

September 15, 2022

Senator Jason Howell, Co-Chair
Representative Chris Freeland, Co-Chair
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Capitol Annex Building – Room 34
702 Capitol Avenue
Frankfort, Kentucky 40601

Subject: Sundry Medical and Research Equipment

Dear Senator Howell, Representative Freeland and Honorable Members of the Capital Projects and Bond Oversight Committee:

Pursuant to KRS §45.760(5), the University of Kentucky hereby reports the purchase of the following items of medical equipment in excess of \$200,000. Money specifically budgeted and appropriated by the General Assembly for another purpose or program is not being used to acquire these items.

Three basic life support ambulances have been purchased from Frazer, Ltd. for use by UK HealthCare. The ambulances will provide emergency and non-emergency transport of patients. The total cost of the medical equipment was \$844,068.00 and was purchased with UK restricted funds. The items will be located at UK Hospital Chandler Medical Center.

Please advise if you have any questions or if you would like additional information.

Sincerely,



Angela Martin
Vice President for Financial Planning and Chief Budget Officer

cc: Elizabeth Baker
Teresa Centers



Office of State Budget Director

200 Mero Street, 5th Floor
Frankfort, Kentucky 40622

Andy Beshear
Governor

(502) 564-7300
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John T. Hicks
State Budget Director

Governor's Office for Policy and Management
Governor's Office for Economic Analysis
Governor's Office for Policy Research

September 13, 2022

The Honorable Jason Howell, Co-Chairman
The Honorable Chris Freeland, Co-Chairman
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Frankfort, Kentucky 40601

Dear Senator Howell, Representative Freeland, and Members of the Capital Projects and Bond Oversight Committee:

On behalf of the Executive branch, the Office of the State Budget Director is submitting the fiscal year 2021-22 Annual Capital Projects Report as required by KRS 45.760(9). The report reflects information on every active capital project as of June 30, 2022. The Annual Capital Report was produced from the official records contained in the Commonwealth's financial management system.

This report excludes projects undertaken by Morehead State University, Murray State University, Northern Kentucky University, the University of Louisville, the University of Kentucky, and Western Kentucky University as they are being submitted directly to the Committee in accordance with KRS 164A.580. With the exception of a legacy project that is being managed jointly until completion, this report also excludes projects undertaken by Eastern Kentucky University as they are also being submitted directly to the Committee in accordance with KRS 164A.580.

Sincerely,

A handwritten signature in cursive script that reads "Janice Tomes".

Janice Tomes
Deputy State Budget Director

Attachment

c: Katherine Halloran

Commonwealth of Kentucky
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Cabinet:	31	Cabinet of the General Government		
Department:	035	Department Of Agriculture		<u>Cash Balance</u>
Fund:	C41C	Animal Shelters		4,582.09
		Appropriation:	3,000,000.00	
		Allotments:	3,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	2,995,417.91	2008-10
		Unexpended Allotment Balance:	4,582.09	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			3,000,000.00	3,000,000.00
Total			3,000,000.00	3,000,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	035	Department Of Agriculture		<u>Cash Balance</u>
Fund:	C9QH	Inspection and Licensing Project		310,849.00
		Appropriation:	2,118,000.00	
		Allotments:	1,052,400.00	
		Allotments Still Required:	1,065,600.00	Biennium Approved
		Expenditures:	741,551.00	2020-22
		Unexpended Allotment Balance:	310,849.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Restricted Funds			2,118,000.00	1,052,400.00
Total			2,118,000.00	1,052,400.00

Cabinet:	31	Cabinet of the General Government		
Department:	040	Attorney General		<u>Cash Balance</u>
Fund:	C9QJ	Upgrade Technology		0.00
		Appropriation:	2,000,000.00	
		Allotments:	0.00	
		Allotments Still Required:	2,000,000.00	Biennium Approved
		Expenditures:	0.00	2020-22
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			2,000,000.00	0.00
Total			2,000,000.00	0.00

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Cabinet:	31	Cabinet of the General Government	
Department:	066	Registry Of Election Finance	<u>Cash Balance</u>
Fund:	C86P	System Modernization	521,482.18
	Appropriation:	1,836,000.00	
	Allotments:	836,000.00	
	Allotments Still Required:	1,000,000.00	Biennium Approved
	Expenditures:	314,517.82	2016-18
	Unexpended Allotment Balance:	521,482.18	
		<u>Life to Date</u>	
		Budget	Receipts
General Fund		1,836,000.00	836,000.00
Total		1,836,000.00	836,000.00
			Balance
			1,000,000.00
			1,000,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	074	Department Of Veterans Affairs	<u>Cash Balance</u>
Fund:	C902	Nurse Call System	445,854.50
	Appropriation:	6,050,000.00	
	Allotments:	3,378,375.00	
	Allotments Still Required:	2,671,625.00	Biennium Approved
	Expenditures:	2,932,520.50	2020-21
	Unexpended Allotment Balance:	445,854.50	
		<u>Life to Date</u>	
		Budget	Receipts
Investment Income		1,550,000.00	1,550,000.00
Restricted Funds		4,500,000.00	1,828,375.00
Total		6,050,000.00	3,378,375.00
			Balance
			0.00
			2,671,625.00
			2,671,625.00

Cabinet:	31	Cabinet of the General Government	
Department:	074	Department Of Veterans Affairs	<u>Cash Balance</u>
Fund:	C9MU	Design & Preconstruction-Bowling Green Veterans Center	750,523.17
	Appropriation:	2,500,000.00	
	Allotments:	2,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,749,476.83	2019-20
	Unexpended Allotment Balance:	750,523.17	
		<u>Life to Date</u>	
		Budget	Receipts
General Fund		2,500,000.00	2,500,000.00
Total		2,500,000.00	2,500,000.00
			Balance
			0.00
			0.00

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Cabinet:	31	Cabinet of the General Government		
Department:	074	Department Of Veterans Affairs		<u>Cash Balance</u>
Fund:	C9QK	Construct Bowling Green Veterans Center		0.00
		Appropriation:	30,000,000.00	
		Allotments:	30,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	0.00	2020-22
		Unexpended Allotment Balance:	30,000,000.00	
			Life to Date	
			Budget	Receipts
			Balance	
		Bond Fund	10,500,000.00	0.00
		Federal Funds	19,500,000.00	0.00
		Total	30,000,000.00	0.00

Cabinet:	31	Cabinet of the General Government		
Department:	074	Department Of Veterans Affairs		<u>Cash Balance</u>
Fund:	C9XN	Emergency Replacement Nurse Call System-WKVC		1,587,402.96
		Appropriation:	2,500,000.00	
		Allotments:	2,500,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	912,597.04	
		Unexpended Allotment Balance:	1,587,402.96	
			Life to Date	
			Budget	Receipts
			Balance	
		Restricted Funds	2,500,000.00	2,500,000.00
		Total	2,500,000.00	2,500,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	074	Department Of Veterans Affairs		<u>Cash Balance</u>
Fund:	CA2K	RADC - Building Repairs		5,000,000.00
		Appropriation:	5,000,000.00	
		Allotments:	22,500.00	
		Allotments Still Required:	4,977,500.00	Biennium Approved
		Expenditures:	0.00	2020-21
		Unexpended Allotment Balance:	22,500.00	
			Life to Date	
			Budget	Receipts
			Balance	
		Emergency Maintenance Fund	4,977,500.00	4,977,500.00
		Investment Income	20,000.00	20,000.00
		Restricted Funds	2,500.00	2,500.00
		Total	5,000,000.00	5,000,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C01D	KIA Infrastructure Economic Development For Tobacco Co	0.00
	Appropriation:	6,958,873.00	
	Allotments:	6,958,873.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	6,958,873.00	2004-06
	Unexpended Allotment Balance:	0.00	

	Budget	Life to Date Receipts	Balance
Bond Fund	6,958,873.00	-80,040,923.60	86,999,796.60
Other Funds	0.00	-227.77	227.77
Restricted Funds	0.00	87,000,024.37	-87,000,024.37
Total	6,958,873.00	6,958,873.00	0.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C01E	KIA Infrastructure Economic Development For Coal Counti	0.00
	Appropriation:	5,540,276.00	
	Allotments:	5,540,276.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	5,540,276.00	2004-06
	Unexpended Allotment Balance:	0.00	

	Budget	Life to Date Receipts	Balance
Bond Fund	5,540,276.00	5,540,384.65	-108.65
Other Funds	0.00	-108.65	108.65
Total	5,540,276.00	5,540,276.00	0.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C01H	KIA Tobacco Counties Water & Sewer Development	0.00
	Appropriation:	21,692,000.00	
	Allotments:	21,692,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	21,692,000.00	2002-04
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	17,385,657.00	17,688,393.82
	Restricted Funds	4,306,343.00	4,003,606.18
	Total	21,692,000.00	21,692,000.00
			-302,736.82
			302,736.82
			0.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C01J	KIA Coal Producing Counties Water & Sewer Development	0.66
	Appropriation:	18,801,056.66	
	Allotments:	18,801,056.00	
	Allotments Still Required:	0.66	Biennium Approved
	Expenditures:	18,801,056.00	2002-04
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	18,704,058.66	18,704,058.00
	Other Funds	0.00	0.00
	Restricted Funds	96,998.00	96,998.00
	Total	18,801,056.66	18,801,056.00
			0.66
			0.00
			0.00
			0.66

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C08M	KIA Fund F - Drinking Water Revolving Loan Program	0.00
	Appropriation:	24,000,000.00	
	Allotments:	4,000,000.00	
	Allotments Still Required:	20,000,000.00	Biennium Approved
	Expenditures:	4,000,000.00	2006-08
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	4,000,000.00	4,000,000.00
	Federal Funds	20,000,000.00	0.00
	Total	24,000,000.00	4,000,000.00
			20,000,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C08N	KIA Fund A - Federally Assisted Wastewater Program	0.00
	Appropriation:	24,000,000.00	
	Allotments:	4,000,000.00	
	Allotments Still Required:	20,000,000.00	Biennium Approved
	Expenditures:	4,000,000.00	2006-08
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	4,000,000.00	4,000,000.00
	Federal Funds	20,000,000.00	0.00
	Total	24,000,000.00	4,000,000.00
			20,000,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C5J8	KIA Fund A-Federally Assisted Wastewater Program - 201	0.00
	Appropriation:	210,000,000.00	
	Allotments:	120,000,000.00	
	Allotments Still Required:	90,000,000.00	Biennium Approved
	Expenditures:	120,000,000.00	2010-12
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	120,000,000.00	120,000,000.00
	Federal Funds	90,000,000.00	0.00
	Total	210,000,000.00	120,000,000.00
			90,000,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C5J9	KIA-Fund F Drinking Water Revolving Loan Program - 201	0.00
	Appropriation:	97,000,000.00	
	Allotments:	37,000,000.00	
	Allotments Still Required:	60,000,000.00	Biennium Approved
	Expenditures:	37,000,000.00	2010-12
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	37,000,000.00	37,000,000.00
	Federal Funds	60,000,000.00	0.00
	Total	97,000,000.00	37,000,000.00
			60,000,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C6B1	KIA Fund A - Federally Assisted Wastewater Prg - 2012-20	0.00
	Appropriation:	35,606,000.00	
	Allotments:	1,500,000.00	
	Allotments Still Required:	34,106,000.00	Biennium Approved
	Expenditures:	1,500,000.00	2012-14
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	1,500,000.00	1,500,000.00
	Federal Funds	34,106,000.00	0.00
	Total	35,606,000.00	1,500,000.00
			34,106,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C6B2	KIA Fund F - Drinking Water Revolving Loan Prg - 2012-20	0.00
	Appropriation:	26,640,000.00	
	Allotments:	2,200,000.00	
	Allotments Still Required:	24,440,000.00	Biennium Approved
	Expenditures:	2,200,000.00	2012-14
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,200,000.00	2,200,000.00
	Federal Funds	24,440,000.00	0.00
	Total	26,640,000.00	2,200,000.00
			24,440,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C722	KIA Fund F - Drinking Water Revolving Loan Prg - 2014-20	0.00
	Appropriation:	52,810,700.00	
	Allotments:	2,300,000.00	
	Allotments Still Required:	50,510,700.00	Biennium Approved
	Expenditures:	2,300,000.00	2014-16
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	27,300,000.00	2,300,000.00
	Federal Funds	25,510,700.00	0.00
	Total	52,810,700.00	25,510,700.00
		2,300,000.00	50,510,700.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C723	KIA Fund A - Federally Assisted Wastewater Prg - 2014-20	0.00
	Appropriation:	135,538,400.00	
	Allotments:	3,100,000.00	
	Allotments Still Required:	132,438,400.00	Biennium Approved
	Expenditures:	3,100,000.00	2014-16
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	103,100,000.00	3,100,000.00
	Federal Funds	32,438,400.00	0.00
	Total	135,538,400.00	32,438,400.00
		3,100,000.00	132,438,400.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C86V	KIA Fund A - Federally Assisted Wastewater Prg - 2016-20	0.00
	Appropriation:	143,240,000.00	
	Allotments:	7,500,000.00	
	Allotments Still Required:	135,740,000.00	Biennium Approved
	Expenditures:	7,500,000.00	2016-18
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	107,500,000.00	7,500,000.00
	Federal Funds	35,740,000.00	0.00
	Total	143,240,000.00	35,740,000.00
		7,500,000.00	135,740,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C86W	KIA Fund F - Drinking Water Revolving Loan Prg - 2016-20	0.00
	Appropriation:	58,640,000.00	
	Allotments:	6,100,000.00	
	Allotments Still Required:	52,540,000.00	Biennium Approved
	Expenditures:	6,100,000.00	2016-18
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	31,100,000.00	6,100,000.00
	Federal Funds	27,540,000.00	0.00
	Total	58,640,000.00	6,100,000.00
			52,540,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C904	KIA Fund A - Federally Assisted Wastewater Prg - 2018-20	-68.74
	Appropriation:	70,812,000.00	
	Allotments:	6,802,000.00	
	Allotments Still Required:	64,010,000.00	Biennium Approved
	Expenditures:	6,802,000.00	2018-20
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	36,802,000.00	6,801,931.26
	Federal Funds	34,010,000.00	0.00
	Total	70,812,000.00	6,801,931.26
			30,000,068.74
			34,010,000.00
			64,010,068.74

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	<u>Cash Balance</u>
Fund:	C905	KIA Fund F - Drinking Water Revolving Loan Prg - 2018-20	0.00
	Appropriation:	61,058,000.00	
	Allotments:	5,176,000.00	
	Allotments Still Required:	55,882,000.00	Biennium Approved
	Expenditures:	5,176,000.00	2018-20
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	35,176,000.00	5,176,000.00
	Federal Funds	25,882,000.00	0.00
	Total	61,058,000.00	5,176,000.00
			30,000,000.00
			25,882,000.00
			55,882,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	
Fund:	C9QQ	KIA Fund A-Federally Assisted Wastewater Program-2020-	<u>Cash Balance</u>
			-4,044,531.26
		Appropriation:	49,028,000.00
		Allotments:	8,172,000.00
		Allotments Still Required:	40,856,000.00
		Expenditures:	4,204,131.26
		Unexpended Allotment Balance:	3,967,868.74
			Biennium Approved 2020-22
		Life to Date	
		<u>Budget</u>	<u>Receipts</u>
		Balance	
Bond Fund		8,172,000.00	159,600.00
Federal Funds		40,856,000.00	0.00
Total		49,028,000.00	159,600.00
			48,868,400.00

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	
Fund:	C9QR	KIA Fund F-Drinking Water Revolving Loan Program - 202	<u>Cash Balance</u>
			-4,756,832.18
		Appropriation:	44,828,000.00
		Allotments:	8,222,000.00
		Allotments Still Required:	36,606,000.00
		Expenditures:	8,161,315.75
		Unexpended Allotment Balance:	60,684.25
			Biennium Approved 2020-22
		Life to Date	
		<u>Budget</u>	<u>Receipts</u>
		Balance	
Bond Fund		8,222,000.00	3,404,483.57
Federal Funds		36,606,000.00	0.00
Total		44,828,000.00	3,404,483.57
			41,423,516.43

Cabinet:	31	Cabinet of the General Government	
Department:	082	Ky Infrastructure Authority	
Fund:	CA4C	ARPA KIA Broadband Deployment Fund	<u>Cash Balance</u>
			0.00
		Appropriation:	300,000,000.00
		Allotments:	0.00
		Allotments Still Required:	300,000,000.00
		Expenditures:	0.00
		Unexpended Allotment Balance:	0.00
			Biennium Approved 2020-22
		Life to Date	
		<u>Budget</u>	<u>Receipts</u>
		Balance	
Federal Funds		300,000,000.00	0.00
Total		300,000,000.00	0.00
			300,000,000.00

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Cabinet:	31	Cabinet of the General Government		
Department:	082	Ky Infrastructure Authority		<u>Cash Balance</u>
Fund:	CA4D	ARPA KIA Drinking Water and Wastewater Grant Program		-34,111.65
		Appropriation:	250,000,000.00	
		Allotments:	250,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	34,111.65	2020-22
		Unexpended Allotment Balance:	249,965,888.35	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Federal Funds	250,000,000.00	0.00
		Total	250,000,000.00	0.00
			250,000,000.00	250,000,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	084	Ky River Authority		<u>Cash Balance</u>
Fund:	C86X	Construct Lock and Dam 10		1,929,566.67
		Appropriation:	34,000,000.00	
		Allotments:	28,334,000.00	
		Allotments Still Required:	5,666,000.00	Biennium Approved
		Expenditures:	26,404,433.33	2016-18
		Unexpended Allotment Balance:	1,929,566.67	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	34,000,000.00	28,334,000.00
		Total	34,000,000.00	28,334,000.00
			5,666,000.00	5,666,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	084	Ky River Authority		<u>Cash Balance</u>
Fund:	C9QS	Locks 2 and 3 Upper Guide Wall Repair		478,787.93
		Appropriation:	4,131,000.00	
		Allotments:	1,020,000.00	
		Allotments Still Required:	3,111,000.00	Biennium Approved
		Expenditures:	541,212.07	2020-22
		Unexpended Allotment Balance:	478,787.93	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	4,131,000.00	1,020,000.00
		Total	4,131,000.00	1,020,000.00
			3,111,000.00	3,111,000.00

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Cabinet:	31	Cabinet of the General Government		
Department:	084	Ky River Authority		<u>Cash Balance</u>
Fund:	CA4F	Design and Repair Lock 5		0.00
		Appropriation:	1,062,000.00	
		Allotments:	0.00	
		Allotments Still Required:	1,062,000.00	Biennium Approved
		Expenditures:	0.00	2020-22
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	1,062,000.00	0.00
		Total	1,062,000.00	0.00
			1,062,000.00	1,062,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C80K	DMA Richmond FMS 4 Bay Addition Project		0.00
		Appropriation:	1,103,700.00	
		Allotments:	1,103,700.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,023,699.87	2014-16
		Unexpended Allotment Balance:	80,000.13	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Federal Funds	1,103,700.00	1,023,699.87
		Total	1,103,700.00	1,023,699.87
			80,000.13	80,000.13

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C84Y	DMA BNGC Emergency Operations Center Renovation		-105,608.05
		Appropriation:	1,810,776.64	
		Allotments:	1,810,776.64	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,772,847.66	2012-14
		Unexpended Allotment Balance:	37,928.98	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	50,000.00	50,000.00
		Federal Funds	954,416.82	810,879.79
		Investment Income	0.00	0.00
		Restricted Funds	806,359.82	806,359.82
		Total	1,810,776.64	1,667,239.61
			143,537.03	143,537.03

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C874	Construct Building 352 Bluegrass Station	91,373.15
	Appropriation:	7,000,000.00	
	Allotments:	2,026,963.00	
	Allotments Still Required:	4,973,037.00	Biennium Approved
	Expenditures:	1,935,589.85	2016-18
	Unexpended Allotment Balance:	91,373.15	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Other Funds		7,000,000.00	0.00
Restricted Funds		0.00	2,026,963.00
Total		7,000,000.00	2,026,963.00
			4,973,037.00

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C8GJ	DMA Interior Renovation of Wellman Armory at BNGC	-165,970.44
	Appropriation:	1,803,151.00	
	Allotments:	1,803,151.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,690,225.94	2012-14
	Unexpended Allotment Balance:	112,925.06	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Bond Fund		0.00	0.00
Federal Funds		1,352,363.25	1,073,467.75
Investment Income		0.00	0.00
Restricted Funds		450,787.75	450,787.75
Total		1,803,151.00	1,524,255.50
			278,895.50

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Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8HK	DMA HLDTS Mount Site Enhancement		-37,621.16
		Appropriation:	1,996,000.00	
		Allotments:	1,926,057.50	
		Allotments Still Required:	69,942.50	Biennium Approved
		Expenditures:	1,904,374.94	2016-18
		Unexpended Allotment Balance:	21,682.56	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Federal Funds	1,996,000.00	1,866,753.78
		Total	1,996,000.00	1,866,753.78

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8HY	DMA Lexington NGA Interior Upgrade		22,734.87
		Appropriation:	1,298,742.00	
		Allotments:	1,298,742.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,280,822.48	2014-16
		Unexpended Allotment Balance:	17,919.52	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	0.00	0.00
		Federal Funds	948,606.50	953,421.85
		Investment Income	350,135.50	350,135.50
		Total	1,298,742.00	1,303,557.35

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8JH	DMA Construct Records Holding Facility at BNGC		163,164.98
		Appropriation:	1,056,245.00	
		Allotments:	1,056,245.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	946,787.78	2016-18
		Unexpended Allotment Balance:	109,457.22	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Federal Funds	792,183.75	709,526.51
		Restricted Funds	264,061.25	400,426.25
		Total	1,056,245.00	1,109,952.76

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Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8TW	DMA WHFRTC Bridge		33,221.82
		Appropriation:	1,527,681.00	
		Allotments:	1,527,681.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,483,381.01	2016-18
		Unexpended Allotment Balance:	44,299.99	
			<u>Life to Date</u>	
			Budget	Receipts
			Budget	Receipts
Federal Funds			1,527,681.00	1,516,602.83
Total			1,527,681.00	1,516,602.83
				11,078.17
				11,078.17

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8TY	DMA Disney Training Site Battalion Headquarters Facility		0.00
		Appropriation:	1,518,262.06	
		Allotments:	1,518,262.06	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,518,262.06	2016-18
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget	Receipts
			Budget	Receipts
Federal Funds			1,518,262.06	1,518,262.06
Total			1,518,262.06	1,518,262.06
				0.00
				0.00

Cabinet:	31	Cabinet of the General Government		
Department:	095	Department Of Military Affairs		<u>Cash Balance</u>
Fund:	C8VP	DMA WHFRTC Cypress Creek Restoration Project		-5,251.62
		Appropriation:	1,326,021.00	
		Allotments:	1,326,021.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	961,083.44	2016-18
		Unexpended Allotment Balance:	364,937.56	
			<u>Life to Date</u>	
			Budget	Receipts
			Budget	Receipts
Federal Funds			1,326,021.00	955,831.82
Total			1,326,021.00	955,831.82
				370,189.18
				370,189.18

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C8XF	DMA BNGC Auditorium	-4,457.44
	Appropriation:	1,839,145.00	
	Allotments:	1,839,145.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,827,375.03	2014-16
	Unexpended Allotment Balance:	11,769.97	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	0.00	-711.25
	Federal Funds	1,381,283.75	1,365,056.34
	Investment Income	13,273.75	13,273.75
	Restricted Funds	444,587.50	445,298.75
	Total	1,839,145.00	1,822,917.59

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C908	Construct Response Group Building KyANG Phase 1	0.00
	Appropriation:	8,955,584.00	
	Allotments:	8,955,584.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	8,553,916.39	
	Unexpended Allotment Balance:	401,667.61	
		Life to Date	
		Budget	Receipts
		Balance	
	Federal Funds	8,955,584.00	8,553,916.39
	Total	8,955,584.00	8,553,916.39

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C90A	Construct WHFRTC Qualification Training Range	0.00
	Appropriation:	6,515,000.00	
	Allotments:	0.00	
	Allotments Still Required:	6,515,000.00	Biennium Approved
	Expenditures:	0.00	2018-20
	Unexpended Allotment Balance:	0.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Federal Funds	6,515,000.00	0.00
	Total	6,515,000.00	6,515,000.00

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C90B	Install Solar Panels at Armories Statewide	1,289.50
	Appropriation:	1,651,000.00	
	Allotments:	743,820.00	
	Allotments Still Required:	907,180.00	Biennium Approved
	Expenditures:	690,294.00	2018-20
	Unexpended Allotment Balance:	53,526.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,238,000.00	648,233.50
	Restricted Funds	413,000.00	43,350.00
	Total	1,651,000.00	691,583.50

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C90D	Construct Multi-purpose Building Bluegrass Station	0.00
	Appropriation:	15,000,000.00	
	Allotments:	0.00	
	Allotments Still Required:	15,000,000.00	Biennium Approved
	Expenditures:	0.00	2018-20
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Other Funds	15,000,000.00	0.00
	Total	15,000,000.00	0.00

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C90H	Construct Industrial Building at Bluegrass Station	0.00
	Appropriation:	15,000,000.00	
	Allotments:	0.00	
	Allotments Still Required:	15,000,000.00	Biennium Approved
	Expenditures:	0.00	2018-20
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Other Funds	15,000,000.00	0.00
	Total	15,000,000.00	0.00

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C98J	DMA London Readiness Center Renovation Project	-3,610.32
	Appropriation:	1,130,358.25	
	Allotments:	1,088,500.00	
	Allotments Still Required:	41,858.25	Biennium Approved
	Expenditures:	1,086,437.17	
	Unexpended Allotment Balance:	2,062.83	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	502,108.25	502,108.25
	Federal Funds	590,750.00	543,218.60
	Investment Income	37,500.00	37,500.00
	Total	1,130,358.25	1,082,826.85
			47,531.40

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C99A	DMA BGS Bldg 415 Modification	-1,209,047.66
	Appropriation:	1,650,000.00	
	Allotments:	1,252,000.00	
	Allotments Still Required:	398,000.00	Biennium Approved
	Expenditures:	1,209,047.66	
	Unexpended Allotment Balance:	42,952.34	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,650,000.00	0.00
	Total	1,650,000.00	0.00
			1,650,000.00

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9AC	DMA Richmond RC Interior Modernization Project	9,918.21
	Appropriation:	1,965,000.00	
	Allotments:	1,250,925.00	
	Allotments Still Required:	714,075.00	Biennium Approved
	Expenditures:	1,213,128.53	
	Unexpended Allotment Balance:	37,796.47	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	31,250.00	31,250.00
	Federal Funds	1,933,750.00	1,191,796.74
	Total	1,965,000.00	1,223,046.74
			741,953.26
			741,953.26

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9AE	DMA Leitchfield RC Assembly Hall Addition Project	-10,189.38
	Appropriation:	1,906,398.00	
	Allotments:	1,906,398.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,893,712.17	
	Unexpended Allotment Balance:	12,685.83	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	919,198.50	919,198.50
	Federal Funds	987,199.50	964,324.29
	Total	1,906,398.00	1,883,522.79

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9AK	DMA WHFRTC 1103rd MP RC/Provost/Welcome Ctr Proje	-177,147.30
	Appropriation:	1,785,550.00	
	Allotments:	1,785,550.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,639,864.51	
	Unexpended Allotment Balance:	145,685.49	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,339,150.00	1,016,317.21
	Restricted Funds	446,400.00	446,400.00
	Total	1,785,550.00	1,462,717.21

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9AT	DMA Barbourville RC Latrine Upgrade Project	-490,362.47
	Appropriation:	1,946,000.00	
	Allotments:	1,714,786.63	
	Allotments Still Required:	231,213.37	Biennium Approved
	Expenditures:	1,265,895.54	
	Unexpended Allotment Balance:	448,891.09	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	37,500.00	37,500.00
	Federal Funds	1,908,500.00	738,033.07
	Total	1,946,000.00	775,533.07

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	
Fund:	C9J8	DMA Construct Joint Force Headquarters BNGC	<u>Cash Balance</u>
			-2,412,037.42
		Appropriation:	17,623,440.00
		Allotments:	17,623,440.00
		Allotments Still Required:	0.00
		Expenditures:	6,228,682.07
		Unexpended Allotment Balance:	11,394,757.93
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
		Federal Funds	17,406,100.00
		Restricted Funds	217,340.00
		Total	17,623,440.00
			3,599,304.65
			217,340.00
			13,806,795.35
			0.00
			13,806,795.35

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	
Fund:	C9NT	DMA WHFRTC Fire Station Addition Project	<u>Cash Balance</u>
			-993.70
		Appropriation:	2,057,202.44
		Allotments:	2,057,202.44
		Allotments Still Required:	0.00
		Expenditures:	2,050,792.19
		Unexpended Allotment Balance:	6,410.25
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
		Federal Funds	2,006,550.00
		Restricted Funds	50,652.44
		Total	2,057,202.44
			1,999,146.05
			50,652.44
			7,403.95
			0.00
			7,403.95

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	
Fund:	C9NU	DMA WHFRTC Multipurpose Athletic Field Project	<u>Cash Balance</u>
			0.00
		Appropriation:	1,504,570.00
		Allotments:	1,504,570.00
		Allotments Still Required:	0.00
		Expenditures:	1,501,070.00
		Unexpended Allotment Balance:	3,500.00
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
		Federal Funds	1,504,570.00
		Total	1,504,570.00
			1,501,070.00
			3,500.00
			1,501,070.00
			3,500.00

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9WL	Murray RC Interior Restoration Project	1,041,219.50
	Appropriation:	2,082,439.00	
	Allotments:	2,082,439.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	145,061.80	
	Unexpended Allotment Balance:	1,937,377.20	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,041,219.50	145,061.80
	Investment Income	1,041,219.50	0.00
	Total	2,082,439.00	1,186,281.30

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	C9WM	Springfield RC Latrine Restoration Project	-364,272.47
	Appropriation:	1,700,000.00	
	Allotments:	1,417,765.00	
	Allotments Still Required:	282,235.00	Biennium Approved
	Expenditures:	784,872.22	
	Unexpended Allotment Balance:	632,892.78	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,700,000.00	420,599.75
	Total	1,700,000.00	420,599.75

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	CA2F	DMA ACA Upgrades Project	141,241.41
	Appropriation:	1,200,000.00	
	Allotments:	1,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	160,783.59	
	Unexpended Allotment Balance:	1,039,216.41	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	900,000.00	2,025.00
	Restricted Funds	300,000.00	300,000.00
	Total	1,200,000.00	302,025.00

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Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	CA6C	DMA BNGC SEOC Window Replacement Project	1,588,805.67
	Appropriation:	1,648,320.00	
	Allotments:	1,648,320.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	59,514.33	
	Unexpended Allotment Balance:	1,588,805.67	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Construction Surplus Fund	1,608,850.00	1,608,850.00
	Emergency Maintenance Fund	39,470.00	39,470.00
	Total	1,648,320.00	1,648,320.00

Cabinet:	31	Cabinet of the General Government	
Department:	095	Department Of Military Affairs	<u>Cash Balance</u>
Fund:	CABP	DMA Construct Burlington Field Maintenance Shop (FMS)	0.00
	Appropriation:	1,320,500.00	
	Allotments:	1,320,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	1,320,500.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,320,500.00	0.00
	Total	1,320,500.00	1,320,500.00

Cabinet:	31	Cabinet of the General Government	
Department:	112	Department for Local Government	<u>Cash Balance</u>
Fund:	C557	Flood Control Matching Funds 08-10	0.00
	Appropriation:	3,800,000.00	
	Allotments:	3,800,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,800,000.00	2008-10
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,200,000.00	2,200,000.00
	General Fund	1,600,000.00	1,600,000.00
	Total	3,800,000.00	3,800,000.00

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Cabinet:	31	Cabinet of the General Government		
Department:	112	Department for Local Government		<u>Cash Balance</u>
Fund:	C5K9	Flood Control Matching Fund - 2010-2012		0.00
		Appropriation:	3,000,000.00	
		Allotments:	3,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	3,000,000.00	2010-12
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			3,000,000.00	3,000,000.00
Total			3,000,000.00	3,000,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	112	Department for Local Government		<u>Cash Balance</u>
Fund:	C6BB	Flood Control Matching Fund - 2012-2014		0.00
		Appropriation:	2,000,000.00	
		Allotments:	2,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	2,000,000.00	2012-14
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			2,000,000.00	2,000,000.00
Total			2,000,000.00	2,000,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	112	Department for Local Government		<u>Cash Balance</u>
Fund:	CA4E	Flood Control Matching Fund		-4,541,273.00
		Appropriation:	6,000,000.00	
		Allotments:	6,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	4,541,273.00	2020-22
		Unexpended Allotment Balance:	1,458,727.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			6,000,000.00	0.00
Total			6,000,000.00	6,000,000.00

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Cabinet:	31	Cabinet of the General Government		
Department:	120	Secretary Of State		<u>Cash Balance</u>
Fund:	C6HF	Business One-Stop Portal - Phase I		1,985.73
		Appropriation:	5,000,000.00	
		Allotments:	5,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	4,998,014.27	2012-14
		Unexpended Allotment Balance:	1,985.73	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		5,000,000.00	5,000,000.00
	Total		5,000,000.00	5,000,000.00

Cabinet:	31	Cabinet of the General Government		
Department:	120	Secretary Of State		<u>Cash Balance</u>
Fund:	C6VX	Online Ballot Delivery Sys. for Military & Overseas Voters		0.00
		Appropriation:	1,500,000.00	
		Allotments:	1,500,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	666,423.59	2012-14
		Unexpended Allotment Balance:	833,576.41	
			Life to Date	
			Budget	Receipts
			Balance	
	Federal Funds		1,500,000.00	666,423.59
	Total		1,500,000.00	666,423.59

Cabinet:	31	Cabinet of the General Government		
Department:	137	Kentucky Communications Network Authority		<u>Cash Balance</u>
Fund:	C735	Next Generation Kentucky Information Highway		0.00
		Appropriation:	434,400,000.00	
		Allotments:	80,122,000.00	
		Allotments Still Required:	354,278,000.00	Biennium Approved
		Expenditures:	76,765,339.28	2014-16
		Unexpended Allotment Balance:	3,356,660.72	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		30,000,000.00	76,765,339.28
	Federal Funds		23,500,000.00	0.00
	Other Funds		380,900,000.00	0.00
	Total		434,400,000.00	76,765,339.28

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Cabinet:	31	Cabinet of the General Government	
Department:	560	Ky Teachers Retirement System	<u>Cash Balance</u>
Fund:	C90L	Pension Management System Modifications	274,002.50
	Appropriation:	5,000,000.00	
	Allotments:	750,000.00	
	Allotments Still Required:	4,250,000.00	Biennium Approved
	Expenditures:	475,997.50	2018-20
	Unexpended Allotment Balance:	274,002.50	
		Life to Date	
		Budget	Receipts
	Restricted Funds	5,000,000.00	750,000.00
	Total	5,000,000.00	750,000.00
			Balance
			4,250,000.00
			4,250,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	<u>Cash Balance</u>
Fund:	C6CG	Construct Henderson County Maintenance Facility&Salt St	177,632.58
	Appropriation:	1,523,000.00	
	Allotments:	1,440,000.00	
	Allotments Still Required:	83,000.00	Biennium Approved
	Expenditures:	1,345,367.42	2016-18
	Unexpended Allotment Balance:	94,632.58	
		Life to Date	
		Budget	Receipts
	Road Fund	1,523,000.00	1,523,000.00
	Total	1,523,000.00	1,523,000.00
			Balance
			0.00
			0.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	<u>Cash Balance</u>
Fund:	C6CM	Construct Muhlenberg Co Maintenance Facility & Salt Stora	184,398.44
	Appropriation:	1,591,000.00	
	Allotments:	1,440,000.00	
	Allotments Still Required:	151,000.00	Biennium Approved
	Expenditures:	1,406,601.56	2012-14
	Unexpended Allotment Balance:	33,398.44	
		Life to Date	
		Budget	Receipts
	Road Fund	1,591,000.00	1,591,000.00
	Total	1,591,000.00	1,591,000.00
			Balance
			0.00
			0.00

