2016	Complete/Not Closed Out	100	12/28/19	10/09/19
Workforce Development Construction Pool - Renovate Leestown Campus - Bluegrass CTC \$3.04 million bond funded Workforce Development Construction Pool allocation with use of \$2.935 million of the \$6 million in restricted funds authorized in HB 303; pool allocation reported to CPBOC on 2/20/2018. Comments: Still pending UA initiation	Fayette	2016-2018	Pending Authorization			
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs - West Kentucky CTC \$3.04 million bond funded Workforce Development Construction Pool allocation was reported to CPBOC on 9/19/2017.	McCracken	Pool	Complete/Not Closed Out	100	11/30/18	11/30/18
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs -Owensboro CTC, Downtwn Match for \$2.858 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Daviess	Pool	Complete/Not Closed Out	100	04/12/19	04/12/19
* Workforce Development Construction Pool - Welding Facility Renov./Training Lab Addit. - Hopkinsville	Christian	Pool	Complete/In Warranty	100	09/11/20	09/11/20

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
<p>The \$1.6 million restricted funds authorization in HB 303 will not be used for the \$321,180 restricted funds match. Match for \$2.353 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC as a scope increase on 2/20/18.</p>						
<b>Tourism, Arts &amp; Heritage Cabinet/Fish &amp; Wildlife Resources</b>						
Camp Currie Dining Hall Construction	Marshall	2016-2018	Complete/Not Closed Out	100	05/01/18	05/25/18
FILO Office Space	Franklin	2016-2018	Complete/Not Closed Out	100	03/01/18	04/26/18
FILO Stream Mitigation Projects Pool - Big Farm Reported to CPBOC on 9/20/2016 Comments: Grading work is complete. Planting will be completed in the next few months.	Bath	Pool	In Construction	98	03/01/21	
FILO Stream Mitigation Projects Pool - Blue Spring Creek Reported to CPBOC on 4/14/2020 Comments: Contractor has mobilized and tree clearing has commenced.	Trigg	Pool	In Construction	7	12/01/22	
FILO Stream Mitigation Projects Pool - Burnett Branch Will be reported to committee after design phase Land Acquisition from Kentucky Heritage Land Conservation Fund (partnership with KDOW Wild Rivers program) reported to the committee on 11/15/2011 Comments: Project has been submitted for permits. Construction scheduled to begin fall of 2021.	Multi	Pool	Design/Phase C			
FILO Stream Mitigation Projects Pool - Goose Creek Reported to CPBOC on 4/18/2017 Comments: Warrant proceeding without any serious issues	Casey	Pool	Complete/In Warranty	100	03/01/20	03/01/20
FILO Stream Mitigation Projects Pool - Mabry Wildlife Management Area 2 Design Build Combination of Laurel Creek Gorge #2, Laurel Creek Gorge (Smith), and Mart Whitt Fork projects Laurel Creek Gorge #2 and Mart Whitt Fork reported to committee on 7/18/2017 Comments: Project submitted for permits. Construction scheduled to begin in Fall of 2021.	Elliott	Pool	Design/Phase C			
FILO Stream Mitigation Projects Pool - Minor's Creek Reported to CPBOC on 10/17/2017	Multi	Pool	Complete/Not Closed Out	100	04/09/19	04/09/19
FILO Stream Mitigation Projects Pool - Rich Wildlife Management Area - Red Oak Creek Reported to CPBOC on 6/19/2018	Owen	Pool	Complete/Not Closed Out	100	03/01/19	03/01/19
FILO Stream Mitigation Projects Pool - Rock Lick	Fleming	Pool	Design/Phase A			

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Will be reported to committee after design phase						
Comments: Design is currently paused to resolve agreement between KDFWR FILO and site agency, EEC.						
FILO Stream Mitigation Projects Pool - Ross Creek Land Acquisition and Construction Reported to CPBOC on 2/19/2013 and 5/19/2020	Multi	Pool	Complete/In Warranty	100	06/01/20	06/01/20
Comments: Warranty proceeding without any serious issues						
FILO Stream Mitigation Projects Pool - Ross Creek III, Lee County	Lee	Pool	Awaiting Initiation by Agency			
Will be reported to committee after design phase						

**Tourism, Arts & Heritage Cabinet/KY Center for the Arts**

* KCA - Barrel Vault Roof and Ceiling Repairs	Jefferson	CPBOC-3/01/18	Cancelled			
KCA - Chiller Replacement	Jefferson	CPBOC-12/01/15	Complete/Not Closed Out	100	12/26/18	12/26/18
KCA - Fire Damage Restoration	Jefferson	CPBOC-9/01/18	Complete/In Warranty		03/10/20	03/10/20

**Tourism, Arts & Heritage Cabinet/Parks**

* Greenbo Lake Lodge Fire Restoration	Greenup	CPBOC-2/01/16	Complete/Not Closed Out	100	07/05/16	08/15/16
* HB 268 and KDP Maintenance (2018-20) Pools - Barren River Lodge Exterior Repairs and Reroof Reported to CPBOC on 1/21/2020	Barren	Pool	Complete/In Warranty	100	10/27/20	10/27/20
* HB 268 and KDP Maintenance (2018-20) Pools - General Burnside Campground Improvements Reported to CPBOC on 4/14/2020	Pulaski	Pool	Complete/In Warranty	100	11/27/20	11/27/20
* Kentucky Infrastructure Authority Water and Sewer Project - Taylorsville Lake Sewer System Impr. \$796,000 Infrastructure Revolving Loan Program (Fund B) loan approved by CPBOC on 2/20/2018, with \$60,000 general fund allocation (appropriation increase) approved by CPBOC on 4/13/2018 from the House Bill 10 (2016 Regular Session) Parks Renovations and Repairs pool Comments: Complete - agency worked directly with utility	Spencer	Pool	Other			
Relocate Marina - Rough River	Grayson	2014-2016	Complete/Closed Out	100	06/19/18	06/19/18
* Upgrade Guest Accommodations Comments: Phased Projects on going	Multi	2014-2016	In Construction	20	06/30/21	

**Tourism, Arts & Heritage Cabinet/State Fair Board**

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
* Agricultural Development Board - Cardinal Stadium Demolition (Kentucky Exposition Center) Reported to CPBOC on 8/21/2018	Jefferson	Pool	Complete/Not Closed Out	100	12/08/19	12/08/19
* Agricultural Development Board - Deferred Maintenance and Renovation (Kentucky Exposition Center)	Jefferson	Pool	In Construction	25	06/30/21	
* Agricultural Development Board - Entry Gate Remodel (Kentucky Exposition Center)	Jefferson	Pool	In Construction	60	03/01/21	
* Agricultural Development Board - Freedom Hall Make-Up Ring Reported to CPBOC on 8/27/2019	Jefferson	Pool	Complete/In Warranty	100	03/09/20	03/05/20
* Freedom Hall & Associated Areas - Hail Damage	Jefferson	CPBOC-3/01/14	Complete/Not Closed Out	100	11/30/15	11/30/15
* Freedom Hall Sewer Line Replacement	Jefferson	2014-2016	Complete/Not Closed Out	100	08/24/17	08/24/17
* Kentucky Exposition Center Roof Repair	Jefferson	2016-2018	Complete/Not Closed Out	100	03/24/17	03/24/17
Kentucky International Convention Center - Concessionaire Kitchen Buildout	Jefferson	CPBOC-7/01/18	Complete/Not Closed Out	100	11/15/18	11/15/18
* Ky International Convention Center Renovation and Expansion	Jefferson	2014-2016	Complete/Not Closed Out	100	11/01/18	11/01/18
* Ky International Convention Center Roof Replacement	Jefferson	2018-2020	Complete/In Warranty	90	03/10/20	03/10/20
* Prestonia Grounds and Infrastructure Improvements	Jefferson	2020-2022	Construction/Multiple Bid Packs			
South Wing & Associated Areas - Hail Damage (Kentucky Exposition Center)	Jefferson	CPBOC-11/01/15	Complete/Not Closed Out	100	03/24/17	03/24/17
<b>Transportation Cabinet/Department of Aviation</b>						
Capital City Airport Lighting System Replacement Overseen by the Kentucky Transportation Cabinet	Franklin	CPBOC-7/01/16	Complete/Not Closed Out	100	08/01/17	08/01/17
<b>Transportation Cabinet/Department of Highways</b>						
* Transportation Warehouse Facility Renovation or Replacement	Franklin	2020-2022	Design/Phase A			

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
<b>Transportation Cabinet/Gen Admin &amp; Support (Transp)</b>						
* Bullitt County Welcome Center	Bullitt	CPBOC-10/01/19	Complete/In Warranty	100	05/25/20	05/25/20
* Construct Ballard County Maintenance Facility and Salt Storage Structure	Ballard	2012-2014	Awaiting Initiation by Agency			
* Construct Casey County Maintenance Facility Comments: Phase C scheduled to be completed by end of 2020.	Casey	2020-2022	Design/Phase C			
* Construct Clay County District 11 Office Reallocation from Construct Manchester (D-11) District Office (\$7.445 million)	Clay	2018-2020	Planning			
* Construct Crittenden County Maintenance Facility and Salt Storage Structure Was not reauthorized in 2016-2018 budget and authorized as new project for 2018-2020	Crittenden	2018-2020	Complete/In Warranty	100	09/11/20	09/11/20
* Construct Henderson County Maintenance Facility and Salt Storage Structure	Henderson	2012-2014	Complete/Not Closed Out	100	07/15/18	07/02/18
* Construct Hopkins County Maintenance Facility and Salt Storage Structure	Hopkins	2018-2020	Design/Phase C			
* Construct Manchester (D-11) District Office \$6.6 million (2014-2016) and \$845,000 (2016-2018) from the road fund was reallocated to Construct Clay County District 11 Office	Clay	2014-2016	Cancelled			
* Construct Muhlenberg County Maintenance Facility and Salt Storage Structure	Muhlenberg	2012-2014	Complete/Not Closed Out		07/08/18	07/05/18
* Construct Nicholas County Maintenance/Salt Structure Comments: Waiting on funding	Nicholas	2020-2022	Design/Phase C			
* Construct Whitley County Maintenance/Salt Structure	Whitley	2020-2022	Awaiting Initiation by Agency			
Hart County Rest Area	Hart	CPBOC-1/01/17	Complete/Not Closed Out	100	06/23/17	06/23/17
* Simpson County Welcome Center Comments: Training underway, facility set to open to public before Christmas	Simpson	CPBOC-9/01/19	In Construction	91	12/08/20	
* Whitley County Welcome Center Comments: Waiting on contractor replacement of vandalized Dept of Tourism icons (bronze horses at front)	Whitley	CPBOC-9/01/19	In Construction	99	10/27/20	

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

**QUARTERLY CAPITAL PROJECTS STATUS REPORT - SUPPLEMENT**

Reporting Agency: Finance and Administration Cabinet

Report Quarter: 2021-1 (January)

Print Date: 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
<b>Education &amp; Workforce Development Cabinet/Education Dept</b>			
* KSB Howser Hall Renovation	07/13/20	\$27,683.70	Balance may be utilized on further enhancements
* KSB McDaniel/Scoggin Educational Building	10/02/19	\$12,158.40	One AHU remaining to replace in Library
* KSD High Voltage Electrical Service System	11/30/19	\$12,527.78	Completing utility survey and final invoicing to close
* KSD New Elementary Building	03/21/20	\$61,694.97	Playground relocated to construct new building is completing
<b>Education &amp; Workforce Development Cabinet/KY Educational Television</b>			
KET FCC Repacking Project	05/26/20	\$5,135,136.63	Multiple projects under this account, some are still under warranty
* Transmitter and Repack	09/01/20	\$5,860,260.37	Multiple projects under this account, some still under warranty
<b>Finance and Administration Cabinet/Facilities &amp; Support Services</b>			
* DFSS Maintenance Pool - 2016-18 - L&N Structural Repair	08/31/20	\$631,100.27	Final report is being prepared by Structural Engineer for DFSS records, confirming floor loading capacities for all areas of building.
* High Voltage System Upgrade - Capitol Campus	11/15/20	\$24,078.41	In process of closing
* Upgrade L&N Building	08/31/20	\$631,100.27	Final report is being prepared by Structural Engineer for DFSS records, confirming floor loading capacities for all areas of building.
<b>General Government Cabinet/Military Affairs</b>			
DMA Disney Training Site Battalion Headquarters	12/11/20	\$122,790.26	working with agency to close out
DMA Interior Renovation Wellman Armory - Boone National Guard Center	05/29/20	\$51,891.05	working with agency to close out
DMA Range Operations Expansion - Wendell H. Ford Regional Training Center	09/22/20	\$4,458.40	One consultant has not submitted final payment.
DMA Records Holding Facility - Boone National Guard Center	08/02/20	\$111,246.29	Working with agency to close account
DMA Richmond Field Maintenance Shop No. 4 Bay Addition	09/04/18	\$78,300.00	will recommend account be closed
<b>General Government Cabinet/Veterans' Affairs</b>			
* Construct Fourth State Veterans' Nursing Home	05/20/18	\$3,552,557.73	working with agency to close account
<b>Health and Family Services Cabinet/Behavioral Health</b>			