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Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C6CN	Construct Ballard County Maintenance Facility & Salt Stora	<u>Cash Balance</u>
			2,044,077.86
		Appropriation:	2,284,000.00
		Allotments:	2,284,000.00
		Allotments Still Required:	0.00
		Expenditures:	239,922.14
		Unexpended Allotment Balance:	2,044,077.86
			Biennium Approved 2016-18
		Life to Date	
		Budget	Receipts
		Balance	
Road Fund		2,284,000.00	2,284,000.00
Total		2,284,000.00	2,284,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C780	Construct Jackson (D-10) District Office	<u>Cash Balance</u>
			97,383.98
		Appropriation:	7,026,000.00
		Allotments:	7,026,000.00
		Allotments Still Required:	0.00
		Expenditures:	6,928,616.02
		Unexpended Allotment Balance:	97,383.98
			Biennium Approved 2014-16
		Life to Date	
		Budget	Receipts
		Balance	
Road Fund		7,026,000.00	7,026,000.00
Total		7,026,000.00	7,026,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C91E	Construct Clay County District Office	<u>Cash Balance</u>
			7,358,293.16
		Appropriation:	7,445,000.00
		Allotments:	900,000.00
		Allotments Still Required:	6,545,000.00
		Expenditures:	7,500.00
		Unexpended Allotment Balance:	892,500.00
			Biennium Approved 2016-18
		Life to Date	
		Budget	Receipts
		Balance	
Road Fund		7,445,000.00	7,365,793.16
Total		7,445,000.00	7,365,793.16

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Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C93Y	Construct Crittenden Co. Maintenance Facility & Salt Stora	<u>Cash Balance</u>
			33,466.15
		Appropriation:	1,850,000.00
		Allotments:	1,850,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,816,533.85
		Unexpended Allotment Balance:	33,466.15
			Biennium Approved 2018-20
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		1,850,000.00	1,850,000.00
Total		1,850,000.00	1,850,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C940	Construct Hopkins Co. Maintenance Facility & Salt Storage	<u>Cash Balance</u>
			627,202.97
		Appropriation:	2,070,000.00
		Allotments:	2,070,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,442,797.03
		Unexpended Allotment Balance:	627,202.97
			Biennium Approved 2018-20
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		2,070,000.00	2,070,000.00
Total		2,070,000.00	2,070,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C9NW	Construct Casey County Maintenance Facility	<u>Cash Balance</u>
			503,914.70
		Appropriation:	1,460,000.00
		Allotments:	1,460,000.00
		Allotments Still Required:	0.00
		Expenditures:	902,342.30
		Unexpended Allotment Balance:	557,657.70
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Restricted Funds		0.00	606,257.00
Road Fund		0.00	800,000.00
Total		0.00	1,406,257.00
			-606,257.00
			-800,000.00
			-1,406,257.00

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Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C9R3	Construct Nicholas County Maintenance/Salt Structure	<u>Cash Balance</u>
			170,304.54
		Appropriation:	2,000,000.00
		Allotments:	2,000,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,829,695.46
		Unexpended Allotment Balance:	170,304.54
			Biennium Approved 2020-22
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		2,000,000.00	2,000,000.00
Total		2,000,000.00	2,000,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C9R4	Construct Whitley County Maintenance/Salt Structure	<u>Cash Balance</u>
			4,029,800.00
		Appropriation:	4,050,000.00
		Allotments:	1,050,000.00
		Allotments Still Required:	3,000,000.00
		Expenditures:	20,200.00
		Unexpended Allotment Balance:	1,029,800.00
			Biennium Approved 2020-22
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		4,050,000.00	4,050,000.00
Total		4,050,000.00	4,050,000.00

Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	C9R5	AASHTOWare	<u>Cash Balance</u>
			750,021.25
		Appropriation:	2,000,000.00
		Allotments:	2,000,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,249,978.75
		Unexpended Allotment Balance:	750,021.25
			Biennium Approved 2020-22
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		2,000,000.00	2,000,000.00
Total		2,000,000.00	2,000,000.00

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Cabinet:	35	Transportation Cabinet	
Department:	609	Office of Support Services	
Fund:	CA4P	District 6 District Office and Materials Lab	<u>Cash Balance</u>
			1,057,964.59
		Appropriation:	1,500,000.00
		Allotments:	1,500,000.00
		Allotments Still Required:	0.00
		Expenditures:	442,035.41
		Unexpended Allotment Balance:	1,057,964.59
			Biennium Approved 2020-21
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Road Fund		1,500,000.00	1,500,000.00
Total		1,500,000.00	1,500,000.00

Cabinet:	35	Transportation Cabinet	
Department:	615	Department Of Aviation	
Fund:	C8YU	Kentucky Aviation Economic Development Fund	<u>Cash Balance</u>
			-91,609.43
		Appropriation:	18,750,000.00
		Allotments:	18,750,000.00
		Allotments Still Required:	0.00
		Expenditures:	18,338,042.61
		Unexpended Allotment Balance:	411,957.39
			Biennium Approved 2018-20
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Bond Fund		18,750,000.00	18,246,433.18
Total		18,750,000.00	18,246,433.18

Cabinet:	35	Transportation Cabinet	
Department:	615	Department Of Aviation	
Fund:	C9QX	Aircraft Maintenance Pool - 2020-2022	<u>Cash Balance</u>
			4,033.62
		Appropriation:	1,195,000.00
		Allotments:	1,195,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,190,966.38
		Unexpended Allotment Balance:	4,033.62
			Biennium Approved 2020-22
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Investment Income		895,000.00	895,000.00
Restricted Funds		300,000.00	300,000.00
Road Fund		0.00	0.00
Total		1,195,000.00	1,195,000.00

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Cabinet:	35	Transportation Cabinet	
Department:	617	Office of Information Technology	<u>Cash Balance</u>
Fund:	C87H	Transportation Enterprise Database II	2,451,544.22
	Appropriation:	3,000,000.00	
	Allotments:	982,243.00	
	Allotments Still Required:	2,017,757.00	Biennium Approved
	Expenditures:	548,455.78	2014-16
	Unexpended Allotment Balance:	433,787.22	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Road Fund		3,000,000.00	3,000,000.00
Total		3,000,000.00	3,000,000.00

Cabinet:	35	Transportation Cabinet	
Department:	617	Office of Information Technology	<u>Cash Balance</u>
Fund:	CA2L	KYTC Facilities Technology Modernization	-43,490.71
	Appropriation:	1,450,000.00	
	Allotments:	1,450,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	225,067.82	
	Unexpended Allotment Balance:	1,224,932.18	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Federal Funds		1,450,000.00	181,577.11
Total		1,450,000.00	181,577.11

Cabinet:	35	Transportation Cabinet	
Department:	625	Department Of Highways	<u>Cash Balance</u>
Fund:	C8T5	New Rowan County Weigh Station	0.00
	Appropriation:	1,528,626.00	
	Allotments:	1,528,626.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,528,567.45	
	Unexpended Allotment Balance:	58.55	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Federal Funds		1,528,626.00	1,528,567.45
Total		1,528,626.00	1,528,567.45

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Cabinet:	35	Transportation Cabinet	
Department:	625	Department Of Highways	
Fund:	C9CB	Demolition and Re-Construction Simpson County Welcome	<u>Cash Balance</u>
			41,200.48
		Appropriation:	4,814,700.00
		Allotments:	4,814,700.00
		Allotments Still Required:	0.00
		Expenditures:	4,554,285.91
		Unexpended Allotment Balance:	260,414.09
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
	Federal Funds	3,851,760.00	3,632,546.39
	Road Fund	962,940.00	962,940.00
	Total	4,814,700.00	4,595,486.39
			219,213.61
			0.00
			219,213.61

Cabinet:	35	Transportation Cabinet	
Department:	625	Department Of Highways	
Fund:	C9CC	Demolition and Re-Construction Whitley County Welcome	<u>Cash Balance</u>
			-885,568.00
		Appropriation:	5,547,300.00
		Allotments:	5,547,300.00
		Allotments Still Required:	0.00
		Expenditures:	5,537,300.00
		Unexpended Allotment Balance:	10,000.00
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
	Federal Funds	4,437,840.00	3,542,272.00
	Road Fund	1,109,460.00	1,109,460.00
	Total	5,547,300.00	4,651,732.00
			895,568.00
			0.00
			895,568.00

Cabinet:	35	Transportation Cabinet	
Department:	625	Department Of Highways	
Fund:	C9EJ	Major Repairs Bullitt County Welcome Center	<u>Cash Balance</u>
			6,951.01
		Appropriation:	1,226,830.00
		Allotments:	1,226,830.00
		Allotments Still Required:	0.00
		Expenditures:	1,189,514.95
		Unexpended Allotment Balance:	37,315.05
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
	Federal Funds	981,464.00	951,099.96
	Road Fund	245,366.00	245,366.00
	Total	1,226,830.00	1,196,465.96
			30,364.04
			0.00
			30,364.04

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Cabinet:	35	Transportation Cabinet	
Department:	625	Department Of Highways	<u>Cash Balance</u>
Fund:	C9QZ	Transportation Warehouse Facility Renovation or Replace	350,482.76
	Appropriation:	1,500,000.00	
	Allotments:	1,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,149,517.24	2020-22
	Unexpended Allotment Balance:	350,482.76	
		<u>Life to Date</u>	
		Budget	Receipts
Road Fund		1,500,000.00	1,500,000.00
Total		1,500,000.00	1,500,000.00
			Balance
			0.00
			0.00

Cabinet:	35	Transportation Cabinet	
Department:	630	Department Of Vehicle Regulation	<u>Cash Balance</u>
Fund:	C44V	Replace Automated Vehicle Information System (AVIS)	1,838,277.18
	Appropriation:	29,000,000.00	
	Allotments:	29,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	27,161,722.82	2020-22
	Unexpended Allotment Balance:	1,838,277.18	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		25,000,000.00	25,000,000.00
Restricted Funds		4,000,000.00	4,000,000.00
Total		29,000,000.00	29,000,000.00
			Balance
			0.00
			0.00
			0.00

Cabinet:	35	Transportation Cabinet	
Department:	630	Department Of Vehicle Regulation	<u>Cash Balance</u>
Fund:	C6D1	Replace Kentucky Driver Licensing System	-524,922.86
	Appropriation:	12,500,000.00	
	Allotments:	950,000.00	
	Allotments Still Required:	11,550,000.00	Biennium Approved
	Expenditures:	524,922.86	2012-14
	Unexpended Allotment Balance:	425,077.14	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		12,500,000.00	0.00
Total		12,500,000.00	0.00
			Balance
			12,500,000.00
			12,500,000.00

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Cabinet:	35	Transportation Cabinet	
Department:	630	Department Of Vehicle Regulation	<u>Cash Balance</u>
Fund:	C87G	International Registration Plan System Upgrade	592,791.15
	Appropriation:	2,500,000.00	
	Allotments:	2,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,907,208.85	2016-18
	Unexpended Allotment Balance:	592,791.15	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Road Fund		2,500,000.00	2,500,000.00
Total		2,500,000.00	2,500,000.00

Cabinet:	36	Cabinet for Economic Development	
Department:	635	Econ Dev - Office Of The Secretary	<u>Cash Balance</u>
Fund:	C09R	New Economy High-Tech Construction/Investment Pool	0.00
	Appropriation:	16,705,000.00	
	Allotments:	16,705,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	16,705,000.00	2010-12
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Bond Fund		16,705,000.00	16,705,000.00
Total		16,705,000.00	16,705,000.00

Cabinet:	36	Cabinet for Economic Development	
Department:	635	Econ Dev - Office Of The Secretary	<u>Cash Balance</u>
Fund:	C26N	New Economy High Tech Investment/Construction Pool	0.00
	Appropriation:	15,000,000.00	
	Allotments:	11,717,000.00	
	Allotments Still Required:	3,283,000.00	Biennium Approved
	Expenditures:	11,716,996.90	
	Unexpended Allotment Balance:	3.10	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
General Fund		5,000,000.00	5,000,000.00
Restricted Funds		10,000,000.00	6,716,996.90
Total		15,000,000.00	11,716,996.90

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Cabinet:	36	Cabinet for Economic Development	
Department:	635	Econ Dev - Office Of The Secretary	<u>Cash Balance</u>
Fund:	C26Q	New Economy High Tech Investment/Construction Pool	0.00
	Appropriation:	18,836,765.68	
	Allotments:	0.00	
	Allotments Still Required:	18,836,765.68	Biennium Approved
	Expenditures:	0.00	
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Other Funds	0.00	-3,723,233.68
	Restricted Funds	18,836,766.32	3,723,233.68
	Total	18,836,766.32	15,113,532.64
		0.00	18,836,766.32

Cabinet:	36	Cabinet for Economic Development	
Department:	635	Econ Dev - Office Of The Secretary	<u>Cash Balance</u>
Fund:	C3UR	energy Projects Economic Development Bond Pool	41,077.28
	Appropriation:	5,000,000.00	
	Allotments:	5,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,958,922.72	
	Unexpended Allotment Balance:	41,077.28	
		<u>Life to Date</u>	
		Budget	Receipts
	Bond Fund	5,000,000.00	5,000,000.00
	Undetermined Funding	0.00	1,082,824.28
	Total	5,000,000.00	-1,082,824.28
		0.00	-1,082,824.28

Cabinet:	36	Cabinet for Economic Development	
Department:	635	Econ Dev - Office Of The Secretary	<u>Cash Balance</u>
Fund:	C5KF	High Tech Construction/Investment Pool	5,000,000.00
	Appropriation:	5,000,000.00	
	Allotments:	0.00	
	Allotments Still Required:	5,000,000.00	Biennium Approved
	Expenditures:	0.00	2010-12
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Bond Fund	5,000,000.00	5,000,000.00
	Total	5,000,000.00	0.00
		0.00	0.00

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Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C5KJ	Kentucky Economic Development Finance Authority Loan		2,500,000.00
		Appropriation:	25,000,000.00	
		Allotments:	25,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	450,000.00	
		Unexpended Allotment Balance:	24,550,000.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		25,000,000.00	2,950,000.00
	Total		25,000,000.00	2,950,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C664	FC Hardin Co WD2 Construct wastewater system Nolin Riv		549,483.46
		Appropriation:	16,000,000.00	
		Allotments:	16,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	15,450,516.54	
		Unexpended Allotment Balance:	549,483.46	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		16,000,000.00	16,000,000.00
	Undetermined Funding		0.00	870,535.00
	Total		16,000,000.00	16,870,535.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C689	City of Brandenburg/Elevated Water Tank		135,157.03
		Appropriation:	1,000,000.00	
		Allotments:	1,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	864,842.97	
		Unexpended Allotment Balance:	135,157.03	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		1,000,000.00	1,000,000.00
	Undetermined Funding		0.00	500,000.00
	Total		1,000,000.00	1,500,000.00

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Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C7R5	Inter-Modal Transportation Authority, Inc.		0.00
		Appropriation:	1,000,000.00	
		Allotments:	1,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,000,000.00	
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		1,000,000.00	1,000,000.00
	Total		1,000,000.00	1,000,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C89V	High-Tech Construction/Investment Pool - 2016-2018		0.00
		Appropriation:	7,000,000.00	
		Allotments:	0.00	
		Allotments Still Required:	7,000,000.00	Biennium Approved
		Expenditures:	0.00	2016-18
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		7,000,000.00	0.00
	Total		7,000,000.00	7,000,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C89W	KEDFA Loan Pool - 2016-2018		-64,352.00
		Appropriation:	15,984,416.17	
		Allotments:	15,000,000.00	
		Allotments Still Required:	984,416.17	Biennium Approved
		Expenditures:	15,000,000.00	2016-18
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		15,984,416.17	14,935,648.00
	Total		15,984,416.17	1,048,768.17

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Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C8J9	City of Midway/Lakeshore Equipment Co		0.00
		Appropriation:	1,350,000.00	
		Allotments:	1,350,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,350,000.00	
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		1,350,000.00	1,350,000.00
	Total		1,350,000.00	1,350,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C95D	City of Franklin/Fritz Winter NA LP		1,000,000.00
		Appropriation:	1,000,000.00	
		Allotments:	1,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	0.00	
		Unexpended Allotment Balance:	1,000,000.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		1,000,000.00	1,000,000.00
	Total		1,000,000.00	1,000,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C9EK	Economic Development bond Pool-2020		0.00
		Appropriation:	25,000,000.00	
		Allotments:	0.00	
		Allotments Still Required:	25,000,000.00	Biennium Approved
		Expenditures:	0.00	2019-20
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		25,000,000.00	0.00
	Total		25,000,000.00	0.00

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Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C9N0	KEDFA Loan Pool 2020-2022		0.00
		Appropriation:	37,500,000.00	
		Allotments:	35,000,000.00	
		Allotments Still Required:	2,500,000.00	Biennium Approved
		Expenditures:	35,000,000.00	2019-20
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			37,500,000.00	35,000,000.00
Total			37,500,000.00	35,000,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C9TX	Ballard Co FC/Phoenix Paper Wickliff LLC		1,500,000.00
		Appropriation:	1,500,000.00	
		Allotments:	1,500,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	0.00	
		Unexpended Allotment Balance:	1,500,000.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			1,500,000.00	1,500,000.00
Total			1,500,000.00	1,500,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	CA4G	Economic Development Bond Program - 2020-2022		0.00
		Appropriation:	2,500,000.00	
		Allotments:	0.00	
		Allotments Still Required:	2,500,000.00	Biennium Approved
		Expenditures:	0.00	2020-22
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget Receipts	<u>Balance</u>
Bond Fund			2,500,000.00	0.00
Total			2,500,000.00	0.00

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Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	CA4H	High-Tech Construction/Investment Pool - 2020-2022		0.00
		Appropriation:	2,500,000.00	
		Allotments:	0.00	
		Allotments Still Required:	2,500,000.00	Biennium Approved
		Expenditures:	0.00	
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		2,500,000.00	0.00
	Total		2,500,000.00	2,500,000.00

Cabinet:	36	Cabinet for Economic Development		
Department:	635	Econ Dev - Office Of The Secretary		<u>Cash Balance</u>
Fund:	CA83	Meade County Fiscal Court/Nucor Corporation		15,000,000.00
		Appropriation:	15,000,000.00	
		Allotments:	15,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	0.00	
		Unexpended Allotment Balance:	15,000,000.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		15,000,000.00	15,000,000.00
	Total		15,000,000.00	0.00

Cabinet:	39	Finance & Administration Cabinet		
Department:	079	Commonwealth Office Of Technology		<u>Cash Balance</u>
Fund:	C90N	Legacy System Retirement		0.00
		Appropriation:	3,463,899.02	
		Allotments:	0.00	
		Allotments Still Required:	3,463,899.02	Biennium Approved
		Expenditures:	0.00	2016-18
		Unexpended Allotment Balance:	0.00	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		3,463,899.02	0.00
	Total		3,463,899.02	3,463,899.02

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Cabinet:	39	Finance & Administration Cabinet		
Department:	079	Commonwealth Office Of Technology		<u>Cash Balance</u>
Fund:	C9R7	Enterprise Infrastructure 2020-2022		0.00
	Appropriation:		8,000,000.00	
	Allotments:		0.00	
	Allotments Still Required:		8,000,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		0.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	8,000,000.00	0.00	8,000,000.00
	Total	8,000,000.00	0.00	8,000,000.00

Cabinet:	39	Finance & Administration Cabinet		
Department:	130	Department Of Revenue		<u>Cash Balance</u>
Fund:	C732	Property Tax Systems Upgrade		302,212.53
	Appropriation:		2,500,000.00	
	Allotments:		1,250,000.00	
	Allotments Still Required:		1,250,000.00	Biennium Approved
	Expenditures:		947,787.47	2014-16
	Unexpended Allotment Balance:		302,212.53	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	2,500,000.00	1,250,000.00	1,250,000.00
	Total	2,500,000.00	1,250,000.00	1,250,000.00

Cabinet:	39	Finance & Administration Cabinet		
Department:	130	Department Of Revenue		<u>Cash Balance</u>
Fund:	C90P	Integrated Tax System		-4,630,647.46
	Appropriation:		92,500,000.00	
	Allotments:		30,300,500.00	
	Allotments Still Required:		62,199,500.00	Biennium Approved
	Expenditures:		23,122,235.21	2018-20
	Unexpended Allotment Balance:		7,178,264.79	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Bond Fund	92,500,000.00	18,491,587.75	74,008,412.25
	Total	92,500,000.00	18,491,587.75	74,008,412.25

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Cabinet:	39	Finance & Administration Cabinet		
Department:	750	Finance - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C734	Business One Stop Portal - Phase II		0.00
		Appropriation:	6,743,000.00	
		Allotments:	6,743,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	6,740,556.49	2014-16
		Unexpended Allotment Balance:	2,443.51	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	4,143,000.00	4,140,556.49
		General Fund	2,600,000.00	2,600,000.00
		Total	6,743,000.00	6,740,556.49
				2,443.51

Cabinet:	39	Finance & Administration Cabinet		
Department:	750	Finance - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C8A4	Business One-Stop Portal - Phase III		-62,106.01
		Appropriation:	12,000,000.00	
		Allotments:	6,000,000.00	
		Allotments Still Required:	6,000,000.00	Biennium Approved
		Expenditures:	5,447,665.18	2016-18
		Unexpended Allotment Balance:	552,334.82	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	12,000,000.00	5,385,559.17
		Total	12,000,000.00	5,385,559.17
				6,614,440.83

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Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C21R	Property Acquisition/Demolition	1,255,791.23
	Appropriation:	7,485,700.00	
	Allotments:	7,485,700.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	7,392,923.65	2006-08
	Unexpended Allotment Balance:	92,776.35	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	5,975,000.00	5,975,000.00
	Construction Surplus Fund	535,700.00	1,823,642.88
	General Fund	0.00	-1,271,300.00
	Investment Income	975,000.00	975,000.00
	Restricted Funds	0.00	1,146,372.00
	Total	7,485,700.00	8,648,714.88

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C6BQ	Statewide Microwave Network (KEWS) Maintenance	-7,501.66
	Appropriation:	2,200,000.00	
	Allotments:	2,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,200,000.00	2012-14
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,200,000.00	2,192,498.34
	Total	2,200,000.00	7,501.66

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Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C7U2	Halon System Replacement	206,635.38
	Appropriation:	3,227,938.00	
	Allotments:	3,227,938.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,021,302.62	2014-16
	Unexpended Allotment Balance:	206,635.38	
		Life to Date	
		Budget	Receipts
Bond Fund		3,227,938.00	3,227,938.00
Total		3,227,938.00	3,227,938.00
			Balance
			0.00
			0.00

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C8A7	Upgrade L&N Building	-18,885.50
	Appropriation:	4,375,000.00	
	Allotments:	4,375,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,812,597.76	2016-18
	Unexpended Allotment Balance:	562,402.24	
		Life to Date	
		Budget	Receipts
Bond Fund		4,375,000.00	3,793,712.26
Total		4,375,000.00	3,793,712.26
			Balance
			581,287.74
			581,287.74

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C8A8	HVAC Replacement - CHR Building	-3,154.14
	Appropriation:	4,500,000.00	
	Allotments:	4,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,938,998.56	2016-18
	Unexpended Allotment Balance:	561,001.44	
		Life to Date	
		Budget	Receipts
Bond Fund		4,500,000.00	3,935,844.42
Total		4,500,000.00	3,935,844.42
			Balance
			564,155.58
			564,155.58

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Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C8GF	Central Lab Plant Boiler Replacement	76,784.09
	Appropriation:	1,789,000.00	
	Allotments:	1,789,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,712,215.91	
	Unexpended Allotment Balance:	76,784.09	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	1,729,000.00	1,729,000.00
	Restricted Funds	60,000.00	60,000.00
	Total	1,789,000.00	1,789,000.00

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C90R	Upgrade Capitol Mechanical and Electrical System, Phase	-285,484.89
	Appropriation:	4,500,000.00	
	Allotments:	4,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,830,890.29	2018-20
	Unexpended Allotment Balance:	1,669,109.71	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	4,500,000.00	2,545,405.40
	Total	4,500,000.00	2,545,405.40

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C90S	Emergency Generator Repair or Replacement, COT/CHR	-126,532.20
	Appropriation:	2,600,000.00	
	Allotments:	2,559,750.00	
	Allotments Still Required:	40,250.00	Biennium Approved
	Expenditures:	2,546,668.20	2018-20
	Unexpended Allotment Balance:	13,081.80	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,600,000.00	2,420,136.00
	Total	2,600,000.00	2,420,136.00

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Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C90T	L&N Building Security and Structural Upgrades	-522,433.18
	Appropriation:	9,800,000.00	
	Allotments:	9,233,452.50	
	Allotments Still Required:	566,547.50	Biennium Approved
	Expenditures:	9,140,332.04	2018-20
	Unexpended Allotment Balance:	93,120.46	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		9,800,000.00	8,617,898.86
Total		9,800,000.00	8,617,898.86
			1,182,101.14
			1,182,101.14

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C9RA	Air Handler Replacement & Rpr-Cntrl Lab Reauth and Real	-36,843.20
	Appropriation:	2,201,000.00	
	Allotments:	1,905,100.00	
	Allotments Still Required:	295,900.00	Biennium Approved
	Expenditures:	112,246.00	2020-21
	Unexpended Allotment Balance:	1,792,854.00	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		2,201,000.00	75,402.80
Total		2,201,000.00	75,402.80
			2,125,597.20
			2,125,597.20

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C9RB	Elevator Upgrades Phase 1	-266,734.22
	Appropriation:	2,000,000.00	
	Allotments:	2,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	497,811.22	2020-22
	Unexpended Allotment Balance:	1,502,188.78	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		2,000,000.00	231,077.00
Total		2,000,000.00	231,077.00
			1,768,923.00
			1,768,923.00

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Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C9RC	HVAC Replacement and Repair COT Building	307,273.42
	Appropriation:	1,200,000.00	
	Allotments:	1,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	892,726.58	2020-22
	Unexpended Allotment Balance:	307,273.42	
		<u>Life to Date</u>	
		Budget	Receipts
	Investment Income	1,200,000.00	1,200,000.00
	Total	1,200,000.00	1,200,000.00
			Balance
			0.00
			0.00

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C9RD	Capitol Campus Upgrade	-1,135,185.44
	Appropriation:	22,000,000.00	
	Allotments:	8,548,500.00	
	Allotments Still Required:	13,451,500.00	Biennium Approved
	Expenditures:	1,619,848.17	2020-22
	Unexpended Allotment Balance:	6,928,651.83	
		<u>Life to Date</u>	
		Budget	Receipts
	Bond Fund	22,000,000.00	484,662.73
	Total	22,000,000.00	484,662.73
			Balance
			21,515,337.27
			21,515,337.27

Cabinet:	39	Finance & Administration Cabinet	
Department:	785	Facilities & Support Services	<u>Cash Balance</u>
Fund:	C9YB	Governor's Mansion- Chiller and Water Line	151,061.00
	Appropriation:	1,185,745.00	
	Allotments:	1,185,745.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,034,684.00	2020-21
	Unexpended Allotment Balance:	151,061.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Bond Fund	1,175,745.00	1,175,745.00
	Restricted Funds	10,000.00	10,000.00
	Total	1,185,745.00	1,185,745.00
			Balance
			0.00
			0.00
			0.00

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Cabinet:	39	Finance & Administration Cabinet		
Department:	785	Facilities & Support Services		<u>Cash Balance</u>
Fund:	C9Z1	HSB HVAC & Piping Phase 2		387,862.60
		Appropriation:	2,191,870.00	
		Allotments:	2,191,870.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,804,007.40	2019-20
		Unexpended Allotment Balance:	387,862.60	
			Life to Date	
			Budget	Receipts
			Balance	
Bond Fund			2,191,870.00	2,191,870.00
Total			2,191,870.00	2,191,870.00

Cabinet:	39	Finance & Administration Cabinet		
Department:	785	Facilities & Support Services		<u>Cash Balance</u>
Fund:	C9ZT	Library & Archives HVAC Replacement		1,593,270.37
		Appropriation:	2,063,900.00	
		Allotments:	2,063,900.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	470,629.63	2020-21
		Unexpended Allotment Balance:	1,593,270.37	
			Life to Date	
			Budget	Receipts
			Balance	
Bond Fund			2,063,900.00	2,063,900.00
Total			2,063,900.00	2,063,900.00

Cabinet:	39	Finance & Administration Cabinet		
Department:	785	Facilities & Support Services		<u>Cash Balance</u>
Fund:	CA29	Fourth Floor Capitol Renovation		-454,499.00
		Appropriation:	5,000,000.00	
		Allotments:	500,000.00	
		Allotments Still Required:	4,500,000.00	Biennium Approved
		Expenditures:	454,499.00	2020-21
		Unexpended Allotment Balance:	45,501.00	
			Life to Date	
			Budget	Receipts
			Balance	
Bond Fund			5,000,000.00	0.00
Total			5,000,000.00	0.00

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Cabinet:	45	Cabinet for Universities	
Department:	430	Eastern Kentucky University	<u>Cash Balance</u>
Fund:	C73Y	Construct Science Building - Phase 2 and 3	0.00
	Appropriation:	91,340,000.00	
	Allotments:	66,340,000.00	
	Allotments Still Required:	25,000,000.00	Biennium Approved
	Expenditures:	66,191,632.16	2014-16
	Unexpended Allotment Balance:	148,367.84	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	66,340,000.00	66,191,632.16
	Restricted Funds	25,000,000.00	0.00
	Total	91,340,000.00	66,191,632.16
			25,148,367.84

Cabinet:	45	Cabinet for Universities	
Department:	435	Kentucky State University	<u>Cash Balance</u>
Fund:	C4YU	Construct Center for Families and Children	-20,972.22
	Appropriation:	4,211,100.00	
	Allotments:	4,211,044.00	
	Allotments Still Required:	56.00	Biennium Approved
	Expenditures:	3,638,857.59	
	Unexpended Allotment Balance:	572,186.41	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	4,211,100.00	0.00
	Restricted Funds	0.00	3,617,885.37
	Total	4,211,100.00	3,617,885.37
			593,214.63

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Cabinet:	45	Cabinet for Universities	
Department:	435	Kentucky State University	<u>Cash Balance</u>
Fund:	C751	Renovate Atwood Ag Research Building	0.00
	Appropriation:	12,368,000.00	
	Allotments:	7,242,678.00	
	Allotments Still Required:	5,125,322.00	Biennium Approved
	Expenditures:	7,215,022.07	2014-16
	Unexpended Allotment Balance:	27,655.93	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	12,368,000.00	0.00
	General Fund	0.00	4,832,030.97
	Restricted Funds	0.00	2,382,991.10
	Total	12,368,000.00	7,215,022.07
			5,152,977.93

Cabinet:	45	Cabinet for Universities	
Department:	435	Kentucky State University	<u>Cash Balance</u>
Fund:	C756	Repair Boilers and Aging Distribution Lines	0.00
	Appropriation:	10,400,000.00	
	Allotments:	10,218,866.00	
	Allotments Still Required:	181,134.00	Biennium Approved
	Expenditures:	10,055,761.59	2014-16
	Unexpended Allotment Balance:	163,104.41	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	10,400,000.00	10,055,761.59
	Total	10,400,000.00	10,055,761.59
			344,238.41

Cabinet:	45	Cabinet for Universities	
Department:	435	Kentucky State University	<u>Cash Balance</u>
Fund:	C8XM	Hunter Hall Renovation	-810,111.45
	Appropriation:	2,190,100.00	
	Allotments:	2,190,100.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,579,585.85	2018-20
	Unexpended Allotment Balance:	610,514.15	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	2,190,100.00	0.00
	Restricted Funds	0.00	769,474.40
	Total	2,190,100.00	769,474.40
			1,420,625.60

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C769	Construct Comm Intergenerational Center - Lees-Hazard C	-25,568.67
	Appropriation:	7,108,000.00	
	Allotments:	7,108,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	7,104,899.46	
	Unexpended Allotment Balance:	3,100.54	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	4,388,000.00	4,359,330.79
	Restricted Funds	2,720,000.00	2,720,000.00
	Total	7,108,000.00	7,079,330.79
			28,669.21
			0.00
			28,669.21

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C76H	Construct Urban Campus - Gateway CTC	347,624.58
	Appropriation:	15,000,000.00	
	Allotments:	15,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	14,652,375.42	2014-16
	Unexpended Allotment Balance:	347,624.58	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	11,250,000.00	11,250,000.00
	Restricted Funds	3,750,000.00	3,750,000.00
	Total	15,000,000.00	15,000,000.00
			0.00
			0.00
			0.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C76K	Construct Instructional Complex - Southcentral CTC	59,163.49
	Appropriation:	22,000,000.00	
	Allotments:	22,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	21,940,836.51	2014-16
	Unexpended Allotment Balance:	59,163.49	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	16,500,000.00	16,500,000.00
	Restricted Funds	5,500,000.00	5,500,000.00
	Total	22,000,000.00	22,000,000.00
			0.00
			0.00
			0.00

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Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C76S	Construct Advanced Manufacturing Facility - Bluegrass CT		0.00
		Appropriation:	24,000,000.00	
		Allotments:	24,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	23,898,369.05	2014-16
		Unexpended Allotment Balance:	101,630.95	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		24,000,000.00	23,898,369.05
	Total		24,000,000.00	23,898,369.05
				101,630.95

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C76U	Construct Ag Health & Career Tech - Hopkinsville CC Ph I		482,132.83
		Appropriation:	15,000,000.00	
		Allotments:	15,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	14,517,867.17	2014-16
		Unexpended Allotment Balance:	482,132.83	
			Life to Date	
			Budget	Receipts
			Balance	
	Bond Fund		11,250,000.00	11,250,000.00
	Restricted Funds		3,750,000.00	3,750,000.00
	Total		15,000,000.00	15,000,000.00
				0.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C88F	Upgrade Newtown Campus Bldgs & Infrastructure-Bluegras		629,018.07
		Appropriation:	10,000,000.00	
		Allotments:	9,798,789.00	
		Allotments Still Required:	201,211.00	Biennium Approved
		Expenditures:	9,169,770.93	2016-18
		Unexpended Allotment Balance:	629,018.07	
			Life to Date	
			Budget	Receipts
			Balance	
	Restricted Funds		10,000,000.00	9,798,789.00
	Total		10,000,000.00	9,798,789.00
				201,211.00

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Cabinet:	45	Cabinet for Universities			
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>	
Fund:	C88R	Renovate Leestown Campus - Bluegrass CTC		0.00	
		Appropriation:	6,000,000.00		
		Allotments:	6,000,000.00		
		Allotments Still Required:	0.00	Biennium Approved	
		Expenditures:	5,982,660.12		
		Unexpended Allotment Balance:	17,339.88		
			<u>Life to Date</u>		
			Budget Receipts	Balance	
	Bond Fund		3,040,000.00	3,040,000.00	0.00
	Restricted Funds		2,960,000.00	2,942,660.12	17,339.88
	Total		6,000,000.00	5,982,660.12	17,339.88

Cabinet:	45	Cabinet for Universities			
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>	
Fund:	C88T	Renovate Student Center Building - Elizabethtown CTC		4,702,892.64	
		Appropriation:	5,100,000.00		
		Allotments:	5,100,000.00		
		Allotments Still Required:	0.00	Biennium Approved	
		Expenditures:	397,107.36		
		Unexpended Allotment Balance:	4,702,892.64		
			<u>Life to Date</u>		
			Budget Receipts	Balance	
	Restricted Funds		5,100,000.00	5,100,000.00	0.00
	Total		5,100,000.00	5,100,000.00	0.00

Cabinet:	45	Cabinet for Universities			
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>	
Fund:	C8FN	Advanced Manufacturing Center - Bluegrass CTC, Danville		-32,880.44	
		Appropriation:	7,736,000.00		
		Allotments:	4,840,000.00		
		Allotments Still Required:	2,896,000.00	Biennium Approved	
		Expenditures:	4,780,504.03	2018-20	
		Unexpended Allotment Balance:	59,495.97		
			<u>Life to Date</u>		
			Budget Receipts	Balance	
	Bond Fund		2,736,000.00	2,643,623.59	92,376.41
	Restricted Funds		5,000,000.00	2,104,000.00	2,896,000.00
	Total		7,736,000.00	4,747,623.59	2,988,376.41

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C8NR	Advanced Manufacturing Center-Jefferson CTC, Downtown	-92,485.26
	Appropriation:	25,241,386.00	
	Allotments:	20,241,386.00	
	Allotments Still Required:	5,000,000.00	Biennium Approved
	Expenditures:	20,037,076.65	2018-20
	Unexpended Allotment Balance:	204,309.35	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	15,200,000.00	14,903,205.39
	Restricted Funds	10,041,386.00	5,041,386.00
	Total	25,241,386.00	19,944,591.39
			5,296,794.61

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C8NS	Renovate Manufacturing Labs-Somerset CC, South Campu	0.00
	Appropriation:	4,456,500.00	
	Allotments:	4,456,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,387,509.86	2016-18
	Unexpended Allotment Balance:	68,990.14	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	3,800,000.00	3,731,009.86
	Restricted Funds	656,500.00	656,500.00
	Total	4,456,500.00	4,387,509.86
			68,990.14

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C8UA	Manufacturing Labs and Equipment - West KY CTC	-73,124.30
	Appropriation:	3,040,000.00	
	Allotments:	3,040,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,934,163.45	2014-16
	Unexpended Allotment Balance:	105,836.55	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	3,040,000.00	2,861,039.15
	Total	3,040,000.00	2,861,039.15
			178,960.85

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C8V3	Manufacturing Labs and Equipment- Owensboro CTC	42,013.51
	Appropriation:	3,283,244.00	
	Allotments:	3,283,244.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,241,230.49	2016-18
	Unexpended Allotment Balance:	42,013.51	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,858,244.00	2,858,244.00
	Restricted Funds	425,000.00	425,000.00
	Total	3,283,244.00	3,283,244.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C8XG	Training Lab Addition and Renovation-Hopkinsville CC	44,809.25
	Appropriation:	2,674,734.00	
	Allotments:	2,674,734.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,280,449.79	2016-18
	Unexpended Allotment Balance:	394,284.21	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	2,353,554.00	2,004,079.04
	Restricted Funds	321,180.00	321,180.00
	Total	2,674,734.00	2,325,259.04

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C92B	Upgrade Welding Shop-Big Sandy CTC - Mayo Campus	68,708.00
	Appropriation:	1,500,000.00	
	Allotments:	92,000.00	
	Allotments Still Required:	1,408,000.00	Biennium Approved
	Expenditures:	23,292.00	2018-20
	Unexpended Allotment Balance:	68,708.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Restricted Funds	1,500,000.00	92,000.00
	Total	1,500,000.00	92,000.00

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Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C92E	Renovate Dental Hygiene Clinic - Big Sandy CTC - Mayo C		1,186,353.06
		Appropriation:	3,000,000.00	
		Allotments:	1,500,000.00	
		Allotments Still Required:	1,500,000.00	Biennium Approved
		Expenditures:	313,646.94	2018-20
		Unexpended Allotment Balance:	1,186,353.06	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	3,000,000.00	1,500,000.00
		Total	3,000,000.00	1,500,000.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C92S	Construct NRPC Parking Lot -Fire Commission		842,301.64
		Appropriation:	3,000,000.00	
		Allotments:	3,000,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	2,157,698.36	2018-20
		Unexpended Allotment Balance:	842,301.64	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	3,000,000.00	3,000,000.00
		Total	3,000,000.00	0.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9EZ	Renovate Building A Main Campus - Southcentral KY CTC		15,575.00
		Appropriation:	3,000,000.00	
		Allotments:	46,000.00	
		Allotments Still Required:	2,954,000.00	Biennium Approved
		Expenditures:	30,425.00	2019-20
		Unexpended Allotment Balance:	15,575.00	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	3,000,000.00	46,000.00
		Total	3,000,000.00	2,954,000.00

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Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RQ	KCTCS Equipment Pool - 2020-2022		0.00
	Appropriation:		5,000,000.00	
	Allotments:		0.00	
	Allotments Still Required:		5,000,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		0.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	5,000,000.00	0.00	5,000,000.00
	Total	5,000,000.00	0.00	5,000,000.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RR	Renovate Instructional Space - Gateway CTC		0.00
	Appropriation:		7,000,000.00	
	Allotments:		0.00	
	Allotments Still Required:		7,000,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		0.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	7,000,000.00	0.00	7,000,000.00
	Total	7,000,000.00	0.00	7,000,000.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RS	KCTCS Property Acquisition Pool - 2020-2022		5,500.00
	Appropriation:		5,000,000.00	
	Allotments:		3,967,322.21	
	Allotments Still Required:		1,032,677.79	Biennium Approved
	Expenditures:		3,961,822.21	2020-22
	Unexpended Allotment Balance:		5,500.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	5,000,000.00	3,967,322.21	1,032,677.79
	Total	5,000,000.00	3,967,322.21	1,032,677.79

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9RU	Renovate Parking Lot and Sidewalks - West Ky CTC	0.00
	Appropriation:	2,100,000.00	
	Allotments:	0.00	
	Allotments Still Required:	2,100,000.00	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	2,100,000.00	0.00
	Total	2,100,000.00	0.00
			2,100,000.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9RV	Renovate Newtown Campus North Buildings - Bluegrass C	4,752,781.00
	Appropriation:	4,900,000.00	
	Allotments:	4,900,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	147,219.00	2020-22
	Unexpended Allotment Balance:	4,752,781.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	4,900,000.00	4,900,000.00
	Total	4,900,000.00	4,900,000.00
			0.00
			0.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9RW	Acquire and Improve Parking Lots - Jefferson CTC	41,413.50
	Appropriation:	5,000,000.00	
	Allotments:	274,600.00	
	Allotments Still Required:	4,725,400.00	Biennium Approved
	Expenditures:	233,186.50	2020-22
	Unexpended Allotment Balance:	41,413.50	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	5,000,000.00	274,600.00
	Total	5,000,000.00	274,600.00
			4,725,400.00
			4,725,400.00

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Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RX	Upgrade IT Infrastructure - Gateway CTC		0.00
	Appropriation:		1,500,000.00	
	Allotments:		0.00	
	Allotments Still Required:		1,500,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		0.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	1,500,000.00	0.00	1,500,000.00
	Total	1,500,000.00	0.00	1,500,000.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RY	Construct/Procure Transportation Center - Elizabethtown C		0.00
	Appropriation:		5,000,000.00	
	Allotments:		0.00	
	Allotments Still Required:		5,000,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		0.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Restricted Funds	5,000,000.00	0.00	5,000,000.00
	Total	5,000,000.00	0.00	5,000,000.00

Cabinet:	45	Cabinet for Universities		
Department:	470	Ky Community Technical College System		<u>Cash Balance</u>
Fund:	C9RZ	Renovate Advance Manufacturing & Construction Ctr-Haza		970,000.00
	Appropriation:		4,900,000.00	
	Allotments:		970,000.00	
	Allotments Still Required:		3,930,000.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		970,000.00	
			<u>Life to Date</u>	
		<u>Budget</u>	<u>Receipts</u>	<u>Balance</u>
	Federal Funds	3,900,000.00	0.00	3,900,000.00
	Restricted Funds	1,000,000.00	970,000.00	30,000.00
	Total	4,900,000.00	970,000.00	3,930,000.00

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9S0	Renovate Industrial Education Building - Hazard CTC	0.00
	Appropriation:	2,500,000.00	
	Allotments:	200,000.00	
	Allotments Still Required:	2,300,000.00	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	200,000.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Federal Funds	2,500,000.00	0.00
	Total	2,500,000.00	0.00
		2,500,000.00	2,500,000.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9S1	Construct Fire Commission Five Story Training Drill Tower	1,146,000.00
	Appropriation:	1,200,000.00	
	Allotments:	1,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	54,000.00	2020-22
	Unexpended Allotment Balance:	1,146,000.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	1,200,000.00	1,200,000.00
	Total	1,200,000.00	1,200,000.00
		1,200,000.00	0.00
		1,200,000.00	0.00

Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	C9S2	Construct Fire Commission NRPC Classroom Building	4,932,739.80
	Appropriation:	5,200,000.00	
	Allotments:	5,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	267,260.20	
	Unexpended Allotment Balance:	4,932,739.80	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	5,200,000.00	5,200,000.00
	Total	5,200,000.00	5,200,000.00
		5,200,000.00	0.00
		5,200,000.00	0.00

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Cabinet:	45	Cabinet for Universities	
Department:	470	Ky Community Technical College System	<u>Cash Balance</u>
Fund:	CA7Z	Construct On-Site Training Center - Hardin County	25,000,000.00
	Appropriation:	25,000,000.00	
	Allotments:	2,505,000.00	
	Allotments Still Required:	22,495,000.00	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	2,505,000.00	
		Life to Date	
		Budget	Receipts
		Balance	
General Fund		25,000,000.00	25,000,000.00
Total		25,000,000.00	25,000,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C6C9	Parking Garage Maintenance	1,435.63
	Appropriation:	2,247,000.00	
	Allotments:	2,247,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,245,564.37	2012-14
	Unexpended Allotment Balance:	1,435.63	
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		2,000,000.00	2,000,000.00
Deferred Maintenance Fund		247,000.00	247,000.00
Total		2,247,000.00	2,247,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C6P8	South Wing & Associated Areas-Hail Damage	0.00
	Appropriation:	4,284,078.31	
	Allotments:	4,284,078.31	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,284,078.31	2012-14
	Unexpended Allotment Balance:	0.00	
		Life to Date	
		Budget	Receipts
		Balance	
Restricted Funds		4,284,078.31	4,284,078.31
Total		4,284,078.31	4,284,078.31

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C77K	Ky International Convention Center Renovation and Expan	-5,325.00
	Appropriation:	207,000,000.00	
	Allotments:	207,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	206,693,807.54	
	Unexpended Allotment Balance:	306,192.46	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	56,000,000.00	55,699,704.44
	Other Funds	151,000,000.00	150,988,778.10
	Total	207,000,000.00	206,688,482.54
			311,517.46

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C77L	Freedom Hall Sewer Line Replacement	-123,741.73
	Appropriation:	3,224,000.00	
	Allotments:	3,224,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,843,959.79	2014-16
	Unexpended Allotment Balance:	380,040.21	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	3,224,000.00	2,720,218.06
	Total	3,224,000.00	2,720,218.06
			503,781.94

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C878	Kentucky Exposition Center Roof Repair	-430,650.97
	Appropriation:	12,322,000.00	
	Allotments:	11,704,892.29	
	Allotments Still Required:	617,107.71	Biennium Approved
	Expenditures:	10,789,351.86	2016-18
	Unexpended Allotment Balance:	915,540.43	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	8,000,000.00	6,036,700.89
	Restricted Funds	4,322,000.00	4,322,000.00
	Total	12,322,000.00	10,358,700.89
			1,963,299.11

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C8YW	Cardinal Stadium Demolition	-113,635.05
	Appropriation:	2,735,000.00	
	Allotments:	2,735,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,623,162.93	
	Unexpended Allotment Balance:	111,837.07	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	50,000.00	50,000.00
	Tobacco Fund	2,685,000.00	2,459,527.88
	Total	2,735,000.00	2,509,527.88

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C92W	Kentucky International Convention Center Roof Replaceme	0.00
	Appropriation:	5,000,000.00	
	Allotments:	4,863,582.80	
	Allotments Still Required:	136,417.20	Biennium Approved
	Expenditures:	4,474,283.64	2018-20
	Unexpended Allotment Balance:	389,299.16	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	5,000,000.00	4,474,283.64
	Total	5,000,000.00	4,474,283.64

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C9BJ	Paving-KEC Access Road and Parking Lot	2,144,453.10
	Appropriation:	6,406,504.28	
	Allotments:	6,406,504.28	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,262,051.18	
	Unexpended Allotment Balance:	2,144,453.10	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	General Fund	6,406,504.28	6,406,504.28
	Total	6,406,504.28	6,406,504.28

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C9CV	KEC-Entry Gate Remodel	-7,421.25
	Appropriation:	7,500,061.25	
	Allotments:	7,500,061.25	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	7,116,555.95	2018-20
	Unexpended Allotment Balance:	383,505.30	
		Life to Date	
		Budget	Receipts
		Balance	
	Tobacco Fund	7,500,061.25	7,109,134.70
	Total	7,500,061.25	7,109,134.70
			390,926.55
			390,926.55

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C9CW	Freedom Hall Make-Up Ring Remodel	0.00
	Appropriation:	1,500,000.00	
	Allotments:	1,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,458,451.54	
	Unexpended Allotment Balance:	41,548.46	
		Life to Date	
		Budget	Receipts
		Balance	
	Tobacco Fund	1,500,000.00	1,458,451.54
	Total	1,500,000.00	1,458,451.54
			41,548.46
			41,548.46

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C9NS	Prestonia Grounds and Infrastructure Improvements	-174,538.98
	Appropriation:	4,000,000.00	
	Allotments:	3,853,180.00	
	Allotments Still Required:	146,820.00	Biennium Approved
	Expenditures:	3,533,044.24	2020-22
	Unexpended Allotment Balance:	320,135.76	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	4,000,000.00	3,358,505.26
	Total	4,000,000.00	3,358,505.26
			641,494.74
			641,494.74

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	235	Kentucky State Fair Board	<u>Cash Balance</u>
Fund:	C9TM	Cardinal Stadium Paving	0.00
	Appropriation:	1,576,671.72	
	Allotments:	1,576,671.72	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,576,671.72	
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		1,576,671.72	1,576,671.72
Total		1,576,671.72	1,576,671.72

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	410	Kentucky Heritage Council	<u>Cash Balance</u>
Fund:	C9U2	Records Digitization	-46,254.28
	Appropriation:	1,000,000.00	
	Allotments:	1,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	988,327.93	2020-21
	Unexpended Allotment Balance:	11,672.07	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		1,000,000.00	942,073.65
Total		1,000,000.00	942,073.65

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	552	Kentucky Center For The Arts	<u>Cash Balance</u>
Fund:	C77P	Roof Replacement	0.00
	Appropriation:	2,200,000.00	
	Allotments:	2,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,704,143.96	2014-16
	Unexpended Allotment Balance:	495,856.04	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		2,200,000.00	1,704,143.96
Total		2,200,000.00	1,704,143.96

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	552	Kentucky Center For The Arts	<u>Cash Balance</u>
Fund:	C7ZE	KCA-Chiller Replacement	1,174.49
	Appropriation:	2,757,780.22	
	Allotments:	2,757,780.22	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,757,205.73	2014-16
	Unexpended Allotment Balance:	574.49	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Investment Income	71,380.22	71,380.22
	Other Funds	2,686,400.00	0.00
	Restricted Funds	0.00	2,687,000.00
	Total	2,757,780.22	-2,687,000.00
			-600.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	552	Kentucky Center For The Arts	<u>Cash Balance</u>
Fund:	C8LB	Barrel Roof Maintenance Repairs for Leaks	-404,966.94
	Appropriation:	1,123,520.00	
	Allotments:	1,123,520.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	511,141.94	2014-16
	Unexpended Allotment Balance:	612,378.06	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Investment Income	106,175.00	106,175.00
	Restricted Funds	1,017,345.00	0.00
	Total	1,123,520.00	1,017,345.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	552	Kentucky Center For The Arts	<u>Cash Balance</u>
Fund:	C8ZS	Fire Clean Up	1,772,456.42
	Appropriation:	3,400,000.00	
	Allotments:	3,400,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,222,543.58	
	Unexpended Allotment Balance:	177,456.42	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Restricted Funds	3,400,000.00	4,995,000.00
	Total	3,400,000.00	-1,595,000.00
			-1,595,000.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	552	Kentucky Center For The Arts	<u>Cash Balance</u>
Fund:	C95F	Fire Damage Restoration	-1,351,960.32
	Appropriation:	9,000,000.00	
	Allotments:	9,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	6,595,653.81	
	Unexpended Allotment Balance:	2,404,346.19	
		Life to Date	
		Budget	Receipts
	Restricted Funds	9,000,000.00	5,243,693.49
	Total	9,000,000.00	5,243,693.49
			3,756,306.51

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C4TR	FILO - Salt Lick	290,741.42
	Appropriation:	1,647,800.00	
	Allotments:	1,647,800.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,357,058.58	2008-10
	Unexpended Allotment Balance:	290,741.42	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,647,800.00	1,647,800.00
	Total	1,647,800.00	1,647,800.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C5EG	FILO - Elm Fork	80,073.71
	Appropriation:	1,468,000.00	
	Allotments:	1,468,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,387,926.29	2012-14
	Unexpended Allotment Balance:	80,073.71	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,468,000.00	1,468,000.00
	Total	1,468,000.00	1,468,000.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C5UA	FILO - Eagle Creek Tributary	342,325.96
	Appropriation:	1,529,290.00	
	Allotments:	1,529,290.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,186,964.04	2010-12
	Unexpended Allotment Balance:	342,325.96	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,529,290.00	1,529,290.00
	Total	1,529,290.00	1,529,290.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C5XD	FILO - Rogers Gap	1,065,106.12
	Appropriation:	13,100,000.00	
	Allotments:	13,100,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	12,034,893.88	2012-14
	Unexpended Allotment Balance:	1,065,106.12	
		Life to Date	
		Budget	Receipts
	Restricted Funds	13,100,400.00	13,100,000.00
	Total	13,100,400.00	13,100,000.00
			Balance
			400.00
			400.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C64C	FILO Ross Creek	779,415.04
	Appropriation:	4,438,760.00	
	Allotments:	4,438,760.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,659,344.96	2018-20
	Unexpended Allotment Balance:	779,415.04	
		Life to Date	
		Budget	Receipts
	Restricted Funds	4,438,760.00	4,438,760.00
	Total	4,438,760.00	4,438,760.00
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C68P	FILO Laurel Gorge Greene Johnson		645,004.73
	Appropriation:		1,739,232.50	
	Allotments:		1,739,232.50	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		1,094,227.77	
	Unexpended Allotment Balance:		645,004.73	
			Life to Date	
		Budget	Receipts	Balance
	Restricted Funds	1,739,232.50	1,739,232.50	0.00
	Total	1,739,232.50	1,739,232.50	0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C692	FILO-Goose Creek		19,115.56
	Appropriation:		3,500,000.00	
	Allotments:		3,500,000.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		3,480,884.44	2018-20
	Unexpended Allotment Balance:		19,115.56	
			Life to Date	
		Budget	Receipts	Balance
	Restricted Funds	3,500,000.00	3,500,000.00	0.00
	Total	3,500,000.00	3,500,000.00	0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C6A5	FILO-Blue Spring Creek Project		3,576,679.55
	Appropriation:		6,325,007.32	
	Allotments:		6,325,007.32	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		2,748,327.77	2014-16
	Unexpended Allotment Balance:		3,576,679.55	
			Life to Date	
		Budget	Receipts	Balance
	Restricted Funds	6,325,007.32	6,325,007.32	0.00
	Total	6,325,007.32	6,325,007.32	0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6CD	Fees-in-Lieu-of Stream Mitigation Projects Pool	1,185,800.00
	Appropriation:	27,395,826.38	
	Allotments:	1,185,800.00	
	Allotments Still Required:	26,210,026.38	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	1,185,800.00	
		Life to Date	
		Budget	Receipts
	Restricted Funds	27,395,826.38	1,185,800.00
	Total	27,395,826.38	1,185,800.00
			26,210,026.38
			26,210,026.38

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6NB	FILO-Whites Creek	1,860,509.59
	Appropriation:	2,209,000.00	
	Allotments:	2,209,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	348,490.41	2012-14
	Unexpended Allotment Balance:	1,860,509.59	
		Life to Date	
		Budget	Receipts
	Restricted Funds	2,209,000.00	2,209,000.00
	Total	2,209,000.00	2,209,000.00
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6SW	Minor's Creek-Owen County	144,741.52
	Appropriation:	4,466,945.40	
	Allotments:	4,466,945.40	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,322,203.88	
	Unexpended Allotment Balance:	144,741.52	
		Life to Date	
		Budget	Receipts
	Restricted Funds	4,466,945.40	4,466,945.40
	Total	4,466,945.40	4,466,945.40
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6V9	FILO-Old Trace Creek, Lewis County	1,419,391.92
	Appropriation:	3,866,593.28	
	Allotments:	3,866,593.28	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,447,201.36	2012-14
	Unexpended Allotment Balance:	1,419,391.92	
		Life to Date	
		Budget	Receipts
	Restricted Funds	3,866,593.28	3,866,593.28
	Total	3,866,593.28	3,866,593.28
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6VA	FILO-Burnett Branch, Wayne & McCreary Counties	7,026,011.39
	Appropriation:	7,851,351.87	
	Allotments:	7,851,351.87	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	825,340.48	2018-20
	Unexpended Allotment Balance:	7,026,011.39	
		Life to Date	
		Budget	Receipts
	Restricted Funds	7,851,351.87	7,851,351.87
	Total	7,851,351.87	7,851,351.87
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6VB	FILO-Mart Whitt Fork, Elliott County	661,970.80
	Appropriation:	1,786,995.00	
	Allotments:	1,786,995.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,125,024.20	
	Unexpended Allotment Balance:	661,970.80	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,786,995.00	1,786,995.00
	Total	1,786,995.00	1,786,995.00
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C6X2	FILO-Myer's Station, Nicholas County	2,542.70
	Appropriation:	1,902,600.00	
	Allotments:	1,902,600.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,900,057.30	
	Unexpended Allotment Balance:	2,542.70	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,902,600.00	1,902,600.00
	Total	1,902,600.00	1,902,600.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C71R	FILO-Big Farm	716,729.40
	Appropriation:	5,000,000.00	
	Allotments:	5,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,283,270.60	2012-14
	Unexpended Allotment Balance:	716,729.40	
		Life to Date	
		Budget	Receipts
	Restricted Funds	5,000,000.00	5,000,000.00
	Total	5,000,000.00	5,000,000.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C71U	FILO-Rich WMA-Red Oak Creek	1,022,188.33
	Appropriation:	2,347,192.00	
	Allotments:	2,347,192.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,325,003.67	2012-14
	Unexpended Allotment Balance:	1,022,188.33	
		Life to Date	
		Budget	Receipts
	Restricted Funds	2,347,192.00	2,347,192.00
	Total	2,347,192.00	2,347,192.00
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C77Q	Land Acquisition Pool - 2014-2016		0.00
		Appropriation:	1,267,004.80	
		Allotments:	0.00	
		Allotments Still Required:	1,267,004.80	Biennium Approved
		Expenditures:	0.00	2012-14
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
	Restricted Funds		1,267,004.80	0.00
	Total		1,267,004.80	0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C7Q6	FILO-Wolf Run Jefferson Cty Mem Forest		3,731,582.20
		Appropriation:	3,840,758.20	
		Allotments:	3,840,758.20	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	109,176.00	2012-14
		Unexpended Allotment Balance:	3,731,582.20	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
	Restricted Funds		3,840,758.20	3,840,758.20
	Total		3,840,758.20	0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet		
Department:	660	Kentucky Fish And Wildlife Resources		<u>Cash Balance</u>
Fund:	C86A	FILO Office Space		32,502.16
		Appropriation:	1,050,000.00	
		Allotments:	1,050,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	1,017,497.84	2014-16
		Unexpended Allotment Balance:	32,502.16	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
	Restricted Funds		1,050,000.00	1,050,000.00
	Total		1,050,000.00	0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C89Y	Camp Currie Dining Hall Construction	321,041.77
	Appropriation:	3,500,000.00	
	Allotments:	3,152,800.00	
	Allotments Still Required:	347,200.00	Biennium Approved
	Expenditures:	2,831,758.23	2016-18
	Unexpended Allotment Balance:	321,041.77	
		Life to Date	
		Budget	Receipts
		Balance	
	Federal Funds	855,000.00	0.00
	Other Funds	1,245,000.00	0.00
	Restricted Funds	1,400,000.00	3,152,800.00
	Total	3,500,000.00	-1,752,800.00
			347,200.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C8U1	FILO-Rock Lick	1,533,393.00
	Appropriation:	2,037,500.00	
	Allotments:	2,037,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	504,107.00	
	Unexpended Allotment Balance:	1,533,393.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	2,037,500.00	2,037,500.00
	Total	2,037,500.00	0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C8U8	FILO-Ross Creek III, Lee County	476,351.00
	Appropriation:	1,007,232.00	
	Allotments:	1,007,232.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	530,881.00	2018-20
	Unexpended Allotment Balance:	476,351.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	1,007,232.00	1,007,232.00
	Total	1,007,232.00	0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C8W9	FILO-Big Rivers Wetland	1,644,531.60
	Appropriation:	1,810,449.14	
	Allotments:	1,810,449.14	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	165,917.54	2018-20
	Unexpended Allotment Balance:	1,644,531.60	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,810,449.14	1,810,449.14
	Total	1,810,449.14	1,810,449.14
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9D2	FILO-Rolling Fork WMA Stream & Wetland	760,150.00
	Appropriation:	1,800,000.00	
	Allotments:	1,800,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,039,850.00	2018-20
	Unexpended Allotment Balance:	760,150.00	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,800,000.00	1,800,000.00
	Total	1,800,000.00	1,800,000.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9JW	FILO- Bender Hollow	4,052,315.95
	Appropriation:	4,534,316.00	
	Allotments:	4,534,316.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	482,000.05	2018-20
	Unexpended Allotment Balance:	4,052,315.95	
		Life to Date	
		Budget	Receipts
	Restricted Funds	4,534,316.00	4,534,316.00
	Total	4,534,316.00	4,534,316.00
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q0	FILO-UL20200114 Morgan Co Wetland	1,896,384.25
	Appropriation:	1,992,529.20	
	Allotments:	1,992,529.20	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	96,144.95	
	Unexpended Allotment Balance:	1,896,384.25	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,992,529.20	1,992,529.20
	Total	1,992,529.20	1,992,529.20
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q1	FILO-UL20200114 Horse Mill	4,689,299.92
	Appropriation:	5,376,601.00	
	Allotments:	5,376,601.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	687,301.08	
	Unexpended Allotment Balance:	4,689,299.92	
		Life to Date	
		Budget	Receipts
	Restricted Funds	5,376,601.00	5,376,601.00
	Total	5,376,601.00	5,376,601.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q2	FILO-UK20200114 Little Sexton	3,601,835.22
	Appropriation:	5,132,968.18	
	Allotments:	5,132,968.18	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,531,132.96	
	Unexpended Allotment Balance:	3,601,835.22	
		Life to Date	
		Budget	Receipts
	Restricted Funds	5,132,968.18	5,132,968.18
	Total	5,132,968.18	5,132,968.18
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q3	FILO-UL20200114 Slabcamp Br	369,098.20
	Appropriation:	1,055,000.00	
	Allotments:	1,055,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	685,901.80	
	Unexpended Allotment Balance:	369,098.20	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,055,000.00	1,055,000.00
	Total	1,055,000.00	1,055,000.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q7	FILO- NK20200114 Middle I	2,545,024.53
	Appropriation:	4,134,817.50	
	Allotments:	4,134,817.50	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,589,792.97	
	Unexpended Allotment Balance:	2,545,024.53	
		Life to Date	
		Budget	Receipts
	Restricted Funds	4,134,817.50	4,134,817.50
	Total	4,134,817.50	4,134,817.50
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q8	FILO- NK20200114 Middle II	6,977,698.55
	Appropriation:	7,202,621.25	
	Allotments:	7,202,621.25	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	224,922.70	
	Unexpended Allotment Balance:	6,977,698.55	
		Life to Date	
		Budget	Receipts
	Restricted Funds	7,202,621.25	7,202,621.25
	Total	7,202,621.25	7,202,621.25
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Q9	FILO- NK20200114 Middle III	1,012,597.64
	Appropriation:	1,731,633.75	
	Allotments:	1,731,633.75	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	719,036.11	2018-20
	Unexpended Allotment Balance:	1,012,597.64	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,731,633.75	1,731,633.75
	Total	1,731,633.75	1,731,633.75
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9V1	FILO-Mud Camp	1,460,588.00
	Appropriation:	2,732,000.00	
	Allotments:	2,732,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,271,412.00	
	Unexpended Allotment Balance:	1,460,588.00	
		Life to Date	
		Budget	Receipts
	Restricted Funds	2,732,000.00	2,732,000.00
	Total	2,732,000.00	2,732,000.00
			Balance
			0.00
			0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9V2	FILO-Staggs Creek	1,131,341.55
	Appropriation:	1,984,500.00	
	Allotments:	1,984,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	853,158.45	
	Unexpended Allotment Balance:	1,131,341.55	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,984,500.00	1,984,500.00
	Total	1,984,500.00	1,984,500.00
			Balance
			0.00
			0.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Y5	FILO-E Fork Indian Repair	0.00
	Appropriation:	1,127,990.00	
	Allotments:	1,127,990.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,127,990.00	
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	1,127,990.00	1,127,990.00
	Total	1,127,990.00	1,127,990.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	C9Z9	FILO-Crow Creek	388,212.00
	Appropriation:	1,868,000.00	
	Allotments:	1,868,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,479,788.00	
	Unexpended Allotment Balance:	388,212.00	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	1,868,000.00	1,868,000.00
	Total	1,868,000.00	1,868,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	CA2M	FILO-Gabbard Branch	140,173.51
	Appropriation:	1,785,000.00	
	Allotments:	1,785,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,644,826.49	
	Unexpended Allotment Balance:	140,173.51	
		<u>Life to Date</u>	
		Budget	Receipts
	Restricted Funds	1,785,000.00	1,785,000.00
	Total	1,785,000.00	1,785,000.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	660	Kentucky Fish And Wildlife Resources	<u>Cash Balance</u>
Fund:	CADH	FILO-Ferguson Creek	879,667.50
	Appropriation:	1,700,000.00	
	Allotments:	1,700,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	820,332.50	
	Unexpended Allotment Balance:	879,667.50	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Restricted Funds	1,700,000.00	1,700,000.00
	Total	1,700,000.00	1,700,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	665	Kentucky Horse Park	<u>Cash Balance</u>
Fund:	C597	Energy From Waste Facility - ARRA	76,010.80
	Appropriation:	1,950,000.00	
	Allotments:	1,950,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,591,029.00	2010-12
	Unexpended Allotment Balance:	358,971.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	1,950,000.00	950,000.00
	Restricted Funds	0.00	717,039.80
	Total	1,950,000.00	1,667,039.80

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C1UM	Pine Mountain Trail Development	3,502.57
	Appropriation:	2,331,307.00	
	Allotments:	2,331,307.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,048,254.88	2002-04
	Unexpended Allotment Balance:	283,052.12	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	17,791.46	0.00
	Federal Funds	991,307.00	860,687.06
	Investment Income	0.00	0.00
	Other Funds	800,000.00	288,000.00
	Restricted Funds	522,208.54	885,278.74
	Undetermined Funding	0.00	17,791.65
	Total	2,331,307.00	2,051,757.45

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C5Z2	Dawkins Line Scenic Trail	3,721.73
	Appropriation:	2,740,000.00	
	Allotments:	2,740,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,736,278.27	2010-12
	Unexpended Allotment Balance:	3,721.73	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Restricted Funds	500,000.00	500,000.00
	Road Fund	2,240,000.00	2,240,000.00
	Total	2,740,000.00	2,740,000.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C67W	JA-KHLCF-Oliver Tract	74.00
	Appropriation:	1,317,236.00	
	Allotments:	1,317,236.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,317,162.00	
	Unexpended Allotment Balance:	74.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	1,317,236.00	1,317,236.00
	Total	1,317,236.00	1,317,236.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C77T	Upgrade Guest Accommodations	0.00
	Appropriation:	5,500,000.00	
	Allotments:	5,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	5,345,346.33	2014-16
	Unexpended Allotment Balance:	154,653.67	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	5,500,000.00	5,345,346.33
	Total	5,500,000.00	5,345,346.33

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C77V	Rough River Dam Boat Dock	10,377.89
	Appropriation:	1,850,000.00	
	Allotments:	1,850,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,839,622.11	2014-16
	Unexpended Allotment Balance:	10,377.89	
		Life to Date	
		Budget	Receipts
		Balance	
	General Fund	1,850,000.00	1,850,000.00
	Total	1,850,000.00	1,850,000.00

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C7Q0	Kentucky Dam Village Roof Replacement and Repair	26,673.67
	Appropriation:	2,000,000.00	
	Allotments:	2,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,973,326.33	2012-14
	Unexpended Allotment Balance:	26,673.67	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	2,000,000.00	2,000,000.00
	Total	2,000,000.00	2,000,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C8BW	BR-Lodge Concrete and Rail Repairs	4.25
	Appropriation:	1,031,251.01	
	Allotments:	1,031,251.01	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,031,246.76	2014-16
	Unexpended Allotment Balance:	4.25	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	1,031,251.01	1,031,251.01
	General Fund	0.00	0.00
	Total	1,031,251.01	1,031,251.01

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	<u>Cash Balance</u>
Fund:	C9C3	CO-Roof Replace and Repair-West	532,951.14
	Appropriation:	1,360,210.08	
	Allotments:	1,360,210.08	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	827,258.94	2019-20
	Unexpended Allotment Balance:	532,951.14	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	1,360,210.08	1,360,210.08
	Total	1,360,210.08	1,360,210.08

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Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	
Fund:	C9C5	CO-Water and Sewer Upgrades- West	<u>Cash Balance</u>
			484,105.00
		Appropriation:	1,375,000.00
		Allotments:	1,375,000.00
		Allotments Still Required:	0.00
		Expenditures:	890,895.00
		Unexpended Allotment Balance:	484,105.00
			Biennium Approved 2019-20
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Bond Fund		1,375,000.00	1,375,000.00
Total		1,375,000.00	1,375,000.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	
Fund:	CA1J	FB-Parkwide_Flood Reconstruction	<u>Cash Balance</u>
			-1,377,139.18
		Appropriation:	2,200,000.00
		Allotments:	2,200,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,377,139.18
		Unexpended Allotment Balance:	822,860.82
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Restricted Funds		2,200,000.00	0.00
Total		2,200,000.00	0.00

Cabinet:	50	Tourism, Arts and Heritage Cabinet	
Department:	670	Kentucky Department Of Parks	
Fund:	CA9U	LB-LodgeFireRepairs	<u>Cash Balance</u>
			-930,994.41
		Appropriation:	6,000,000.00
		Allotments:	6,000,000.00
		Allotments Still Required:	0.00
		Expenditures:	930,994.41
		Unexpended Allotment Balance:	5,069,005.59
			Biennium Approved
			<u>Life to Date</u>
		<u>Budget</u>	<u>Receipts</u>
		<u>Balance</u>	
Restricted Funds		6,000,000.00	0.00
Total		6,000,000.00	0.00