**QUARTERLY CAPITAL PROJECTS STATUS REPORT - SUPPLEMENT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Construct Replacement of Eastern State Hospital	06/30/14	\$629,420.52	Project is in litigation
* Replacement of Glasgow State Nursing Facility	06/30/14	\$4,448.49	Using Agency has issued Delivery Order for needed equipment. Pending payment of current encumbered balances Project should be ready to close.
* Western State Hospital-Electrical Upgrade-Phase I	05/26/20	\$154,758.00	Currently working with agency to close account
<b>Justice and Public Safety Cabinet/Criminal Justice Training</b>			
Funderburk Building HVAC Upgrade Emergency	12/08/17	\$26,700.00	Project final balancing can not occur until other ongoing phases are complete. Balance of funds for final Balance.
<b>Justice and Public Safety Cabinet/Secretary's Office (Justice and Public Safety)</b>			
* Medical Examiner Office - Renovation of Bingham Building	02/28/18	\$32,287.69	In process of closing with Agency
<b>Postsecondary Education Cabinet/Eastern Kentucky University</b>			
* Construct Science Building - Phase 2 and 3	09/17/18	\$25,543,523.62	Change to EKU for reporting status since they have pulled away from DECA
Construct Student Athlete Support Facility	05/30/20	\$1,500.00	Waiting for agency to close
Renovate/Improve Athletics Facilities	11/05/18	\$1,007,758.91	Working with agency to close account
<b>Postsecondary Education Cabinet/Kentucky State University</b>			
Center for Families and Children	01/29/13	\$1.00	KSU owes the Commonwealth \$20,972 and until this is paid cannot close project
Kentucky State University Old Federal Building Renovation, Phase I	08/20/13	\$5,250.00	We were told UA is supposed to be closing
Mold Remediation and Mechanical Repairs - The Halls	08/26/20	\$42,812.56	Warranty issues are being resolved on a case by case basis. As a result of COVID and students leaving many systems were shut down. Now on restart of systems ongoing issues are being addressed. Contractors to date are honoring Warranty issues even though Warranty has technically expired.
<b>Postsecondary Education Cabinet/KY Community and Technical College System</b>			
Const Advanced Technology Ctr. Phase II - Owensboro CTC	03/08/19	\$215,658.22	Awaiting agency
Construct 2D Arts School Phase I - WKCTC	04/09/18	\$673.07	Awaiting agency

**QUARTERLY CAPITAL PROJECTS STATUS REPORT - SUPPLEMENT**

**Reporting Agency:** Finance and Administration Cabinet  
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**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
* Construct Advanced Manufacturing Facility - Bluegrass CTC	01/28/19	\$161,400.00	Project has not been closed because of a phase 2 which just closed and because of roof warranty problems which mfr is correcting.
Construct Ag Health & Career Tech - Hopkinsville CC Ph I	10/16/20	\$707,385.15	Awaiting agency
Construct Carrollton Campus Phase I - Jefferson CTC	09/28/18	\$415,506.57	Agency is evaluating additional work that could be accomplished with remaining funding.
Construct CPAT Center, State Fire and Rescue Training	12/14/19	\$135,054.51	Agency is evaluating additional work that could be accomplished with remaining funding.
Construct Instructional Complex - Southcentral CTC	04/14/19	\$1,090,897.48	Main project is complete. Exterior work in process.
Construct New Entrance - Leestown - Bluegrass CTC	10/21/18	\$168,000.00	will request UA close
Construct Postsecondary Ed Center - Madisonville CC	01/19/19	\$590,020.81	Awaiting agency
Construct State Fire Rescue Training Area 7 Building	12/14/19	\$171,601.76	Agency is evaluating additional work that could be accomplished with remaining funding.
Design and Construct Carrollton Campus, Phase I - Jefferson CTC	09/25/18	\$415,506.57	Agency is evaluating additional work that could be accomplished with remaining funding.
Design and Construct Newtown Campus, Phase I - Bluegrass CTC	08/28/15	\$1.00	Will request close
Newtown Campus Expansion - Bluegrass CTC	01/12/19	\$56,500.00	will request to close
Renovate Campus Wide Facilities - Henderson CC	01/08/19	\$56,906.52	Awaiting agency
Renovate Main Bldg - College Drive - Ashland CTC	01/28/19	\$59,844.75	Waiting on Agency to closeout.
Replace Meece HVAC System - Somerset CC - North Campus	08/02/19	\$84,448.16	Wait on agency to close account.
Technical Campus Building B Roof Replacement	11/05/20	\$317,719.00	awaiting agency closeout
Workforce Development Construction Pool - Constr. Community Intergenerational Ctr. -Hazard CTC, Lees	11/09/20	\$149,800.00	In warranty
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs - West Kentucky CTC	12/30/19	\$283,717.11	Aaiting agency
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs -Owensboro CTC, Downtwn	05/12/20	\$338,185.19	Awaiting agency
<b>Tourism, Arts &amp; Heritage Cabinet/Fish &amp; Wildlife Resources</b>			
Camp Currie Dining Hall Construction	06/25/19	\$320,871.77	Awaiting agency
FILO Office Space	05/26/19	\$39,833.95	KDFWR FILO would like to use remaining funds for additional small projects
FILO Stream Mitigation Projects Pool - Minor's Creek	05/09/20	\$72,937.30	Project in 5 year monitoring period
FILO Stream Mitigation Projects Pool - Rich Wildlife Management Area - Red Oak Creek	04/01/20	\$619,148.33	Initial Drainage C project is in the 5 year monitoring period and design is ongoing on Drainages A, B, and D

**QUARTERLY CAPITAL PROJECTS STATUS REPORT - SUPPLEMENT**

**Reporting Agency:** Finance and Administration Cabinet  
**Report Quarter:** 2021-1 (January)  
**Print Date:** 12/22/2020

<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
<b>Tourism, Arts &amp; Heritage Cabinet/KY Center for the Arts</b>			
KCA - Chiller Replacement	01/26/20	\$21,196.62	funds to be used for AHU filter modifications
<b>Tourism, Arts &amp; Heritage Cabinet/Parks</b>			
* Greenbo Lake Lodge Fire Restoration	09/15/17	\$1,885.55	awaiting agency closure
<b>Tourism, Arts &amp; Heritage Cabinet/State Fair Board</b>			
* Agricultural Development Board - Cardinal Stadium Demolition (Kentucky Exposition Center)	01/08/21	\$225,072.12	Agency is in process of closing account.
* Freedom Hall & Associated Areas - Hail Damage	12/30/16	\$185,184.86	Agency is evaluating additional work that could be accomplished with remaining funding.
* Freedom Hall Sewer Line Replacement	09/24/18	\$784,553.28	Agency to address additional sewer line deficiencies with remaining funds
* Kentucky Exposition Center Roof Repair	04/24/18	\$2,445.75	Agency is evaluating whether additional work could be accomplished with remaining funding.
Kentucky International Convention Center - Concessionaire Kitchen Buildout	12/15/19	\$8,817.48	In process of closing with Agency
* Ky International Convention Center Renovation and Expansion	12/01/19	\$1,260,765.56	Project has legal claim
South Wing & Associated Areas - Hail Damage (Kentucky Exposition Center)	04/24/18	\$2,445.75	Agency is evaluating whether any additional work could be accomplished with remaining funding.
<b>Transportation Cabinet/Department of Aviation</b>			
Capital City Airport Lighting System Replacement	09/01/18	\$223,516.82	Awaiting agency
<b>Transportation Cabinet/Gen Admin &amp; Support (Transp)</b>			
* Construct Henderson County Maintenance Facility and Salt Storage Structure	08/02/19	\$60,940.07	Awaiting agency
* Construct Muhlenberg County Maintenance Facility and Salt Storage Structure	08/05/19	\$6,094.07	Awaiting agency
Hart County Rest Area	07/23/18	\$7,988.83	In process of closing account

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

Reporting Agency: Eastern Kentucky University

Report Quarter: 2021-1 (January)

Print Date: 12/16/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
<b>Postsecondary Education Cabinet/Eastern Kentucky University</b>						
Academic Computing Pool Comments: This pool will support new hardware for classrooms, laboratory spaces, and desktop device upgrades via lease. This new hardware will consist of projectors, wired and wireless docking podiums, smartboards and displays. Device characteristics will consist of both PC and Mac. This pool will support infrastructure software and tailored software including learning management software upgrades, database software licenses, Prexip platform support, which is EKU's e-Presence vendor, video conferencing capabilities and a variety of additional software platforms to support teaching and learning.	Madison	2020-2022	Other			
Administrative Computing Pool Comments: This pool will support new hardware and software for administrative communications needs and desktop device upgrades via lease. New hardware and software will support email exchange in a virtual machine environment, email inboxes, telecommunications hardware upgrades and associated software licenses, ERP maintenance costs and continuous upgrades for communications security, malware detection and removal and firewall capabilities. Device characteristics will consist of both PC and Mac.	Madison	2020-2022	Other			
Campus Data Network Pool Comments: This pool will support new hardware for communication, safety and security service delivery. This new hardware will consist of storage devices, routers, switches, wireless devices and associated software to support Virtual Machines, related firewall requirements as well as emergency telephonic devices and upgrades for security camera infrastructure and camera devices. This pool was authorized in prior years as the Purchase Networked Education System Components authorization.	Madison	2020-2022	Other			
Campus Infrastructure Upgrade Approved pursuant to KRS 45.763	Madison	2020-2022	Awaiting Initiation by Agency			
Commonwealth Hall Partial Repurposing and Renovation	Madison	2020-2022	Awaiting Initiation by Agency			
Construct Alumni and Welcome Center	Madison	2020-2022	Awaiting Initiation by Agency			
* Construct Aviation/Aerospace Instructional Facility	Madison	2020-2022	Awaiting Initiation by Agency			
Construct Regional Health Facility	Madison	2020-2022	Awaiting Initiation by Agency			
Construct Student Health Center	Madison	2020-2022	Awaiting Initiation by Agency			
Construct Student Life Facilities	Madison	2016-2018	In Construction	92	06/01/21	
Demolish Building Pool	Madison	2020-2022	Awaiting Initiation by Agency			
Improve Campus Pedestrian, Parking and Transport	Madison	2018-2020	Awaiting Initiation by Agency			
Innovation and Commercialization Pool	Madison	2020-2022	Awaiting Initiation by Agency			
Miscellaneous Maintenance Pool - 2020-2022	Madison	2020-2022	Awaiting Initiation by Agency			
Natural Areas Improvement Pool	Madison	2020-2022	Awaiting Initiation by Agency			

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Eastern Kentucky University

**Report Quarter:** 2021-1 (January)

**Print Date:** 12/16/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Property Acquisitions Pool Approved pursuant to KRS 45.763	Madison	2020-2022	Awaiting Initiation by Agency			
Renovate Mechanical Systems Pool	Madison	2020-2022	Awaiting Initiation by Agency			
Repair/Replace Infrastructure/Building System Pool	Madison	2020-2022	Awaiting Initiation by Agency			
Replace and Renovate Student Housing Approved pursuant to KRS 45.763	Madison	2020-2022	Awaiting Initiation by Agency			
Steam Line Upgrades Approved pursuant to KRS 45.763	Madison	2020-2022	Awaiting Initiation by Agency			
University Services Space	Madison	2020-2022	Awaiting Initiation by Agency			
Upgrade and Improve Residence Halls	Madison	2020-2022	Awaiting Initiation by Agency			
Upgrade/Improve Athletics Facilities/Fields Pool Approved pursuant to KRS 45.763	Madison	2020-2022	Awaiting Initiation by Agency			

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Morehead State University

**Report Quarter:** 2021-1 (January)

**Print Date:** 12/07/2020

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
<b>Postsecondary Education Cabinet/Morehead State University</b>						
Capital Renewal and Maintenance Pool - Auxiliary	Rowan	2020-2022	Awaiting Initiation by Agency			
Comply with ADA - Auxiliary	Rowan	2020-2022	Awaiting Initiation by Agency			
Construct New Residence Hall	Rowan	2020-2022	Awaiting Initiation by Agency			
Construct New Volleyball Facility - Phase 2	Rowan	2020-2022	Awaiting Initiation by Agency			
Renovate Alumni Tower Ground Floor	Rowan	2020-2022	Awaiting Initiation by Agency			
Renovate Cartmell Residence Hall	Rowan	2016-2018	Awaiting Initiation by Agency			
Replace Exterior Precast Panels - Nunn Hall	Rowan	2020-2022	Awaiting Initiation by Agency			
Replace Turf on Jacobs Field	Rowan	2020-2022	Awaiting Initiation by Agency			

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

Reporting Agency: Murray State University

Report Quarter: 2021-1 (January)

Print Date: 12/02/2020

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<b>Postsecondary Education Cabinet/Murray State University</b>						
Acquire Agriculture Research Farm Land	Calloway	2020-2022	Awaiting Initiation by Agency			
Acquire Property	Calloway	2020-2022	Awaiting Initiation by Agency			
* Construct New Breathitt Veterinary Center	Christian	2014-2016	Complete/Not Closed Out	100	06/18/16	09/06/16
Construct Residential Housing Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
* Construct/Complete New Science Complex - Final Phase	Calloway	2014-2016	Complete/Not Closed Out	100	10/03/16	06/20/17
Construct/Renovate Alternate Dining Facility Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
Install CFSB Center Generator	Calloway	2020-2022	Awaiting Initiation by Agency			
Lovett Auditorium - HVAC and Electrical Repairs	Calloway	CPBOC-12/01/20	Bidding			
Provide Alternate Dining Facility Approved pursuant to KRS 45.763/Contract with Sodexo procured under KRS 45A.551 (Privatization) Sub-projects: Provide Alternate Dining Facility (Sub-project: Curris Center Chick-fil-A) - Complete/Closed Out Provide Alternate Dining Facility (Sub-project: Curris Center Starbucks) Provide Alternate Dining Facility (Sub-project: Curris Center T-room Dining Changes) Provide Alternate Dining Facility (Sub-project: Waterfield Library Einstein Bagels) - Complete/Closed Out	Calloway	2016-2018	Multiple Subprojects			
Provide Alternate Dining Facility (Sub-project: Curris Center Starbucks)	Calloway	2016-2018	Complete/In Warranty	100	02/29/20	02/29/20
Provide Alternate Dining Facility (Sub-project: Curris Center T-room Dining Changes)	Calloway	2016-2018	Complete/In Warranty	100	08/01/20	08/21/20
Renovate Residence Hall Electrical System	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Residence Hall Electrical System - LTF Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Residence Hall HVAC System	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Residence Hall HVAC System - LTF Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Residence Hall Interior	Calloway	2020-2022	Awaiting Initiation by Agency			