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Cabinet:	51	Education & Workforce Development Cabinet	
Department:	531	Department of Workforce Development	<u>Cash Balance</u>
Fund:	CA52	OVR Case Management Fund	4,620,000.00
	Appropriation:	4,620,000.00	
	Allotments:	4,620,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	0.00	
	Unexpended Allotment Balance:	4,620,000.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Federal Funds	4,620,000.00	4,620,000.00
	Total	4,620,000.00	4,620,000.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	<u>Cash Balance</u>
Fund:	C09T	Kentucky Education Network	1,725,752.55
	Appropriation:	8,900,000.00	
	Allotments:	8,900,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	7,174,247.45	2006-08
	Unexpended Allotment Balance:	1,725,752.55	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	8,900,000.00	8,900,000.00
	Total	8,900,000.00	8,900,000.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	<u>Cash Balance</u>
Fund:	C1DU	KSD-Various Major Maintenance	32,703.43
	Appropriation:	3,297,323.43	
	Allotments:	3,274,000.00	
	Allotments Still Required:	23,323.43	Biennium Approved
	Expenditures:	3,274,000.00	
	Unexpended Allotment Balance:	0.00	
		Life to Date	
		Budget	Receipts
		Balance	
	Bond Fund	3,297,323.43	3,297,323.43
	Federal Funds	0.00	9,380.00
	Total	3,297,323.43	3,306,703.43

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Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C5UN	Ky Statewide Longitudinal Data System	<u>Cash Balance</u>
			1,492.92
	Appropriation:	3,469,400.00	
	Allotments:	3,184,400.00	
	Allotments Still Required:	285,000.00	Biennium Approved
	Expenditures:	2,358,891.10	2010-12
	Unexpended Allotment Balance:	825,508.90	
		<u>Life to Date</u>	
		Budget	Receipts
Federal Funds		3,469,400.00	2,360,384.02
Total		3,469,400.00	2,360,384.02
			1,109,015.98
			1,109,015.98

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C8A9	KSB Howser Hall Renovation	<u>Cash Balance</u>
			0.00
	Appropriation:	5,000,000.00	
	Allotments:	5,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,972,316.30	2016-18
	Unexpended Allotment Balance:	27,683.70	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		5,000,000.00	4,972,316.30
Total		5,000,000.00	4,972,316.30
			27,683.70
			27,683.70

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C8AA	KSD New Elementary Building	<u>Cash Balance</u>
			0.00
	Appropriation:	6,000,000.00	
	Allotments:	6,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	5,908,409.60	2016-18
	Unexpended Allotment Balance:	91,590.40	
		<u>Life to Date</u>	
		Budget	Receipts
Bond Fund		6,000,000.00	5,908,409.60
Total		6,000,000.00	5,908,409.60
			91,590.40
			91,590.40

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Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C8AB	KSB McDaniel/Scoggin Educational Building	<u>Cash Balance</u>
			-19,922.56
		Appropriation:	1,000,000.00
		Allotments:	1,000,000.00
		Allotments Still Required:	0.00
		Expenditures:	999,727.16
		Unexpended Allotment Balance:	272.84
			Biennium Approved 2016-18
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		1,000,000.00	979,804.60
Total		1,000,000.00	979,804.60
			20,195.40
			20,195.40

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C9SV	School Safety Facility Upgrades	<u>Cash Balance</u>
			-6,840,265.00
		Appropriation:	18,200,000.00
		Allotments:	18,200,000.00
		Allotments Still Required:	0.00
		Expenditures:	17,671,333.00
		Unexpended Allotment Balance:	528,667.00
			Biennium Approved 2020-22
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		18,200,000.00	10,831,068.00
Total		18,200,000.00	10,831,068.00
			7,368,932.00
			7,368,932.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C9W	KSD HVAC Projects	<u>Cash Balance</u>
			4,899,010.62
		Appropriation:	4,950,000.00
		Allotments:	4,950,000.00
		Allotments Still Required:	0.00
		Expenditures:	50,989.38
		Unexpended Allotment Balance:	4,899,010.62
			Biennium Approved
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		4,950,000.00	4,950,000.00
Total		4,950,000.00	4,950,000.00
			0.00
			0.00

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Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C9WY	KSB HVAC	<u>Cash Balance</u>
			4,899,010.62
		Appropriation:	4,950,000.00
		Allotments:	4,950,000.00
		Allotments Still Required:	0.00
		Expenditures:	50,989.38
		Unexpended Allotment Balance:	4,899,010.62
			Biennium Approved
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		4,950,000.00	4,950,000.00
Total		4,950,000.00	4,950,000.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C9WZ	KSB Roof Projects	<u>Cash Balance</u>
			1,688,723.00
		Appropriation:	1,760,000.00
		Allotments:	1,760,000.00
		Allotments Still Required:	0.00
		Expenditures:	71,277.00
		Unexpended Allotment Balance:	1,688,723.00
			Biennium Approved
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		1,760,000.00	1,760,000.00
Total		1,760,000.00	1,760,000.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	540	Department Of Education	
Fund:	C9X0	KSD Roof Projects	<u>Cash Balance</u>
			1,337,261.00
		Appropriation:	1,412,000.00
		Allotments:	1,412,000.00
		Allotments Still Required:	0.00
		Expenditures:	74,739.00
		Unexpended Allotment Balance:	1,337,261.00
			Biennium Approved
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		1,412,000.00	1,412,000.00
Total		1,412,000.00	1,412,000.00

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Cabinet:	51	Education & Workforce Development Cabinet	
Department:	545	Kentucky Educational Television	<u>Cash Balance</u>
Fund:	C72S	Digital Conversion/Phase 3	1,032.68
	Appropriation:	2,000,000.00	
	Allotments:	2,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,998,967.32	2014-16
	Unexpended Allotment Balance:	1,032.68	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
General Fund		2,000,000.00	2,000,000.00
Total		2,000,000.00	2,000,000.00

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	545	Kentucky Educational Television	<u>Cash Balance</u>
Fund:	C87V	KET FCC REPACK	0.00
	Appropriation:	20,580,000.00	
	Allotments:	20,580,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	14,806,841.13	
	Unexpended Allotment Balance:	5,773,158.87	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Federal Funds		20,580,000.00	14,806,841.13
Investment Income		0.00	0.00
Total		20,580,000.00	14,806,841.13

Cabinet:	51	Education & Workforce Development Cabinet	
Department:	545	Kentucky Educational Television	<u>Cash Balance</u>
Fund:	C935	Transmitter and Repack	-1,237.50
	Appropriation:	2,100,000.00	
	Allotments:	2,100,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,668,268.05	2018-20
	Unexpended Allotment Balance:	431,731.95	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Bond Fund		2,100,000.00	1,667,030.55
Total		2,100,000.00	1,667,030.55

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Cabinet:	51	Education & Workforce Development Cabinet		
Department:	545	Kentucky Educational Television		<u>Cash Balance</u>
Fund:	CA8T	KET NEXT GEN PROJECT		-61,581.29
	Appropriation:		11,500,000.00	
	Allotments:		11,500,000.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		111,311.29	2020-22
	Unexpended Allotment Balance:		11,388,688.71	
		Life to Date		
		Budget	Receipts	Balance
	Federal Funds	11,500,000.00	49,730.00	11,450,270.00
	Total	11,500,000.00	49,730.00	11,450,270.00

Cabinet:	53	Health & Family Services Cabinet		
Department:	721	CHFS - Office Of The Secretary		<u>Cash Balance</u>
Fund:	C9SC	KASPER		71,992.71
	Appropriation:		2,000,000.00	
	Allotments:		2,000,000.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		968,056.84	2020-22
	Unexpended Allotment Balance:		1,031,943.16	
		Life to Date		
		Budget	Receipts	Balance
	Federal Funds	1,820,000.00	860,049.55	959,950.45
	Investment Income	180,000.00	180,000.00	0.00
	Total	2,000,000.00	1,040,049.55	959,950.45

Cabinet:	53	Health & Family Services Cabinet		
Department:	728	Department For Public Health		<u>Cash Balance</u>
Fund:	C73B	Radiation Monitoring Equipment		0.00
	Appropriation:		2,486,000.00	
	Allotments:		2,486,000.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		1,919,105.40	2014-16
	Unexpended Allotment Balance:		566,894.60	
		Life to Date		
		Budget	Receipts	Balance
	Bond Fund	2,486,000.00	1,919,105.40	566,894.60
	Total	2,486,000.00	1,919,105.40	566,894.60

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Cabinet:	53	Health & Family Services Cabinet	
Department:	728	Department For Public Health	<u>Cash Balance</u>
Fund:	C938	Budget, Accounting and Reporting System	3,799,526.95
	Appropriation:	4,220,000.00	
	Allotments:	4,220,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	420,473.05	2018-20
	Unexpended Allotment Balance:	3,799,526.95	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	4,220,000.00	4,220,000.00
	Total	4,220,000.00	4,220,000.00

Cabinet:	53	Health & Family Services Cabinet	
Department:	728	Department For Public Health	<u>Cash Balance</u>
Fund:	C939	Vital Statistics Digitized System	1,528,608.21
	Appropriation:	2,700,000.00	
	Allotments:	2,700,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,171,391.79	2018-20
	Unexpended Allotment Balance:	1,528,608.21	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	2,700,000.00	2,700,000.00
	Total	2,700,000.00	2,700,000.00

Cabinet:	53	Health & Family Services Cabinet	
Department:	728	Department For Public Health	<u>Cash Balance</u>
Fund:	C93A	Scan and Image Historical Records	4,176,057.44
	Appropriation:	5,750,000.00	
	Allotments:	5,750,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,573,942.56	2018-20
	Unexpended Allotment Balance:	4,176,057.44	
		Life to Date	
		Budget	Receipts
		Balance	
	Restricted Funds	5,750,000.00	5,750,000.00
	Total	5,750,000.00	5,750,000.00

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Cabinet:	53	Health & Family Services Cabinet		
Department:	728	Department For Public Health		<u>Cash Balance</u>
Fund:	C9SD	WIC Modernization		0.00
	Appropriation:		10,756,000.00	
	Allotments:		10,756,000.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		296,397.24	2020-22
	Unexpended Allotment Balance:		10,459,602.76	
		Life to Date		
		Budget	Receipts	Balance
Federal Funds		10,756,000.00	296,397.24	10,459,602.76
Total		10,756,000.00	296,397.24	10,459,602.76

Cabinet:	53	Health & Family Services Cabinet		
Department:	728	Department For Public Health		<u>Cash Balance</u>
Fund:	CA72	DPH - Microbials Identification System		0.00
	Appropriation:		254,180.00	
	Allotments:		254,180.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		254,180.00	
		Life to Date		
		Budget	Receipts	Balance
Federal Funds		254,180.00	0.00	254,180.00
Total		254,180.00	0.00	254,180.00

Cabinet:	53	Health & Family Services Cabinet		
Department:	728	Department For Public Health		<u>Cash Balance</u>
Fund:	CABF	DPH - Clear Dx Platform Instrument		0.00
	Appropriation:		307,061.00	
	Allotments:		307,061.00	
	Allotments Still Required:		0.00	Biennium Approved
	Expenditures:		0.00	2020-22
	Unexpended Allotment Balance:		307,061.00	
		Life to Date		
		Budget	Receipts	Balance
Federal Funds		307,061.00	0.00	307,061.00
Total		307,061.00	0.00	307,061.00

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Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C8A3	Western State Hospital-Electrical Upgrade-Phase I	0.00
	Appropriation:	4,867,500.00	
	Allotments:	4,867,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	4,790,660.78	2016-18
	Unexpended Allotment Balance:	76,839.22	
		Life to Date	
		Budget	Receipts
Bond Fund		4,867,500.00	4,790,660.78
Total		4,867,500.00	4,790,660.78
			76,839.22
			76,839.22

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C93C	Renovate/Replace Cottages - Oakwood, Phase I	-82,862.65
	Appropriation:	4,000,000.00	
	Allotments:	4,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,445,686.93	2018-20
	Unexpended Allotment Balance:	554,313.07	
		Life to Date	
		Budget	Receipts
Bond Fund		4,000,000.00	3,362,824.28
Total		4,000,000.00	3,362,824.28
			637,175.72
			637,175.72

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C93D	Electrical & Telecom Upgrade-Western State Hospital Pha	0.00
	Appropriation:	3,410,000.00	
	Allotments:	3,410,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	0.00	2018-20
	Unexpended Allotment Balance:	3,410,000.00	
		Life to Date	
		Budget	Receipts
Bond Fund		3,410,000.00	0.00
Total		3,410,000.00	0.00
			3,410,000.00
			3,410,000.00

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Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C93E	HVAC System Replacement - Hazelwood	-451,548.42
	Appropriation:	8,000,000.00	
	Allotments:	8,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	7,071,733.28	2018-20
	Unexpended Allotment Balance:	928,266.72	
		Life to Date	
		Budget	Receipts
Bond Fund		8,000,000.00	6,620,184.86
Total		8,000,000.00	6,620,184.86
			1,379,815.14
			1,379,815.14

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C94U	WSH-HVAC Repairs and Patient Safety Enhancements	87,437.68
	Appropriation:	2,744,768.00	
	Allotments:	2,744,768.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,657,330.32	2016-18
	Unexpended Allotment Balance:	87,437.68	
		Life to Date	
		Budget	Receipts
Bond Fund		2,744,768.00	2,744,768.00
Total		2,744,768.00	2,744,768.00
			0.00
			0.00

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C9PZ	KCPC-Roof Replace	165,242.71
	Appropriation:	1,226,100.00	
	Allotments:	1,226,100.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,060,857.29	2019-20
	Unexpended Allotment Balance:	165,242.71	
		Life to Date	
		Budget	Receipts
Bond Fund		1,226,100.00	1,226,100.00
Total		1,226,100.00	1,226,100.00
			0.00
			0.00

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Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C9SE	Oakwood Renovate/Replace Cottages - Phase II	0.00
	Appropriation:	8,000,000.00	
	Allotments:	8,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	301,142.50	2020-22
	Unexpended Allotment Balance:	7,698,857.50	
		Life to Date	
		Budget	Receipts
Bond Fund		8,000,000.00	301,142.50
Total		8,000,000.00	301,142.50
			7,698,857.50
			7,698,857.50

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C9SF	Western State Hospital-Electrical Upgrade - Phase III	0.00
	Appropriation:	3,493,000.00	
	Allotments:	3,493,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	0.00	2020-22
	Unexpended Allotment Balance:	3,493,000.00	
		Life to Date	
		Budget	Receipts
Bond Fund		3,493,000.00	0.00
Total		3,493,000.00	0.00
			3,493,000.00
			3,493,000.00

Cabinet:	53	Health & Family Services Cabinet	
Department:	729	Behavioral Health, Developmental & Intellectual Disabilities	<u>Cash Balance</u>
Fund:	C9SG	Oakwood Replace, Upgrade, and Enhance Generators	675,000.00
	Appropriation:	2,500,000.00	
	Allotments:	2,500,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	120,000.00	2020-22
	Unexpended Allotment Balance:	2,380,000.00	
		Life to Date	
		Budget	Receipts
Bond Fund		1,825,000.00	120,000.00
General Fund		675,000.00	675,000.00
Total		2,500,000.00	795,000.00
			1,705,000.00
			1,705,000.00

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Cabinet:	54	Justice & Public Safety Cabinet		
Department:	520	Kentucky State Police		<u>Cash Balance</u>
Fund:	C89C	Replace/Upgrade Existing Kentucky AFIS Phase II		13,555.74
		Appropriation:	2,600,000.00	
		Allotments:	2,600,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	2,586,444.26	2016-18
		Unexpended Allotment Balance:	13,555.74	
			Life to Date	
			Budget	Receipts
			Balance	
		Restricted Funds	2,600,000.00	2,600,000.00
		Total	2,600,000.00	2,600,000.00

Cabinet:	54	Justice & Public Safety Cabinet		
Department:	520	Kentucky State Police		<u>Cash Balance</u>
Fund:	C93G	Two-Way Radio System Replacement		-16,415,236.56
		Appropriation:	87,550,000.00	
		Allotments:	84,375,253.78	
		Allotments Still Required:	3,174,746.22	Biennium Approved
		Expenditures:	63,487,510.11	2020-22
		Unexpended Allotment Balance:	20,887,743.67	
			Life to Date	
			Budget	Receipts
			Balance	
		Bond Fund	87,550,000.00	47,072,273.55
		Total	87,550,000.00	47,072,273.55

Cabinet:	54	Justice & Public Safety Cabinet		
Department:	520	Kentucky State Police		<u>Cash Balance</u>
Fund:	C93H	Laboratory Updates		39,733.07
		Appropriation:	2,885,000.00	
		Allotments:	2,885,000.00	
		Allotments Still Required:	0.00	Biennium Approved
		Expenditures:	2,845,266.93	2018-20
		Unexpended Allotment Balance:	39,733.07	
			Life to Date	
			Budget	Receipts
			Balance	
		Restricted Funds	2,885,000.00	2,885,000.00
		Total	2,885,000.00	2,885,000.00

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Cabinet:	54	Justice & Public Safety Cabinet	
Department:	525	Department Of Criminal Justice Training	<u>Cash Balance</u>
Fund:	C7Y8	Funderburk Building HVAC Upgrade, Emergency	0.00
	Appropriation:	3,131,405.38	
	Allotments:	3,131,405.38	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	3,131,405.38	2020-22
	Unexpended Allotment Balance:	0.00	
		Life to Date	
		Budget	Receipts
	Restricted Funds	3,131,405.38	3,131,405.38
	Total	3,131,405.38	3,131,405.38

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	525	Department Of Criminal Justice Training	<u>Cash Balance</u>
Fund:	C89H	Bizzack Complex HVAC Repair/Replacement	24,859.61
	Appropriation:	2,812,000.00	
	Allotments:	2,812,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	2,787,140.39	2016-18
	Unexpended Allotment Balance:	24,859.61	
		Life to Date	
		Budget	Receipts
	Restricted Funds	2,812,000.00	2,812,000.00
	Total	2,812,000.00	2,812,000.00

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	525	Department Of Criminal Justice Training	<u>Cash Balance</u>
Fund:	C89J	Funderburk Building HVAC Upgrade	56,798.86
	Appropriation:	1,200,000.00	
	Allotments:	1,200,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,143,201.14	2016-18
	Unexpended Allotment Balance:	56,798.86	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,200,000.00	1,200,000.00
	Total	1,200,000.00	1,200,000.00

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Cabinet:	54	Justice & Public Safety Cabinet	
Department:	525	Department Of Criminal Justice Training	
Fund:	C9U0	Schwendeman-Thompson HVAC and Building Systems Up	<u>Cash Balance</u>
			549,624.30
	Appropriation:	1,691,910.00	
	Allotments:	1,691,910.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	1,142,285.70	2019-20
	Unexpended Allotment Balance:	549,624.30	
		Life to Date	
		Budget	Receipts
	Restricted Funds	1,691,910.00	1,691,910.00
	Total	1,691,910.00	1,691,910.00
			Balance
			0.00
			0.00

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	C89K	Kentucky Correctional Institution for Women-Sewer Plant/Li	<u>Cash Balance</u>
			0.00
	Appropriation:	2,560,000.00	
	Allotments:	2,560,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	168,792.85	2016-18
	Unexpended Allotment Balance:	2,391,207.15	
		Life to Date	
		Budget	Receipts
	Bond Fund	2,560,000.00	168,792.85
	Total	2,560,000.00	168,792.85
			Balance
			2,391,207.15
			2,391,207.15

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	C8YV	Demolish and Repair Tower Kentucky State Reformatory	<u>Cash Balance</u>
			-37,076.20
	Appropriation:	3,671,000.00	
	Allotments:	1,047,173.75	
	Allotments Still Required:	2,623,826.25	Biennium Approved
	Expenditures:	284,782.95	2020-21
	Unexpended Allotment Balance:	762,390.80	
		Life to Date	
		Budget	Receipts
	Bond Fund	3,671,000.00	247,706.75
	Total	3,671,000.00	247,706.75
			Balance
			3,423,293.25
			3,423,293.25

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Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	C94K	GRCC Security Control for Buildings	<u>Cash Balance</u>
			1,518,484.77
		Appropriation:	1,716,944.35
		Allotments:	1,716,944.35
		Allotments Still Required:	0.00
		Expenditures:	198,459.58
		Unexpended Allotment Balance:	1,518,484.77
			Biennium Approved
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		1,716,944.35	1,716,944.35
Total		1,716,944.35	1,716,944.35

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	C9SM	Repair/Replace Roofs - Eastern Kentucky Correctional Co	<u>Cash Balance</u>
			-1,772,273.65
		Appropriation:	6,531,000.00
		Allotments:	6,531,000.00
		Allotments Still Required:	0.00
		Expenditures:	3,553,339.83
		Unexpended Allotment Balance:	2,977,660.17
			Biennium Approved
			2020-22
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		6,531,000.00	1,781,066.18
Total		6,531,000.00	1,781,066.18

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	C9SP	Install Emergency Generators -Luther Lockett and Green R	<u>Cash Balance</u>
			-384,211.79
		Appropriation:	5,700,000.00
		Allotments:	5,700,000.00
		Allotments Still Required:	0.00
		Expenditures:	1,123,498.73
		Unexpended Allotment Balance:	4,576,501.27
			Biennium Approved
			2020-22
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		5,700,000.00	739,286.94
Total		5,700,000.00	739,286.94

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Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	CA2A	Design of the Expansion of Little Sandy Correctional Compl	<u>Cash Balance</u>
			-2,949,239.05
		Appropriation:	8,000,000.00
		Allotments:	8,000,000.00
		Allotments Still Required:	0.00
		Expenditures:	2,949,239.05
		Unexpended Allotment Balance:	5,050,760.95
			Biennium Approved 2020-21
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		8,000,000.00	0.00
Total		8,000,000.00	0.00

Cabinet:	54	Justice & Public Safety Cabinet	
Department:	527	Department Of Corrections	
Fund:	CA2B	Design Relocation of Corrections Medical Facility	<u>Cash Balance</u>
			-4,446,631.25
		Appropriation:	10,100,000.00
		Allotments:	10,100,000.00
		Allotments Still Required:	0.00
		Expenditures:	4,446,631.25
		Unexpended Allotment Balance:	5,653,368.75
			Biennium Approved 2020-21
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		10,100,000.00	0.00
Total		10,100,000.00	0.00

Cabinet:	55	Personnel Cabinet	
Department:	790	Personnel - Office Of The Secretary	
Fund:	C278	Replace Personnel Payroll System	<u>Cash Balance</u>
			0.00
		Appropriation:	50,548,056.00
		Allotments:	50,548,056.00
		Allotments Still Required:	0.00
		Expenditures:	50,548,056.00
		Unexpended Allotment Balance:	0.00
			Biennium Approved 2006-08
		Life to Date	
		Budget	Receipts
		Balance	
Bond Fund		48,768,056.00	48,768,056.00
Construction Contingency Fund		1,580,000.00	1,580,000.00
Construction Surplus Fund		200,000.00	200,000.00
Total		50,548,056.00	50,548,056.00

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Cabinet:	56	Labor Cabinet		
Department:	102	Labor - Office of the Secretary		<u>Cash Balance</u>
Fund:	C73K	Online Filing System		1,909.36
		Appropriation:	4,226,000.00	
		Allotments:	3,890,000.00	
		Allotments Still Required:	336,000.00	Biennium Approved
		Expenditures:	3,888,090.64	2014-16
		Unexpended Allotment Balance:	1,909.36	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	4,226,000.00	3,890,000.00
		Total	4,226,000.00	3,890,000.00

Cabinet:	56	Labor Cabinet		
Department:	102	Labor - Office of the Secretary		<u>Cash Balance</u>
Fund:	C93P	Claims Payment Management System		0.00
		Appropriation:	2,460,000.00	
		Allotments:	738,080.25	
		Allotments Still Required:	1,721,919.75	Biennium Approved
		Expenditures:	738,080.25	2018-20
		Unexpended Allotment Balance:	0.00	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Restricted Funds	2,460,000.00	738,080.25
		Total	2,460,000.00	738,080.25

Cabinet:	56	Labor Cabinet		
Department:	142	Employment Services		<u>Cash Balance</u>
Fund:	C933	Replace Unemployment Insurance System		34,160,441.29
		Appropriation:	47,500,000.00	
		Allotments:	27,000,000.00	
		Allotments Still Required:	20,500,000.00	Biennium Approved
		Expenditures:	339,558.71	2020-22
		Unexpended Allotment Balance:	26,660,441.29	
			<u>Life to Date</u>	
			Budget	Receipts
			Balance	
		Bond Fund	10,000,000.00	0.00
		General Fund	7,500,000.00	7,500,000.00
		Restricted Funds	30,000,000.00	27,000,000.00
		Total	47,500,000.00	34,500,000.00

Commonwealth of Kentucky
 Finance and Administration Cabinet
 and
 Office of State Budget Director
 FY 2021 - 2022 Annual Capital Construction Report

Cabinet:	56	Labor Cabinet	
Department:	142	Employment Services	<u>Cash Balance</u>
Fund:	CAC3	UI System Maintenance and Support Project	1,622,931.18
	Appropriation:	2,000,000.00	
	Allotments:	2,000,000.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	377,068.82	2020-22
	Unexpended Allotment Balance:	1,622,931.18	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Federal Funds		2,000,000.00	2,000,000.00
Total		2,000,000.00	2,000,000.00

Cabinet:	57	Energy and Environment Cabinet	
Department:	126	EEC - Office of the Secretary	<u>Cash Balance</u>
Fund:	C1G8	KHLCF	0.00
	Appropriation:	54,443,950.05	
	Allotments:	17,000,000.00	
	Allotments Still Required:	37,443,950.05	Biennium Approved
	Expenditures:	15,554,412.70	2012-14
	Unexpended Allotment Balance:	1,445,587.30	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Bond Fund		14,938,839.05	14,827,983.29
Federal Funds		6,796,363.97	0.00
Restricted Funds		32,196,869.11	0.00
Undetermined Funding		0.00	726,429.41
Total		53,932,072.13	15,554,412.70

Cabinet:	57	Energy and Environment Cabinet	
Department:	126	EEC - Office of the Secretary	<u>Cash Balance</u>
Fund:	C5KP	Kentucky Heritage Land Conservation Fund - 2010-2012	38,263.33
	Appropriation:	3,691,920.69	
	Allotments:	3,653,657.36	
	Allotments Still Required:	38,263.33	Biennium Approved
	Expenditures:	3,653,657.36	2010-12
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
Bond Fund		3,691,920.69	3,691,920.69
Total		3,691,920.69	3,691,920.69

Commonwealth of Kentucky
 Finance and Administration Cabinet
 and
 Office of State Budget Director
 FY 2021 - 2022 Annual Capital Construction Report

Cabinet:	57	Energy and Environment Cabinet	
Department:	126	EEC - Office of the Secretary	<u>Cash Balance</u>
Fund:	C72U	Kentucky Heritage Land Conservation Fund - 2014-2016	0.00
	Appropriation:	20,000,000.00	
	Allotments:	0.00	
	Allotments Still Required:	20,000,000.00	Biennium Approved
	Expenditures:	0.00	2014-16
	Unexpended Allotment Balance:	0.00	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Federal Funds	10,000,000.00	0.00
	Restricted Funds	10,000,000.00	0.00
	Total	20,000,000.00	0.00

Cabinet:	57	Energy and Environment Cabinet	
Department:	129	Department for Environmental Protection	<u>Cash Balance</u>
Fund:	C1GH	SODR-Willisburg Lake Dam-Washington County	1,719,032.52
	Appropriation:	2,574,927.25	
	Allotments:	2,574,927.00	
	Allotments Still Required:	0.25	Biennium Approved
	Expenditures:	855,894.73	1998-00
	Unexpended Allotment Balance:	1,719,032.27	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	1,958,500.00	1,958,500.00
	Investment Income	331,672.56	331,672.56
	Other Funds	284,754.69	284,754.69
	Undetermined Funding	0.00	1,107,059.25
	Total	2,574,927.25	3,681,986.50

Commonwealth of Kentucky
 Finance and Administration Cabinet
 and
 Office of State Budget Director
 FY 2021 - 2022 Annual Capital Construction Report

Cabinet:	57	Energy and Environment Cabinet	
Department:	129	Department for Environmental Protection	<u>Cash Balance</u>
Fund:	C1GK	SODR Bullock Pen Lake Dam	177,820.86
	Appropriation:	16,048,070.00	
	Allotments:	16,048,070.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	15,870,249.14	2000-02
	Unexpended Allotment Balance:	177,820.86	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	15,348,070.00	15,348,070.00
	Investment Income	700,000.00	700,000.00
	Undetermined Funding	0.00	1,736,954.00
	Total	16,048,070.00	17,785,024.00
			-1,736,954.00
			-1,736,954.00

Cabinet:	57	Energy and Environment Cabinet	
Department:	129	Department for Environmental Protection	<u>Cash Balance</u>
Fund:	C55B	SODR-Scenic Lake Dam	1,075,361.29
	Appropriation:	6,385,500.00	
	Allotments:	6,385,500.00	
	Allotments Still Required:	0.00	Biennium Approved
	Expenditures:	5,310,138.71	
	Unexpended Allotment Balance:	1,075,361.29	
		<u>Life to Date</u>	
		Budget	Receipts
		Balance	
	Bond Fund	6,385,500.00	6,385,500.00
	Total	6,385,500.00	6,385,500.00
			0.00
			0.00

Commonwealth of Kentucky
 Finance and Administration Cabinet
 and
 Office of State Budget Director
 FY 2021 - 2022 Annual Capital Construction Report

Cabinet:	57	Energy and Environment Cabinet	
Department:	129	Department for Environmental Protection	<u>Cash Balance</u>
Fund:	C6BK	Maxey Flats Cap	-9,605.00
	Appropriation:	27,416,000.00	
	Allotments:	26,045,000.00	
	Allotments Still Required:	1,371,000.00	Biennium Approved
	Expenditures:	25,846,077.79	2012-14
	Unexpended Allotment Balance:	198,922.21	

	Life to Date		
	Budget	Receipts	
Bond Fund	8,000,000.00	6,420,595.68	1,579,404.32
Other Funds	19,416,000.00	0.00	19,416,000.00
Restricted Funds	0.00	19,415,877.11	-19,415,877.11
Total	27,416,000.00	25,836,472.79	1,579,527.21



September 21, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$3,000,000 Casey County School District Finance Corporation
School Building Revenue Bonds, Series of 2022B

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance improvements to the ATC building.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:

\$3,000,000

Issue Name:

Casey County School District Finance Corporation School Building Revenue Bonds, Series of 2022B

Purpose:

ATC Improvements

Projected Sale Date of Bonds:

Q4 2022

First Call Date:

8 Years at par

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky. / TBD

Bond Rating:

Moody's: "A1"

Bond Counsel:

Steptoe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors LLC, Lexington, Kentucky

Date received by SFCC:

/ /

To be filled in by SFCC

Date scheduled for Committee review:

/ /

To be filled in by SFCC

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$3,000,000	\$3,000,000
0.00%	100.00%	100.00%
\$0	\$236,628	\$236,628
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$25,000	\$25,000
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$10,850	\$10,850
\$0	\$60,000	\$60,000
\$0	\$0	\$0
\$0	\$95,850	\$95,850

Anticipated Interest Rates:

5 Years: 3.500% 10 Years: 3.750% 15 Years: 4.100%
20 Years: 4.300%

Notes: No tax Increase required



August 29, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$4,870,000 Harlan Independent School District Finance Corporation
School Building Revenue Bonds, Series of 2022

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance athletic improvements.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in blue ink that reads 'Dwight G. Salsbury'.

Dwight G. Salsbury

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:

\$4,870,000

Issue Name:

Harlan Independent School District Finance Corporation School Building Revenue Bonds, Series of 2022

Purpose:

Athletic Improvements

Projected Sale Date of Bonds:

Q1 2023

First Call Date:

8 Years at par

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky. / TBD

Bond Rating:

Moody's: "A1"

Bond Counsel:

Steptoe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors LLC, Lexington, Kentucky

Date received by SFCC:

/ / *To be filled in by SFCC*

Date scheduled for Committee review:

/ / *To be filled in by SFCC*

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$4,870,000	\$4,870,000
0.00%	100.00%	100.00%
\$0	\$0	\$0
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$32,480	\$32,480
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$14,850	\$14,850
\$0	\$97,400	\$97,400
\$0	\$0	\$0
\$0	\$144,730	\$144,730

Anticipated Interest Rates:

5 Years: 3.000% 10 Years: 3.000% 15 Years: 3.750%
20 Years: 3.787%

Notes: No tax Increase required



August 26, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$900,000 Lawrence County School District Finance Corporation
School Building Revenue Bonds, Series of 2022B

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance High School improvements.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:

\$900,000

Issue Name:

Lawrence County School District Finance Corporation School Building Revenue Bonds, Series of 2022B

Purpose:

Highschool Improvements

Projected Sale Date of Bonds:

Q4 2022

First Call Date:

8 Years at par

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky. / TBD

Bond Rating:

Moody's: "A1"

Bond Counsel:

Steptoe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors LLC, Lexington, Kentucky

Date received by SFCC:

/ / *To be filled in by SFCC*

Date scheduled for Committee review:

/ / *To be filled in by SFCC*

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$900,000	\$900,000
0.00%	100.00%	100.00%
\$0	\$0	\$0
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$9,900	\$9,900
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$7,700	\$7,700
\$0	\$18,000	\$18,000
\$0	\$0	\$0
\$0	\$35,600	\$35,600

Anticipated Interest Rates:

5 Years: 3.00% 10 Years: 4.00% 15 Years: 4.500%
20 Years: 4.800%

Notes: No tax Increase required



August 22, 2022

Ms. Katherine Halloran
700 Capital Ave
Frankfort, Kentucky 40601

Re: Reporting of Bond Issuance Costs to the Capital Projects and Bond Oversight
Committee ("Bond Oversight Committee")

Dear Ms. Halloran:

Enclosed please find a Bond Payee Disclosure form for the following bond issue:

\$3,565,000 (est.)
Livingston County
School District Finance Corporation
School Building Revenue Bonds,
Series of 2022

Please be advised that the enclosed costs are estimated. Actual costs will not be known until the bonds are sold. Please be advised that no tax increases are necessary to support this financing.

We hereby request that the above bond issue be considered by the Bond Oversight Committee at its next meeting.

If you need any additional information, please do not hesitate to call me at 502.588.8695.

Sincerely,

/s/ Maria Long

Maria Long
Public Finance

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$3,565,000
Issue Name:	Livingston County School District Finance Corporation Schol Building Revenue Bonds, Series of 2022
Purpose:	Construct a new central office
Projected Sale Date of Bonds:	Fall 2022
First Call Date:	TBD
Method of Sale:	Competitive
Place/Time of Sale:	TBD
Bond Rating:	Expected "A1" Enhanced – Moody's
Bond Counsel:	Steptoe & Johnson
Fiscal Agent:	Baird
Architect/Engineer:	CMS Architects

Date Received by SFCC:

/ /
/ /

To be filled in by SFCC

Date Scheduled for Committee Review:

/ /
/ /

To be filled in by SFCC

	SFCC Portion	Local Portion	Total
Estimated par amount of Bonds:	-	\$3,565,000	\$3,565,000
% Share of total Bonds:	-	100.0%	100.0%
Estimated average annual debt service:	-	\$250,818	\$250,818
Estimated debt service reserve:	-	-	-
Estimated Costs of Issuance (1):			
Fiscal Agent, Bond Counsel, Advertisements, Printing, etc.	-	\$27,260	\$27,260
Special Tax Counsel	-	-	-
Number Verifications	-	-	-
Bond Rating	-	14,500	14,500
Underwriter's Discount	-	71,300	71,300
Paying Agent/Escrow Agent Bank	-	3,500	3,500
Total Cost of Issuance:	-	\$116,560	\$116,560

Anticipated Interest Rates:

5 Years: 2.59%	10 Years: 3.09%	
15 Years: 3.70%	20 Years: 4.00%	

(1) Actual costs will not be known until the bonds are sold.



September 21, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$10,000,000 Nelson County School District Finance Corporation
School Building Revenue Bonds, Series of 2022B.

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance improvements at New Haven Elementary School.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:

\$10,000,000

Issue Name:

Nelson County School District Finance Corporation School Building Revenue Bonds, Series of 2022B

Purpose:

Improvements at New Haven Elementary

Projected Sale Date of Bonds:

Q4 2022

First Call Date:

8 Years at par

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky. / TBD

Bond Rating:

Moody's: "A1"

Bond Counsel:

Steptoe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors LLC, Lexington, Kentucky

Date received by SFCC:

/ /

To be filled in by SFCC

Date scheduled for Committee review:

/ /

To be filled in by SFCC

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$10,000,000	\$10,000,000
0.00%	100.00%	100.00%
\$0	\$839,629	\$839,629
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$53,000	\$53,000
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$17,850	\$17,850
\$0	\$200,000	\$200,000
\$0	\$0	\$0
\$0	\$270,850	\$270,850

Anticipated Interest Rates:

5 Years: 3.500% 10 Years: 3.750% 15 Years: 4.100%
20 Years: 4.300%

Notes: No tax Increase required



September 20, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$6,000,000 Pulaski County School District Finance Corporation
School Building Revenue Bonds, Series of 2022C

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance energy improvements at Southwestern HS and construction of a new wellness center.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in blue ink that reads 'Dwight G. Salsbury'.

Dwight G. Salsbury

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:

\$6,000,000

Issue Name:

Pulaski County School District Finance Corporation School Building Revenue Bonds, Series of 2022C

Purpose:

Energy Improvements at Southwestern HS and construction of a new wellness center

Projected Sale Date of Bonds:

Q4 2022

First Call Date:

8 Years at par

Method of Sale:

Competitive Bids

Place/time of sale:

Parity/SFCC, Frankfort, Ky. / TBD

Bond Rating:

Moody's: "A1"

Bond Counsel:

Steptoe and Johnson, Louisville, KY

Fiscal Agent:

RSA Advisors LLC, Lexington, Kentucky

Date received by SFCC:

/ / *To be filled in by SFCC*

Date scheduled for Committee review:

/ / *To be filled in by SFCC*

Month Day Year

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$6,000,000	\$6,000,000
0.00%	100.00%	100.00%
\$0	\$442,019	\$442,019
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$37,000	\$37,000
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$14,850	\$17,850
\$0	\$120,000	\$120,000
\$0	\$0	\$0
\$0	\$171,850	\$171,850

Anticipated Interest Rates:

5 Years: 3.500% 10 Years: 3.750% 15 Years: 4.100%
20 Years: 4.300%

Notes: No tax Increase required

August 22, 2022

Katherine Halloran
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Capitol Annex Room 34
Frankfort, KY 40601

Re: Alternative Method of Design-Build

Dear Ms. Halloran:

In accordance with provisions of KRS 45A.180, I am writing to inform you that we plan to utilize the “Design-Build” project delivery method for the project listed below. The RFP is located at the link next to the project. Once the contract is executed, we will forward a copy to the Government Contract Review Committee.

Jim Patterson Stadium Renovation – Design-Build
<https://louisville.edu/purchasing/bids/RP-007-23>

Please notify Kim Noltemeyer at 502-852-5699 or kanolt01@louisville.edu for any questions regarding this request.

Sincerely,



Meg Campbell
Executive Director UPDC
University of Louisville

cc: Evan Riddell
Amber Horn
Michael Ortman



UNIVERSITY OF
LOUISVILLE
PROCUREMENT SERVICES

Request for Proposal

RP-007-23

Jim Patterson Stadium Renovation

Design-Build

Proposal Due Date

August 17th, 2022, by 2:00PM, EST

UNIVERSITY OF LOUISVILLE

PROCUREMENT SERVICES

Request for Proposal (RFP)

ATTENTION: This is not an order. Read all instructions, terms, and conditions carefully.

Proposal Number: RP-007-23	EMAIL PROPOSAL TO:
Issue Date: 7/22/2022	EVAN.RIDDELL@LOUISVILLE.EDU
Title: Jim Patterson Stadium Renovation – Design-Build	
Contract Administrator: Evan Riddell	
Contact Email: evan.riddell@louisville.edu	

IMPORTANT: PROPOSALS MUST BE RECEIVED BY 8/17/2022 by 2:00PM, EST

NOTICE OF REQUIREMENT

1. The University’s General Terms and Conditions, viewable at [Purchase Order Terms](#), apply to this RFP. No other terms should be included.
2. Contracts resulting from this RFP must be governed by and in accordance with the laws of the Commonwealth of Kentucky.
3. Any agreement or collusion among offerors or prospective offerors, which restrains, tends to restrain, or is reasonably calculated to restrain competition by agreement to bid at a fixed price or to refrain from offering, otherwise, is prohibited.
4. Any person who violates any provision of [KRS 45A.325](#) shall be guilty of a felony and shall be punished by a fine of not less than five thousand dollars, nor more than ten thousand dollars or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, corporation, or association who violates any of the provisions of KRS 45A.325 shall, upon conviction, be fined not less than ten thousand dollars or more than twenty thousand dollars.

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by [KRS 523.040](#):

1. That I am the offeror (if the offeror is an individual), a partner, (if the offeror is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the offeror is a corporation).
2. That the attached proposal has been arrived at by the offeror independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor of materials, supplies, equipment, or services described in the RFP, designed to limit independent bidding or competition.
3. That the contents of the proposal have not been communicated by the offeror or its employees or agents to any person not an employee or agent of the offeror or its surety on any bond furnished with the proposal and will not be communicated to any such person prior to the official closing of the RFP.
4. That the offeror is legally entitled to enter into contracts with the University of Louisville and is not in violation of any prohibited conflict of interest, including, but not limited to, those prohibited by the provisions of [KRS 45A.330](#), [KRS 45A.335](#), [KRS 45A.340](#), and [KRS 164.390](#);
5. That the offeror, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sales and use tax imposed by [KRS Chapter 139](#) to the extent required by Kentucky law and will remain registered for the duration of any contract award;
6. That I have fully informed myself regarding the subject of the statements made above and all such statements are true and accurate.

SWORN STATEMENT OF COMPLIANCE WITH CAMPAIGN FINANCE LAWS

In accordance with [KRS 45A.110 \(2\)](#), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to an offeror will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

CONTRACTOR REPORT OF PRIOR VIOLATIONS OF KRS CHAPTERS 136, 139, 141, 337, 338, 341 & 342

The Contractor, by signing and submitting a proposal, agrees as required by [KRS 45A.485](#) to submit final determination of any violations of the provisions of KRS Chapters 139, 139, 141, 337, 338, 341 and 342 that have occurred in the previous five (5) years prior to the award of a contract and agrees to remain in continuous compliance with the provisions of the statutes during the duration of any contract that may be established. Final determinations of violations of these statutes must be provided to the University by the successful Contractor prior to the award of a contract.

CERTIFICATION OF NON-SEGREGATED FACILITIES

The Contractor, by submitting a proposal, certifies that he/she is in compliance with the Code of Federal Regulations [Title 41 CFR 60-1.8\(b\)](#) that prohibits the maintaining of segregated facilities.

SIGNATURE REQUIRED: This proposal may not be considered valid unless signed and dated below by an authorized agent of the offeror. Offers signed by an agent are to be accompanied by evidence of his/her authority unless such evidence has previously been furnished to the issuing office.

	NAME OF COMPANY:	DUNS#:
PROPOSAL FIRM THROUGH:	ADDRESS:	PHONE/FAX#:
PAYMENT TERMS:	CITY, STATE & ZIP CODE:	E-MAIL:
SHIPPING TERMS: FOB DESTINATION	TYPED OR PRINTED NAME:	WEB ADDRESS:
FEDERAL EMPLOYER ID NO:	SIGNATURE:	DATE:

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DEFINITIONS

The term “University” means University of Louisville, University of Louisville Athletics and University of Louisville Research Foundation.

The term “addenda” means written or graphic instructions issued by the University prior to the receipt of proposals that modify or interpret the RFP documents by additions, deletions, clarifications and/or corrections.

The term “competitive negotiations” means the method authorized in the Kentucky Revised Statutes [Chapter 45A.085](#).

The term “Contractor” means the entity receiving a contract award.

The terms “offer” or “proposal” mean the offeror’s/offerors’ response to this RFP.

The term “offeror” means the entity or contracting group submitting the proposal.

The term “purchasing agency” means the University of Louisville, Procurement Services Department, Room 107, Service Complex Building, Louisville, KY 40208.

The term “purchasing official” means the University’s appointed contract administrator.

The term “responsible offeror” means a person, company or corporation that has the capability in all respects to perform fully the contract requirements and the integrity and reliability that will assure good faith performance. In determining whether an offeror is responsible, the University may evaluate various factors including (but not limited to): financial resources; experience; organization; technical qualifications; available resources; record of performance; integrity; judgement; ability to perform successfully under the terms and conditions of the contract; adversarial relationship between the offeror and the University that is so serious and compelling that it may negatively impact the work performed under this RFP; or any other cause determined to be so serious and compelling as to affect the responsibility of the offeror.

The term “solicitation” means RFP.

1. GENERAL OVERVIEW

a. EXECUTIVE SUMMARY

On behalf of the University of Louisville Athletics Association (ULAA), the Procurement Services Department will accept proposals from qualified Design-Build Contractors to build an updated front entry to Jim Patterson Stadium and extend the 3rd base line concourse as seen in the attached renderings (**Exhibit A**). This will include the replacement of the black fabric fence behind the 1st base line concourse, along with the baseball diamond 3rd base and 1st base line black fabric fence. The potential contractor shall also extend the main stadium sound system to the main concourse on both the 3rd and 1st base lines. Bidders are to determine all necessary parts of scope for each area described, while providing architectural and structural drawings for each scope and execute on approved designs. It is important to note that the design of the new front entry is to match that of the new Yankees Stadium – the Bronx, New York City. Offeror should look to work with ULAA to provide the best looking, most economical solution to create this finish.

The awarded contractor shall be capable and experienced to provide all required purchases, installation labor, engineering, permits, installation materials, tools, transportation, handling, supervision, and related services to successfully execute and complete the scope of work identified within this RFP document. All work shall be coordinated with a designated staff member of ULAA during installation.

b. SCOPE OF WORK

General Requirements for front entry scope:

- a. Proposal should be based on a fall construction schedule
 - b. Proposal should be based on doing the front gate and 3rd base concourse at the same time.
 - c. Proposal should be focused on being complete prior to winter conditions setting in.
 - d. Proposal includes temporary fencing for the perimeter of the site. If the awarded contractor would like to hang a company banner, screening for the rest of the site should also be supplied.
- Demo/Site
 - a. Proposal should include saw cutting the existing concrete to accommodate (6) new columns and removal of the existing concrete around the water feature. The existing water feature pump will also need to be removed from the area and given to ULAA for future use.
 - b. Proposal should include preparing the existing ground to accommodate the new 3rd base line concourse expansion.
 - c. Proposal needs to include landscape and irrigation rework.
 - d. Proposal should also include removing the existing railing at the upper balcony to prepare for the new glass railing.
 - Concrete
 - a. Proposal should include pouring and finishing concrete around the (6) new columns and re-pouring where the existing bat water feature was located.
 - b. Proposal to include pouring and finishing a 6” thick concrete slab for the 3rd base line concourse expansion. Slab will also need to be designed to have a cable trough installed with open metal grating that extends from the existing brick columns to the new perimeter fence. This trough will need to be 12 inches wide and 10 inches deep with the metal grating remaining flush with the new 6-inch concrete concourse slab.
 - Masonry
 - a. Proposal to include Concrete Masonry Unit (CMU) to build around the (6) new columns.
 - b. Proposal to include brick up to 10’ around the (6) new columns which includes limestone caps at the tops of the columns.
 - Steel
 - a. Proposal to include erection and installation of steel package to accommodate the skeleton structure for the front gate entry.

- b. Proposal to include making repairs on the existing gates to preparation to re-use them and make the additional fence panels for the new layout.
 - c. Proposal to include adding hasp latches on the existing double gates for the new front entry.
- Glass Railing
 - a. Proposal to include a new 42” tall center tempered glass railing at the upper balcony with post every 8’-0”.
 - Finishes
 - a. Proposal to include painting the existing sections of gates to be repurposed for the new entry gate and the new additional gates to accommodate the new layout.
 - Electric Scope to include the following
 - a. Furnish and replace the (7) existing sconce lights that face the opening to the spectators.
 - b. Installation of power and wiring for new up/down lighting on the (6) new columns.
 - c. Furnish and installation of (6) up/down lights controlled by the existing building control.
 - d. Furnish and installation of (5) concrete encased up lighting for the bat statue and rework the existing wiring to the new location.
 - e. Ensure that adequate lighting is in place between the new gate entry and the old gate location for evening events in ingress and egress.

General Requirements for the 3rd base line concourse expansion, 1st base line concourse fence and 3rd base and 1st base line fencing replacement along with added concourse sound system:

- Demo/Site
 - a. Proposal to include excavate & prepping the existing ground to accommodate a 6” x 36’ x 145’ new 3rd base concourse with cable trough.
 - b. Proposal to include demo of the existing chain-link fence mesh.
- Concrete
 - a. Proposal should include pouring and finishing a 6” thick x 36’ x 145’ concrete slab for the new 3rd base concourse. This slab will also include a cable trough that extends from a brick column where the tv interconnect panel is located to outside the new concourse fence line. It should be a minimum of 12 inches wide and 10 inches deep with open grating covering (designed to bear the load of a 6k lbs. forklift) the cable trough from start to finish. The cable trough will need to extend past the new fence line to allow for access to run cables. There will need to be an additional 4” concrete poured outside if the new ticket office location to allow for fans to line up to the 3 new ticket selling windows. The area where the old in-ground pump was located may need to be included in the sidewalk expansion in this area to allow for patron flow from the north to the south.
- Steel
 - a. Proposal to include new 240 Linear Foot (LFT) of 8’ tall black fence to match what was installed on the perimeter of the Alley Project on Floyd St.
 - b. Proposal to include (3) 20’ shipping containers. Two (2) are to be set up for concessions points of sale and one (1) to be set up for a ticket office.
 - The 2 concession containers will each need 1 secure access door installed along with 2 service windows cut into the side of each container. Each opening should be able to close and be secured. Each opening will need to be 3 feet tall and 6 feet wide, hinged at the top with mechanisms to hold open the window to be able to serve guests, similar to the containers located at the Union in Jeffersonville, IN. Lighting, HVAC to also be included along with a 100-amp single phase electrical service for concession operations.
 - The single container for the ticket office will be placed to the south of the 3rd base concourse expansion. The unit will run east to west with the new ticket windows facing south. It will need 1 secure door for access along with three (3) 2-foot-wide ticket windows with holes cut for speaking through and the bottom of the window left open 2.5 inches off the counter for guest servicing. There will need to be some sort of shutters installed on the inside of the ticket windows to allow them to be closed during

non-event days. These shutters need to be able to keep water from entering the interior of the space. The ticket windows are to be spaced with 2 feet between each window. One (1) continuous counter to be provided that extends out 6 inches from each opening towards to guest and be 18 inches deep on the interior.

- Furnishings
 - a. Proposal to include one (1) long laminate transaction counter-top with metal support brackets for the new ticket booth.
 - b. Proposal to include four (4) 8' long stainless-steel counter tops below each opening in the 2 concession stand containers.
- HVAC
 - a. Proposal to include three (3) mini-split systems. Two (2) mini-split systems for the concession stands and one (1) for the new ticket booth.
- Finishes
 - a. Proposal to include two (2) coats of finish on the new metal fencing.
 - b. Proposal to include painting of the interior and exterior of the 3 storage boxes. Color to be determined by ULAA.
- Electrical
 - f. Proposal to include wiring to each storage box. 1 (one) 100-amp single phase panel to be installed in each location (x3)
 - g. Proposal to include all wiring to the new ticket booth.
 - h. Proposal to include the installation of six (6) 20 AMP 120 Volt circuits in each storage box
 - i. Proposal to include the furnish and installation of three (3) light over each interior and exterior ticket windows to match the existing lighting.
 - j. Proposal to include lighting and switching in all three (3) units.
 - k. Proposal to include the wiring and circuits for the mini-split systems included in this response.
 - l. Proposal to include the installation of four (4) data cables to the telecom room from the ticket office unit.
 - m. Proposal to include furnish and installation of new emergency lights in all three (3) units to meet code.
 - n. Proposal to include 300-amp 3-phase feeder from the switchgear room to the column at the open area.
 - o. Proposal to include three (3) 100-amp breakers.
 - p. Proposal to include wiring for three (3) storage containers that will have one (1) panel, receptacles, lighting, and power for the three (3) mini splits.
 - q. Proposal to include two (2) data cables & wiring from the telecom room to each concession container.
 - r. Proposal to include furnish and installation of four (4) 12' tall decorative pole lights to light up the concourse area controlled by photocell.
 - s. Proposal to include extending the existing 100-amp service from the brick column on the concourse out to the new fence line of the extended concourse.
- Audio
 - a. Offerors to install added sound speakers to the 3rd and 1st base concourses along with the new 3rd base extended concourse and the main entry gates. This should be reviewed and coordinated with Acoustical Audio Designs located in Jeffersonville, IN. Contact is Mike Dewees 812-282-7522, the stadium audio engineering contractor.
 - b. This installation should provide all weather speakers and mounting hardware along with amplification, speaker cabling, installation and any lifts needed to complete this scope on the main concourse. This should also include full range 2-way grey all-weather speakers and transformers, with mounts, cabling and install for both standing areas along the first and third base lines. Speakers, amplification for both the new concourse and the new entry gates must be factored in also.
- Fence
 - a. Proposal includes new black ornamental fence approximately 300 LF x 7' tall.

University Information

The University is a state-supported research university located in Kentucky's largest metropolitan area. It was a municipally supported public institution for many decades prior to joining the university system in 1970. The University has three campuses. The 287-acre Belknap Campus is three miles from downtown Louisville and houses eight of the university's 12 colleges and schools. The Health Sciences Center is situated in downtown Louisville's medical complex and houses the university's health-related programs and the University Hospital. The 243-acre Shelby Campus is in eastern Jefferson County.

Mission Statement

The University pursues excellence and inclusiveness in its work to educate and serve its community through:

- teaching diverse undergraduate, graduate, and professional students in order to develop engaged citizens, leaders, and scholars,
- practicing and applying research, scholarship, and creative activity, and
- providing engaged service and outreach that improve the quality of life for local and global communities.

The University is committed to achieving preeminence as a premier anti-racist metropolitan research university.

Vision Statement

The University will be recognized as a great place to learn, a great place to work and a great place in which to invest because we celebrate diversity, foster equity, and strive for inclusion.

c. Supplier Diversity and Procurement

The University is committed to serve as an advocate for diverse businesses in their efforts to conduct business. Minority and Woman-Owned Business Enterprises (MWBE) consist of minority, women, disabled, veteran, and disabled veteran owned business firms that are at least fifty-one percent owned and operated by an individual(s) of the categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled.

The University is committed to increasing the amount of goods and services acquired from businesses owned and controlled by diverse persons. The University expects its suppliers to support and assist in this effort.

Among the University's goals for MWBE participation in procurement are:

- To ensure the absence of barriers that reduce the participation of diverse suppliers
- Educate Contractors on "how to" do business with the University
- Support diverse Contractors seeking to do business with the University in the areas of goods, services, construction, and other areas of procurement
- Encourage participation of qualified diverse Contractors by directing them to agencies that can benefit from their product or service.
- Provide resources for diverse Contractors
- Sponsor events to assist diverse Contractors in becoming active, responsible, and responsive participants in the University's purchasing opportunities

For additional information regarding how diverse suppliers may participate in this RFP, submit any questions to the Contract Administrator as indicated in Section 3.2 by the deadline for written questions date.

2. PROPOSAL REQUIREMENTS

a. Key Event Dates

Release of RFP	7/22/2022
Mandatory Pre-Proposal Conference	7/28/2022 at 9AM, EST.
Deadline for Written Questions	8/3/2022 by 2PM, EST.
University Response/Addendum Posted	8/5/2022
RFP Proposals Due	8/17/2022 by 2PM, EST.
Short List Announcement	TBD
Offeror Presentations	TBD
Letter of Intent to Negotiate	TBD
Desired Contract Effective Date	TBD

*All dates are subject to change

b. Offeror Communication

To ensure that RFP documentation and subsequent information (modifications, clarifications, addenda, written questions, and answers, etc.) are directed to the appropriate persons within the offeror's firm, each offeror who intends to participate in this RFP is to provide the following information to the Contract Administrator of record. Prompt, thorough compliance is in the best interest of the offeror. Failure to comply may result in incomplete or delayed communication of addenda or other vital information. Contact information is the responsibility of the offeror. Without the prompt information, any communication shortfall shall reside with the offeror.

- Name of primary contact
- Mailing address of primary contact
- Telephone number of primary contacts
- E-mail address of primary contact
- Additional contact persons with same information provided as primary contact

This information shall be transmitted by e-mail to:

Evan Riddell
Procurement Services
University of Louisville
2215 S. Brook St.
Louisville, KY 40208
E-mail: evan.riddell@louisville.edu

All communication with the University regarding this RFP shall **ONLY** be directed to the contracting officer listed above.

c. Mandatory Pre-Proposal Conference

A pre-proposal conference will be held at the **Jim Patterson Stadium – front entrance, 3015 S. 3rd St., Louisville, KY 40208**, on **July 28th, 2022, at 9:00AM – 10:00AM, EST** to allow prospective contractors an opportunity to ask questions and clarify the University's expectations. A site walk-through will be conducted at this time.

The following items should be noted in reference to the pre-proposal conference:

- Attendance at the pre-proposal conference is mandatory. At this conference, the scope of work will be discussed in detail.
- Offerors are encouraged to submit written questions after the conference by the date listed in section (3.a).
- Only written questions will constitute an official response from the University and be incorporated into the contract.
- A recorded transcript of the meeting will not be provided.
-

d. Offeror Presentations

All offerors whose proposals are judged acceptable for award may be required to make a presentation to the evaluation committee.

e. Preparation of Offers

The offeror is expected to follow all specifications, terms, conditions, and instructions in this RFP. The offeror will furnish all information required by this solicitation.

Proposals should be prepared simply and economically, providing a description of the offeror's capabilities to satisfy the requirements of the solicitation. Emphasis should be on completeness and clarity of content. All documentation submitted with the proposal should be bound in the single volume except as otherwise specified.

An electronic version of the RFP, in PDF format only, is available through the University Purchasing website found [here](#)

f. Proposed Deviations from the RFP

The stated requirements appearing elsewhere in this RFP shall become a part of the terms and conditions of any resulting contract. Any deviations therefrom must be specifically defined in accordance with the transmittal letter, Section 4.c. If accepted by the University, the deviations shall become part of the contract, but such deviations must not conflict with the basic nature of this RFP.

Note: Offerors shall not submit their standard terms and conditions as exceptions to the University's [General Terms and Conditions](#). Each exception to the University's General Terms and Conditions shall be individually addressed.

g. Proposal Submission and Deadline

Offeror must provide the following materials prior to 2:00 PM, EST on the date specified in Section 3.a and addressed to the Contract Administrator listed in Section 3.b:

- **Proposal: Email proposal to Contract Administrator of record in Section 3.b**

Note: Proposals received after the closing date and time will not be considered.

Note: In accordance with the Kentucky Revised Statutes [45A.085](#), there will be no public opening.

h. Modification or Withdrawal of Offer

An offer and/or modification of offer received at the office designated in the solicitation after the exact hour and date specified for receipt will not be considered.

An offer may be modified or withdrawn by written notice before the exact hour and date specified for receipt of offers. An offer also may be withdrawn in person by an offeror or an authorized representative, provided the identity of the person is made known and the person signs a receipt for the offer, but only if the withdrawal is made prior to the exact hour and date set for receipt of offers.

i. Acceptance or Rejection and Award of Proposal

The University reserves the right to accept or reject any or all proposals (or parts of proposals), to waive any informalities or technicalities, to clarify any ambiguities in proposals and (unless otherwise specified) to accept any item in the proposal. In case of error in extension or prices or other errors in calculation, the unit price shall govern. Further, the University reserves the right to make a single award, split awards, multiple awards, or no award, whichever is in the best interest of the University.

j. Rejection

Grounds for the rejection of proposals include (but shall not be limited to):

- Failure of a proposal to conform to the essential requirements of the RFP.
- Imposition of conditions that would significantly modify the terms and conditions of the solicitation or limit the offeror's liability to the University on the contract awarded based on such solicitation.
- Failure of the offeror to sign the University RFP. This includes the Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest statements.
- Receipt of proposal after the closing date and time specified in the RFP.

k. Addenda

Any addenda or instructions issued by the purchasing agency prior to the time for receiving proposals shall become a part of this RFP. Such addenda shall be acknowledged in the proposal. No instructions or changes shall be binding unless documented by a proper and duly issued addendum.

l. Disclosure of Offeror's Response

The RFP specifies the format, required information and general content of proposals submitted in response to this RFP. The purchasing agency will not disclose any portions of the proposals prior to contract award to anyone outside the University's Department of Procurement Services, the University's administrative staff, representatives of the state or federal government (if required) and the members of the committee evaluating the proposals. After a contract is awarded in whole or in part, the University shall have the right to duplicate, use or disclose all proposal data submitted by offerors in response to this RFP as a matter of public record.

Any submitted proposal shall remain valid six (6) months after the proposal due date.

The University shall have the right to use all system ideas, or adaptations of those ideas, contained in any proposal received in response to this RFP. Selection or rejection of the proposal will not affect this right.

m. Restrictions on Communications with University Staff

From the issue date of this RFP until a Contractor is selected and a contract award is made, offerors are not allowed to communicate about the subject of the RFP with any University administrator, faculty, staff or members of the board of trustees except for: the purchasing office representative, any University contracting official representing the University administration, any other individuals authorized in writing by the Procurement Services office and University representatives during offeror presentations. If this provision is violated, the University reserves the right to reject the offeror's proposal.

n. Cost of Preparing Proposal

The University shall not, in any event, be liable for any pre-contractual expenses incurred by the Offeror in the preparation of their proposal. Offeror shall not include any such expenses as part of their proposal.

Pre-Contractual expenses are defined as:

- All expenses incurred by the Offeror in the preparation of the proposal in response to this RFP.
- All expenses incurred by the Offeror in submitting that proposal to the University.
- All expenses incurred by the Offeror while negotiating with the University any matter related to this proposal (such as travel expenses, etc.).
- Any other expenses incurred by the Offeror prior to the effective date of the contract.

o. Disposition of Proposals

All proposals become the property of the University. The successful proposal will be incorporated into the resulting contract by reference.

p. Alternate Proposals

Offerors may submit alternate proposals. If more than one proposal is submitted, all must be complete (separate) and comply with the instructions set forth within this document. Each proposal will be evaluated on its own merits.

q. Questions

All questions should be submitted by e-mail to the Contract Administrator listed in Section 3.b no later than the date listed in Section 3.a.

r. Section Titles in the RFP

Section titles used herein are for the purpose of facilitating ease of reference only and shall not be construed to infer the construction of contractual language.

s. No Contingent Fees

No person or selling agency shall be employed or retained or given anything of monetary value to solicit or secure this contract, except bona fide employees of the offeror or bona fide established commercial or selling agencies maintained by the offeror for the purpose of securing business. In the event of a breach or violation of this provision, the University shall have the right to reject the proposal, annul the contract without liability, or, at its discretion, deduct from the contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee or other benefit.

t. Proposal Addenda and Rules for Withdrawal

Amendments to this Request for Proposal may be necessary prior to the closing date and will be furnished in the form of written addenda that will be posted on the University's BID/RFP Purchasing [webpage](#). Oral communication with any person(s) will not be construed as an amendment to the specifications, unless converted to the form of written addenda and posted to the University's BID/RFP Purchasing webpage.

When necessary and upon requested, the University will provide supplemental data via files to the Contractor in one of two formats. The first choice will be to use the industry standard of EDI (Electronic Data Interchange) 834. If EDI is not appropriate for the type of information being transmitted, a University standard format will be provided. It will be the Offeror's responsibility to conform the data to fit the needs of their software. Offeror may be required to sign a University Confidentiality Form prior to release of such information should that information contain private or confidential information.

Offers may be modified by written notice received prior to the exact hour and date specified for receipt of offers. An offer may be withdrawn in person by an Offeror or his authorized representative, provided his identity is made known and he/she signs a receipt acknowledging the withdrawal, but only if the withdrawal is made prior to the exact hour and date set for receipt of offers. Offers received at the office designated in this RFP after the exact hour and date specified for receipt will not be considered. Proposals that have clerical errors or any irregularity are subject to correction only with concurrence of the Department of Procurement Services.

3. PROPOSAL FORMAT AND CONTENT

a. Proposal Information and Criteria

The following list specifies the items to be addressed in the proposal. Offerors should read it carefully and address it completely, and in the order listed below, to facilitate the University's review of the proposal.

Proposals shall be organized into the sections identified below. The content of each section is detailed in the following pages.

- Signed Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest Form
- Transmittal Letter
- Bid Bond
- Executive Summary and Proposal Overview
- Proposer Qualifications Form
- Criteria 1 – Financial Proposal
- Criteria 2 – Experience and Qualifications
- Criteria 3 – Project Schedule
- Criteria 4 – Technical Proposal (Design Drawings and Specifications)

b. Signed Authentication of Proposal and Statements of Non-Collusion and Non-Conflict of Interest Form

The Offeror will sign and return the proposal cover sheet and print or type their name, firm, address, telephone number and date. The person signing the offer must initial erasures or other changes. An offer signed by an agent is to be accompanied by evidence of their authority unless such evidence has been previously furnished to the purchasing agency. The signer shall further certify that the proposal is made without collusion with any other person, persons, company, or parties submitting a proposal; that it is in all respects fair and in good faith without collusion or fraud; and that the signer is authorized to bind the principal offeror.

c. Transmittal Letter

The Transmittal Letter accompanying the RFP shall be in the form of a standard business letter and shall be signed by an individual authorized to legally bind the offeror. It shall include:

- A statement referencing all addenda and written questions, the answers and any clarifications to this RFP issued by the University and received by the offeror (If no addenda have been received, a statement to that effect should be included.).
- A statement that the offeror's proposal shall remain valid for six (6) months after the closing date of the receipt of the proposals.
- A statement that the offeror will accept financial responsibility for all travel expenses incurred for oral presentations (if required) and candidate interviews.
- A statement that summarizes any deviations or exceptions to the RFP requirements and includes a detailed justification for the deviation or exception.

A statement that identifies the confidential information as described in Section 6.23

d. Executive Summary and Proposal Overview

The Executive Summary and Proposal Overview shall condense and highlight the contents of the technical proposal in such a way as to provide the evaluation committee with a broad understanding of the entire proposal.

As part of the Executive Summary and Proposal Overview, Offeror shall submit with their response a summarized profile describing the demographic nature of their company or organization:

1. When was your organization established and/or incorporated?
2. Indicate whether your organization is classified as local, regional, national, or international.
3. Describe the size of your company in terms of number of employees, gross sales, etc.
4. Is your company certified as a small business, minority-owned, women-owned, veteran-owned, disabled-owned, or similar classification?
5. Include other demographic information that you feel may be applicable to the Request for Proposal submission.
6. Offeror shall describe in detail their company’s commitment to diversity, equity, and inclusion. Information shall be provided as to the number of diverse individuals that the Contractor employs as well as a description of Contractor’s efforts to do business with Minority and Woman-Owned Business Enterprises as they conduct their own business. In addition, please indicate the diverse nature of your company as well as ownership race/ethnicity.

Check One Only	Diverse Business Description (If Diverse Business, determine the classification that is the best description)
	Minority Owned (only)
	Veteran Owned and Small Business
	Minority and Woman and Small Business
	Minority and Woman and Veteran-Owned Business
	Minority and Veteran and Small Business
	Woman and Veteran and Small Business
	Minority and Woman and Veteran-Owned Small Business
	Woman Owned (only)
	Small Business (only)
	Veteran Owned (only)
	Minority and Woman Owned
	Minority and Small Business
	Minority and Veteran-Owned
	Woman Owned and Small Business
	Woman and Veteran-Owned
	Diversity not indicated

Owner Race/Ethnicity	Check One
Asian	
Black/African American	
Hispanic or Latino	
Native American	
Native Hawaiian/Pacific Islander	

White	
Other	

e. Criteria 1 – Financial Proposal

- Present a lump-sum quote or financial proposal with a detailed itemized price breakdown (section 7.0).

f. Criteria 2 – Experience and Qualifications

- Please list at least three customer references from prior projects and any projects with the University or other customers that are similar in nature to the scope of this RFP.

g. Criteria 3 – Project Schedule

- Present a project schedule/timeline for a start date and estimated completion time.

h. Criteria 4 – Technical Proposal

- Provide preliminary drawings and specifications that sufficiently describes how the proposal meets the design intent of this RFP. Proposals should be in a format that is easy for the committee to review. Proposals should be able to convey a detailed rendering of the entire scope of work as outlined in this RFP. The overall quality and organization of the proposal will be considered during scoring.

4. EVALUATION CRITERIA PROCESS

A committee of University officials appointed by Procurement Services will evaluate proposals and make a recommendation to Procurement Services. The evaluation will be based upon the information provided in the proposal, additional information requested by the University for clarification, information obtained from references and independent sources and oral presentations (if requested).

The evaluation of responsive proposals shall then be completed by an evaluation team, which will determine the ranking of proposals. Proposals will be evaluated strictly in accordance with the requirements set forth in this solicitation, including any addenda that are issued. The University will award the contract to the offeror whose proposal is determined to be the most advantageous to the University, taking into consideration the evaluation factors set forth in this RFP.

The evaluation of proposals will include consideration of responses to the list of criteria in Section 4.0. Offerors must specifically address all criteria in their response. Any deviations or exceptions to the specifications or requirements must be described and justified in a transmittal letter. Failure to list such exceptions or deviations in the transmittal letter may be considered sufficient reason to reject the proposal.

The relative importance of the criteria is defined below:

Primary Criteria

- Financial Proposal 40%
- Experience and Qualifications 10%
- Project Schedule 20%
- Technical Proposal 30%

The University will evaluate proposals as submitted and may not notify offerors of deficiencies in their responses.

Proposals must contain responses to each of the criteria listed in Section 4 even if the offeror's response cannot satisfy those criteria. A proposal may be rejected if it is conditional or incomplete in the judgment of the University.

5. GENERAL CONDITIONS

a. Competitive Negotiation

It is the intent of the RFP to enter competitive negotiation as authorized by KRS [45A.085](#).

A contract will be awarded to the Offeror whose proposal conforms to the terms, conditions and the scope of this RFP and is deemed by the University as offering the best value to the University, with price and other evaluation factors considered.

Prior to contract award, Procurement Services shall complete a review of the Contractor(s) against appropriate governmental exclusion/debarment/suspension lists. Any Contractors who are identified as potential matches will be reported to the Institutional Compliance Office for verification procedures prior to contract award. Procurement Services will not proceed with a contract award to any Contractor verified as a positive match, without consultation with the Institutional Compliance Office and appropriate University Administration.

The University reserves the right to reject any or all offers and to waive informalities and minor irregularities in offers received.

It is the University's intent to award a single contract. A written award (or acceptance of offer) mailed (or otherwise furnished) to the successful Offeror shall be deemed to result in a binding contract without further action by either party, and all terms and conditions of this Request for Proposal are hereby incorporated into any such contract.