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Renovate Residence Hall Interior - LTF Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Residence Hall or Replace - LTF Approved pursuant to KRS 45.763	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate Winslow Cafeteria	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovate/Replace Residence Hall	Calloway	2020-2022	Awaiting Initiation by Agency			
Renovation of Blackburn Science Building	Calloway	CPBOC-3/01/19	In Construction	10	04/22/21	
Repairs of Biology Building	Calloway	2018-2020	Complete/In Warranty	100	12/01/20	12/01/20
Replace Campus Comm Infrastructure (Fiber Ring)	Calloway	2020-2022	Awaiting Initiation by Agency			
Replace Campus Communications Infrastructure	Calloway	2018-2020	In Construction	5	06/30/22	
Replace CFSB Center Seating	Calloway	2020-2022	Awaiting Initiation by Agency			
Replace Residence Hall Domestic Water Piping	Calloway	2020-2022	Awaiting Initiation by Agency			
Upgrade Campus Network	Calloway	2016-2018	In Construction	75	06/30/22	
Upgrade Campus Phone System	Calloway	2016-2018	In Construction	75	06/30/22	

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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
<b>Postsecondary Education Cabinet/Murray State University</b>			
* Construct New Breathitt Veterinary Center	10/06/17	\$1.00	Project closure in process
* Construct/Complete New Science Complex - Final Phase	07/20/18	\$1.00	Project closure in process

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<b>Postsecondary Education Cabinet/Northern Kentucky University</b>						
Acquire Land/Master Plan 2010-2012 Approved pursuant to KRS 45.763 2011 - \$2.5 million in agency bond proceeds for 26 parcels of land, primarily single family homes, included in Board-approved land acquisition and Master Plan Land acquisition area, to be acquired over many years, is outlined in 2020 Master Plan	Campbell	2010-2012	Planning			
Construct Mixed-Use Facility with Student Housing Approved pursuant to KRS 45.763 Sub-projects: Construct Mixed-Use Facility with Student Housing (Sub-project: Phase I - North Side) Construct Mixed-Use Facility with Student Housing (Sub-project: Phase II - South Side)	Campbell	2016-2018	Multiple Subprojects			
Construct Mixed-Use Facility with Student Housing (Sub-project: Phase I - North Side) St. Elizabeth Healthcare and OrthoCincy	Campbell	2016-2018	Complete/In Warranty	100	04/15/20	04/30/20
Construct Mixed-Use Facility with Student Housing (Sub-project: Phase II - South Side) Mixed-use development of 20-30,000 square feet of full-service and casual restaurant and retail tenants, a 100-110 room hotel, 150-200 market rate apartments, parking and office space	Campbell	2016-2018	Planning			
Construct Research/Innovation Building Approved pursuant to KRS 45.763	Campbell	2018-2020	Awaiting Initiation by Agency			
Construct/Acquire New Residence Hall 2016-2018 Sub-projects: Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Commonwealth Hall Renovation) - Complete/Closed Out Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Construct New Residence Hall) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Kentucky Hall Renovation) - Complete/Closed Out Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Exterior Repairs) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Renovations) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Woodcrest Apartments Stair Replacement) - Cancelled	Campbell	2016-2018	Multiple Subprojects			
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation)	Campbell	2016-2018	Design/Phase B			
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Construct New Residence Hall) CM at-Risk project delivery method reported to CPBOC on 11/20/2018	Campbell	2016-2018	In Construction	30	07/20/21	

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Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Exterior Repairs)	Campbell	2016-2018	Complete/In Warranty	100	07/24/20	09/01/20
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Renovations)	Campbell	2016-2018	Design/Phase A			
Enhance Student Union Sub-project: Enhance Student Union (Sub-project: Food Service Renovations) Enhance Student Union (Sub-project: Replace SU Ballroom AV/IT)	Campbell	2020-2022	Multiple Subprojects			
Enhance Student Union (Sub-project: Food Service Renovations)	Campbell	2020-2022	Design/Phase B			
Enhance Student Union (Sub-project: Replace SU Ballroom AV/IT)	Campbell	2020-2022	Complete/In Warranty		07/21/20	09/04/20
Expand/Renovate Soccer Stadium	Campbell	2020-2022	Awaiting Initiation by Agency			
Reconstruct West Side Parking	Campbell	2018-2020	Awaiting Initiation by Agency			
Renew E&G Building Systems Projects Pool	Campbell	2020-2022	Awaiting Initiation by Agency			
Renew/Renovate Fine Arts Center Phase II	Campbell	2020-2022	Awaiting Initiation by Agency			
Renew/Renovate Nunn Hall	Campbell	2020-2022	Awaiting Initiation by Agency			
Renew/Renovate Steely Library	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate Brown Building	Campbell	2018-2020	Planning			
Renovate Residence Halls	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate/Construct Campbell Hall Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate/Expand Baseball Field	Campbell	2018-2020	Awaiting Initiation by Agency			
Renovate/Expand Business Academic Building	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate/Expand Civic Center Building	Campbell	2020-2022	Awaiting Initiation by Agency			
Replace Event Center Technology Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			
Replace Underground Utility Infrastructure	Campbell	2020-2022	Awaiting Initiation by Agency			
Upgrade Admin/IT Infrastructure Pool Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			

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<b>Postsecondary Education Cabinet/University of Kentucky</b>						
Acquire Data Center Hardware - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire Information Technology Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire Land	Multi	2020-2022	Multiple Subprojects			
Sub-project:						
Acquire Land (Sub-project: CAFE Land Purchase) - 6/19/2020 - FCR 7 (prior authorization) - Complete/Closed Out						
Acquire Land (Sub-project: 612 - 620 South Broadway) - 12/15/2020 - FCR 11						
Acquire Land (Sub-project: 612 - 620 South Broadway)	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire Medical Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire Medical Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire Telemedicine/Virtual ICU 6/21/2019 - FCR 14	Fayette	2018-2020	In Construction	95	12/30/22	
Acquire Telemedicine/Virtual ICU - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Improve Clinical/Administrative Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Improve Clinical/Administrative Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Improve Elevator Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Improve Elevator Systems - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Improve Golf Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Renovate Administrative Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Renovate Clinical Research Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Renovate Housing Approved pursuant to KRS 45.763 Alpha Gamma Delta - 4/30/2019 - FCR 13	Fayette	2018-2020	In Construction	75	02/04/21	
Acquire/Renovate Housing Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Acquire/Upgrade IT System - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
ADA Compliance Pool	Fayette	2020-2022	Awaiting Initiation by Agency			
Ambulatory Care Projects - UKHC	Fayette	2016-2018	Complete/Not Closed Out	100	09/27/19	09/27/19

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Dept of Medicine Clinics - 6/16/2017 - FCR 11						
Clinical Information System	Fayette	2016-2018	Multiple Subprojects			
Sub-projects:						
Clinical Information System (Sub-project: Surgery and Anesthesia E.H.R. Implementation) - 8/02/2017 - 18 IT 05						
Clinical Information System (Sub-project: Telemetry Hardware and Software for Chandler) - 9/22/2016 - 17 E 06						
Clinical Information System (Sub-project: Surgery and Anesthesia E.H.R. Implementation)	Fayette	2016-2018	In Construction	75	12/31/21	
Clinical Information System (Sub-project: Telemetry Hardware and Software for Chandler)	Fayette	2016-2018	In Construction	75	12/31/21	
Construct Agriculture Research Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Agriculture Research Facility 2	Woodford	2018-2020	Bidding			
Poultry Research Facility Relocation - 12/11/2018 - FCR 10						
CM at-Risk project delivery method reported to CPBOC on 2/19/2019						
Construct Agriculture Research Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Ambulatory Facility - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Beam Institute 1	Fayette	2020-2022	Design/Phase B			
6/19/2020 - FCR 9						
Construct Beam Institute 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Childcare Center Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Clinical/Administrative Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Clinical/Administrative Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct College of Medicine Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Cross Country Trail	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Data Center - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Digital Village Building #3	Fayette	2020-2022	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Construct Engineering Center Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Equine Campus, Phase 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Facilities Shops and Storage Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Hospice Facility - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			

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Construct Housing	Fayette	2018-2020	Awaiting Initiation by Agency			
Construct Indoor Track (Other Funds) Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Indoor Track (Restricted Funds)	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Library Depository Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Library/Knowledge Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Metal Arts/Digital Media Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct New Alumni Center Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct North Farm Agricultural Research Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Office Park at Coldstream Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Patient Care Facility (combined auth)	Fayette	2002-2004	Multiple Subprojects			

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<p>Authorizations for the following projects were combined:            Improve Central Heating Plant (2002-04) - \$4 million (2004-06 Additional)            Expand Plant Capacity/Infrastructure (2002-04) - \$23 million (2004-06 Additional)            Replace Cooling Plant Chillers (2002-04) - \$6 million (2004-2006 Additional)            Design Patient Bed Tower - Hospital (2002-04) - \$10 million            Land Acquisition Pool (2004-06) - \$5 million of \$15 million            Construct Patient Care Facility - Hospital (2004-06) - \$200 million (\$100 million in Agency Bonds)            Construct Patient Care Facility Phase II - Hospital (2006-08) - \$175 million (\$150 million in Agency Bonds)            Purchase Furniture and Equipment - Patient Care Facility (2006-08) - \$27 million            Expand Patient Care Facility - Hospital Phase III (2008-10) - \$250 million (HB 4 allowed a \$100 million Agency Bond authorization - meant to replace a portion of the original restricted fund authorization)            UK did not utilize \$100 million authorization for Expand Patient Care Facility - Hospital Phase 4 (2010-12)            Expand Patient Care Facility - Hospital Phase 3 (2012-14) - \$50 million</p>						
<p>Subprojects (Data Center and Support was also a component):            Construct Patient Care Facility - Hospital (Subproject 1 - Huguelet Drive Extension) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 2 - Parking Garage) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 3 - Cooling Plant #1) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 4 - Central Utilities Plant Expansion) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 5 - Building) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 6 - Pavilion A, OR Suites and Support) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 7 - Eighth Floor Patient Beds and Pharmacy) - Complete/Closed Out            Construct Patient Care Facility - Hospital (Subproject 8 - Clinical Decision Unit) - Complete/Closed Out            Construct Patient Care Facility (combined auth) (Sub-project 1J: Fit-up Pavilion A Basement and Other Improvements) - 12/15/2020 - FCR 15            Comments: Multiple sub-projects</p>						
Construct Patient Care Facility (combined auth) (Sub-project 1J: Fit-up Pavilion A Basement/Etc.)	Fayette	2002-2004	Planning			
Construct Police Headquarters	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Research/Incubator Facility Approved pursuant to KRS 45.763 CPBOC approved development agreement on 8/19/2020 2/21/2020 - FCR 7 (prior authorization)	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Retail/Parking Facility 1 Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Retail/Parking Facility 2 Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Student Housing	Fayette	2020-2022	Awaiting Initiation by Agency			



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Approved pursuant to KRS 45.763						
Construct Teaching Pavilion	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Tennis Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Construct/Expand/Renovate Ambulatory Care - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Fit-up Retail Space	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Fit-Up Retail Space	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Athletics Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Athletics Playing Fields 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Athletics Playing Fields 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Campus Recreation Field 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Campus Recreation Field 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Campus Recreation Field 3	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Clinical/Admin. Facilites - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Greek Housing	Fayette	2020-2022	Multiple Subprojects			
Sub-project:						
Construct/Improve Greek Housing (Sub-project: Alpha Delta Pi) - 6/19/2020 - FCR 8						
Construct/Improve Greek Housing (Sub-project: Alpha Delta Pi)	Fayette	2020-2022	In Construction	20	07/29/21	
Construct/Improve Office Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Parking I	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Parking II	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Improve Recreation Quad 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Relocate Data Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct/Renovate Gymnastic Practice Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Decommission Facilities	Fayette	2016-2018	In Construction	85	02/08/21	
Demolition of Kirwan-Blanding - 12/12/2017 - FCR 6						

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Decommission Facilities	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand KY Geological Survey Well Sample and Core Repository	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand Student Center (Dining) 10/18/2019 - PR 1	Fayette	CPBOC-12/01/19	In Construction	59	09/15/21	
Expand/Improve Barnhart Building Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand/Improve Cooper House	Fayette	2020-2022	Bidding			
Expand/Improve Johnson Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand/Improve Kastle Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand/Improve Willard Medical Education Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand/Renovate/Improve LTS Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Expand/Renovate/Improve Sturgill Development Building	Fayette	2020-2022	Awaiting Initiation by Agency			
* Expand/Renovate/Upgrade Law Building	Fayette	2014-2016	Complete/Not Closed Out	100	08/08/19	08/08/19
Facilities Renewal and Modernization	Fayette	2020-2022	Awaiting Initiation by Agency			
Facilities Renewal, Modernization & Deferred Maintenance	Fayette	2016-2018	Multiple Subprojects			
Sub-projects:						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Chemistry/Physics 3rd Floor) - 10/20/2017 - PR 4						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Grehan Journalism Building) - 10/20/2017 - PR 4						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Infrastructure) - 10/20/2017 - PR 4 - Complete/Closed Out						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Chemistry/Physics 3rd Floor)	Fayette	2016-2018	In Construction	70	06/07/22	
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Grehan Journalism Building)	Fayette	2016-2018	Complete/Not Closed Out	100	12/23/19	12/23/19
Implement Land Use Plan - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Implement Patient Communication System - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			

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Improve Academic Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 3	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 4	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Anderson Tower	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Athletics Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Athletics Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Athletics Facility 3	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Athletics Facility 4	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Athletics Facility 5	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Barnhart Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Baseball Facility Phase II	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Building Electrical Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Building Mechanical Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Building Mechanical Systems AG North HVAC - 12/11/2018 - FCR 12	Fayette	2018-2020	In Construction	97	01/02/21	
Improve Building Shell Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Building Systems - UK HealthCare Sub-projects:	Fayette	2020-2022	Multiple Subprojects			
Improve Building Systems - UK HealthCare (Sub-project: Good Samaritan Emergency Generator Upgrade) - 12/15/2020 - FCR 16						
Improve Building Systems - UK HealthCare (Sub-project: Pavilion H - Replace AHU S1 & S1A) - 10/15/2020 - FCR 2						
Improve Building Systems - UK HealthCare (Sub-project: Pavilion WH - Replace AHU 4 & 5) - 10/15/2020 - FCR 3						
Improve Building Systems - UK HealthCare (Sub-project: Good Samaritan Emergency Generator Upgrade)	Fayette	2020-2022	Planning			
Improve Building Systems - UK HealthCare (Sub-project: Pavilion H - Replace AHU S1 & S1A)	Fayette	2020-2022	A/E Selection			
Improve Building Systems - UK HealthCare (Sub-project: Pavilion WH - Replace AHU 4 & 5)	Fayette	2020-2022	A/E Selection			