If alternates are accepted, they will be accepted at the time of award and in the sequence of their listing. The prices of the alternates will be added or deducted from the base proposal to arrive at a lump sum figure, which will be the basis of the award.

b. Appearance Before Committee

Any, all, or no offerors may be requested to appear before the evaluation committee to explain their proposal and/or to respond to questions from the committee concerning the proposal. Offerors are prohibited from electronically recording these meetings. The committee reserves the right to request additional information.

c. Additions, Deletions or Contract Changes

The University reserves the right to add, delete, or change related items or services to the contract established from this RFP. No modification or change of any provision in the resulting contract shall be made unless such modification is mutually agreed to in writing by the Contractor and Procurement Services and incorporated as a written modification to the contract. Memoranda of understanding and correspondence shall not be interpreted as a modification to the contract.

d. Contractor Cooperation in Related Efforts

The University reserves the right to undertake or award other contracts for additional or related work to other entities. The Contractor shall fully cooperate with such other Contractors and University employees and carefully fit its work to such additional work. The Contractor shall not commit or permit any act which will interfere with the performance of work by any other Contractor or by university employees. This clause shall be included in the contracts of all Contractors with whom this Contractor will be required to cooperate. The University shall equitably enforce this clause to all Contractors to prevent the imposition of unreasonable burdens on any

Contractor.

e. Entire Agreement

The RFP shall be incorporated into any resulting contract. The resulting contract, including the RFP and those portions of the offeror's response accepted by the University, shall be the entire agreement between the parties

f. Governing Law

The Contractor shall conform to and observe all laws, ordinances, rules and regulations of the United States of America, Commonwealth of Kentucky and all other local governments, public authorities, boards, or offices relating to the property or the improvements upon same (or the use thereof) and will not permit the same to be used for any illegal or immoral purposes, business, or occupation. The resulting contract shall be governed by Kentucky law and any claim relating to this contract shall only be brought in the Franklin Circuit Court in accordance with KRS [45A.245](#).

g. Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act

Not Applicable

h. Termination for Convenience or Necessity

The University reserves the right to terminate this contract for its own convenience without cause upon thirty (30) days' written notice to the Contractor. Upon receipt of the University "Notice of Termination," the Contractor shall discontinue all services with respect to the applicable contract by the date stated in the notification. The Contractor will be compensated for all products shipped and received, work performed, services completed in whole or in part, and for material(s) which have been shipped (or which was otherwise allocated to the project which was terminated). Compensation for services provided by the Contractor will be calculated at a mutually agreed upon amount for services performed prior to "Notice of Termination." A fixed fee contract will be pro-rated (as appropriate).

The University reserves the right to cancel any established contract if any policy or procedural changes occur that would warrant discontinued use of the established contract. Additionally, if a protest is filed, depending on the outcome of the protest, the contract/order may be cancelled or confirmed.

While it is unlikely, it is possible the University and/or Contractor may need to cancel a contract based on changes to State statute and/or Kentucky's Model Procurement Code for which the University and/or Contractor become unable to comply.

i. Termination for Non-Performance

The Assistant Director of Procurement Services may terminate this contract for non-performance, as determined by the University. Such causes could include, but shall not be limited to:

- Mal-performance, non-performance, substitution of commodities, or failure to comply with specifications given herein in whole or part by the Contractor.
- Failure to provide satisfactory quality of product or service, including failure to maintain adequate personnel, whether arising from labor disputes or otherwise; any substantial change in ownership or proprietorship of the Contractor, which in the opinion of the University is not in its best interest; or failure to comply with the terms of this contract.
- Failure to keep or perform, within the period set forth herein, or violation of, any of the covenants, conditions, provisions, or agreements herein contained.

- Adjudication as voluntarily bankrupt, making a transfer in fraud of its creditors, filing a petition under any section of the U.S. Bankruptcy Code, or under any similar law or statute of the United States or any state thereof, or if an order for relief shall be entered against the Contractor in any proceeding filed by or against Contractor thereunder.
- Making a general assignment for the benefit of its creditors, or taking the benefit of any insolvency act, if a permanent receiver or trustee in bankruptcy shall be appointed for the Contractor.
- Violation by Contractor of any of the following:
 - Commonwealth of Kentucky and University conflict of interest policies
 - Commonwealth of Kentucky campaign finance laws
 - OSHA, Labor and Tax Collection Violations
 - Governmental Program Certification
 - Failure to register firm with the Kentucky Secretary of State
 - Failure to post requested bond if required
 - Failure to maintain required Insurance

Demand for Assurances

In the event the University has reason to believe Contractor will be unable to perform under the Contract, it may make a demand for reasonable assurances that Contractor will be able to timely perform all obligations under the Contract. If Contractor is unable to provide such adequate assurances, then such failure shall be an event of default and grounds for termination of the Contract.

Notification

The University will provide ten (10) calendar days written notice of default. Unless arrangements are made to correct the non-performance issues to the University's satisfaction within such ten (10) calendar day period, the University may terminate the contract by giving thirty (30) days' notice, by registered or certified mail, of its intent to cancel this contract.

j. Fiscal Funding Out

The University reserves the right to cancel and/or suspend the established contract if funds for the continuation of these contracted services are eliminated or are not fully appropriated in subsequent years. The University will make all efforts through annual budget requests to meet financial obligations for continuing contractual obligations; however, this does not guarantee that funds will be made available from one fiscal year to the next.

The University also reserves the right to cancel and/or suspend the established contract if changes occur in University policy and/or the way business are conducted regarding contracted services.

k. Prime Contractor Responsibility

Any contracts that may result from the RFP shall specify that the Contractor(s) is/are solely responsible for fulfillment of the contract with the University

l. Assignment and Subcontracting

The Contractor(s) may not assign or delegate its rights and obligations under any contract in whole or in part without the prior written consent of the University. Any attempted assignment or subcontracting shall be void.

m. Permits, Licenses, Taxes

The Contractor shall procure all necessary permits and licenses and abide by all applicable laws, regulations, and ordinances of all federal, state, and local governments in which work under this contract is performed.

The Contractor must furnish certification of authority to conduct business in the Commonwealth of Kentucky as

a condition of contract award. Such registration is obtained from the Secretary of State, who will also provide the certification thereof. However, the Contractor need not be registered as a prerequisite for responding to the RFP.

The Contractor shall pay any sales, use, personal property and other tax arising out of this contract and the transaction contemplated hereby. Any other taxes levied upon this contract, the transaction or the equipment or services delivered pursuant hereto shall be the responsibility of the Contractor.

The Contractor will be required to accept liability for payment of all payroll taxes or deductions required by local and federal law including (but not limited to) old age pension, social security, or annuities.

n. Attorneys' Fees

If either party deems it necessary to take legal action to enforce any provision of the contract and if the University prevails, the Contractor agrees to pay all expenses of such action including attorneys' fees and costs at all stages of litigation.

o. Royalties, Patents, Copyrights and Trademarks

The Contractor shall pay all applicable royalties and license fees. If a particular process, product, or device is specified in the contract documents and it is known to be subject to patent rights or copyrights, the existence of such rights shall be disclosed in the contract documents and the Contractor is responsible for payment of all associated royalties. The Contractor shall indemnify, hold the University harmless, and defend all suits, claims, losses, damages, or liability resulting from any infringement of patent, copyright, and trademark rights resulting from the incorporation in the work or device specified in the Contract Documents.

Unless provided otherwise in the contract, the Contractor shall not use the University's name nor any of its logos, trademarks, or copyrights, although it may state that it has a Contract with the University without the permission of the University.

p. F.O.B. Destination

All offers in response to this RFP must be based on F.O.B. to the University, all freight prepaid and included in the unit price. No other terms are acceptable; any bids that do not comply with the above will be rejected. The contractor will be fully responsible for all items while in transit, including returns. Any freight claims will be the responsibility of the contractor.

Large scale products may require delivery to specific building locations. Bidders shall be required to make inside building deliveries if required within the bid specifications.

q. Indemnification

Any provision in the terms and conditions or agreement provided by the Contractor that requires or otherwise specifies that the University will indemnify the Contractor or any of its Subcontractors or otherwise specify the University being liable or responsible for the actions/inactions of the Contractor or other third party shall only be to the extent permitted by Kentucky Revised Statutes (KRS [49.010](#) through [49.180](#)) by the powers and authority vested in the Kentucky Claims Commission and KRS [45A.225](#) through [45A.275](#) (Contract Claims).

The Contractor shall defend, indemnify and hold harmless the University, its affiliated entities, their trustees, officers, employees and agents from and against all costs, losses and expenses (including reasonable cost of attorney's fees) by reason of liability imposed by law upon the Contractor for damages resulting from the Contractor's performance or because of bodily injury, including death, personal injury, data breach/loss at any time resulting there from, sustained by any person or persons including the Contractor's employees, or on account of damage to property, including loss of use thereof, to the extent arising out of or in consequence of the negligent or intentional action or omission, or willful misconduct of the Contractor, provided however, that nothing contained herein shall require the Contractor to indemnify the University for such injuries to persons or damage to property to the extent arising out of, or in consequence to the negligent or intentional action, omission or

willful misconduct of the University, its officers, employees and agents. Any cap or limitation on the amount of the liability included by Contractor in its response or standard agreement is rejected. Any limitation of liability requires specific acceptance by the University (e.g., via an amendment signed in writing by the University).

r. Arbitration

Any provision in the Contractor’s terms and condition or agreement that specifies binding arbitration to resolve a controversy or claim arising out of or relating to this contract, or breach thereof, is rejected and deleted. Mediation or other forms of non-binding alternative dispute resolution may be used in lieu of binding arbitration.

s. Insurance Requirements

The Contractor will be responsible to repair to the satisfaction of the University any damage to grounds, buildings, vehicles, or other property belonging to the University or any of its employees or students, or property belonging to any member of the public present on campus for any legitimate purpose, where such damage is the direct or indirect result of any actions of the Contractor employees.

Insurance Requirements for Standard Contracts

Before the selected Offeror becomes entitled to any rights under this procurement and prior to taking any action under any resulting award/contract, Offeror shall have a Certificate of Insurance indicating the organization’s in-force insurance for the following policies and limits. Contractor agrees to provide proof of such insurance upon request.

Minimum Coverages

Type of Insurance	Minimum Limits of Liability
Commercial General Liability* Including: Completed Products, Personal and Advertising Injury Products/Complete Operations	\$1,000,000.00 Each Occurrence \$2,000,000.00 General Aggregate
Auto Liability* <u>(all owned, hired, and non- owned vehicles)</u>	\$1,000,000.00 Combined Single Limit (Bodily Injury, Property Damage)
Workers’ Compensation	Statutory Limits – Kentucky and the state(s) of domicile of the organization and any subcontractor(s). The all state and voluntary compensation endorsement is to be attached to the policy.
Employers’ Liability	\$1,000,000.00 (each employee, each accident and policy limit)
Property Insurance	Replacement Cost, Open Perils, Property Insurance for all Personal Property used/stored by the Contractor involved procurement on University property.

*Occurrence coverage is required. Claims-made coverage is not acceptable.

These policies (except Workers' Compensation) shall name the University, its trustees, officers, employees, and agents as Additional Insured and shall contain a covenant requiring no less than thirty (30) days written notice to the University before cancellation, reduction or other modification of coverages.

These policies shall be primary and noncontributing with any insurance carried by the University and shall contain a severability of interest clause in respect to cross liability, protecting each Additional Insured as through a separate policy had been issued to each. Certificate of the above policies shall be furnished, to the University, at least thirty (30) days prior to the commencement of services provided under this Contract.

All Certificates of Insurance must clearly state that the organization's insurance(s) is PRIMARY. If organization’s policy has deductibles, self-insured retentions, or co-insurance penalties, then all such costs shall be solely borne by organization and not by the University. The University will not share in any policy deductibles.

It is hereby agreed that in event of a claim arising under this policy, the organization will not deny liability by reason of the Additional Insured being a state, county, municipal corporation, or governmental agency.

The limits listed above may be accomplished through a combination of primary and excess/umbrella liability policies written on a "follow form" basis or forms no more restrictive than the primary policies

t. Builders Risk Insurance

The contractor shall procure and maintain builders risk insurance to cover "all risk" perils on a completed value form in an amount of protection of not less than 100% of the contract amount.

u. Parking

Any proposer that enters into a contract and/or agreement with the University of Louisville for sales or service may purchase a Vendor Parking Permit at the established fee. Location of parking will be designated at time of the permit purchase. Service providers on Belknap Campus can park at any designated service vehicle space and may also park in BLUE permit designated areas. On the Health Sciences Center, parking may be available in the parking structures upon request. A deposit is required to obtain an access card to these areas. Vendors parking on University property without permits shall be subject to ticketing, booting or impoundment.

Fee schedule is available at www.louisville.edu/parking. See Parking Permit pricing. Prices are subject to change. Daily permits may be purchased on-line at www.louisville.edu/parking.

v. Protection at Site

Pedestrian and automobile traffic near project sites shall be protected from injury and damage due to construction activities by appropriate means such as roping off walk or driveways, erecting warning signs, erecting snow fences and other positive ways which will divert traffic from areas of possible danger. Special attention shall be given to open trenches regarding pedestrian traffic with emphasis on blind or handicapped pedestrians. Open trenches shall be fenced with snow fence and protected by audio means.

w. Clean Up

It shall be the duty of the contractor to keep the jobsite policed and clean at all times. Rubbish and trash shall be cleaned out and removed daily and the premises kept in condition satisfactory to the Owner or the Owner's appointed representative.

x. Inspection

All supplies and equipment shall be subject to inspection or test by the University prior to acceptance. In the event supplies or equipment are defective in material or workmanship or otherwise not in conformity with specified requirement, the University shall have the right to reject them or require acceptable correction at the vendor's expense.

y. General Guarantee

Neither the final certificate of payment nor any provision in the contract documents nor partial or entire use of the premises by the Owner shall constitute an acceptance of work done, in accordance with the Contract Documents or relieve the contractor of liability in respect to any expense warranties or responsibility for faulty material or workmanship. The contract shall remedy any defects in the work and pay for any damage to other work resulting there from which shall appear within a period of one year from the date of final acceptance of the work unless a longer period specified. The Owner shall give notice of observed defects with reasonable promptness.

z. Damages

Failure of the University to invoke liquidated damages at the immediate time of the delay of delivery or installation, does not waive the right of the University to invoke said damages at a later date.

aa. Damage to Property

This contractor will be responsible to repair to the satisfaction of the University any damage to grounds, buildings, vehicles, or other property belonging to the University or any of its employees or students, or property belonging to any member of the public present on campus for any legitimate purpose, where such damage is the direct or indirect result of any actions of the contractor employees.

bb. Asbestos

Whenever and wherever during the course of performing any work under this contract, if the Contractor discovers the presence of asbestos or suspects that asbestos is present, he shall stop work immediately, secure the area, notify the Owner and await positive identification of the suspect material. During the downtime in such a case the Contractor shall not disturb any surroundings surface but shall protect the area with suitable dust covers. In the event the Contractor is delayed due to the discovery of asbestos or suspected asbestos, then a mutually agreed extension of time to perform the work shall be allowed the Contractor but without additional compensation due to the time extension.

cc. Asbestos Containing Materials

No asbestos-containing materials or lead-based coatings are to be purchased/supplied by any firm/person supplying to the University or installed in or on University property by any person performing work for the University. Furthermore, all products marked "May Contain Mineral Fiber" will be assumed to contain asbestos unless the manufacturer provides written certification that no asbestos fibers are present in the product and identifies the fibers for which the product is marked. Lead-based coatings are defined as containing more than 0.06% lead in the dried coating.

An exception to this policy can be made where an authorized faculty or staff member certifies that the use of asbestos or lead-based coating is essential to an ongoing research or production project and works with University Environmental Health and Safety Department to ensure that the material is used, stored and disposed of in a safe and legal manner."

dd. Conflicting Conditions

Not Applicable

ee. Contract Period

The contract established from this RFP will be through the completion of the project, which is at the discretion of the University of Louisville Procurement Services.

ff. Completion of Contract

Time is of the essence. The Contractor shall commence work within ten calendar days after issuance of the Work Order or execution of the Owner/Contractor Agreement, whichever comes first.

The Contractor shall complete all phases of this Contract by **December 10th, 2022**.

Completion of the work is understood to mean that the Contractor has completed the work described and that it has been accepted by the Owner.

For any delay in substantial or final completion, the Contractor and their sureties shall be liable for, and shall pay to the Owner, the aggregate sum of **Two Hundred Fifty Dollars (\$250.00)** as fixed, agreed, and liquidated damages for each calendar day of delay until the contract work is completed and accepted.

gg. Bid, Payment, & Performance Bond

Proposals shall be accompanied by a bid guarantee of not less than five percent (5%) of the amount of the base bid executed by a Surety Company authorized to do business in the State of Kentucky and countersigned by a licensed Kentucky Resident Agent representing the Surety Company. (Certified Check Acceptable)

It is agreed that in the event that this proposal is accepted by the owner and the Contractor shall fail to execute a contract within ten (10) consecutive calendar days from the date of notifications of the Award of Contract, the Owner shall determine that the contractor has abandoned the contract and thereupon, the Proposal shall become null and void, and the bid guarantee, check of bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for such failure and no protest pursuant to such action will be allowed.

The Contractor shall furnish a performance and payment bond in an amount equal to one hundred percent (100%) of the contract price as security for the faithful performance of this contract and for the payment of all persons performing labor, including payment of all unemployed contributions which become due and payable under the Kentucky Unemployment Insurance Law furnishings materials in connection with this contract.

The contractor is responsible for any costs associated with acquiring the performance and payment bonds.

hh. Contract Changes

During the period of the contract, no change will be permitted in any of its conditions and specifications unless the Contractor receives written approval from the University, which will be formally memorialized by way of written contract amendment signed by both parties.

Should the Contractor find at any time that existing conditions make modification of the requirements necessary, they shall promptly report such matter to the University of Louisville, Department of Procurement Services for consideration and decision.

ii. Amendments

It is recognized that subsequent written amendments to the awarded contract may be necessary; and all such amendments will require the mutual agreement of the parties.

jj. Method of Award

It is the intent of the University to award a contract to the qualified offeror whose offer, conforming to the conditions and requirements of the RFP, is determined to be the most advantageous to the University, cost and other factors considered.

Notwithstanding the above, this RFP does not commit the University to award a contract from this solicitation. The University reserves the right to reject any or all offers and to waive formalities and minor irregularities in the proposal received.

kk. Reciprocal Preference

In accordance with KRS [45A.494](#), a resident offeror of the Commonwealth of Kentucky shall be given a preference against a nonresident offeror. In evaluating proposals, the University will apply a reciprocal preference against an offeror submitting a proposal from a state that grants residency preference equal to the

preference given by the state of the nonresident offeror. Residency and non-residency shall be defined in accordance with KRS 45A.494(2) and 45A.494(3), respectively.

Any offeror claiming Kentucky residency status shall submit with its proposal a notarized affidavit affirming that it meets the criteria as set forth in the above reference statute.

Forms can be found at: [Kentucky Preference Claim Forms](#).

II. Reports and Auditing

Contractors are required to report summary dollar amounts of goods and services sold to the University via the resulting contract and originating from diverse Tier 2 or subcontractors affiliated with company. Due to the broad array of diversity reporting utilized, the University does not require specific classifications of diverse purchases; the successful Contractor may report Tier 2 purchase amounts as produced by their information systems and with sub-classifications as they are available. If the successful Contractor does not have any Tier 2 reporting for diverse suppliers to accompany their quarterly report submissions, they must indicate this when submitting the quarterly reports.

FY Quarter 1 report for purchases dated July 1 through September 30	Quarterly report due October 20
FY Quarter 2 report for purchases dated October 1 through December 31	Quarterly report due January 20
FY Quarter 3 report for purchases dated January 1 through March 31	Quarterly report due April 20
FY Quarter 4 report for purchases dated April 1 through June 30	Quarterly report due July 20

Report headers shall also be completed with the Contractor's name, contract number, and reporting period. A copy of the reporting template to be submitted can be found on the University's website found [here](#).

Reports can be submitted via email to purchase@louisville.edu based by the deadline(s) listed herein.

The University, or its duly authorized representatives, shall also have access to any books, documents, papers, records, or other evidence which are directly pertinent to this contract for the purpose of financial audit or program review.

If the successful Contractor(s) does not meet the reporting requirements based on the terms and conditions herein, the contract is subject to cancellation or termination.

The University reserves the right to request Audited Financial Statements from all firms submitting proposals to adequately evaluate firm(s) financial stability in performing the services as outlined within this request for proposal. Upon request from the University, firm(s) shall provide the last two (2) years audited financial statements for review by the University. These statements shall be treated as Proprietary Information. They will be marked so in the RFP file and will not be subject to open records inspection/requests.

mm. Confidentiality

The University recognizes an offeror's possible interest in preserving selected information and data included in the proposal; however, the University must treat such information and data as required by the Kentucky Open Records Act, KRS [61.870](#), et seq.

Information areas which normally might be considered proprietary, and therefore confidential, shall be limited to individual personnel data, customer references, formulae, and company financial audits which, if disclosed, would permit an unfair advantage to competitors. If a proposal contains information in these areas and the offeror declares them to be proprietary in nature and not available for public disclosure, the offeror shall declare in the Transmittal Letter the inclusion of proprietary information and shall noticeably label as

confidential or proprietary each sheet containing such information. Proposals containing information declared by the offeror to be proprietary or confidential, either wholly or in part, outside the areas listed above may be deemed non-responsive and may be rejected.

The University's General Counsel shall review each offeror's information claimed to be confidential and, in consultation with the offeror (if needed), make a final determination as to whether the confidential or proprietary nature of the information or data complies with the Kentucky Open Records Act.

nn. Conflict of Interest

Any Offeror responding to the Request for Proposal is required to disclose any potential conflict of interest. If the owner of your organization is related to a University employee, that relationship must be disclosed in writing and made part of the bid response.

For purposes of disclosure of a conflict, a person is a related person if related to a University employee in any of the following ways, and includes those within these categories who are referred to as adopted, step-, foster, grand-, half-, in-law, spouse of, or great-:

- parent
- child or ward
- sibling
- uncle or aunt
- first cousin
- nephew or niece
- spouse, domestic partner, significant other

oo. University Brand Standards

The Contractor must adhere to all University Brand Standards when using University logos, trademarks, or other University intellectual property. University Brand Standards are maintained by the University Office of Communications and Marketing (OCM) and can be viewed [here](#). Non-adherence to the standards can have a penalty up to and including contract cancellation. Only the OCM Director or designee can approve exceptions to the University standards.

pp. Payment Terms

The Contractor shall be paid, upon the submission of proper invoices, to the "Billing Address" shown on the purchase order, at the prices stipulated for items delivered and accepted, or services rendered. Unless otherwise specified, payment will not be made for partial deliveries.

The University reserves the right to make payment for orders via the University corporate Visa procurement card (ProCard).

qq. Taxes

The University is tax exempt from the provision of the Kentucky six percent (6%), sales and/or Use Tax on materials and equipment under this procurement. The University is also entitled to exemption from Federal Excise Tax. Our tax-exempt number is C-102. Exemption certifications shall be furnished upon request to cover exemptions where applicable.

rr. COVID-19 Safety Requirements

Offerors, awardees, their subcontractors, and all associated personnel, while on any University property or University controlled property, must be in full compliance with all current University, state, local, and/or federal public health guidance for the prevention of spread of COVID-19. These guidelines include, but are not limited to, practicing social distancing to the extent practicable, and wearing a mask that covers both the individual's nose and mouth. Further details regarding the University COVID-19 safety procedures can be

found here: [University COVID-19 Response](#). Current CDC guidelines can be found here: [CDC Guidelines](#).

ss. Compliance with Executive Order 14042

As the University of Louisville is as a federal contractor, the University is subject to the terms of Executive Order (EO) 14042, “Ensuring Adequate COVID Safety Protocols for Federal Contractors.” In accordance with the requirements of EO 14042, vendor agrees that, unless this agreement relates solely to the provision of products or the value of the contract is equal to or less than the simplified acquisition threshold, as that term is defined in section 2.101 of the Federal Acquisition Regulation, vendor shall comply with all applicable guidance issued by the federal government in connection with EO 14042, including guidance conveyed through Frequently Asked Questions, as amended during the performance of the resulting contract, published by the Safer Federal Workforce Task Force (Task Force Guidance) [here](#).

In the event that the vendor enters into any subcontracts providing services in connection with this agreement, the vendor shall include the substance of the clause above, including this paragraph (including the flow down requirement), in subcontracts at any tier that exceed the simplified acquisition threshold, as defined in Federal Acquisition Regulation [2.101](#) on the date of subcontract award, and are for services (not products), including construction, performed in whole or in part within the United States or its outlying areas.

tt. ADA and Related Compliance

Not Applicable

uu. Information Security

Not Applicable

vv. PCI Compliance

Not Applicable

INSTRUCTIONS FOR FILING EMPLOYMENT UTILIZATION REPORT

The Employment Utilization Report (EUR) is to be completed by each subject contractor (both prime and sub) and signed by a responsible official of the company. The General Contractor shall submit the EUR report with monthly pay application for its aggregate work force and include all reports for each subcontractor's aggregate work force to the University of Louisville

Compliance Agency	University of Louisville assigned responsibility for equal employment opportunity. (Secure this information from the contracting officer.)
Contractor	Any contractor who has a construction contract with the University of Louisville.
Minority	Includes Blacks, Hispanics, American Indians, Alaskan Natives, and Asian and Pacific Islanders-both men and women.
1. Covered Area	Jefferson County, Kentucky
2. Employer's Identification Number	Federal Social Security Number used on Employer's Quarterly Federal Tax Return (U.S. Treasury Department Form 941).
3. Current Goals (Minority & Female)	Minority – 12.2% Female – 3.5%
4. Reporting Period	Monthly, or as directed by the Compliance Officer, beginning with the effective date of contract.
5. Construction Trade	Only those construction crafts which contractor employs in Jefferson County, Kentucky.
6. Workhours of Employment (a-e)	a. The total number of male hours and the total number of female hours worked by employee's classification. b.-e. The total number of male hours and the total number of female hours worked by each specified group of minority employees in each classification.
Classification	The level of accomplishment or status of the worker in the trade (Journey Worker, Apprentice, Trainee).

INSTRUCTIONS FOR FILING EMPLOYMENT UTILIZATION REPORT

7. Minority Percentage
- The percentage of total minority workhours of all work hours (the sum of columns 6b, 6c, 6d, and 6e; just one figure for each construction trade).
8. Black Percentage
- The percentage of black males and females computed from the total number of blacks in 6b.
9. Total Number of Employees
- Total number of male and total number of female employees working in each classification of each trade in the contractor's aggregate work force during reporting period.
10. Total Number of Minority Employees
- Total number of male minority employee and total number of female minority employees working in each classification in each trade in the contractor's aggregate work force during reporting period.

MBE/WBE SUBCONTRACTOR/SUPPLIER FORM

. **does commit itself that on the following project:**
Patterson Stadium Renovation – Design-Build RP-007-23

The Bidder agrees to furnish information required by the University of Louisville to indicate the Minority and Woman-Owned Business which it intends to utilize. Breach of this commitment constitutes breach of the Bidder's contract if awarded.

Name of Business	MBE WBE	Telephone Number	Type of Business	Dollar Value for Project	Percent

The undersigned will enter into a formal agreement with the minority or woman-owned business firms for work listed in this schedule conditioned upon execution of a contract with the University of Louisville.

Subcontractors and other persons and organizations proposed by the Bidder and accepted by the Owner and the Architect/Engineer must be used on the work for which they were proposed and accepted and shall not be changed except with the written approval of the Owner and the Architect/Engineer. The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the Bidder to the commitment herein set forth.

Signature and title of authorized official of the company and the date must be properly executed on this document or the bid will be deemed non-responsive.

<u>NAME OF AUTHORIZED OFFICER</u>	<u>Date</u>
<u>TITLE</u>	

SIGNATURE _____

**FORM OF PROPOSAL
RECORD OF MBE/WBE SOLICITATION**

certifies that the following Minority/Women-Owned firms were contacted to solicit pricing as subcontractors/suppliers for Request for Proposal No. **RP-007-23**

Name of Business	MBE WBE	Work Items Solicited	Result: No response/Bid Too High

The above firms were not selected for use on this project for the reasons stated in the RESULT column.

Signature Date

Title

7. SUPPLIER CERTIFICATION

The University is committed to conducting its procurement practices in accordance with federal law and regulations and with firms committed to an Equal Employment Opportunity policy. Towards that end, the Contractor is requested to review the attached Supplier Certification document and to complete any applicable information regarding conflict-of-interest requirements in the Supplier Certification. The attached Supplier Certification will be incorporated into the final contract/agreement resulting from this proposal. Awarded Contractors will be required to agree to and comply with the applicable provisions of the Supplier Certification when conducting business with the University.

The following certifications and acknowledgements are applicable as indicated by the particular provision.

The term "Supplier," as used in this document, refers to the entity that is supplying the goods or services to the University or one of its affiliated corporations. In related documents, the entity may also be referred to as Bidder, Offeror, Applicant, Proposer, Seller, Second Party, Subcontractor, or other similar term.

The term "Contract", as used in this document, refers to the agreement, purchase order, memorandum of understanding, subcontract, subaward, personal services agreement/contract or other similar document specifying the provisions under which the Supplier is providing goods or services to the University or one of its affiliated corporations.

The term "UofL affiliated entity" refers to the University of Louisville, the University of Louisville Research Foundation, Inc., the University of Louisville Athletic Association, or the University of Louisville Foundation.

a. Equal Opportunity Clause

(Applicable to Suppliers exceeding \$10,000 in Contracts with a UofL affiliated entity in a twelve-month period)

This Contract is subject to the requirements of Executive Orders [11246](#) and [11375](#) and the rules and regulations of the U.S. Secretary of Labor ([41 CFR Chapter 60](#)) in promoting Equal Opportunities. During the performance of this Contract the Supplier agrees as follows:

- Supplier will not discriminate against any employee or applicant for employment because of race, religion, color, sex, or national origin. Supplier will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, religion, color, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation, and selection for training, including apprenticeship. Supplier agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Government setting forth the provisions of this nondiscrimination clause.
- Supplier will, in all solicitations or advertisements for employees placed by or on behalf of the Supplier, state that all qualified applicants will receive consideration for employment without regard to race, religion, color, sex, or national origin.
- Supplier will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice advising the labor union or workers' representative of Supplier's commitments under Section 202 of Executive Order 11246 of

September 24, 1965, as amended, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

- Supplier will comply with all provisions of Executive Order 11246 of September 24, 1965, as amended, and of the rules, regulations, and relevant orders.
- Supplier will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Affirmative Action Office for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- In the event of Supplier's noncompliance with the nondiscrimination clauses of this Contract or with any of such rules, regulations, or orders, this Contract may be canceled, terminated, or suspended in whole or in part, and Supplier may be declared ineligible for further Government contracts in accordance with the procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- Supplier will include the provisions of Paragraphs (a) through (g) in every subcontract or purchase order unless exempted by the rules, regulations, or orders issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provision will be binding upon each subcontractor or Contractor. Supplier will take such action with respect to any subcontract or purchase order as the Government or Buyer may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event Supplier becomes involved in, or is threatened with, litigation with a subcontractor or Contractor as a result of such direction, Supplier may request the United States to enter into such litigation to protect the interests of the United States.¹

b. Affirmative Action for Disabled Veterans and Veterans of the Vietnam Era

(Applicable to Suppliers exceeding \$10,000 in Contracts with a UofL affiliated entity)

This Contract is subject to the requirements of Executive Order 11701 and the regulations of the U.S. Secretary of Labor (41 CFR Chapter 60, Part 60-250) in promoting employment opportunities for disabled and Vietnam veterans.

During the performance of this contract or purchase order, Supplier agrees as follows:

- To provide special emphasis to the employment of qualified disabled veterans and veterans of the Vietnam era. The Supplier also agrees that all suitable employment openings of the Supplier which exist at the time of execution of this Contract and those which occur during the performance of this Contract, including those not generated by this Contract and including those occurring at an establishment of the Supplier other than the one wherein the Contract is being performed but excluding those of independently operated corporate affiliates, shall be offered for listing at an appropriate local office of the State Employment Service system wherein the opening occurs and to provide such reports to such local office regarding employment openings and hires as may be required: provided, that if the Contract or purchase order is for less than \$10,000 or if it is with a state or local government, the reports set forth in Paragraphs (c) and (d) of this clause are not required.
- Listing of employment openings with the employment service system pursuant to this clause shall be made at least concurrently with the use of any other recruitment service or effort and shall involve the normal obligations which attach to the placing of a bona fide job order, including the acceptance of any particular job applicant or from any particular group of job applicants, and nothing herein is intended to relieve the Supplier from any requirements in Executive Order or regulations regarding nondiscrimination in employment.

¹ For federally assisted construction contracts, the Supplier further agrees that it shall comply with the requirements of 41 CFR 60-1.4(b), which is specifically incorporated by reference herein.

- The reports required by Paragraph (a) of this clause shall include, but not be limited to, periodic reports which shall be filed at least quarterly with the appropriate local office or where the Supplier has more than one establishment in a state, with the central office of the state employment service. Such reports shall indicate for each establishment (1) the number of individuals who were hired during the reporting period; (2) the number of those hired who were disabled veterans; and (3) the number who were nondisabled veterans of the Vietnam era.
- The Supplier shall submit a report within 30 days after the end of each reporting period wherein any performance is made on this contract or purchase order. The Supplier shall maintain copies of the reports submitted until the expiration of one year after final payment under the Contract, during which time they shall be made available, upon request, for examination by any authorized representatives of the contracting officer or of the University's Affirmative Action Office.
- Whenever the Supplier becomes contractually bound to the listing provisions of this clause, he shall advise the employment service system in each state wherein he has establishments of the name and location of each such establishment in the state. If the Supplier is contractually bound to these provisions and has so advised the state system, there is no need to advise the state system of subsequent contracts or purchase orders. The Supplier may advise the state system when it is no longer bound by this contract clause.
- This clause does not apply to the listing of employment openings which occur and are filled outside of the 50 states, the District of Columbia, Puerto Rico, Guam, and the Virgin Islands.
- This clause does not apply to openings which the Supplier proposed to fill from within his own organization or to fill pursuant to a customary and traditional employer-union hiring arrangement. This exclusion does not apply to a particular opening once an employer decides to consider applicants outside of his own organization or employer-union arrangement for that opening.
- As used in this clause:
 1. "All suitable employment openings" includes, but is not limited to, openings which occur in the following job categories: production and nonproduction; plant and office; laborers and mechanics; supervisory and nonsupervisory; technical, and executive administrative, and professional openings which are compensated on a salary basis of less than \$18,000 per year. This term includes full-time employment, temporary employment of more than three days' duration, and part-time employment. It does not include openings which the Supplier proposes to fill from within his own organization or to fill pursuant to a customary and traditional employer-union hiring arrangement. Under the most compelling circumstances an employment opening may not be suitable for listing, including the situations where the needs of the Government cannot reasonably be otherwise supplied, where listing would be contrary to national security, or where the requirement of listing would otherwise not be for the best interest of the Government
 2. "Appropriate office of the state employment service system" means the local office of the federal-state national system or public employment offices with assigned responsibility for serving the area where the employment opening is to be filled, including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands.
 3. "Openings which the Supplier proposes to fill from within his own organization" means employment openings for which no consideration will be given to persons outside the Supplier's organization (including any affiliates, subsidiaries, and the parent companies) and includes any openings which the Supplier proposes to fill from regularly established "recall" or "rehire" lists.
 4. "Openings which the Supplier proposes to fill pursuant to a customary and traditional employer-union hiring arrangement" means employment openings for which no consideration will be given to persons outside of a special hiring arrangement, including openings which the Supplier proposes to fill from union halls, which is part of the customary and traditional hiring relationship which exists between the Supplier and representatives of his employees.
 5. "Disabled veteran" means a person entitled to disability compensation under the law administered by the Veterans' Administration for disability rates at 30 per centum or more, or a person whose discharge or release from active duty was for a disability incurred or aggravated in line of duty.

6. “Veterans of the Vietnam era” means a person (1) who (i) served on active duty for a period of more than 180 days, any part of which occurred after August 05, 1964, and was discharged or released therefrom with other than a dishonorable discharge, or (ii) was discharged or released from active duty for service-connected disability if any part of such duty was performed after August 05, 1964, and (2) who was so discharged or released within the 48 months preceding his application for employment covered under this part.

c. Certificate of Nonsegregated Facilities

(Applicable to Suppliers exceeding \$10,000 in Contracts with a UofL affiliated entity)

This Contract is subject to the requirements of Executive Order 11246 and the regulations of the U.S. Secretary of Labor (41 CFR Part 60-1.8) prohibiting segregated facilities based upon race, color, religion, sex, or national origin.

The undersigned Supplier certifies to the University and the Federal Government agencies with which it contracts that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit the employees to perform their services at any location under his control where segregated facilities are maintained. Supplier certifies further that he will not maintain or provide for his employment any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The undersigned bidder, Offeror, applicant, supplier, or subcontractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this Contract. As used in this certification, the term “segregated facilities” means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. He further agrees that (except where he has obtained identical certifications from proposed subcontractors for specific time period) he will obtain identical certifications from proposed subcontractors prior to the award of subcontractors exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause, that he will retain such certification in his files, and that he will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certification for specific time periods):

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR CERTIFICATION
OF NONSEGREGATED FACILITIES

A Certification of Nonsegregated Facilities must be submitted prior to the award of a subcontract exceeding \$10,000 which is not exempt from the provision of the Equal Opportunity Clause. The Certification may be submitted either for each subcontract or for all subcontracts or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

Note: The penalty for making false statements in offers is prescribed in [18 U.S.C. 1001](#).

d. Employment of Individuals with Disabilities

(Applicable to Suppliers exceeding \$10,000 in Contracts with a UofL affiliated entity)

This Contract is subject to the requirements of Executive Order 11758, section 503 of the Rehabilitation Act of 1973, as amended, and the regulations of the U.S. Secretary of Labor (41 CFR Part 60-741.5) to promote the employment and advancement of qualified handicapped individuals.

During the performance of this Contract, Supplier agrees as follows:

- (1) that it will not discriminate against any employee or applicant for employment because of physical or mental disability regarding any position for which the employee or applicant for employment is qualified. The Contractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:
 - (i) Recruitment, advertising, and job application procedures.
 - (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring.
 - (iii) Rates of pay or any other form of compensation and changes in compensation.
 - (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists.
 - (v) Leaves of absence, sick leave, or any other leave.
 - (vi) Fringe benefits available by virtue of employment, whether administered by the Contractor.
 - (vii) Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training.
 - (viii) Activities sponsored by the Contractor including social or recreational programs; and
 - (ix) Any other term, condition, or privilege of employment.
- (2) that it will comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
- (3) that in the event of noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
- (4) that it will post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities. The Contractor must ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual or may lower the posted notice so that it might be read by a person in a wheelchair).
- (5) that it will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment individuals with physical or mental disabilities.
- (6) that it will include the provisions of this clause in every subcontract or purchase order more than \$10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or Contractor. The Contractor will take such action with respect to any subcontract or purchase order as the Deputy Assistant Secretary for Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.

e. Affirmative Action Program Requirement

(Applicable to Suppliers employing 50 or more employees and exceeding \$50,000 in Contracts with a UofL affiliated entity)

This Contract is subject to the requirements of Executive Order [11758](#), section 503 of the Rehabilitation Act of 1973, as amended, and the regulations of the U.S. Secretary of Labor (41 CFR Part 60-741.40) in promoting affirmative action in Employment of the Handicapped. Supplier agrees to conform to its requirements as outlined in 41 CFR Part 60-741.44.

Furthermore, Supplier agrees to develop a written Affirmative Action Compliance Program for each of its establishments as required by 41 CFR 60-2.1.

f. Filing Certificate

(Applicable to Suppliers employing 50 or more employees and exceeding \$50,000 in Contracts with a UofL affiliated entity)

Supplier has filed or will file the necessary compliance reports, including Standard Form 100 (EEO-1) where and when required by law and applicable regulations, including, without limitation, the Civil Rights Act of 1964 as amended by the Equal Employment Opportunity Act of 1972 and regulations in 41 CFR 60-1.7. Supplier further agrees that it shall require similar certification and filing from its nonexempt subcontractors and suppliers. The Supplier agrees to submit a copy of his Affirmative Action Program to the Affirmative Action Office, University, within 30 days after the award to him of a Contract. Subsequent reports shall be submitted annually in accordance with 41 CFR 60-1.7(a)(1).

g. Affirmative Action Certificate

(Applicable to Suppliers employing 50 or more employees and exceeding \$50,000 in Contracts with a UofL affiliated entity)

Supplier has developed, is maintaining, and will continue to maintain the written affirmative action compliance program to guarantee equal employment opportunity to minority groups required by applicable laws and regulations, including, without limitations, those appearing in 41 CFR 60-1.40. Supplier further agrees that it shall require similar certification and filing from its nonexempt subcontractors and suppliers.²

h. Administrative, Contractual, Legal Remedies

(Applicable to federally funded Contracts exceeding \$150,000 [or a higher threshold if the \$150,000 is adjusted for inflation as determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council])

Supplier acknowledges that the applicable UofL affiliated entity may impose sanctions or penalties on the Supplier for violation of terms of the Contract or breach of contract including violation of applicable regulations, other applicable provisions of law, or any directive or instruction from the UofL affiliated entity or the federal entity providing the funding. The UofL affiliated entity will determine the appropriate sanction and/or penalty, up to and including the inability to provide future goods or services to any UofL affiliated entity. In determining the appropriate sanction and/or penalty, the UofL affiliated entity will consider previous violations, potential harm to the project for which the goods or services are being provided, and any other relevant factors. The Supplier will be notified in writing of the intent to sanction and/or penalize and will have 10 business days from the date of receipt of the notice to submit a written response. The response will be reviewed, and a final decision will be communicated in writing to the Supplier. These sanctions or penalties do not preclude the UofL affiliated entity, nor the Supplier, from pursuing any other alternate dispute resolution or legal remedy to which either may be entitled under law or regulation.

² Non-construction Contractors should refer to 41 CFR Part 60-2 for specific affirmative action requirements. Construction Contractors should refer to 41 CFR Part 60-4 for specific affirmative action requirements.

i. Davis Bacon Act

(Applicable to federally funded construction projects exceeding \$2,000)

Supplier agrees to pay wages to mechanics and laborers at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. Supplier agrees to pay wages and meet the other requirements as specified by Davis-Bacon Act, as amended (40 U.S.C. 3141-3148) as supplemented by the Department of Labor regulations (29 CFR Part 5). Supplier acknowledges that the UofL affiliated entity's decision to make a Contract with Supplier is conditioned upon the acceptance of the wage determination.

j. Contract Work Hours and Safety Standards Act

(Applicable to federally funded Contracts exceeding \$100,000 which involves the employment of mechanics and laborers)

Supplier agrees to pay salaries and wages in accordance with the Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708) as supplemented by Department of Labor regulations (29 CFR part 5.) Supplier acknowledges that such requirements include computation of wages of a standard work week of 40 hours for every mechanic and laborer and that work in excess of the standard work week is permissible provided the worker is compensated at a rate no less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. These requirements do not apply to purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

k. Clean Air and Federal Water Pollution Control Act

(Applicable to federally funded Contracts exceeding \$150,000)

Supplier agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Supplier acknowledges the requirement to report any violations with the funding Federal agency and to the Regional Office of the Environmental Protection Agency (EPA) and agrees to notify the UofL affiliated entity of any such violations.

l. Termination

(Applicable to federally funded Contracts exceeding \$10,000)

The UofL affiliated entity shall have the right to terminate/cancel this Contract at any time upon thirty (30) days' written notice to the Supplier. The UofL affiliated entity shall pay Supplier for termination costs as allowable under OMB Circular A-21 or 2 CFR Part 200 as applicable.

m. Lobbying / Anti-Kickback

Copeland Anti-Kick Back Act:

(Applicable to any federally funded Contracts or funded by a federal loan)

The Supplier agrees to comply with the Copeland "Anti-Kick Back" Act (18 USC 874) as supplemented in the Department of Labor Regulations (29 CFR, Part 3). This Act provides that each Supplier, Bidder, subcontractor or subgrantee is prohibited from inducing, by any means, any person employed in the construction, prosecution, completion or repair of any public building, public work, or

building or work financed in whole or in part by loans or grants from the United States to give up any part of the compensation to which he is otherwise entitled.

Certification Governing Lobbying:

(Applicable to federally funded Contracts exceeding \$100,000)

The Supplier certifies to the best of his/her knowledge and belief that:

- 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Supplier, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, , the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Supplier shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the UofL affiliated entity.
- 3) The Supplier shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

n. Access to Records

(Applicable to any federally funded Contracts)

The UofL affiliated entity reserves the right to inspect, upon reasonable advance notice by the UofL affiliated entity and during normal business hours, Supplier's physical facilities, and all books, records, and documents of any kind pertaining to this Contract or Supplier's performance of supplying the goods or services provided by this Contract. Supplier agrees to provide copies of any records, receipts, accounts, or other documentation to the UofL affiliated entity in a timely fashion as reasonably requested by the UofL affiliated entity. Supplier will keep all usual and proper records and books of accounts in accordance with Generally Accepted Accounting Principles (GAAP) relating to performance of the Contract for a minimum period of three (3) years after the date of receipt of the final payment.

o. Audits

(Applicable to any federally funded Contracts)

Supplier assures UofL affiliated entity that it complies with either A-133 or the applicable provisions of

2 CFR Part F Audit Requirements (§200.500-200.520) applicable to assurances from subawards/subcontracts and that it will notify the UofL affiliated entity of completion of required audits and of any adverse findings which impact this Agreement, including those required audits conducted in accordance with Generally Accepted Government Auditing Standards (GAGAS). The UofL affiliated entity reserves the right to inspect, upon reasonable advance notice and during normal business hours, Supplier's physical facilities used to provide the Services undertaken under this Agreement, and all books, records, and documents of any kind pertaining to the provision of the Services provided under this Agreement. Supplier agrees to provide copies of any records, receipts, accounts, or other documentation in a timely fashion as reasonably requested by the UofL affiliated entity. Supplier will keep all usual and proper records and books of accounts in accordance with Generally Accepted Accounting Principles (GAAP) relating to performance/provision of Services for a minimum period of three (3) years after the date of receipt of the final payment.

This Supplier Certification is hereby incorporated into the applicable Contract with you. Your signature on the Contract, acceptance of the Contract / purchase order, acceptance of payment, or other form of acceptance / acknowledgement (e.g., continuing business relationship) with a UofL affiliated entity indicates your agreement to and acceptance of the applicable provisions. No counteroffer or provision of alternate terms and conditions is accepted by the UofL affiliated entity. Any changes must be agreed to in a signed separate writing specifically addressing the particular provision(s).

8. PROPOSER QUALIFICATIONS

1. Purpose

University Personnel and University Consultants will use this form and any other requested additional information to determine the responsiveness of the proposer and sub-contractors.

1. Name of Firm _____
Street Address _____
City, State, Zip _____
County _____
Business Phone (____) _____ Telefax (____) _____
2. Mailing Address _____
City, State, Zip _____
3. Contact Person _____
4. Type of Firm () Corporation () Partnership
 () Sole Proprietorship () Individual
 () Joint Venture () Other (Explain)
5. How many years has the firm been in business? _____ years _____ months
 - Has this business operated under any other name? _____ Yes _____ No
If yes what Other names: _____
6. If your firm is a corporation, provide the following:
 - Date of incorporation _____ State of incorporation _____

- States where corporation is authorized to conduct business _____
- **Attach proof that your firm is in good standing and registered with the Kentucky Secretary of State.**

7. If your firm is an individual or partnership, provide the following:

- Date of organization: _____
- If a partnership, is it limited or general? _____

- Name and address of all partners and specify their respective partnership participation, i.e., limited, general, managing.

8. If your firm is other than a corporation, individual or partnership, describe organization and identify principals.

9. In the space provided below, describe the type(s) of construction and project management expertise offered by your company.

(use additional pages if required)

10. List key persons (partners, owners, officers and directors). Include any other persons who have duties, responsibilities or authority typically delegated to partners, owners, officers or directors. Provide organization chart of the key individuals in the firm.

<u>Name of Person</u>	<u>Position/title</u>	<u>% Ownership</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

11. In the past five years, has the firm ever been fined for violating state or federal safety or environmental laws?
_____Yes _____No If yes, attach an explanation.

12. Has any key person with the firm ever been convicted of any state or federal crime (excluding traffic violations), including but not limited to embezzlement, theft, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations or bid-rigging? _____Yes_____
_No If yes, attach an explanation.

13. Has a civil court issued a judgment of \$10,000 or more against the firm in the past five years?
_____Yes _____No If yes, attach an explanation.

14. Is the firm currently a party to a pending lawsuit with a potential damages alleged of \$10,000 or more?
_____Yes _____No If yes, attach an explanation.

15. In the past five years, has the firm been terminated from or failed to complete any contract?
_____Yes No If yes, attach an explanation.

16. Performance and Payment Bonds will be issued by:

Surety Company Name _____
Street Address _____
City, State, Zip _____
Phone Number () _____ Fax () _____

Local Bond Agency _____
Kentucky Licensed Agent _____
Street Address _____
City, State, Zip _____
Phone Number () _____ Fax () _____

17. Current level of bonding capacity authorized by the surety.
Single Limit \$ _____ Aggregate Limit \$ _____

18. Bank Reference

Bank Name _____
Street Address _____
City, State, Zip _____
Phone Number () _____ Fax () _____
Contact Person _____

19. VENDOR REPORT OF PRIOR VIOLATIONS ON CONSTRUCTION PROJECTS

This form is applicable to all bid construction projects issued by the University of Louisville Procurement Services in accordance with KRS 45A.080.

The **Prime Bidder** on any construction sealed bid **shall** complete and provide a signed and notarized form with the required information.

All subcontractors for the best evaluated Prime Bidder shall also provide a signed and notarized form with the required information attached through the Prime Bidder to University within five (5) calendar days of the bid opening date. **The Prime Bidder shall provide copies of this form to the subcontractors for their execution.**

The information required is specifically - **any final determination(s) of violations within the last five (5) calendar years of the following:**

1. Violations of KRS Chapter 136 (Corporation and Utility Taxes);
2. Violations of KRS Chapter 139 (Sales and Use Taxes);
3. Violations of KRS Chapter 141 (Income Taxes);
4. Violations of KRS Chapter 337 (Wages and Hours);
5. Violations of KRS Chapter 338 (Occupational Safety and Health of Employees);
6. Violations of KRS Chapter 341 (Unemployment Insurance);
7. Violations of KRS Chapter 342 (Workers Compensation); and
8. Violations of Occupational Safety and Health Laws **in any other states and at the federal level.**

If there are no violations for a particular category, vendor should attach a statement to that effect.

If there are violations for a particular category, the vendor should list them and provide the following information for each: the date of the violation, a short description of the violation (including statutory citation), the name of the governmental enforcement agency involved, and the amount of any penalties imposed as a result of the final determination.

Please note that this information may be provided to other governmental agencies, such as the Kentucky Labor Cabinet, as part of the bid process. The University reserves the unqualified right to disqualify any vendors from participating further in this bid process if appropriate under law.

In addition, the successful prime bidder and subcontractors shall remain in continuous compliance with KRS 45A.485 during the life of any contract awarded and shall notify the Procurement Services of any new final determinations of violations in **any** of the above-mentioned categories, which occur after contract award, and during the life of any contract awarded. Failure to comply with these requirements may result in the bidder and subcontractors being disqualified from participating in future bid opportunities for the Commonwealth.

COMPANY NAME: _____

ADDRESS: _____

THIS VENDOR VIOLATION FORM IS BEING SENT TO THE LABOR CABINET FOR VERIFICATION. PLEASE MAKE SURE ALL YOUR VIOLATIONS ARE LISTED WITHIN THE LAST FIVE (5) YEARS. IF A BIDDER LISTS "NONE" AND HAS SOME, THEIR BID MAY BE REJECTED. FOR A LIST OF YOUR VENDOR VIOLATIONS, YOU CAN FAX OR EMAIL THE LABOR CABINET WITH YOUR REQUEST. FAX NUMBER IS (502) 696-1984 OR EMAIL: labor.desam@ky.gov.

Violation Category	Date	Description	Govt. Enforcement Agency	Amount of Penalties

Additional Project Information

1. List the name and title of the home office administrative project manager who will be assigned and responsible for this project. A current resume of this individual shall be attached to this submittal. The resume should include a list of projects for which this project manager has been responsible within the past five (5) years.

Name of Manager _____ Title _____

2. List the name and title of the on-site manager that will be assigned and responsible for this project. A current resume of this individual shall be attached to this submittal. This resume should include a list of projects for which this manager has been responsible within the past five (5) years.

Name of Project Manager _____
 Title _____

3. List the name and title of the on-site project superintendent who will be assigned and responsible for this project. A current resume of this individual shall be attached to this submittal. This resume should include a list of projects for which this superintendent has been responsible within the past five (5) years.

Name of Project Superintendent _____ Title _____

4. How many full-time, non-labor employees does the firm currently have? _____

5. How many full-time, labor/trade employees does the firm currently have? _____

6. What is your firm's average annual dollar volume of work for the past five (5) years?
 \$ _____

7. List below, by specification section, the work you plan to complete with your own work force or with subcontractors. In the blanks provided please indicate the specification section and "O" for own forces and "S" for subcontracted work.

Spec. Section	"O" or "S"	Spec. Section	"O" or "S"	Spec. Section	"O" or "S"
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

8. What percentage of the total work do you estimate will be performed with your own work force? _____%

9. How long has the firm been engaged in the type contracting required by this project?
___ Years ___ Months

10. List below five of your most recently completed projects that demonstrate your ability to complete the type of work required by the project being bid. (NOTE: The inability to list five such projects will not necessarily prevent a determination of responsibility.)

A. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____
Brief description of your firm’s work and responsibility in this project.

B. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____

Name of Owner Contact _____

Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

C. Project Title _____ Owner _____

Contract Amount _____ Completion date _____

Owner Phone Number () _____ Fax () _____

Name of Owner Contact _____

Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

D. Project Title _____ Owner _____

Contract Amount _____ Completion date _____

Owner Phone Number () _____ Fax () _____

Name of Owner Contact _____

Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

E. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____
Brief description of your firm's work and responsibility in this project.

11. List below all projects that are currently under construction that demonstrate your ability to complete the type of work required by the project being bid.

A. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____
Brief description of your firm's work and responsibility in this project.

B. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

C. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

D. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____
Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

E. Project Title _____ Owner _____
Contract Amount _____ Completion date _____
Owner Phone Number () _____ Fax () _____
Name of Owner Contact _____

Architect/Engineer _____ Phone No.() _____

Brief description of your firm's work and responsibility in this project.

Certification and Signature:

I hereby certify that I am an authorized principal of the firm and I:

1. Have read, and understand the reason for submitting this information;
2. Agree, upon request, to provide any additional information that may be necessary for determination of contractor responsibility;
3. Will, upon request, provide complete financial statements within five business days;
4. Swear or affirm that all information provided on this submittal is true;
5. Understand that if any of the responses are found to be materially untrue, the firm will be ineligible to be awarded a contract.

Your signature on this document is a sworn statement to the University of Louisville. This document must be signed by the firm's CEO, president, vice-president, partner or sole owner.

Under penalties of perjury, I hereby swear or affirm, warrant and represent that the above answers and information have been personally provided by me, and that I have the authority to execute this document on behalf of this firm.

Signature _____
Name _____
Title _____

State of _____)
County of _____)

Subscribed and sworn to before me on this _____ day of _____, 2022, by

_____, _____ acting for and on behalf of
(name) (office held)

_____.
(firm)

Notary Public _____, Kentucky
My Commission expires _____

10. SPECIAL CONDITIONS

ARTICLE 1 - SPECIAL CONDITIONS

These special conditions apply to each section of the specifications and are binding upon the Contractor and all subcontractors as each are subject to the provisions contained herein.

These special conditions are intended to define and establish certain rules and provisions of the contract governing the operation so that the work may be continued and be completed in an orderly, expeditious and workman like manner.

These special conditions, together with the specification and contract documents, shall further establish the standards of material and workmanship for the "work".

ARTICLE 2 - DEFINITIONS

Wherever used in these special conditions or in other contract documents, the following terms have the meaning indicated which are applicable to both the singular and plural thereof:

The term **OWNER** as used throughout the specifications and contract documents means the University of Louisville, represented by **Michael Ortman**.

ARCHITECT means the person, company or corporation, architect or other consultant, named in the contract documents.

CONTRACTOR means the person, company or corporation with whom the Owner has executed a contract

SUBCONTRACTOR means the person, company or corporation having a direct contract with the Trade Contractor for the performance of a part of the work.

CONTRACT means the bid offer of the Contractor as officially accepted by the Owner, evidenced by the "Letter of Intended Acceptance", executed Owner/Contractor agreement of contract, and the executed Finance encumbrance document, entitled "Purchase Contract".

CONTRACT DOCUMENTS means the agreement between the Owner and Contractor, the Payment and Performance Bond, the general, supplemental and special conditions, the drawings, the specifications, the scope of work, all addenda and modifications and change orders issued after execution of the contract. The contract documents complement each other; whatever is required by one shall be as binding as if required by all.

WORK means and comprises the completed construction required by the contract documents. It includes labor, material, equipment, supplies, superintendency, plant, and equipment to perform and complete the contract in an expeditious, orderly and workmanlike manner.

CONTRACT AMOUNT means the sum stated in the contract including any authorized adjustments thereto; it is the total amount payable by the Owner to the Contractor for the performance of the work under the contract documents.

WORK ORDER means a written notice by the Owner to the Contractor, authorizing the Contractor to commence work under the contract and establishing the beginning date.

CONTRACT COMPLETION TIME means the number of calendar days to complete the work as specified in the contract documents.

CALENDAR DAY means a day of twenty-four hours measured from midnight to the next midnight.

CHANGE ORDER means a written order to the Contractor signed by the Owner, issued after the execution of the contract, authorizing a change in the work or an adjustment in the contract sum or the contract time.

SHOP DRAWINGS means drawing, diagrams, schedules, and other data specially prepared for the work by the Contractor or any subcontractor manufacturer, supplier, or distributor to illustrate some portion of the work.

WRITTEN ORDER means a field order issued by the architect which clarified or interprets the contract documents or orders minor changes in the work which does not require a change order.

ARTICLE 3 - THE ARCHITECT/ENGINEER

Michael Ortman will be the Owner's representative during construction and until the work is complete.

The architect will visit the site at intervals appropriate to the stage of construction to familiarize himself generally with the progress and quality of the work, and to determine in general if the work is proceeding in accordance with the contract documents. On the basis of his on-site inspection observations, he will keep the Owner informed of the progress of the work and will endeavor to guard the Owner against defects and deficiencies in the work of the Contractor.

The architect will not be responsible for and will not have control or charge of construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the work.

The architect will be the sole interpreter of the requirements of the contract documents and the judge of the performance thereunder by the Contractor.

Claims, disputes, and other matters in questions that arise relating to the execution or progress of the work shall be referred initially to the Architect/Engineer for decision, which he will render in writing within a reasonable time.

The architect will have authority to reject work which does not conform to the contract documents.

The architect will review and approve, or take other appropriate action upon Contractor's submittal, such as shop drawings, product data, and samples, but only for conformance with the design concept of the work, and with the information given in the contract documents. Such action shall be taken with reasonable promptness so as to cause no delay. The architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

The architect will prepare change orders, and authorize minor changes in the work, not involving extra cost and time extension, provided the changes are not inconsistent with the purpose of the work.

The architect will conduct inspections to determine the dates of substantial completion and final completion; will receive and forward to the Owner for the Owner's review, written warranties and related documents required by the contract and assembled by the Contractor.

ARTICLE 4 - WORK REASONABLY INFERRED AND DISCREPANCIES

The Contractor shall make a thorough examination of the site(s) and study all drawings and specifications and all conditions relating to the erection of the work. Materials or labor evidently necessary for the proper and complete execution of the work, which are not specifically mentioned although reasonably inferred there from, shall be included in the work.

The intent of the contract documents is to include all items necessary for the proper execution and completion of the work. Anything called for in the specifications and not shown on the drawings or shown on the drawings and not called for in the specifications, shall be included in the Contractor's work, the same as if included in both. In the event of a doubt arising as to the true intent and meaning of the drawings and specifications, the Contractor shall report it at once to the architect. The architect shall furnish, with reasonable promptness, additional instructions, by means of drawings or otherwise, necessary for the proper execution of the work. All such drawings and instructions shall be consistent with the contract documents, true developments thereof and reasonably inferable therefrom. The work shall be executed in conformity therewith and the Contractor shall do no work without proper drawings and instructions. The architect shall be responsible for interpretation of the documents. The architect's decision regarding compliance with plans and specifications in the case of conflicts shall be final and binding.

ARTICLE 5 - SHOP DRAWING SCHEDULE

The Contractor shall submit a schedule to the architect prior to the submittal of the firm's first application for payment, fixing the dates for the submission of shop drawings.

ARTICLE 6 - SHOP DRAWING SUBMITTALS

The Contractor shall review, approve, and submit shop drawings in accordance with the approved schedule as herein detailed. By approving and submitting shop drawings, the Contractor represents that he has determined and verified all materials, field

measurements, and field construction criteria related thereto, or will do so, and that he has checked and coordinated the information contained within such submittals with the requirements of the work and of the contract documents.

The Contractor shall submit adequate shop drawings required for the work and the architect will review and approve, with reasonable promptness, shop drawing and samples, or return for corrections as required. The review and approval shall be only for conformance with the design concept of the project and for compliance with the information given in the contract documents. The approval of a separate item will not indicate approval of the assembly in which the item functions.

The Contractor shall make any corrections required by the architect for compliance to the contract and shall return the required number of corrected copies of shop drawings and resubmit new samples until approved. The Contractor shall direct specific attention, in writing, or on resubmitted shop drawings, to revisions other than the corrections called for by the architect on previous submissions. The Contractor's stamp of approval on any shop drawing or sample shall constitute a representation to Owner and architect that the Contractor has either determined and verified all quantities, dimensions, field construction criteria, materials, catalog number, and similar data, or he assumes full responsibility for doing so, and that he has reviewed or coordinated each shop drawing or sample with the requirements of the work and the contract documents.

Where a shop drawing or sample submission is required by the specifications, no related work shall be commenced until the submission has been approved by the architect. A copy of each approved shop drawing and each approved sample shall be kept in good order by the Contractor at the site and shall be available to the architect.

The architect's approval of shop drawings or samples shall not relieve the Contractor from his responsibility for any deviations from the requirements of the contract documents unless the Contractor has in writing called the architect's attention to such deviation at the time of submission and the architect has given written approval to the specific deviation, nor shall any approval by the architect relieve the Contractor from responsibility for errors or omissions in the shop drawings.

ARTICLE 7 – PLANS, DRAWINGS & SPECIFICATIONS

Unless otherwise provided in the contract documents, the Contractor will be furnished, free of charge, 6 complete sets of drawings and specifications reasonably necessary for the execution of the work. Additional copies/sets can be purchased at cost by the Contractor. The Contractor shall keep one copy of all drawings and specifications on the site, in good order, available to the architect and/or his representatives.

All drawings, specifications, and copies thereof, furnished by the architect, are the property of the University of Louisville. They are not to be used on other work.

ARTICLE 8 - MATERIALS, APPLIANCES, AND EMPLOYEES

Unless otherwise stipulated, the Contractor shall provide and pay for all materials, labor, water, tools, equipment, light, power, temporary heat, hoist, supplies, appliances, transportation, and other facilities necessary for the execution and completion of the work. In the event the Owner elects to make available the electric power, at no cost to the contractor for construction purposes, it shall not be utilized as a means for temporary heat.

Unless otherwise specified, all materials shall be new, and both workmanship and materials shall be of good quality. The Contractor shall, if required, furnish satisfactory evidence as to the kind and quality of materials.

The Contractor shall at all times enforce strict discipline and good order among his employees and subcontractors and shall not employ on the work any person not skilled in the work assigned to him.

ARTICLE 9 - ROYALTIES AND PATENTS

The Contractor shall pay all royalties and license fees and shall defend all suits or claims for infringement of any patent rights and shall save the Owner harmless from loss on account thereof. However, the foregoing shall apply to the Owner in lieu of the Contractor in the event that a particular process or the product of a particular manufacturer is specified.

ARTICLE 10 - SURVEYS, PERMITS AND REGULATIONS

The Owner will furnish only such surveys as included in the contract. Approvals, assessments, and easements for permanent structures or permanent changes in existing facilities and utility tap-on fee shall be secured and paid for by the Owner, unless otherwise specified. Building, sewer, water, and similar types of permits, where required by local ordinances, shall be obtained by the Contractor. A Contractor's license fee for doing business in the locale, if applicable, shall be paid for by the Contractor.

The Contractor shall give all notices and comply with all laws, codes, rules and regulations bearing on the conduct of the work as drawn and specified.

ARTICLE 11 - PROTECTION OF WORK, PROPERTY, EMPLOYEES AND PUBLIC

The Contractor shall continuously maintain adequate protection of all his completed work and materials delivered from damage and shall protect the Owner's property from injury or loss arising in connection with this contract, until final acceptance of the work. He shall make good any such damage, injury, or loss, except such as may be directly due to errors in the contract documents or caused by agents or employees of the Owner. He shall adequately protect adjacent property as provided by law and the contract documents.

The Contractor shall take all necessary precautions for the safety of employees on the work, and shall comply with all applicable provisions of federal, state, and municipal safety laws and building codes to prevent accidents or injury to persons on, about, or adjacent to the premises where the work is being performed.

The Contractor shall designate a responsible member of his organization, on the work, as safety officer whose duty shall be to enforce safety regulations. The name and position of the person so designated shall be reported to the Architect by the Contractor.

In an emergency affecting the safety of life, or of the work, or of adjoining property, the Contractor, without special instruction or authorization from the architect or Owner, is hereby permitted to act, at his discretion, to prevent such threatened loss or injury. The Contractor shall immediately inform the University Department of Public Safety (852-6111).

CONSTRUCTION AND SAFETY DEVICES

The General Contractor shall provide safety controls for protection of the life and health of employees and visitors. The General Contractor will utilize precautionary methods for the prevention of damage to property, materials, supplies, and equipment, and for avoidance of work interruptions in the performance of this Contract. In order to provide such safety control, the General Contractor shall comply with all pertinent provisions of the Kentucky Fire Prevention Code, Kentucky Building Code, Kentucky Labor Cabinet's Division of Occupational Safety and Health Program Construction Standards (29 CFR 1926 as adopted by 803 KAR 2:400 through 2:425) and Federal Occupational Safety and Health (Construction) Standards that are in effect at the time the Contract is entered into and during the period in which the Contract is to be performed.

The General Contractor shall provide a written safety program which includes all pertinent written specialty standards such as, but not limited to, Control of Hazardous Energy Sources (Lockout/Tagout), Hazard Communications Program, First Aid, Blood Borne Pathogen Program, Respirator Use Program and Hearing Conservation Program. The General Contractor shall require all Sub-contractors to have an effective written safety program or be required to follow the General Contractor's written safety program.

The General Contractor shall maintain an accurate record of and shall report to Kentucky Labor Cabinet's Division of Occupational Safety and Health in the manner and on the forms prescribed by that Division, exposure data and all accidents resulting in death, traumatic injury, and occupational disease. The General Contractor shall maintain an accurate record of and shall report to the Owner's Project Manager, any damage to property, materials, supplies, and equipment incident to Work under this Contract.

The Kentucky Labor Cabinet's Division of Occupational Safety and Health may notify the General Contractor of any noncompliance with the foregoing provisions. The General Contractor shall, after receipt of such notice, immediately correct conditions. Notice delivered to the General Contractor or the General Contractor's representative at the site of the Work shall be deemed sufficient for this purpose. If the General Contractor fails or refuses to comply promptly, the Owner may issue an order stopping all or part of the Work until satisfactory or corrective action has been taken. Failure or refusal to comply with the order will be grounds for reducing or stopping all payments due under the Contract to the General Contractor. No part of the construction time lost due to any such stop order shall be cause for, or the subject of a claim for, extension of time or for additional costs or damages by the General Contractor.

The General Contractor or any Sub-contractor shall immediately contact the University of Louisville through the Owner's Project Manager should they be selected for an inspection by the Kentucky Occupational Safety and Health Compliance Division.

Compliance with the provisions of the foregoing sections by Sub-contractors shall be the responsibility of the General Contractor.

Nothing in the provisions of this Article 14 shall prohibit the U.S. Department of Labor or the Kentucky Department of Labor Division of Occupational Safety and Health from enforcing pertinent occupational safety and health standards as authorized under Federal or State Occupational Safety and Health Standards.

The General Contractor shall take all necessary precautions for the safety of employees on the work, and shall comply with all applicable provisions of federal, state, and municipal safety laws and building codes to prevent accidents or injury to persons on, about, or adjacent to the premises where the Work is being performed. If the General Contractor or any Sub-contractor has questions related to the health or safety required by their written safety program, they should contact the Kentucky Labor Cabinet Occupational Safety and Health Program Division of Education and Training. The General Contractor shall designate a responsible member of the on-site work force as the safety officer and shall report to the Consultant and to the Owner the name of the person selected. The duties of the safety officer include the enforcement of all safety regulations.

ARTICLE 12 - INSPECTION OF WORK

The Owner, the architect, and their representative shall at all times have access to the work whenever it is in preparation or progress and the Contractor shall provide proper facilities for such access and for inspection. The architect shall be given timely notification in order to arrange for proper inspection of any work performed outside of the normal working day or week.

If the specifications, the architect's instructions, laws, ordinances, or any public authority require any work to be specially tested or approved, the Contractor shall give the architect timely notice of its readiness for inspection. Inspections by the architect shall be made promptly.

If any portion of the work should be covered contrary to the request of the architect, or to requirements specifically expressed in the contract documents, it must, if required in writing by the architect, be uncovered for his observation and shall be replaced at the Contractor's expense.

If any other portion of the work has been covered, which the architect has not specifically requested to observe prior to being covered, the architect, with the Owner's approval, may request to see such work and it shall be uncovered by the Contractor. If such work be found not in accordance with the contract documents, the Contractor shall pay such costs unless it be found that this condition was caused by the Owner, or a separate Contractor employed by the Owner, in which event the Owner shall be responsible for the payment of such costs.

ARTICLE 13 - INSPECTION AND TESTING OF MATERIALS

All inspection and testing costs required by the contract documents shall be paid for by the Contractor with the following exceptions:

All test costs required beyond the initial test to verify the requirements of the contract documents on Item shall be paid by the Contractor of record. The Owner will not pay costs for retesting required for incorrect work or materials.

ARTICLE 14 - SUPERINTENDENT - SUPERVISION

A qualified superintendent and any necessary assistants shall be maintained on the work site and give efficient supervision to the work until its completion. The superintendent shall have full authority to act on behalf of the Contractor and all instructions given to the superintendent shall be considered as given to the Contractor. It shall be the responsibility of the Contractor's superintendent to coordinate work of all the subcontractors.

The superintendent shall not be changed unless he proves to be unsatisfactory to the Contractor and ceases to be in his employ. The Contractor shall give timely notice to the Owner of a change in the superintendent and a reasonable explanation for the change.