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Improve Building Systems - UKHC Sub-projects: Improve Building Systems - UKHC (Sub-project: Pav H AHU) - 9/13/2019 - FCR 5 Improve Building Systems - UKHC (Sub-project: Pav HA AHU #1, 2, & 3) - 9/14/2018 - FCR 6 Improve Building Systems - UKHC (Sub-project: Pav HA AHU #8) - 6/16/2017 - FCR 9 - Complete/Closed Out	Fayette	2016-2018	Multiple Subprojects			
Improve Building Systems - UKHC (Sub-project: Pav H AHU)	Fayette	2016-2018	Complete/In Warranty	100	12/31/20	12/31/20
Improve Building Systems - UKHC (Sub-project: Pav HA AHU #1, 2, & 3)	Fayette	2016-2018	In Construction	80	02/28/21	
Improve CAER Facilities Sub-projects: Improve CAER Facilities (Sub-project: Carbon Fiber Development Facility) - 2/21/2020 - FCR 10 Improve CAER Facilities (Sub-project: Laboratory Building #1 Chiller Replacement) - 2/21/2020 - FCR 8 Improve CAER Facilities (Sub-project: Mineral Process Building Expansion) - 2/21/2020 - FCR 9	Fayette	2018-2020	Multiple Subprojects			
Improve CAER Facilities (Sub-project: Carbon Fiber Development Facility) Use of federal funds reported to CPBOC on 6/25/2020	Fayette	2018-2020	Design/Phase A			
Improve CAER Facilities (Sub-project: Laboratory Building #1 Chiller Replacement)	Fayette	2018-2020	Complete/In Warranty	100	11/10/20	11/10/20
Improve CAER Facilities (Sub-project: Mineral Process Building Expansion) Use of federal funds reported to CPBOC on 6/25/2020	Fayette	2018-2020	Planning			
Improve Campus Core Quadrangle Facilities This authorization may be used in addition to Renew/Modernize Facilities project allocation depending upon condition of building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Campus Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Campus Parking and Transportation System Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Campus Parking and Transportation System Sub-projects: Improve Campus Parking and Transportation System (Sub-project: 2020 Maintenance) - 12/10/2019 - FCR 9; Use of restricted funds component of authorization Improve Campus Parking and Transportation System (Sub-project: Parking Structure #5) - 12/11/2018 - FCR 13; Approved pursuant to KRS 45.763/Funded pursuant to KRS 45A.007; CPBOC approved development agreement, including facilities lease, on May 14, 2019	Fayette	2018-2020	Multiple Subprojects			

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Improve Campus Parking and Transportation System (Sub-project: 2020 Maintenance)	Fayette	2018-2020	Complete/In Warranty	100	09/20/20	09/20/20
Improve Campus Parking and Transportation System (Sub-project: Parking Structure #5)	Fayette	2018-2020	Complete/In Warranty	100	10/09/20	10/09/20
Improve Carnahan House	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Center for Applied Energy Research Facilities	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Chem/Physics Building Phase 3	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Civil/Site Infrastructure University Court - 12/13/2016 - FCR 10	Fayette	2016-2018	Awaiting Initiation by Agency			
Improve Civil/Site Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Civil/Site Infrastructure 2018 Approved pursuant to KRS 45.763	Fayette	2018-2020	Multiple Subprojects			
Sub-project:						
Improve Civil/Site Infrastructure 2018 (Sub-project: Library Drive Extension Phase 1) - 2/22/2019- FCR 6						
Improve Civil/Site Infrastructure 2018 (Sub-project: South Campus Quad) - 12/10/2019 - FCR 13						
Improve Civil/Site Infrastructure 2018 (Sub-project: Library Drive Extension Phase 1)	Fayette	2018-2020	Complete/In Warranty	100	09/22/20	09/22/20
Improve Civil/Site Infrastructure 2018 (Sub-project: South Campus Quad)	Fayette	2018-2020	Awaiting Initiation by Agency			
Improve Clinical/Ambulatory Services Approved pursuant to KRS 45.763	Fayette	2016-2018	Multiple Subprojects			
Sub-projects:						
Improve Clinical/Ambulatory Services (Sub-project: Cancer Services) - 6/22/2018 - FCR 6						
Improve Clinical/Ambulatory Services (Sub-project: Otolaryngology) - 6/24/2016 - FCR 14 - Complete/Closed Out						
Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy) - 9/09/2016 - FCR 11						
Improve Clinical/Ambulatory Services (Sub-project: Cancer Services)	Fayette	2016-2018	In Construction	68	06/07/21	
Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy)	Fayette	2016-2018	Complete/Not Closed Out	100	11/06/19	11/06/19
Improve Coldstream Research Campus Public Infrastructure - 5/5/2020 - FCR 5 (prior authorization)	Fayette	2020-2022	Design/Phase B			

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Improve Dentistry Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Dickey Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve DLAR Facilities	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Electrical Infrastructure	Fayette	2020-2022	Multiple Subprojects			
Sub-project: Improve Electrical Infrastructure (Sub-project: Ag North/South Complex) - 9/11/2020 - FCR 8						
Improve Electrical Infrastructure (Sub-project: Ag North/South Complex)	Fayette	2020-2022	Design/Phase A			
Improve Enterprise Networking 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Enterprise Networking 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Fume Hood Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Funkhouser Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Good Samaritan Hospital Facilities Replace AHU #24 and #54 - 9/09/2016 - FCR 10; 12/12/2017 - FCR 10 Comments: Improve Good Samaritan Hospital Facilities - Replace AHU 23, 24, & 54	Fayette	2016-2018	Complete/In Warranty	100	10/05/20	10/05/20
Improve Good Samaritan Hospital Facilities Sub-projects: Improve Good Samaritan Hospital Facilities (Sub-project: Interventional Radiology) - 2/22/2019 - FCR 7 Improve Good Samaritan Hospital Facilities (Sub-project: Magnetic Resonance Imaging) - 6/22/2018 - FCR 5 Improve Good Samaritan Hospital Facilities (Sub-project: Third Floor Renovation) - 2/21/2020 - FCR 11	Fayette	2018-2020	Multiple Subprojects			
Improve Good Samaritan Hospital Facilities (Sub-project: Interventional Radiology) Comments: On hold.	Fayette	2018-2020	Other			
Improve Good Samaritan Hospital Facilities (Sub-project: Magnetic Resonance Imaging)	Fayette	2018-2020	Complete/In Warranty	100	07/29/20	07/29/20
Improve Good Samaritan Hospital Facilities (Sub-project: Third Floor Renovation)	Fayette	2018-2020	In Construction	67	01/25/21	
Improve Good Samaritan Hospital Facilities UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Jacobs Science Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Joe Craft Center	Fayette	2020-2022	Awaiting Initiation by Agency			

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Improve Joe Craft Football Practice Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Kroger Field Stadium	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Lancaster Aquatic Center 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Lancaster Aquatic Center 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Library Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Life Safety	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Markey Cancer Center - UK HealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve McVey Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Mechanical Infrastructure Central Campus Utilities - 12/11/2018 - FCR 11	Fayette	2018-2020	Complete/In Warranty	100	08/15/20	08/15/20
Improve Mechanical Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Medical Center Library	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Medical Facility 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Medical Facility 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Medical Plaza	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Memorial Coliseum Design - 2/22/2019 - FCR 8	Fayette	2018-2020	Design/Phase C			
Improve Memorial Coliseum	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Memorial Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Moloney Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Multi-Disciplinary Science Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Nutter Field House	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Nutter Training Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Parking Garage 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Parking Garage 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Parking/Transportation Systems UK HealthCare Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			

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Improve Patterson Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Pence Hall	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Peterson Service Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Reynolds Building 1 This authorization may be used in addition to Renew/Modernize Facilities project allocation depending upon condition of building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Sanders Brown Building	Fayette	2020-2022	Awaiting Initiation by Agency			
* Improve Sanders-Brown Center on Aging/Neuroscience Facilities Completion Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Scovell Hall This authorization may be used in addition to Renew/Modernize Facilities project allocation depending upon condition of building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Seaton Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Senior Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Soccer/Softball Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Spindletop Hall Facilities	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Student Center Space 1 Harris Ballroom - 4/30/2019 - FCR 7	Fayette	2018-2020	Complete/In Warranty	100	06/19/20	06/19/20
Improve Student Center Space 2	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Student Center Space 2 10/18/2019 - PR 1 Authorization for Expand Student Center (Dining)	Fayette	2018-2020	In Construction	51	06/23/21	
Improve Student Center Space 3	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Taylor Education Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve UK Healthcare Facilities - Chandler Hospital Gill Heart and Vascular Institute Catheterization Lab Expansion - 12/12/2017 - FCR 11	Fayette	2016-2018	Cancelled			
Improve UK HealthCare Facilities - UK Chandler Hospital	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve UK HealthCare IT Systems	Fayette	2018-2020	Multiple Subprojects			



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Sub-projects:						
Improve UK HealthCare IT Systems (Sub-project: ID/Network TAPS Update for Cybersecurity Risk Mgmt) - 4/1/2019 - 19 IT 10 - Complete/Closed Out						
Improve UK HealthCare IT Systems (Sub-project: Supply Replenishment System) - 10/16/2018 - 19 IT 20						
Improve UK HealthCare IT Systems (Sub-project: Upgrade Network Alumni Park Plaza) - 10/12/2018 - 19 IT 09						
Improve UK HealthCare IT Systems (Sub-project: Supply Replenishment System)	Fayette	2018-2020	In Construction	75	12/25/20	
Improve UK HealthCare IT Systems (Sub-project: Upgrade Network Alumni Park Plaza) Comments: Awaiting confirmation of completion	Fayette	2018-2020	In Construction	95	10/20/20	
Improve University Storage Facility Sub-project: Improve University Storage Facility (Sub-project: UKHC at Vaughn Warehouse) - 12/15/2020 - FCR 11	Fayette	2020-2022	Multiple Subprojects			
Improve University Storage Facility (Sub-project: UKHC at Vaughn Warehouse)	Fayette	2020-2022	Planning			
Improve W.T. Young Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Whalen Bldg & Bay Facility	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve Wildcat Coal Lodge	Fayette	2020-2022	Awaiting Initiation by Agency			
Improve/Clinical Ambulatory Services Facilities UKHealthCare	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease - Purchase Campus Call Center System	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease - Purchase Campus Infrastructure Networking Equipment to Improve UK Network (Data Center of the Future) - 9/4/2019 - 20 IT 08	Fayette	2018-2020	In Construction	75	12/31/20	
Lease - Purchase Network Security	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease - Purchase Voice Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease/Purchase Campus Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease/Purchase Campus IT Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Lease/Purchase High Performance Computer	Fayette	2020-2022	Awaiting Initiation by Agency			
Purchase/Construct CO2 Capture Process Plant	Fayette	2020-2022	Awaiting Initiation by Agency			
Relocate/Replace Greenhouses	Fayette	2020-2022	Awaiting Initiation by Agency			
Renew/Modernize Facilities	Fayette	2018-2020	Multiple Subprojects			

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Sub-projects:						
Renew/Modernize Facilities (Sub-project: 18th Floor Patterson) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Chemistry/Physics Phase II) - 2/22/2019 - FCR 2; 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Modernize Frazee Hall) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Reynolds Building #1 - Design) - 2/22/2019 - FCR 3; 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Scovell Hall - Design) - 6/21/2019 - FCR 19; 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Study of Quad) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: White Hall Renovation Phase I) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase I) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase II) - 12/10/2019 - FCR 11						
Renew/Modernize Facilities (Sub-project: 18th Floor Patterson)	Fayette	2018-2020	In Construction	5	06/11/21	
Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase I)	Fayette	2018-2020	In Construction	99	01/05/21	
Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase II)	Fayette	2018-2020	Planning			
Renew/Modernize Facilities (Sub-project: Chemistry/Physics Phase II)	Fayette	2018-2020	In Construction	50	06/07/22	
Renew/Modernize Facilities (Sub-project: Modernize Frazee Hall)	Fayette	2018-2020	Design/Phase B			
CM at-Risk project delivery method reported to CPBOC on 9/16/2020						
Renew/Modernize Facilities (Sub-project: Reynolds Building #1 - Design)	Fayette	2018-2020	Design/Phase B			
Renew/Modernize Facilities (Sub-project: Scovell Hall - Design)	Fayette	2018-2020	Other			
Comments: On hold.						
Renew/Modernize Facilities (Sub-project: Study of Quad)	Fayette	2018-2020	Complete/Closed Out	100	12/04/20	12/04/20
Renew/Modernize Facilities (Sub-project: White Hall Renovation Phase I)	Fayette	2018-2020	In Construction	2	08/16/21	
Renovate Space for a Testing Center	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate Warehouse Space	Fayette	2018-2020	Multiple Subprojects			

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Sub-projects:						
Vaughan Warehouse Facilities						
Renovate Warehouse Space (Sub-project: Phase I) - 9/14/2018 - FCR 3						
Renovate Warehouse Space (Sub-project: Phase II - Post Office) - 6/21/2019 - FCR 17						
Renovate Warehouse Space (Sub-project: Phase I)	Fayette	2018-2020	Complete/Closed Out	100	12/31/19	12/31/19
Renovate Warehouse Space (Sub-project: Phase II - Post Office)	Fayette	2018-2020	Complete/In Warranty	100	03/23/20	03/23/20
Renovate/Expand Cooper House Not included in Renew/Modernize Facilities (2/22/2019 - FCR 4)	Fayette	2018-2020	Awaiting Initiation by Agency			
Renovate/Expand University Student Center	Fayette	2014-2016	Complete/Not Closed Out	100	06/18/18	06/18/18
Renovate/Improve Frazee Hall This authorization may be used in addition to Renew/Modernize Facilities project allocation depending upon condition of building	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate/Improve Housing	Fayette	2018-2020	Awaiting Initiation by Agency			
Renovate/Improve King Library	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate/Improve Mineral Industries Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate/Improve Nursing Building	Fayette	2020-2022	Awaiting Initiation by Agency			
Renovate/Improve Nursing Units - UK HealthCare Pav H 3rd Floor Behavioral Health - 9/11/2020 - FCR 7	Fayette	2020-2022	Design/Phase A			
Renovate/Upgrade HealthCare Facilities See Renovate/Upgrade UK HealthCare Facilities (combined auth)	Fayette	2014-2016	Combined Authorities			
Renovate/Upgrade HealthCare Facilities (Agency Bonds)	Fayette	2018-2020	Awaiting Initiation by Agency			
Renovate/Upgrade Healthcare Facilities (Agency Bonds) See Renovate/Upgrade UK HealthCare Facilities (combined auth)	Fayette	2016-2018	Combined Authorities			
Renovate/Upgrade Healthcare Facilities (Restricted Funds)	Fayette	2016-2018	Multiple Subprojects			
Sub-projects:						
Renovate/Upgrade Healthcare Facilities (Restricted Funds) (Sub-project: Simulation Center) - 12/13/2016 - FCR 12 - Complete/Closed Out						
Renovate/Upgrade Healthcare Facilities (Restricted Funds) (Sub-project: University Inn) - 12/13/2016 - FCR 11						
Renovate/Upgrade Healthcare Facilities (Restricted Funds) (Sub-project: University Inn)	Fayette	2016-2018	Complete/In Warranty	100	02/05/20	02/05/20

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Renovate/Upgrade HealthCare Facilities 2 See Renovate/Upgrade UK HealthCare Facilities (combined auth)	Fayette	2014-2016	Combined Authorities			
Renovate/Upgrade UK HealthCare Facilities (combined auth)  Authorizations for the following projects have been combined and transmitted March 2015 and reported June 20, 2017: Renovate/Upgrade HealthCare Facilities (2014-2016) - \$150 million in agency bonds Renovate/Upgrade HealthCare Facilities 2 (2014-2016) - \$130 million in restricted funds Renovate/Upgrade Healthcare Facilities (2016-2018) - \$150 million in agency bonds  Sub-projects: Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: 9th & 10th Floor Fit-Out and Elevators) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Chiller) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Kitchen/Cafeteria) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: Blood Bank) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: MRI, Hyperbaric & Radiology) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU) Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: 11th Floor) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: Pavilion A - Surgery) - Complete/Closed Out Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Endoscopy Renovation) - 6/24/2016 - FCR 15 Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Fit-up 5th & 12th Floors) - 2/19/2016 - FCR 13; 6/24/2016 - FCR 15; 12/12/2017 - FCR 12; 6/19/2020 - FCR 15 Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Interventional Pav A) - 6/24/2016 - FCR 15 Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Obstetrical Pav HA & H) - 6/24/2016 - FCR 15; 12/12/2017 - FCR 12 Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Phase 2 Radiology Pav A) - 6/24/2016 - FCR 15	Fayette	2014-2016	Multiple Subprojects			
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU)	Fayette	2014-2016	Complete/Not Closed Out	100	03/16/18	03/16/18
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Endoscopy Renovation) Comments: On hold.	Fayette	2014-2016	Other			
Renovate/Upgrade UK Healthcare Facilities (combined auth) (Sub-project 1I: Fit-up 5th & 12th Floors)	Fayette	2014-2016	Bidding			
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Interventional Pav A)	Fayette	2014-2016	In Construction	95	01/21/21	
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Obstetrical Pav HA & H) Comments: On hold.	Fayette	2014-2016	Other			

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Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Phase 2 Radiology Pav A) Comments: On hold.	Fayette	2014-2016	Other			
Repair Emergency Infrastructure/Bldg. Systems Parking Structure 2 - 6/20/2019 - Emergency University Capital Project	Fayette	2018-2020	Complete/Closed Out	100	10/31/19	10/31/19
Repair Emergency Infrastructure/Building Systems	Fayette	2020-2022	Awaiting Initiation by Agency			
Repair/Replace Campus Cable Infrastructure	Fayette	2020-2022	Awaiting Initiation by Agency			
Repair/Upgrade/Expand Central Plant Sub-project: Repair/Upgrade/Expand Central Plant (Sub-project: Cooling Plant #1 Tower Replacement) - 6/21/2019 - FCR 18	Fayette	2018-2020	In Construction	40	05/29/22	
Repair/Upgrade/Expand Central Plants	Fayette	2020-2022	Awaiting Initiation by Agency			
Replace Greek Housing Alpha Gamma RHO Fraternity - 6/19/2015 FCR 8; 5/03/2016 - FCR 8	Fayette	2016-2018	Complete/Closed Out	100	05/29/19	05/29/19
Replace UK HealthCare IT Systems 1	Fayette	2020-2022	Awaiting Initiation by Agency			
Replace UKHC IT Systems 1 Single Enterprise Electronic Health Record - 4/30/2019 - FCR 10	Fayette	2018-2020	In Construction	25	09/25/21	
* Research Building 2 - Phase I - Construct Research Building Initial Authorization - House Bill 298 (2015 Regular Session)	Fayette	Other	Complete/Not Closed Out	100	09/21/18	09/21/18
* Research Building 2 - Phase II - University of Kentucky HealthCare Disparities Initiative 10/19/2018 - PR 4	Fayette	2018-2020	Complete/In Warranty	100	11/09/20	11/09/20
Research Building 2 - Phase III - Fit-Up Two Wet Labs 12/10/2019 - FCR 10 - Initiation and Interim Authorization Pending	Fayette		In Construction	1	06/27/21	
Upgrade Dining Facilities	Fayette	2020-2022	Awaiting Initiation by Agency			

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Approved pursuant to KRS 45.763						
Prior Authorizations (combined and reported June 17, 2014 under Upgrade Dining Facilities): Construct Student Dining Facilities (2012-2014) - \$35 million in restricted funds Upgrade Dining Facilities (2014-2016) - \$50 million in other funds (reauthorized through 2018-2020 with \$20 million, 2016-2018, and \$15 million, 2018-2020, of additional funding authority for Aramark to undertake future projects) Construct Student Dining Facility (account closed in 2016) was done under prior authorization						
Separate Expand Student Center (Dining) project approved by CPBOC on 12/17/2019						
Upgrade Enterprise Information System	Fayette	2016-2018	Multiple Subprojects			
Sub-projects: Upgrade Enterprise Information System (Sub-project: Cisco ELA) - 9/12/2017 - 18 IT 11 - Capital account closed Upgrade Enterprise Information System (Sub-project: Microsoft Software) - 8/30/2017 - 18 IT 09 Upgrade Enterprise Information System (Sub-project: SAP Success Factors) - 12/14/2017 - 18 IT 22						
Upgrade Enterprise Information System (Sub-project: Microsoft Software)	Fayette	2016-2018	Other			
Comments: 5 year contract to be completed in August 2022						
Upgrade Enterprise Information System (Sub-project: SAP Success Factors)	Fayette	2016-2018	Other			
Comments: 5 year contract to be completed in December 2022						
Upgrade Enterprise Information Systems - UKHC	Fayette	2016-2018	Multiple Subprojects			
Sub-projects: Upgrade Enterprise Information Systems - UKHC (Sub-project: Alarms Management System) - 1/19/2017 - 17 IT 21 Upgrade Enterprise Information Systems - UKHC (Sub-project: Electronic Prescription) - 6/11/2018 - 18 IT 36 - Complete/Closed Out Upgrade Enterprise Information Systems - UKHC (Sub-project: Health Information Management System) - 7/21/2017 - 18 IT 03 - Complete/Closed Out Upgrade Enterprise Information Systems - UKHC (Sub-project: Hospital Network Infrastructure Upgrade) - 10/06/2017 - 18 IT 17 Upgrade Enterprise Information Systems - UKHC (Sub-project: Interoperability Phase I) - 6/27/2016 - 16 T 21 - Complete/Closed Out Upgrade Enterprise Information Systems - UKHC (Sub-project: Network Engineering Switch) - 12/12/2016 - 17 IT 18 - Complete/Closed Out Upgrade Enterprise Information Systems - UKHC (Sub-project: Network Storage Upgrades) - 5/01/2018 - 18 IT 32 Upgrade Enterprise Information Systems - UKHC (Sub-project: Pavilion A Ntwrk Infrastructure Upgrade) - 10/14/2016 - 17 IT 11 - Complete/Closed Out Upgrade Enterprise Information Systems - UKHC (Sub-project: Unstructured Data Management) - 10/13/2016 - 17 IT 10						
Upgrade Enterprise Information Systems - UKHC (Sub-project: Alarms Management System)	Fayette	2016-2018	In Construction	95	12/25/20	

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Upgrade Enterprise Information Systems - UKHC (Sub-project: Hospital Network Infrastructure Upgrade)	Fayette	2016-2018	In Construction	75	06/30/21	
Upgrade Enterprise Information Systems - UKHC (Sub-project: Network Storage Upgrades)	Fayette	2016-2018	In Construction	95	12/25/20	
Upgrade Enterprise Information Systems - UKHC (Sub-project: Unstructured Data Management)	Fayette	2016-2018	In Construction	75	06/30/21	
Upgrade/Expand Campus Security Platform	Fayette	2020-2022	Awaiting Initiation by Agency			
Upgrade/Renovate/Expand Research Labs	Fayette	2020-2022	Awaiting Initiation by Agency			
Upgrade/Renovate/Expand Research Labs Lee T. Todd Jr. Building Fifth Floor Laboratory Renovation - 6/21/2019 - FCR 20	Fayette	2018-2020	Design/Phase C			
* Upgrade/Renovate/Expand Research Labs Grain Center of Excellence - 8/19/2016 - FCR 9; 5/01/2018 - FCR 1 (\$15 million in Phase I MSA funds allocated by Agricultural Development Board)	Caldwell	2016-2018	Complete/In Warranty	100	02/25/20	02/25/20

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Reporting Agency: University of Kentucky  
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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
<b>Postsecondary Education Cabinet/University of Kentucky</b>			
Ambulatory Care Projects - UKHC	10/27/20	\$29,033.00	In process of closing.
* Expand/Renovate/Upgrade Law Building	09/08/20	\$439,735.00	Multiple warranty dates.
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Grehan Journalism Building)	01/23/21	\$103,280.00	Warranty issues
Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy)	12/06/20	\$245,803.00	Warranty issues
Renovate/Expand University Student Center	07/18/19	\$201,250.00	Multiple warranty dates.
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU)	04/16/19	\$1.00	Warranty issues.
* Research Building 2 - Phase I - Construct Research Building	10/21/19	\$236,700.00	Multiple warranty dates.

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Reporting Agency: University of Louisville

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<b>Postsecondary Education Cabinet/University of Louisville</b>						
Acquisition of Dormitories	Jefferson	2020-2022	Awaiting Initiation by Agency			
Athletics Enhancements in New Dormitory	Jefferson	2020-2022	Awaiting Initiation by Agency			
Basketball/Lacrosse Practice Facility Expansion	Jefferson	2020-2022	Planning			
Belknap 3rd Street Improvements	Jefferson	2020-2022	Awaiting Initiation by Agency			
Belknap Brandeis Corridor Improvements	Jefferson	2020-2022	Planning			
Belknap Campus New Residence Halls (combined auth)	Jefferson	2018-2020	Other			
\$90 million of authorized agency bonds was reallocated to Demolish and Construct Residence Halls						
Authorizations for the following projects have been combined and reported to CPBOC, with associated scope reduction from \$103.875 million to \$90 million, on 10/15/2019:						
Demolish and Replace Miller Resident Hall (2018-2020) - \$70.6 million in agency bonds						
Renovate and Expand Threlkeld Resident Hall (2018-2020) - \$33.275 million in agency bonds						
Comments: Authorization combined. Projects listed as Phase 1 and Phase 2.						
Capital Renewal for Athletic Venues	Jefferson	2020-2022	Awaiting Initiation by Agency			
Cardinal Stadium Club Upgrades	Jefferson	2020-2022	Awaiting Initiation by Agency			
College of Education HVAC Upgrade	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct Athletic Equipment and Apparel Storage Facility	Jefferson	2018-2020	Awaiting Initiation by Agency			
Construct Athletic Grounds Building	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct Athletics Office Building	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct Athletics Village	Jefferson	2020-2022	Awaiting Initiation by Agency			
* Construct Belknap Classroom/Academic Building	Jefferson	2014-2016	In Construction	99	09/15/18	
Comments: Waiting to complete one item on the punch list. Work in progress.						
Construct College of Business Building	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct Herman and Heddy Kurz Visitors Center	Jefferson	CPBOC-10/01/15	Complete/In Warranty	100	08/20/18	12/01/19
Construct HSC Parking Structure II	Jefferson	2004-2006	Complete/Not Closed Out	100	11/30/09	12/15/09
Construct Indoor Facility	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct New Natatorium	Jefferson	2020-2022	Awaiting Initiation by Agency			

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Construct Practice Bubble	Jefferson	2020-2022	Awaiting Initiation by Agency			
Construct/Upgrade Utility Infrastructure	Jefferson	2020-2022	Planning			
Demolish and Construct Golf Maintenance/Chemical Building	Jefferson	2020-2022	Awaiting Initiation by Agency			
Demolish and Construct Residence Halls Reallocation from Demolish and Replace Miller Residence Hall (\$56.725 million) and Renovate and Expand Threlkeld Residence Hall projects (\$33.275 million)	Jefferson	2020-2022	Multiple Subprojects			
Sub-projects: Demolish and Construct Residence Halls (Sub-project: Phase 1) Demolish and Construct Residence Halls (Sub-project: Phase 2)						
Demolish and Construct Residence Halls (Sub-project: Phase 1) Initiated under 2018-2020 Belknap Campus New Residence Halls (combined auth) authorization	Jefferson	2020-2022	In Construction	10	09/01/21	
Demolish and Construct Residence Halls (Sub-project: Phase 2) Initiated under 2018-2020 Belknap Campus New Residence Halls (combined auth) authorization	Jefferson	2020-2022	Design/Phase A			
Demolish and Replace Miller Resident Hall See Belknap Campus New Residence Halls (combined auth) \$56.725 million of the \$70.6 million in agency bonds authorized was reallocated to Demolish and Construct Residence Halls	Jefferson	2018-2020	Combined Authorities			
Expand - Papa John's (Cardinal) Stadium/Football Complex	Jefferson	2016-2018	Complete/Closed Out	100	09/06/18	09/20/19
Expand and Renovate Student Activities Center Initial Authorization - House Bill 7 (2013 Regular Session)	Jefferson	Other	Complete/In Warranty	100	09/30/18	12/01/19
Expand Jim Patterson Stadium and Construct Indoor Facility	Jefferson	2020-2022	Planning			
Expand Marshall Center Complex	Jefferson	2020-2022	Awaiting Initiation by Agency			
Expand Ulmer Softball Stadium	Jefferson	2020-2022	Awaiting Initiation by Agency			
Exterior Envelope Replacement School of Medicine Bldg 55A	Jefferson	2020-2022	Awaiting Initiation by Agency			
Football Practice Field Lighting	Jefferson	2020-2022	Awaiting Initiation by Agency			
Improve Housing Facilities Pool - 2020-2022	Jefferson	2020-2022	Awaiting Initiation by Agency			
J.B. Speed Building Renovation - Phase 1	Jefferson	CPBOC-11/01/20	In Construction	10	12/31/21	

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Law School HVAC	Jefferson	2020-2022	Awaiting Initiation by Agency			
Medical Tower - 55A Vivarium	Jefferson	CPBOC-11/01/20	Design/Phase A			
Public/Private Partnership Dormitory Students and Athletes Approved pursuant to KRS 45.763	Jefferson	2020-2022	Awaiting Initiation by Agency			
Public/Private Partnership Residence Hall Approved pursuant to KRS 45.763	Jefferson	2020-2022	Planning			
Purchase Computer Processing System and Storage	Jefferson	2018-2020	Complete/In Warranty	100	12/31/19	12/15/19
Purchase Content Management System	Jefferson	2020-2022	Planning			
Purchase Fiber Infrastructure	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Housing Facilities	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Identity Management	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Land	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Networking System	Jefferson	2018-2020	In Construction	40	01/30/21	
Purchase Networking System	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Next Generation/ERP Support System	Jefferson	2020-2022	Awarding Contract			
Purchase Research Computing Infrastructure	Jefferson	2018-2020	In Construction	10	12/31/22	
Purchase Research Computing Infrastructure	Jefferson	2020-2022	Awaiting Initiation by Agency			
Purchase Security and Firewall Infrastructure	Jefferson	2018-2020	Complete/In Warranty	100	12/31/19	03/15/20
Regional Biocontainment Laboratory Pressurization Upgrade	Jefferson	2020-2022	Planning			
Renovate and Expand Threlkeld Resident Hall See Belknap Campus New Residence Halls (combined auth) \$33.275 of agency bonds authorized was reallocated to Demolish and Construct Residence Halls	Jefferson	2018-2020	Combined Authorities			
Renovate Bass Rudd Tennis Center	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Cardinal Football Stadium	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Cardinal Park	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Dental School Administrative Space	Jefferson	2020-2022	Awaiting Initiation by Agency			

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Renovate Ekstrom Library	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Ekstrom Library Sub-projects (Prior 2010-2012 Authorization - Reauthorized through 2014-2016) Renovate Ekstrom Library (Sub-project: Phase 1 - 1st floor) - Complete/Closed out Renovate Ekstrom Library (Sub-project: Phase 2 - Delphi Teaching Resource Center) - Complete/Closed out Sub-project (Current Authorization) Renovate Ekstrom Library (Sub-project: Phase 3 - 3rd floor)	Jefferson	2018-2020	Multiple Subprojects			
<p>\$3.6 million of a prior 2006-2008 authorization and 2008-2010 reauthorization was used to renovate the lower level for exhibit, research, and archival storage</p>						
Renovate Ekstrom Library (Sub-project: Phase 3 - 3rd floor)	Jefferson	2018-2020	Complete/In Warranty	100	12/03/19	04/30/20
Renovate Garvin Brown Boathouse	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Golf Club Shelby County	Shelby	2020-2022	Awaiting Initiation by Agency			
Renovate HSC Instructional and Student Services Space Sub-project (Prior 2012-2014 Authorization) Expand and Renovate - Instructional Building at HSC (Sub-project: Phase 1 - 1st and 2nd floors/HVAC) - Complete/Closed Out	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Lynn Soccer Stadium	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Marshall Center	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Office Building	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Parking Structures	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Patterson Baseball Stadium	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate School of Medicine Building 55A	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate School of Nursing Building	Jefferson	2020-2022	Planning			
Renovate Thornton's Academic Center	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Trager Football Practice Facility	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovate Ville Grill Comments: Project is taking longer because of COVID issues affecting staffing and weather.	Jefferson	2020-2022	In Construction	85	11/30/20	
Renovate Vivarium Facilities	Jefferson	2020-2022	Awaiting Initiation by Agency			
Renovation and Adaptation Projects for Various Buildings	Jefferson	2020-2022	Awarding Contract			

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Replace Artificial Turf Field III	Jefferson	2020-2022	Awaiting Initiation by Agency			
Replace Artificial Turf Field IV	Jefferson	2020-2022	Awaiting Initiation by Agency			
Replace Electronic Video Boards	Jefferson	2020-2022	Awaiting Initiation by Agency			
Replace HVAC Various Buildings	Jefferson	2020-2022	Awaiting Initiation by Agency			
Replace Papa John's (Cardinal) Stadium Seats	Jefferson	2018-2020	In Construction	80	12/30/20	
Replace Seats in Athletic Venues	Jefferson	2020-2022	Awaiting Initiation by Agency			
Resurface & Repair Parking Lot	Jefferson	2020-2022	Planning			
Southeast Corridor Residence Hall	Jefferson	2020-2022	Planning			
CPBOC approved master lease on 11/19/2020 - authorized under Housing Facilities - Lease in House Bill 352						
Upgrade and Expand WiFi and Cellular Services at Cardinal Stadium	Jefferson	CPBOC-12/01/19	Awarding Contract			

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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
<b>Postsecondary Education Cabinet/University of Louisville</b> Construct HSC Parking Structure II	01/15/11	\$447,042.82	Funds will be used for build out of first floor space.

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<b>Postsecondary Education Cabinet/Western Kentucky University</b>						
Capital Renewal Pool - 2020-2022	Warren	2020-2022	Awaiting Initiation by Agency			
Construct Parking Structure IV	Warren	2020-2022	Awaiting Initiation by Agency			
Construct South Plaza	Warren	2020-2022	Awaiting Initiation by Agency			
Construct, Renovate and Improve Athletic Facilities	Warren	2020-2022	Awaiting Initiation by Agency			
Demolish Foundation Building/Improve Site	Warren	2020-2022	Planning			
Demolish Garrett Conference Center/Improve Site	Warren	2020-2022	Planning			
Demolish Tate Page Hall/Improve Site	Warren	2020-2022	Planning			
Improve Life Safety Pool/Academic Buildings	Warren	2020-2022	Awaiting Initiation by Agency			
Install Bike Paths	Warren	2008-2010	Complete/In Warranty	100	05/28/20	05/28/20
Purchase Property for Campus Expansion 2020-2022	Warren	2020-2022	Awaiting Initiation by Agency			
Purchase Property/Parking and Street Improvements 2020-2022	Warren	2020-2022	Awaiting Initiation by Agency			
Reconfigure Health Science Classrooms	Warren	CPBOC-4/01/20	Complete/In Warranty	100	09/13/20	09/13/20
Remove and Replace Student Housing at Farm	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Academic Complex	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate and Expand Clinical Education Complex	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate and Expand Innovation Campus	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Center for Research and Development Phase I	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Central Heat Plant	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Grise Hall	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Helm/Cravens Library	Warren	2018-2020	Multiple Subprojects			
Sub-projects:						
Renovate Helm/Cravens Library (Sub-project: Construct WKU Commons @ Helm Library)						
Renovate Helm/Cravens Library (Sub-project: Partial Demolition of Helm Library)						
Renovate Helm/Cravens Library (Sub-project: Construct WKU Commons @ Helm Library)	Warren	2018-2020	In Construction	52	06/01/21	

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Renovate Helm/Cravens Library (Sub-project: Partial Demolition of Helm Library)	Warren	2018-2020	Complete/In Warranty	100	11/30/19	11/30/19
Renovate Jones Jagers Interior	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Kentucky Building	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Ogden College of Science and Engineering Facility	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Police Department	Warren	2020-2022	In Construction	62	05/31/21	
Renovate Potter College Arts and Letters Facilities	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Raymond Cravens Library	Warren	2020-2022	Awaiting Initiation by Agency			
* Renovate Science Campus Phase IV See sub-projects below:	Warren	2014-2016	Multiple Subprojects			
Renovate Science Campus Phase IV (Sub-project: Design) - Complete/Closed Out						
Renovate Science Campus Phase IV (Sub-project: Demolish Thompson Complex N. Wing) - Complete/Closed out						
Renovate Science Campus Phase IV (Sub-project: Renovate Hardin Planetarium) - Complete/Closed out						
Renovate Science Campus Phase IV (Sub-project: Construct New Science Facility) - Complete/Closed out						
Renovate Science Campus Phase IV (Sub-project: Renovate Thompson Complex Center Wing)						
Renovate Science Campus Phase IV (Sub-project: Renovate Thompson Complex Center Wing)	Warren	2014-2016	Complete/Closed Out	100	08/31/19	08/31/19
Renovate South Campus	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate State and Normal Street Properties	Warren	2020-2022	Awaiting Initiation by Agency			
Renovate Tate Page Hall	Warren	2020-2022	Awaiting Initiation by Agency			
Repair/Replace Roof at Center for Research and Development	Warren	2020-2022	Awaiting Initiation by Agency			
Replace Underground Infrastructure	Warren	2020-2022	Awaiting Initiation by Agency			

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<b>Education &amp; Workforce Development Cabinet/Employment and Training</b>						
Replace Unemployment Insurance System Budgeted Amount - \$48,000,000 Comments: Actual to Date: \$70,623 % Complete: 15%	Multi	2018-2020	Planning Phase			
<b>Finance and Administration Cabinet/Commonwealth Office of Technology</b>						
Enterprise Infrastructure 2020-2022 Budgeted Amount - \$4,000,000 Comments: Actual to Date: \$0 % Complete: 0%	Multi	2020-2022	Initiation Phase			
* Legacy System Retirement Budgeted Amount - \$20,000,000 No further action planned on project during this fiscal year.	Multi	2018-2020	Execution & Control Phase			
<b>Finance and Administration Cabinet/General Administration</b>						
* Business One-Stop Portal - Phase III Budgeted Amount - \$12,000,000 Comments: Actual to Date: \$4,900,000 % Complete: 59%	Multi	2016-2018	Execution & Control Phase			
<b>Finance and Administration Cabinet/Revenue</b>						
* Integrated Tax System Budgeted Amount - \$92,500,000 Comments: Actual to Date: \$12,941,787 % Complete: 25%	Multi	2018-2020	Execution & Control Phase			
<b>General Government Cabinet/Agriculture</b>						
Inspection and Licensing Project Budgeted Amount - \$1,052,400 Comments: Actual to Date: \$129,740 % Complete: 5%	Multi	2020-2022	Planning Phase			

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<b>General Government Cabinet/Attorney General</b>						
* Upgrade Technology Budgeted Amount - \$2,000,000 Comments: Actual to Date: \$0 % Complete: 8%	Multi	2020-2022	Planning Phase			
<b>General Government Cabinet/KY Communications Network Authority</b>						
* Next Generation Kentucky Information Highway Budgeted Amount - \$454,462,400 Comments: Actual to Date: \$393,618,866 % Complete: 78%	Multi	2014-2016	Execution & Control Phase			
<b>General Government Cabinet/Registry of Election Finance</b>						
* System Modernization Budgeted Amount - \$1,836,000 Comments: Actual to Date: \$133,786 % Complete: 5%	Multi	2016-2018	Execution & Control Phase			
<b>General Government Cabinet/Secretary of State</b>						
Enhanced Statewide Election Administration and Online Ballot Delivery System Budgeted Amount - \$1,500,000 Canceled - funds in process of being returned to feds Comments: Actual to Date: \$654,556 % Complete: 25%	Multi	CPBOC-10/01/13	Other			
<b>General Government Cabinet/Teachers' Retirement System</b>						
Pension Management System Modifications Budgeted Amount - \$5,000,000 No further action planned on project during this fiscal year. Comments: Actual to Date: \$476,000 % Complete: 5%	Multi	2018-2020	Initiation Phase			
<b>Health and Family Services Cabinet/General Administration</b>						

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Commonwealth Office of Technology

**Report Quarter:** 2021-1 (January)

**Print Date:** 01/13/2021

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
KASPER Budgeted Amount - \$2,000,000 Comments: Actual to Date: \$321,343 % Complete: 57%	Multi	2020-2022	Execution & Control Phase			
<b>Health and Family Services Cabinet/Public Health</b>						
Budget, Accounting and Reporting System Budgeted Amount - \$4,220,000 Comments: Actual to Date: \$236,943 % Complete: 35%	Multi	2018-2020	Initiation Phase			
Electronic Health Record System Budgeted Amount - \$2,400,000 Comments: Actual to Date: \$15,900 % Complete: 9%	Multi	2018-2020	Planning Phase			
Scan and Image Historical Records Budgeted Amount - \$5,000,000 Comments: Actual to Date: \$79,719 % Complete: 32%	Multi	2018-2020	Planning Phase			
Vital Statistics Digitized System Budgeted Amount - \$2,700,000 Comments: Actual to Date: \$584,261 % Complete: 13%	Multi	2018-2020	Planning Phase			
WIC Modernization Budgeted Amount - \$10,756,000 Comments: Actual to Date: \$0 % Complete: 52%	Multi	2020-2022	Initiation Phase			
<b>Justice and Public Safety Cabinet/State Police</b>						
* Emergency Radio System Replacement, Phase II Budgeted Amount - \$52,450,000 Reported under Two-Way Radio System Replacement, Phase I and Phase II Comments: -	Multi	2020-2022	Other			
* Two-Way Radio System Replacement, Phase I and Phase II	Multi	2018-2020	Execution & Control Phase			

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Commonwealth Office of Technology

**Report Quarter:** 2021-1 (January)

**Print Date:** 01/13/2021

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Budgeted Amount - \$35,100,000 (2018-2020) and \$52,450,000 (2020-2021) for \$87,550,000 total Two-Way Radio System Replacement, Phase I and Emergency Radio System Replacement, Phase II combined under one account Comments: Actual to Date: \$21,972,571 % Complete: 11%						
<b>Labor Cabinet/Secretary's Office (Labor)</b>						
Claims Payment Management System Budgeted Amount - \$2,460,000 Comments: Actual to Date: \$368,055 % Complete: 29%	Multi	2018-2020	Execution & Control Phase			
UI System Citizen Improvements - Response to COVID-19 Budgeted Amount - \$4,800,000 Comments: Actual to Date: \$652,968 % Complete: 18%	Multi	CPBOC-8/01/20	Execution & Control Phase			
<b>Postsecondary Education Cabinet/KY Community and Technical College System</b>						
Upgrade IT Infrastructure - Gateway CTC Budgeted Amount - \$1,500,000	Multi	2020-2022	Initiation Phase			
<b>Public Protection Cabinet/Housing, Buildings and Construction</b>						
Online Jurisdiction Program Budgeted Amount - \$1,666,000 Comments: Actual to Date: \$1,097,040 % Complete: 93%	Multi	2018-2020	Execution & Control Phase			
<b>Tourism, Arts &amp; Heritage Cabinet/KY Heritage Council</b>						
* Records Digitization Budgeted Amount - \$1,000,000 Reallocation from Historical Society's Historical Society Digital Initiatives	Multi	2020-2022	Initiation Phase			
<b>Tourism, Arts &amp; Heritage Cabinet/KY Historical Society</b>						
* Historical Society Digital Initiatives Budgeted Amount - reduced from \$3,500,000 to \$2,500,000 Bond funded portion reallocated to Heritage Council's Records Digitization	Multi	2014-2016	Execution & Control Phase			

**QUARTERLY CAPITAL PROJECTS STATUS REPORT**

**Reporting Agency:** Commonwealth Office of Technology

**Report Quarter:** 2021-1 (January)

**Print Date:** 01/13/2021

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Comments: Actual to Date: \$0 % Complete: 37%						
<b>Transportation Cabinet/Gen Admin &amp; Support (Transp)</b>						
* AASHTOWare Budgeted Amount - \$1,000,000 Comments: Actual to Date: \$341,500 % Complete: 0%	Multi	2020-2022	Initiation Phase			
* Replace Automated Vehicle Information System (AVIS) Budgeted Amount - \$25,000,000 Comments: Actual to Date: \$23,586,788 % Complete: 65%	Multi	2008-2010	Execution & Control Phase			
* Replace Kentucky Driver Licensing System Budgeted Amount - \$12,500,000 Comments: Actual to Date: \$524,923 % Complete: 2%	Multi	2012-2014	Initiation Phase			
* Transportation Enterprise Database II Budgeted Amount - \$3,000,000 Comments: Actual to Date: \$396,008 % Complete: 22%	Multi	2016-2018	Execution & Control Phase			
<b>Transportation Cabinet/Vehicle Regulation</b>						
* International Registration Plan System Upgrade Budgeted Amount - \$2,500,000 Comments: Actual to Date: \$1,346,048 % Complete: 85%	Multi	2016-2018	Execution & Control Phase			

\* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).



325 W Main Street  
Suite 300  
Lexington, KY  
40507

859/977-6600  
fax: 859/381-1357

December 23, 2020

Capital Projects and Bond Oversight Committee  
702 Capital Avenue, Room 34, Capitol Annex  
Frankfort, KY 40601

RE: \$10,300,000 Boone County School District Finance Corporation  
Revenue Bonds, Series of 2021

MUNICIPAL  
ADVISORY

PUBLIC  
FINANCE

INVESTMENT  
BANKING

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance a new auditorium and other renovations at Boone County HS.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale in early 2021. The Bonds will be funded with both 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in blue ink that reads 'Dwight Salsbury'.

Dwight G. Salsbury

Enclosures

# BOND PAYEE DISCLOSURE FORM

Par Amount:

**\$10,300,000**

Issue Name:

Boone County School Building Revenue Bonds, Series of 2021

Purpose:

New auditorium and renovations to Boone HS

Projected Sale Date of Bonds:

February 2021

First Call Date:

8 years

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky.

Bond Rating:

Moodys: "Aa3"

Bond Counsel:

Step toe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors, LLC - Lexington, Kentucky

Date received by SFCC:

/ / To be filled in by SFCC

Date scheduled for Committee review:

/ / To be filled in by SFCC

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$10,300,000	\$10,300,000
0.00%	100.00%	100.00%
\$0	\$643,706	\$643,706
\$0	\$0	\$0

**Estimated Cost of Issuance:**

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$54,200	\$54,200
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$32,000	\$32,000
\$0	\$206,000	\$206,000
\$0	\$0	\$0
\$0	\$292,200	\$292,200

**Anticipated Interest Rates:**

5 Years: 1.30%    10 Years: 1.80%    15 Years: 2.30%  
20 Years: 2.50%

**No Tax Increase Required**

# Memo

**To:** LRC  
**From:** Lincoln Theinert  
**Subject:** Bond Payee Disclosure Form –Kentucky Interlocal School Transportation Association (KISTA)  
**Date:** December 16, 2020  
**cc:** File

---

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing for the Kentucky Interlocal School Transportation Association (KISTA).

If you should have any questions or require any additional information, please do not hesitate to contact our office.



# BOND PAYEE DISCLOSURE FORM

Par Amount: **\$ 13,300,000**  
 Issue Name: Kentucky Interlocal School Transportation Association Equipment Lease Certificates of Participation, First Series 2021  
 Purpose: Purchase approximately 124 School Buses for 49 Districts  
 Projected Sale Date of Bonds: 18-Feb-20  
 First Call Date: 3/1/26 @ 100  
 Method of Sale: Competitive Bids  
 Place/time of sale: SFCC  
 Bond Rating: Moodys: "A1"  
 Bond Counsel: Steptoe & Johnson Law Firm, Louisville, Ky  
 Fiscal Agent: RSA Advisors, Lexington, Kentucky

Date received by SFCC: / / To be filled in by SFCC  
 Date scheduled for Committee review: / / To be filled in by SFCC  
Month Day Year

Estimated par amount of Bonds:  
 % Share of total Bonds:  
 Estimated average annual debt service:  
 Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$ 0	\$ 13,300,000	\$ 13,300,000
0.00%	100.00%	
\$ 0	\$ 1,452,338	\$ 1,452,338
\$ 0	\$ 0	\$ 0

**Estimated Cost of Issuance:**

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc.  
 Special Tax Counsel  
 Number verifications  
 Bond Rating  
 Underwriter's Discount  
 Bank Fee  
 Total Cost of Issuance:

\$ 0	\$ 66,200	\$ 66,200
\$ 0	\$ 0	
\$ 0	\$ 0	
\$ 0	\$ 27,000	\$ 27,000
\$ 0	\$ 133,000	\$ 133,000
\$ 0	\$ 8,000	\$ 8,000
\$ 0	\$ 234,200	\$ 234,200

**Anticipated Interest Rates:**

5 Years: 1.400%    10 Years: 2.000%    15 Years: NA  
 20 Years: NA

**Note: No Local Tax increase is required.**

**Approximate Estimates**  
**KISTA EQUIPMENT LEASE REVENUE BONDS, SERIES OF 2021**

District Name	Number of Units	Acquisition Account	Participation Factor
Adair CSD	2	197,919	1.55%
Ashland	4	255,000	1.99%
Bath CSD	1	111,515	0.87%
Barbourville Ind	1	105,025	0.82%
Bardstown Ind	1	98,000	0.77%
Barren CSD	5	481,545	3.76%
Bell CSD Est.	5	500,000	3.90%
Boyd CSD	4	395,320	3.09%
Butler CSD	1	90,395	0.71%
Clark CSD	2	199,090	1.55%
Clinton CSD	2	246,413	1.92%
Cumberland CSD	1	101,215	0.79%
Crittenden CSD	1	102,046	0.80%
Elliott CSD	1	100,000	0.78%
Eminence ISD	1	115,203	0.90%
Frankfort Ind.	1	118,288	0.92%
Fort Thomas Ind	1	127,770	1.00%
Fulton County	1	57,237	0.45%
Fulton Ind.	1	123,188	0.96%
Harlan Ind	1	50,981	0.40%
Jackson IND	1	110,000	0.86%
Johnson CSD	2	188,970	1.48%
Lawrence CSD	3	341,471	2.67%
Lee CSD	3	223,492	1.74%
Leslie CSD	2	162,909	1.27%
Lewis CSD	4	445,224	3.48%
Kenton CSD Est.	5	500,000	3.90%
Magoffin CSD	5	445,431	3.48%
McCracken CSD	7	759,214	5.93%
Menifee CSD	1	110,065	0.86%
Mercer CSD	3	534,056	4.17%
Middlesboro Ind	1	100,360	0.78%
Monroe CSD	1	201,000	1.57%
Oldham CSD	15	1,500,000	11.71%
Owsley CSD	2	132,147	1.03%
Pike CSD	6	623,361	4.87%
Pikeville Ind	1	98,861	0.77%
Pineville Ind.	2	197,192	1.54%
Pendleton CSD	1	97,710	0.76%
Powell CSD	4	473,004	3.69%
Pulaski CSD	8	799,640	6.24%
Rowan CSD	3	331,509	2.59%
Somerset Ind	1	136,265	1.06%
Taylor CSD	2	129,622	1.01%
Walton-Verona Ind	1	112,995	0.88%
Wayne CSD	5	521,921	4.07%
Williamsburg IND	1	106,268	0.83%
Williamstown Ind	2	200,120	1.56%
Wolfe CSD	1	103,938	0.81%
	124	\$12,809,976	100.000%

# Memo

**To:** LRC  
**From:** Lincoln Theinert  
**Subject:** Bond Payee Disclosure Form Lewis County School District Series 2021  
**Date:** December 17, 2020  
**cc:** File

---

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing for the Lewis County School District.

If you should have any questions or require any additional information, please do not hesitate to contact our office.

# BOND PAYEE DISCLOSURE FORM

Par Amount:	<b>\$ 13,915,000</b>	
Issue Name:	Lewis County School District Finance Corporation School Building Revenue Bonds, Series 2021	
Purpose:	Renovations at Middle School, High School, and Multi-Use Facility	
Projected Sale Date of Bond:	18-Feb-21	
First Call Date:	2/1/28 @ 100	
Method of Sale:	Competitive Bids	
Place/time of sale:	SFCC/Parity	
Bond Rating:	Moody's: "A1"	
Bond Counsel:	Step toe & Johnson, Louisville, KY	
Fiscal Agent:	RSA Advisors, Lexington, Kentucky	
Date received by SFCC:		<i>To be filled in by SFCC</i>
Date scheduled for Committee review:		<i>To be filled in by SFCC</i>

Month Day Year

Estimated par amount of Bonds:  
 % Share of total Bonds:  
 Estimated average annual debt service:  
 Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$ 0	\$ 13,915,000	\$ 13,915,000
0.00%	100.00%	
\$ 0	\$ 988,620	\$ 988,620
\$ 0	\$ 0	\$ 0

**Estimated Cost of Issuance:**

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc.  
 Special Tax Counsel  
 Number verifications  
 Bond Rating  
 Underwriter's Discount  
 Bank Fee  
 Total Cost of Issuance:

\$ 0	\$ 68,660	\$ 68,660
\$ 0	\$ 0	\$ 0
\$ 0	\$ 0	\$ 0
\$ 0	\$ 27,000	\$ 27,000
\$ 0	\$ 278,300	\$ 278,300
\$ 0	\$ 3,750	\$ 3,750
\$ 0	\$ 377,710	\$ 377,710

**Anticipated Interest Rates:**

5 Years: 2.400% 10 Years: 3.000% 15 Years: 3.500%  
 20 Years: 3.600%

**Note: No Local Tax increase is required.**

# Memo

**To:** LRC  
**From:** Lincoln Theinert  
**Subject:** Bond Payee Disclosure Form Washington County School District Series 2021  
**Date:** December 22, 2020  
**cc:** File

---

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing for the Washington County School District.

If you should have any questions or require any additional information, please do not hesitate to contact our office.

# BOND PAYEE DISCLOSURE FORM

Par Amount:	\$ 1,880,000
Issue Name:	Washington County School District Finance Corporation School Building Revenue Bonds, Series 2021
Purpose:	Construct new Bus Garage
Projected Sale Date of Bonds:	18-Feb-21
First Call Date:	2/1/28 @ 100
Method of Sale:	Competitive Bids
Place/time of sale:	SFCC/Parity
Bond Rating:	Moody's: "A1"
Bond Counsel:	Step toe & Johnson, Louisville, KY
Fiscal Agent:	RSA Advisors, Lexington, Kentucky
Date received by SFCC:	<input type="text"/> To be filled in by SFCC
Date scheduled for Committee review:	<input type="text"/> To be filled in by SFCC

Month Day Year

Estimated par amount of Bonds:  
 % Share of total Bonds:  
 Estimated average annual debt service:  
 Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$ 0	\$ 1,880,000	\$ 1,880,000
0.00%	100.00%	
\$ 0	\$ 122,951	\$ 122,951
\$ 0	\$ 0	\$ 0

**Estimated Cost of Issuance:**

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc.  
 Special Tax Counsel  
 Number verifications  
 Bond Rating  
 Underwriter's Discount  
 Bank Fee  
 Total Cost of Issuance:

\$ 0	\$ 19,800	\$ 19,800
\$ 0	\$ 0	\$ 0
\$ 0	\$ 0	\$ 0
\$ 0	\$ 9,500	\$ 9,500
\$ 0	\$ 37,600	\$ 37,600
\$ 0	\$ 3,750	\$ 3,750
\$ 0	\$ 70,650	\$ 70,650

**Anticipated Interest Rates:**

5 Years 2.600% 10 Years 2.800' 15 Years: 3.000  
 20 Years: 3.300%

**Note: No Local Tax increase is required.**

# Memo

**To:** LRC  
**From:** Lincoln Theinert  
**Subject:** Bond Payee Disclosure Form Carter County School District Series 2021  
**Date:** December 29, 2020  
**cc:** File

---

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing for the Carter County School District.

If you should have any questions or require any additional information, please do not hesitate to contact our office.

# BOND PAYEE DISCLOSURE FORM

Par Amount: **\$ 1,130,000**  
 Issue Name: Carter County School District Finance Corporation School Building Refunding Revenue Bonds, Series 2021  
 Purpose: Refinance Series 2011B  
 Projected Sale Date of Bonds: 23-Feb-21  
 First Call Date: 12/1/21 @ 100  
 Method of Sale: Competitive Bids  
 Place/Time of Sale: PARITY/SFCC  
 Bond Rating: Moodys: "A1"  
 Bond Counsel: Steptoe & Johnson, Louisville, KY  
 Fiscal Agent: RSA Advisors, LLC, Lexington, Kentucky  
 Date received by SFCC:  *To be filled in by SFCC*  
 Date scheduled for Committee review:  *To be filled in by SFCC*  
Month Day Year

Estimated par amount of Bonds:  
 % Share of total Bonds:  
 Estimated average annual debt service:  
 Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$ 0	\$ 1,130,000	\$ 1,130,000
0.00%	100.00%	
\$ 0	\$ 113,256	\$ 113,256
\$ 0	\$ 0	\$ 0

**Estimated Cost of Issuance:**

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc.  
 Special Tax Counsel  
 Number verifications  
 Bond Rating  
 Underwriter's Discount  
 Bank Fee  
 Total Cost of Issuance:

\$ 0	\$ 12,300	\$ 12,300
\$ 0	\$ 0	\$ 0
\$ 0	\$ 0	\$ 0
\$ 0	\$ 9,500	\$ 9,500
\$ 0	\$ 3,750	\$ 3,750
\$ 0	\$ 3,750	\$ 3,750
\$ 0	\$ 29,300	\$ 29,300

**Anticipated Interest Rates:**

5 Years 1.250% 10 Years 1.900% 15 Years:  
 20 Years: N/A

**Note: No Local Tax increase is required.**





**FINANCE AND ADMINISTRATION CABINET  
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES**

**ANDY BESHEAR**  
Governor

**SAM RUTH**  
Commissioner

**Division of Real Properties**  
Bush Building, 3<sup>rd</sup> Floor  
403 Wapping Street  
Frankfort, Kentucky 40601  
(502) 564-2205  
Fax: (502) 564-8108

**HOLLY M. JOHNSON**  
Secretary

**SCOTT AUBREY**  
Director

**MEMORANDUM**

**TO:** Katherine Halloran, Committee Staff Administrator  
Capital Projects and Bond Oversight Committee

**FROM:** Brien S. Hoover, Leasing Manager *BSH*  
Division of Real Properties

**DATE:** January 5, 2021

**SUBJECT:** PR-5651, Jefferson County  
Commission on Human Rights  
KRS 48.111(6) Advertisement Notice

Attached please find a copy of a pending Invitation to Lease space for the Commission on Human Rights in Jefferson County. We anticipate that the total annual cost of the lease may exceed \$200,000.00; therefore, this notice is provided in accordance with the provisions of KRS 48.111(6).

If you have any questions or require additional information concerning this matter, please advise.

BSH/bh  
Attachment

**CC:** Capital Construction Log  
OSBD  
PR-5651 File  
BSH

### **INVITATION TO LEASE SPACE**

The Kentucky Finance and Administration Cabinet desires to lease approximately 9,425 square feet of office space with 35 reserved parking spaces to be located in Louisville, Kentucky.

Interested parties may submit proposals to lease existing properties and/or proposals to construct new facilities. The initial proposal must include the name, address, and telephone number of the property owner; the name, address, and telephone number of the property agent if applicable; the street address of existing properties; and the street address or a location description for sites offered for new construction. Any property selected for lease must meet OSHA specifications, as well as ADA guidelines and all applicable building codes as enforced by the Kentucky Division of Building Code Enforcement. Additional information regarding participation requirements for lease projects will be provided to all respondents.

Proposals may be made by any person in writing on or before **10:30 a.m. EST, Jan. 28, 2021**, and must be sent to: **Division of Real Properties, RE: PR-5651, ATTN: Leasing Branch, Suite 300, 403 Wapping Street, Frankfort, KY, 40601**. Fax and email proposals will not be accepted. In response to COVID-19, the offices of the Division of Real Properties are closed to the public. Proposals must be submitted via regular mail or courier service. For additional information, contact: Bias Redding, Division of Real Properties, 502.782.0366 or [Biasg.Redding@ky.gov](mailto:Biasg.Redding@ky.gov).



**FINANCE AND ADMINISTRATION CABINET  
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES**

**ANDY BESHEAR**  
Governor

**Division of Real Properties  
Bush Building, 3<sup>rd</sup> Floor  
403 Wapping Street  
Frankfort, Kentucky 40601  
(502) 564-2205  
Fax: (502) 564-8108**


**HOLLY M. JOHNSON**  
Secretary

**SAM RUTH**  
Commissioner

**SCOTT AUBREY**  
Director

**MEMORANDUM**

**TO:** Katherine Halloran, Committee Staff Administrator  
Capital Projects and Bond Oversight Committee

**FROM:** Brien S. Hoover, Leasing Manager   
Division of Real Properties

**DATE:** January 5, 2021

**SUBJECT:** Leasehold Improvements Report

The following leasehold improvement requests have been processed by the Leased Properties Branch of this Division during the first quarter of Fiscal Year 2021.

Property Identification Number: PR-5616, Fayette County  
Tenant Agency: Transportation Cabinet  
Total Cost: \$3,283.00  
Scope of Work: Minor electrical improvements (additional outlets).

Property Identification Number: PR-5497, Marshall County  
Tenant Agency: Cabinet for Health & Family Services  
Total Cost: \$5,809.00  
Scope of Work: Floor covering change (LVCT substituted for carpet).

Property Identification Number: PR-5560, McCracken County  
Tenant Agency: Cabinet for Health & Family Services (CCSHCN)  
Total Cost: \$9,900.00  
Scope of Work: Installation of sinks/cabinetry in exam rooms and audiology area.

Please advise should you have questions or require additional information.

BSH/bh

CC: Capital Construction Log  
BSH



**FINANCE AND ADMINISTRATION CABINET  
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES**

**ANDY BESHEAR**  
Governor

**SAM RUTH**  
Commissioner

**Division of Real Properties**  
Bush Building, 3<sup>rd</sup> Floor  
403 Wapping Street  
Frankfort, Kentucky 40601  
(502) 564-2205  
Fax: (502) 564-8108

**HOLLY M. JOHNSON**  
Secretary

**SCOTT AUBREY**  
Director

**MEMORANDUM**

**TO:** Katherine Halloran, Committee Staff Administrator  
Capital Projects and Bond Oversight Committee

**FROM:** Brien S. Hoover, Leasing Manager *BS*  
Division of Real Properties

**DATE:** January 5, 2021

**SUBJECT:** Lease Modification Report

The following lease modifications, resulting in annual rent changes, have been processed by the Leased Properties Branch of this Division during the first quarter of Fiscal Year 2021.

<b>PR-5415, Jefferson County, Workers Claims</b>			
<b>Square Foot Change</b>	<b>Annual Rent Change</b>	<b>Number of Staff</b>	<b>Effective:</b>
From 5,291	From \$62,169.24	Original 20	7/1/2020
To 4,545	To \$53,403.76	Current 17	<b>Expires:</b>
Difference -746	Difference -\$8,765.48		6/30/2024

**Rental: \$11.75 per sq ft**

**Program Changes: Removed one office suite ( Administrative Law Judge and support staff).**

<b>PR-5497, Marshall County, CHFS</b>			
<b>Square Foot Change</b>	<b>Annual Rent Change</b>	<b>Number of Staff</b>	<b>Effective:</b>
From 12,757	From \$200,922.76	Original N/A	7/1/2020
To 12,756	To \$200,907.00	Current N/A	<b>Expires:</b>
Difference -1	Difference -\$15.76		6/30/2026

**Rental: \$15.75 per sq ft**

**Program Changes: Plan Correction**

<b>PR-5207, Spencer County, Fish and Wildlife</b>			
<b>Square Foot Change</b>	<b>Annual Rent Change</b>	<b>Number of Staff</b>	<b>Effective:</b>
From 6,000	From \$5,400.00	Original N/A	7/1/2020
To 2,700	To \$2,430.00	Current N/A	<b>Expires:</b>
Difference -3,300	Difference -\$2,970.00		6/30/2022

**Rental: \$0.90 per sq ft**

**Program Changes: Reduction in watercraft/equipment storage space**

<b>PR-5624, Adair County, Transportation</b>			
<b>Square Foot Change</b>	<b>Annual Rent Change</b>	<b>Number of Staff</b>	<b>Effective:</b>
From 1,894	From \$20,512.04	Original 6	6/1/2020
To 1,839	To \$19,916.36	Current 6	<b>Expires:</b>
Difference -55	Difference -\$595.68		6/30/2027

**Rental: \$10.83 per sq ft**

**Program Changes: Plan Correction**

**SFCC Q4 2020****NEW MONEY ISSUANCES**

School District	Issue Date	Use	SFCC	Bond Proceeds			% Share of Proceeds	
				Local District	Par Amount	SFCC	Local District	
Ashland Independent Schools	07-Oct-20	Improvements at Blazer HS	\$ 750,000		\$ 750,000	100.00%		
Breckinridge County Schools	14-Oct-20	Improvements at HS	\$ 417,373	\$ 8,357,627	\$ 8,775,000	4.76%	95.24%	
Adair County Schools	15-Oct-20	Improvements at Primary Center	\$ 1,230,000		\$ 1,230,000	100.00%		
Campbell County Schools	29-Oct-20	Roof Replacement - Cline/Reilley ES	\$ 485,700	\$ 414,300	\$ 900,000	53.97%	46.03%	
Frankfort Independent Schools	28-Oct-20	Improvements at HS	\$ 974,185	\$ 4,620,815	\$ 5,595,000	17.41%	82.59%	
Bowling Green Independent Schools	29-Oct-20	Phase 2 of new HS	\$ 130,957	\$ 17,569,043	\$ 17,700,000	0.74%	99.26%	
			<b>Total</b>	<b>\$ 3,988,215</b>	<b>\$ 30,961,785</b>	<b>\$ 34,950,000</b>	<b>11.41%</b>	<b>88.59%</b>

**REFUNDINGS**

School District	Issue Date	Use	SFCC	Bond Proceeds			% Share of Proceeds	
				Local District	Par Amount	SFCC	Local District	
Pulaski County Schools	01-Oct-20	Refinance 2011 Series	\$ 222,042	\$ 7,172,958	\$ 7,395,000	3.00%	97.00%	
Eminence Independent Schools	06-Oct-20	Refinance 2010/2010B Series	\$ 440,000		\$ 440,000	100.00%		
Greenup County Schools	13-Oct-20	Refinance 2010 Series	\$ 694,429	\$ 370,571	\$ 1,065,000	65.20%	34.80%	
Menifee County Schools	13-Oct-20	Refinance 2011 Series	\$ 1,979,280	\$ 2,280,720	\$ 4,260,000	46.46%	53.54%	
Bourbon County Schools	14-Oct-20	Refinance 2011 Series	\$ 242,561	\$ 3,377,439	\$ 3,620,000	6.70%	93.30%	
Caldwell County Schools	15-Oct-20	Refinance 2011 Series	\$ 513,673	\$ 5,441,327	\$ 5,955,000	8.63%	91.37%	
Campbell County Schools	15-Oct-20	Refinance 2011 Series	\$ 4,585,656	\$ 10,709,344	\$ 15,295,000	29.98%	70.02%	
Leslie County Schools	15-Oct-20	Refinance 2009 Series	\$ 146,738	\$ 573,262	\$ 720,000	20.38%	79.62%	
Barren County Schools	20-Oct-20	Refinance 2010 Series	\$ 574,746	\$ 795,254	\$ 1,370,000	41.95%	58.05%	
Floyd County Schools	21-Oct-20	Refinance 2008 Series	\$ 703,083	\$ 416,917	\$ 1,120,000	62.78%	37.22%	
Henry County Schools	21-Oct-20	Refinance 2011 Series	\$ 1,020,682	\$ 2,084,318	\$ 3,105,000	32.87%	67.13%	
LaRue County Schools	21-Oct-20	Refinance 2010 Series	\$ 145,945	\$ 3,319,055	\$ 3,465,000	4.21%	95.79%	
Nicholas County Schools	22-Oct-20	Refinance 2011 Series	\$ 33,715	\$ 1,771,285	\$ 1,805,000	1.87%	98.13%	
Fayette County Schools	12-Nov-20	Refinance 2011A Series	\$ 5,990,163	\$ 26,614,837	\$ 32,605,000	18.37%	81.63%	
Russell Independent Schools	08-Dec-20	Refinance 2011 Series	\$ 1,090,988	\$ 2,919,012	\$ 4,010,000	27.21%	72.79%	
			<b>Total</b>	<b>\$ 18,383,701</b>	<b>\$ 67,846,299</b>	<b>\$ 86,230,000</b>	<b>21.32%</b>	<b>78.68%</b>