ARTICLE 15 - CHANGES IN THE WORK

The Owner, without invalidating the contract, may as the need arises, order changes in the work by deletion or modification. All such changes to the work shall be authorized by change order and be executed under the conditions of the original contract. The Multi-Part hard copy Change Order form for Construction and Renovation projects has been discontinued and has been replaced with an electronic PDF version of the same document. This form can be found at the Department of Purchasing website <http://louisville.edu/purchasing/forms> Contract amount and time of completion shall be adjusted at the time of ordering such change. The value of any such change in work shall be determined in one or more of the following methods:

- A. By mutual acceptance of a lump sum.
- B. By unit prices named in the contract or subsequently agreed upon. Under either method, proposals for change in work shall be accompanied by a complete itemization of net costs for labor and materials, including the work of all subcontractors. In no case will a change be approved without such detailed itemization.

If the then existing circumstances indicate the impracticability or impossibility of proceeding under either of the above methods, the Owner may direct the Contractor to proceed with the change in the work, for which the Contractor shall be paid the actual cost for labor, direct overhead, materials, supplies, equipment, and other services necessary to complete the work. The Contractor shall keep and present in such form as the Owner may direct, a correct amount of all items comprising the net cost of such work, together with vouchers, including the cost to the Contractor of all labor, common and skilled, foremen, and the fair rental of all machinery together with reasonable transportation cost of machinery not on the site.

In any event, it is agreed and understood that an amount not to exceed fifteen percent (15%) shall be added to the actual net cost of the change of work as defined within. It is further agreed and understood that said maximum addition of fifteen percent constitute the exclusive cost for direct overhead and profit for work performed by either the general Contractor and/or their contracted sub-contractor.

In the case of the work being performed solely by a Subcontractor, the Contractor's mark-up shall not allocate more than one third of the total markup (1/3 of the 15% or 5%), for the Subcontractor's portion of the specified change of work.

The Contractor shall not include in the net cost of change of work any cost or rental of small tools, or any portion of the time of the Contractor or his superintendent, or any allowance for the use of capital, insurance or bond premium, or any actual or anticipated profit, or job or office overhead not previously mentioned, these items being considered as being covered under the added amount for general overhead. Pending final determination of value, partial payments on account of changes in work may be made on recommendation of architect.

The architect may authorize minor changes in the work, not involving extra cost and time extension, provided the changes are not inconsistent with the purpose of the work.

If the Contractor claims that any instructions by the architect involve additional cost and/or time extension, he shall give the architect written notice thereof within a reasonable timeframe after the receipt of such instructions and before proceeding to execute the change in work.

ARTICLE 16 - CONCEALED CONDITIONS

Should concealed conditions encountered in the performance of the work below the surface of the ground, or should concealed or unknown conditions in an existing structure be at variance with the conditions indicated by the contract documents, or should unknown physical conditions in an existing structure of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this contract be encountered, the contract sum and completion times if applicable shall be equitably adjusted by change order upon claim by either party made within twenty days after the first observance of the conditions.

ARTICLE 17 - DEDUCTIONS FOR UNCORRECTED WORK

If the Owner deems it not expedient to correct work damaged, or not done in accordance with the contract, an equitable deduction from the contract price shall be made, therefore.

ARTICLE 18 - DELAYS AND EXTENSION OF TIME

It is agreed that time is of the essence for each and every portion of this contract and where under the contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall be of the essence of this contract. Provided, that the Contractor shall not be charged with liquidated damages or any excess cost when the delay in completion of the work is due to:

- (a) Any preference, priority, or allocation order duly issued by the government.

(b) Unforeseeable cause beyond the control and without the fault or negligence of the Contractor, including, but not restricted to acts of God or of the public enemy, acts of the Owner, acts of another Contractor in the performance of a contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes freight embargoes and unusually severe weather.

(c) Any delays of subcontractors or suppliers occasioned by any of the causes specified in subsection (a) and (b) of this article Provided, further that the Contractor shall, within 48 hours of the occurrence of the event, notify the Owner in writing, who shall ascertain the facts and extent of the delay and notify the Contractor within a reasonable time of its decision in the matter. Any change in the contract time resulting from any such claim shall be incorporated in a change order. An extension of time shall not be construed as cause for extra compensation under the contract.

(d) Unusual inclement weather as used herein means unusually severe weather which is beyond the normal weather recorded and expected for the locality and/or the seasons of the year. Normal weather shall be determined based on records for the station of the United States Environmental Data Service show in Article 19 of the General Conditions.

ARTICLE 19 - WEATHER DATA

A. Information and data furnished or referred to below is furnished for the Contractor's information. It is to be expressly understood, however, that the Owner will not be responsible for any interpretation or conclusion drawn there from by the Contractor.

B. Weather Conditions - Information in the tables below was compiled from the records of the National Weather Service at Louisville, Kentucky.

P R E C I P I T A T I O N

MONTH	NORMAL (INCH)	MAXIMUM RECORD (IN)	MINIMUM RECORD (IN)	24-HOUR MAXIMUM (IN)	AVERAGE NUMBER OF DAYS .1" OR MORE
JAN	3.57	16.65	0.37	2.98	13
FEB	3.26	7.69	0.67	3.40	11
MAR	4.83	10.38	0.99	3.85	13
APR	4.01	9.30	0.79	4.39	13
MAY	4.23	10.84	1.20	3.24	12
JUN	4.25	11.69	1.36	5.88	11
JUL	4.95	10.64	1.83	4.73	11
AUG	3.96	11.18	0.56	3.56	9
SEP	3.28	9.69	0.24	4.35	8
OCT	2.26	6.13	0.33	3.21	8
NOV	3.30	6.87	0.45	2.58	11
DEC	3.78	9.97	0.61	3.77	12

T E M P E R A T U R E

MONTH	NORMAL (DEG F)	MAXIMUM RECORD (DEG F)	MINIMUM RECORD (DEG F)	AVERAGE NUMBER OF DAYS 32 DEG F OR BELOW
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JAN	31.5	76	-21	11
FEB	34.5	76	-15	7
MAR	43.9	83	-2	1
APR	55.0	88	18	0
MAY	64.3	92	26	0
JUN	72.2	101	39	0
JUL	75.9	103	47	0
AUG	74.9	103	42	0
SEP	68.7	103	35	0
OCT	56.8	91	20	0
NOV	44.9	81	-3	1
DEC	36.1	75	-10	5

- C. For this Contract, "Unusual Inclement Weather" will be interpreted as those days in excess of the number of days shown in the final column under PRECIPITATION on which rainfall exceeded 0.1 inch and in the final column under temperature on which maximum temperature was below 32 degrees F.
- E. Request for additional days in construction due to "Unusual Inclement Weather" shall be communicated to the Owner and Owner representative no less than 48 hours after such event occurs. If approved by the Owner, the additional days will be incorporated via Change Order to original contract.

ARTICLE 20 - CORRECTION OF WORK BEFORE FINAL PAYMENT

The Contractor shall promptly remove from the premises all materials rejected by the architect that fail to conform to the contract, whether incorporated in the work or not, and the Contractor shall promptly replace and re-execute the work in accordance with the contract and without expense to the Owner and shall bear the expense of making good all work of other Contractors destroyed or damaged by such removal or replacement.

ARTICLE 21 - CORRECTION OF WORK AFTER FINAL PAYMENT

Neither the final certificate of payment nor any provisions in the contract documents shall relieve the Contractor of responsibility for faulty materials or workmanship and, unless otherwise specified, he shall remedy any defects due thereto and pay for any damage to other work resulting there from, which shall appear within a period of one year from date of the acceptance of the work by the Owner. The Owner shall give notice of observed defects with reasonable promptness.

ARTICLE 22 - TERMINATION OF CONTRACT FOR CONVENIENCE OF OWNER

- A. The Owner may terminate the contract for its own convenience when it is determined by the contracting authority that such termination will be in the best interest of the University of Louisville. When it has been determined that a contract should be terminated for the convenience of the University, the contracting authority shall give reasonable written notice and negotiate a fair and just settlement with the Contractor in accordance with published regulations of the Office of Facilities Management.
- B. The Contractor shall have the burden of establishing the amount of compensation to which he believes himself to be entitled by the submission of complete and accurate cost data employed in submitting his bid or proposal for the contract, and evidence of expenses paid or incurred in performance of the contract from the date of award through the date of termination for convenience.

ARTICLE 23 - TERMINATION OF CONTRACT FOR DEFAULT ACTION OF CONTRACTOR

If the Contractor should be adjudged a bankrupt, or if he should make a general assignment for the benefit of his creditors, or if a receiver should be appointed on account of his insolvency, or if he should persistently or repeatedly refuse or should fail, except in cases for which extension of time is provided, to supply sufficient skilled workmen, adequate equipment, or proper material, or if he should fail without proper cause to make prompt payment to subcontractors, or for material or labor, or persistently disregard laws, ordinances, or the instruction of the architect, or otherwise be guilty of a substantial violation of any provision of the contract, then the Owner, upon the certification by the architect that sufficient cause exists to justify such action, may without prejudice to any other right or remedy and after giving the Contractor three (3) days written notice by registered mail of declaration of default, take possession of the premises and all materials and building components thereon, and finish the work in accordance with laws of the Commonwealth.

In such case, the Contractor shall not be entitled to receive any further payment until the work is finished. If the unpaid balance of the contract price shall exceed the expense of finishing the work, including compensation for additional managerial and administrative services, such excess shall be paid to the Contractor. If any such expense shall exceed such unpaid balance, the Contractor shall pay the difference to the Owner. The expense incurred by the Owner, as herein provided, and the damage incurred through the Contractor's default shall be certified by the architect.

ARTICLE 24 - SUSPENSION OF WORK

A. The Owner

1. The Owner may, for reasons exclusive of any court order or other types of litigation, suspend the work or any portion thereof for a period of not more than thirty (30) days or such further time as agreed upon by the Contractor without causing termination of the contract. The notice of suspension shall be in writing and shall set forth the reason for the suspension. The written notice shall fix the approximate date on which work is contemplated to be resumed. The Owner will allow reimbursement of direct job expenses or extension of time, or both, directly attributable to the suspension.

2. In the event the Owner should be prevented or enjoined by court order from proceeding with the work or from authorizing its prosecution, either before or after the award and work order, by reason of any litigation for a period of up to ninety (90) days, the delay shall not constitute cause for termination and the Contractor shall not be entitled to make or assert claim for damage by reason of said delay; but the time for completion of work will be extended to such reasonable time as the owner may determine will compensate for time lost by such delay. Such determination to be set forth in writing.

B. The Contractor

If, through no act or fault of the Contractor, the work is suspended for a period of more than thirty (30) days by the Owner, or more than ninety (90) days under an order of court or other public authority, or the architect fails to act on any request for payment within thirty (30) days after it is submitted, or the Owner fails to pay the Contractor the sum certified by the architect or awarded by the court within fifteen (15) days of its approval and presentation, then the Contractor may, after ten (10) days from delivery of a written notice to the Owner and the architect, terminate the contract and recover from the Owner payment for all work executed and reasonable expense sustained. In addition and in lieu of terminating the contract, if the architect has failed to act on a request for payment, or if the Owner has failed to make any payment as aforesaid, the Contractor may upon ten (10) days written notice to the Owner and the architect stop the work until he has been paid all amounts then due, in which event and upon resumption of the work, a change order shall be issued for adjusting the contract price or extending the contract time, or both, to compensate for the costs and delays attributable to the stoppage of the work.

ARTICLE 25 - TIME OF COMPLETION

The Contractor shall begin work and mobilize on site within ten (10) calendar days on the date as specified on the WORK ORDER/PURCHASE ORDER. He shall carry the work forward expeditiously with adequate forces and management process for achieving completion within the contract time. All time limits stated in the Contract Documents are of the essence to the contract.

The contract time, unless otherwise provided, is the period of time specified in the Contract Documents for final completion as defined hereinafter, including authorized adjustments thereto. See, "Agreement of Contract", for mandatory completion dates and construction time.)

Substantial Completion of the work: Shall mean when the work is sufficiently complete, so that the architect and Owner may inspect the work to determine items requiring correction or completion for final acceptance.

The date of substantial completion shall be that date certified by the architect in accordance with the following procedures that the work is sufficiently complete to inspect.

(1) When the Contractor considers the work is substantially complete, he shall submit a written declaration of his consideration to the architect and a request for an inspection. The declaration and request shall be accompanied by a Contractor prepared list of those items of work still to be completed or corrected. The failure of the Contractor to include any item or items on such list not completed or needing correction shall not alter the responsibility of the Contractor to complete all work in accordance with the contract documents.

(2) The architect shall, within a reasonable time after receipt of notification from the Contractor of declaration of substantial completion and request for inspection, notify the owner of the date for inspection and make the inspection.

(3) If the architect considers the work substantially complete, he shall prepare a Certificate of Substantial Completion which shall establish the date of substantial completion and a list of items to be completed or corrected, and shall fix the time within which the Contractor shall complete the items listed. This time shall not exceed thirty (30) calendar days. The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance.

(4) If, after making the inspection, the architect does not consider the work substantially complete, he will notify the Contractor in writing, giving the reasons therefore.

Final Completion - The architect, upon receipt of written notice from the Contractor that the work is complete and is ready for final inspection and acceptance, will promptly make such inspection and when he finds the work completed and acceptable under the contract documents and the contract fully performed, he will so notify the Contractor in writing and promptly certify a final Certificate for Payment to the Owner accompanied by final certificate of occupancy from the Kentucky Department of Building and Housing. If the architect finds the work not complete he shall notify the Contractor and the owner. The Contractor shall then apply every effort to complete the work within the time allotted at the time of substantial completion. If the Contractor fails to complete the work in the time allotted, liquidated damages will be assessed as described in Article 3 of the Agreement of Contract. If additional inspection is required, the Contractor shall reimburse the architect for the time required for this inspection at the architect's established hourly rate.

Beneficial Occupancy - The owner may, should circumstances require, receive beneficial occupancy of the works or parts thereof for the use for which it is intended. Such occupancy shall not occur until after substantial completion. At the time of beneficial occupancy, the owner accepts responsibility for the operation, maintenance, utilities and insurance. The Owner shall notify the architect and the Contractor prior to substantial completion that he will require beneficial occupancy and the Contractor shall provide the Owner at the time of substantial completion a preliminary certificate of occupancy issued by the Kentucky Department of Building and Housing.

The Contract Time is the specified number of consecutive Calendar Days following the commencement of the Work as stated within the Special Terms and Conditions has to complete the Work required by the Contract. The General Contractor shall begin the Work within Ten (10) calendar days of the date of commencement as specified in the Work Order/Purchase Order. All time limits stated in the Contract Documents are of the essence of the Contract. The end of the Contract Time shall be the date specified by the Consultant on the certificate of Substantial Completion. The time for completion set forth in the Contract is a binding part of the Contract upon which the Owner may rely in planning the use of the facilities to be constructed and for all other purposes.

Substantial Completion is defined in Definitions of this article of the Special Terms and Conditions. Only incidental corrective Work under punch lists and final cleaning (if required) for Owners full use shall remain for Final Completion. The ability to occupy or utilize shall include regulatory authority approval unless regulatory approval is delayed due to actions of the Owner or the Consultant. When the Owner accepts and occupies a portion of the Project, the operation, maintenance, utilities, and insurance of that portion of the Project becomes the responsibility of the Owner.

The date of Substantial Completion shall be that date certified by the Owner or Consultant, in accordance with the following procedures, that the Work is sufficiently complete to occupy or utilize as defined above.

When the General Contractor considers the entire Work is substantially complete as defined within the Definition section of these General Conditions, and is ready for its intended use, the General Contractor shall notify the Consultant in writing and request an

inspection. The declaration and request shall be accompanied by a list prepared by the General Contractor of those items of Work still to be completed or corrected. The failure of the General Contractor or Consultant to include any item or items, which are not completed or which need correction, on such list shall not alter the responsibility of the General Contractor to complete all Work in accordance with the Contract Documents.

The Consultant shall, within a reasonable time after receipt of notification from the General Contractor of a declaration of Substantial Completion and request for inspection, make such inspection. Prior to the Substantial Completion Inspection and within sufficient time to allow the Consultants review, the General Contractor shall submit all as built drawings, catalog data, complete operating and maintenance instructions, manufacturer specifications, certificates, warranties, written guarantees and related documents required by the contract. The Consultant shall review said documents for accuracy and compliance with the Contract Documents and incorporate them into complete operating instructions and deliver them to the Owner.

If the Consultant considers the Work substantially complete, the Consultant shall recommend and prepare a Certificate of Substantial Completion which shall establish the date of Substantial Completion and the responsibilities between the Owner and General Contractor for security, maintenance, heat, utilities and insurance, if not otherwise provided for in the Contract Documents, and a tentative list of items to be completed or corrected, and shall fix the time within which the General Contractor shall complete the items listed therein. This time shall not exceed thirty (30) Calendar Days unless otherwise provided for in the established contract. The Certificate of Substantial Completion shall be submitted to the Consultant and General Contractor for their written acceptance of the responsibilities assigned to them in the certificate. The Project shall not be deemed substantially complete until the certificate is issued. If, after making the inspection, the Consultant does not consider the Work substantially complete, the Consultant will notify the Owner and the General Contractor in writing, giving the reasons therefore.

Operation and Maintenance Manual Deliverables. In anticipation and preparation of completion of the Work and the closing out of the Project, and to facilitate training of the Owner's personnel in the maintenance and operation of the new installations, the Contractor shall comply with the requirements specified within Special Conditions section of this document. (For the purposes of this article, air test and balance reports may be submitted at a later date with the request for certification of substantial completion.) These manuals shall be submitted to the Consultant for approval, and subsequently forwarded to the Owners Project Manager by or before the time construction is 75% complete, as reflected by the Contractor's most recently submitted Application for Payment.

The provisions of ARTICLE 34 - LIQUIDATED DAMAGES notwithstanding, if the General Contractor meets the requirements of ARTICLE 25 - TIME OF COMPLETION above with respect to timely submittal of approvable Operation and Maintenance manuals and provided the project construction is: 1) at least 75% complete and 2) is equal to or ahead of the approved progress schedule and 3) the Work completed is in compliance with the requirements of the contract documents, the Owner, at the sole discretion of the Director, Planning Design and Construction may reduce the retainage to not less than three percent (3%) of the current Contract Amount. In the event progress falls behind the approved progress schedule, the full 5% will immediately be reinstated by the Owner including all past retainages not held.

In the event the General Contractor fails to submit acceptable O&M manuals prior to reaching 75% completion, it is agreed that the Owner at its sole discretion may deduct from the current and subsequent Applications for Payment an amount deemed by the Owner to be sufficient to encourage prompt compliance with this contractual requirement. Until such time as acceptable O&M manuals are received.

As Built Drawings. A qualified representative of the General Contractor shall record on these documents, from day to day as Work progresses, all changes and deviations from the Contract Documents. Prior to Substantial Completion, the General Contractor shall complete and turn over to the Consultant the As-Built drawings, with a digital copy (in PDF format) submitted to the Owner simultaneously. The As-Built drawings shall consist of a set of drawings which indicate all field changes that were made to adapt to field conditions, changes resulting from Change Orders and all concealed and buried installations of piping, conduit and utility services. All buried and concealed items, both inside and outside the facility, shall be accurately located on the As-Built drawings as to depth and in relationship to not less than two permanent features such as interior or exterior wall faces. The As-Built drawings shall be clean and all changes, corrections and dimensions shall be given in a neat and legible manner in a contrasting color. For any changes or corrections in the Work which are made subsequent to the Substantial Completion inspection, revisions shall be made to the As-Built drawings and submitted to the Consultant prior to final payment. Approval of the final payment request shall be contingent upon compliance with these provisions

Project Close Out. When the Contractor considers that all Work required by the Contract is 100% complete, including correction of any remaining punch list work or deficiencies, the Contractor shall notify the Consultant in writing and request a final inspection. The Consultant, upon receipt of written notice from the Contractor that the Work is complete and is ready for final inspection and acceptance, will promptly make such inspection and when the Consultant finds the Work completed and acceptable under the Contract Documents and the Contract fully performed, the Consultant will so notify the Contractor in writing

to submit, and will certify to the Owner a final Certificate for Payment submitted in accordance ARTICLE 26- PAYMENT TO THE GENERAL CONTRACOR of these General Conditions. If the Contractor does not complete the punch items within the time designated, the Owner retains the right to have these items corrected at the expense of the Contractor including all architectural, engineering and inspection costs and expenses incurred by the Consultant and the Owner and to deduct such costs and expenses from the funds being held in retainage. The Owner shall not be required to release the retainage until such items have been completed.

ARTICLE 26- PAYMENT TO THE GENERAL CONTRACOR

Payments on account of this Contract shall be made monthly as Work progresses. The General Contractor shall submit to the Consultant, in the manner and form prescribed, an application for each payment, and, if required, receipts or other vouchers showing payments made for materials and labor (including certified payrolls and or payment affidavits), including payments to all tiered Sub-Contractors for labor (including certified payrolls and or payment affidavits) and materials. All payments shall be subject to any withholding or retainage provisions of this contract. All pay request documents except the final payment shall be submitted in whole dollar amounts. All payment applications from the General Contractor shall include line items for overhead profit and general condition costs. The Multi-Part hard copy Invoice form for Construction and Renovation projects has been discontinued and has been replaced with an electronic PDF version of the same document. This form can be found at the Department of Purchasing website <http://louisville.edu/purchasing/forms>

Effective 7-1-13: Per Article 17 of Instructions to Bidders, Monthly Employment Utilization Reports (EUR) are required to be submitted with monthly pay submission/requests to the University of Louisville by the prime contractor if contracts are in excess of \$10,000 or more. Prime contractor must ensure subcontractors comply with these same requirements. EUR form is available at <http://louisville.edu/purchasing/forms> Failure to submit EUR for work performed may delay payments from the University without penalty.

The Consultant shall within ten (10) Business Days after receipt of each application for payment, shall certify approval of payment in writing to the Owner and present the application to the Owner or return the application to the General Contractor indicating in writing its reasons for refusing to approve payment. The Owner, provided no exception is taken to the application for payment submitted by the Consultant, will issue payment on or within thirty (30) Calendar Days from the date received from the Consultant. A reasonable delay on the part of the Owner in making payment to the General Contractor for any given payment shall not be grounds for breach of Contract. The Consultant may refuse to approve the whole or any part of any payment if it would be incorrect to make such presentation to the Owner.

If payment is requested on the basis of materials and equipment not incorporated in the Work, but delivered and suitably stored at the site or at another location agreed to in writing, the General Contractor must furnish the following:

A list of the materials consigned to the Project (which shall be clearly identified) giving the place of storage, together with copies of invoices.

Certification that all items have been tagged for delivery to the Project and that they will not be used for any other purpose.

A letter from the Surety indicating that the Surety agrees to the arrangements and that payment to the General Contractor shall not relieve either the General Contractor or its Surety of their responsibility to complete the Work.

Evidence of adequate insurance listing the Owner as an additional insured covering the material in storage.

Evidence that representatives of the Consultant have visited the General Contractor's place of storage and checked all items listed on the General Contractor's certificate. They shall certify, insofar as possible, that the items are in agreement with the Specifications and approve their incorporation into the Project.

The Owner will pay 80% of the invoiced value less retainage for materials stored off site providing the above conditions are met.

The General Contractors signature on each subsequent application for payment shall certify that all previous progress payments received on account of the Work have been applied to discharge in full all of the General Contractor's obligations reflected in prior applications for payment.

Each payment made to the General Contractor shall be on account of the total amount payable to the General Contractor and the General Contractor warrants and guarantees that the title to all materials, equipment and Work covered by the paid partial payment shall become the sole property of Owner free and clear of all encumbrances. Nothing in this Article shall be construed as relieving General Contractor from the sole responsibility for care and protection of materials, equipment and Work upon which

payments have been made or restoration of any damaged Work or as a waiver of the right of Owner to require fulfillment of all terms of the Contract Documents.

Prior to submitting the first application for payment, the General Contractor shall submit to the Consultant and the Owner for approval a detailed breakdown of the Contract Amount pursuant to CSI specification divisions, divided so as to facilitate payment and correlated to the schedule required within General Conditions of the Contract Documents. The total value of all activities shall add up to the Contract Amount. When approved by the Consultant and the Owner, this schedule shall be used as a basis for General Contractors applications for payment and may be used by the Owner to determine costs or credits resulting from changes in the Work. Failure to obtain the approval of the Schedules of Values shall be a basis for withholding payment to the General Contractor.

Retainage — The Owner will retain ten percent (10%) of the General Contractor's progress payments until fifty one percent (51%) of the construction project has been completed. Thereafter, if the Work is fully in compliance with the requirements of the Contract and except as provided for in above, the Owner shall retain five percent (5%) of the total contract amount until Substantial Completion and acceptance of all Work covered by this Contract, as collateral security to insure successful completion of the Work. For the purposes of this Article, the term "in full compliance" shall mean: 1) that the progress of the Work is equal to or ahead of that predicted by the Project Baseline CPM schedule and 2) the Work completed is in compliance with the requirements of the contract documents. Subsequent to the issuance of the Substantial Completion Certificate and depending upon the cost involved for the completion and/or correction of punch list items, the Consultant may recommend to the Owner an adjustment to the amount being held as retainage and, if approved by Owner. The amount of retainage may then be reduced, and a sufficient sum retained by Owner to cover the uncompleted Work. Retainage reduction as provided for in this Article is contingent upon the General Contractor and/or Subcontractors being on or ahead of the approved progress schedule and on verification by the Consultant that the Work completed is in compliance with the requirements of the contract documents

In addition to the retainage set forth above, the Owner may withhold from any monthly progress payments or nullify any progress payments in whole or in part as necessary to protect the Owner from loss on account of:

Defective Work which has not been remedied or completed Work which has been damaged requiring correction or replacement, or

If the Owner has been required to correct Defective Work or complete Work which the General Contractor has failed or refused to correct or complete, or

If the General Contractor has failed to perform any of its obligations under the Contract, or

Failure of the General Contractor to make payments properly to Sub-contractors; suppliers of material, services or labor; or to reimburse the University for utilities or other services as provided for in the Contract.

Amounts to be withheld as liquidated damages for failure to complete the Project in the allotted Contract time.

When the Owner is satisfied that the General Contractor has remedied any such deficiency, payments shall be made of the amount being withheld on the next scheduled application for payment.

Final Payment — When all Work is completed and acceptable and the Contract is fully performed the General Contractor will be directed to submit a final payment application for certification and the entire balance shall be due and payable upon a certification of completion by the Consultant that the Work is in accordance with the Contract Documents.

Upon issuance of the Certificate of Final Completion by the Owner and submittal by the General Contractor of all required documents and releases, all retained amounts shall be paid to the General Contractor as part of the Final Payment. By accepting such payment, the General Contractor certifies that all amounts due or that may become due to any Sub-contractor, any Consultant of the General Contractor, or any vendors or material suppliers, have been paid or will be paid from the proceeds of the final payment; and that, further, there are not liens, claims or disputes involving the Owner or the Consultant that are outstanding or unresolved.

The General Contractor shall promptly pay each Sub-contractor and material supplier upon receipt of payment from the Owner the amount to which said Sub-contractor and supplier is entitled, reflecting the percentage actually retained from payments to the General Contractor on account of such Sub-contractor's work. The General Contractor shall, by an appropriate Agreement with each Sub-contractor and material supplier, require each Sub-contractor and supplier to make payments to their sub-contractors, vendors and suppliers in similar manner.

The Consultant may, on request, furnish to any Sub-contractor or material supplier information regarding the percentages of completion applied for by the General Contractor and the action thereon by the Consultant.

Neither the Owner nor the Consultant shall have any obligation to make payment to any Subcontractor or material supplier except as may otherwise be required by law.

ARTICLE 27 – CONTRACTOR'S PAYMENT TO SUBCONTRACTOR

The Contractor shall promptly pay each subcontractor upon receipt of payment from the Owner the amount to which said subcontractor is entitled, reflecting the percentage actually retained, if any, from payments to the Contractor on account of each subcontractor's work. The Contractor shall, by an appropriate agreement with each subcontractor, require each subcontractor to make payments to his subcontractors in similar manner.

The architect may, on request, furnish to any subcontractor, information regarding the percentage of completion of the amounts applied for by the Contractor and the action thereon by the architect.

Neither the Owner nor the architect shall have any obligation to make payment to any subcontractor except as may otherwise be required by law.

ARTICLE 28 - USE OF COMPLETED PORTIONS

By mutual agreement between the Owner, Contractor, and architect, the Owner may use a specified part of the project after an inspection is made. Such possession and use shall not be deemed an acceptance of any work not completed in accordance with the contract documents.

ARTICLE 29 - INDEMNIFICATION

A. To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, the architect and their agents and employees from and against all claims, damages, loss and expenses, including attorney's work, provided that any such claim, loss, damage or expense (a) is attributable to bodily injury to or destruction of tangible property (other than the work itself) including the loss of use resulting therefrom, and (b) is caused in whole or in part by any negligent act or omission of the Contractor, any subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder.

B. In any and all claims against the Owner, the architect or any of their agents or employees by any employee of the Contractor, any subcontractor, any one directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under this paragraph shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor any subcontractor under workmen's compensation acts, disability benefit acts or other employee acts.

The obligations of the Contractor under this paragraph shall not extend to the liability of the architect, his agents or employees, arising out of:

- (1) the preparation or approval of maps, drawings, opinions, reports, surveys, change orders, designs or specifications, or
- (2) the giving of or the failure to give directions or instructions by the architect his agents, or employees, provided such giving or failure to give is the primary cause of injury or damage.

ARTICLE 30 - INSURANCE

Before the Contractor becomes entitled to any rights under this contract and prior to taking any action under this contract, Contractor shall have a Certificate of Insurance for Contractor's in-force insurances issued to the owner for the following policies and limits

MINIMUM COVERAGE AMOUNT

Type of Insurance	Minimum Limits of Liability
Commercial General Liability* Including: Completed Products Personal and Advertising Injury Products/Completed Operations	\$1,000,000.00 Each Occurrence \$2,000,000.00 General Aggregate
Auto Liability* (All owned, hired and non-owned vehicles)	\$1,000,000.00 Combined Single Limit (Bodily Injury, Property Damage)
Workers Compensation	Statutory Limits – Kentucky and the state(s) of domicile of the Contractor and any subcontractors(s). The all state and voluntary compensation endorsement is to be attached to the policy.
Employers Liability	\$1,000,000.00 (each employee, each accident and policy limit)

*Occurrence coverage is required. Claims-made coverage is not acceptable.

These policies (except Workers' Compensation) shall name the University, its trustees, officers, employees and agents as Additional Insured and shall contain a covenant requiring no less than thirty (30) days written notice to the University before cancellation, reduction or other modification of coverage.

These policies shall be primary and noncontributing with any insurance carried by the University and shall contain a severability of interest's clause in respect to cross liability, protecting each Additional Insured as through a separate policy had been issued to each. Certificate of the above policies shall be furnished, to the University, at least thirty (30) days prior to the commencement of services provided under this Contract.

All Certificates of Insurance must clearly state that the Contractor's insurance(s) is PRIMARY. If Contractor's policy has deductibles, self-insured retentions or co-insurance penalties, then all such costs shall be solely borne by Contractor and not by the University. The University will not share in any policy deductibles.

It is hereby agreed that in event of a claim arising under this policy, the company will not deny liability by reason of the Additional Insured being a state, county, municipal corporation or governmental agency.

The limits listed above may be accomplished through a combination of primary and excess/umbrella liability policies written on a "follow form" basis or forms no more restrictive than the primary policies.

ARTICLE 31 - BUILDERS RISK INSURANCE

The Contractor shall procure and maintain builders risk insurance to cover "all risk" perils on a completed value form in an amount of protection of not less than 100% of the Contract amount

ARTICLE 32 - GUARANTY BONDS

Contractor shall furnish a performance and payment bond(s) in an amount equal to one hundred percent (100%) of the contract price as security for the faithful performance of the contract and the payment of all persons performing labor on the project under the contract and furnishing materials, equipment or supplies in connection with the contract, including security for the payment of all unemployment contributions which become due and payable under Kentucky Unemployment Insurance Law.

The performance and payment bond(s) shall be executed by a Surety Company authorized to do business in the Commonwealth of Kentucky, and the contract instrument of bonds must be countersigned by a duly appointed and licensed agent resident of

Kentucky. The surety company must have an AM Best rating of “A-“or higher and be “Treasury Listed”. Bonds shall not expire until the specified warranty period required by the contract expires.

ARTICLE 33 - CLAIMS FOR DAMAGE

A. Should either party to the contract suffer damage because of an alleged wrongful act or neglect of the other party, or of anyone employed by him, or others for whose act he is legally liable, or other controversy arising under the contract such claim or controversy shall be made in writing to the other party within 30 days after the first occurrence of the event. If a claim or controversy against the owner is not settled or comprised within 30 days after receipt of written notice thereof, then the Contractor may institute legal proceedings in accordance with the applicable provisions of the Kentucky Revised Statutes.

B. Any legal action entered against the Owner on the contract by the Contractor shall be brought in the Franklin Circuit Court and shall be tried by the court sitting without a jury. All defenses in law or equity, except the defense of government immunity, shall be preserved to the Owner.

ARTICLE 34-DAMAGE TO PROPERTY

This contractor will be responsible to repair to the satisfaction of the University any damage to grounds, buildings, vehicles, or other property belonging to the University or any of its employees or students, or property belonging to any member of the public present on campus for any legitimate purpose, where such damage is the direct or indirect result of any actions of the contractor employees.

ARTICLE 35- LIQUIDATED DAMAGES

The Owner and the General Contractor recognize and agree that time is of the essence of this Contract and that the Owner will suffer financial loss if the Work is not completed within the time specified in the Contract plus any extensions that may be allowed. The parties further recognize the delays, expense and difficulties involved in proving the actual loss suffered by the Owner should the Work not be completed on time. The Owner and the General Contractor agree on the amounts stated as liquidated damages in the Agreement. The Owner and General Contractor agree that the amount stated as liquidated damages are not intended to be penalties and shall be fair and reasonable.

Should the General Contractor fail to satisfactorily complete the Work under Contract on or before the date stipulated for Substantial Completion as adjusted by approved Change Orders if any the General Contractor will be required to pay liquidated damages to the Owner for each consecutive Calendar Day that the Owner is deprived of full use of the area beyond the date specified unless otherwise stipulated elsewhere by Owner. After the date for Substantial Completion has been certified by the Owner the General Contractor shall cease to owe liquidated damages until the date established for Final Completion

If Final Completion is not achieved by the date established for Final Completion as adjusted by approved Change Orders if any liquidated damages in the amount stipulated in the Agreement will become due and collectable. The Contract will be considered complete and Final Completion shall be deemed to have occurred when all work has been completed in compliance with the Contract Documents and the Certificate of Final Completion has been issued by the Owner. No deduction or payment of liquidated damages will in any degree release the General Contractor from further obligations and liabilities to complete the entire Contract Permitting the General Contractor to continue and finish the Work, or any part of it, after expiration of the Contract Time, shall in no way constitute a waiver on the part of the Owner of any liquidated damages due under the Contract.

ARTICLE 36 - LIENS

A. Lien for labor, materials, supplies, and rental equipment supplied on the contract shall be as provided by KRS 376.195 et seq.

B. Statements of lien shall be filed with the Franklin County Clerk and action to enforce the same must be instituted in the Franklin Circuit Court, Frankfort, Kentucky, pursuant to KRS 376.250.

C. The lien shall attach only to any unpaid balance due the Contractor for the improvement from the time a copy of statement of lien, attested by the county clerk, is delivered to the Owner pursuant to the provisions of KRS 376.240.

ARTICLE 37 – ASSIGNMENT OF PAYMENTS

Neither party to the contract shall assign the contract or subject it as a whole without the written consent of the other, nor shall the Contractor assign any monies due or to become due to him hereunder, without the previous written consent of the Owner.

ARTICLE 38 - SEPARATE CONTRACTS

The Owner reserves the right to let other contracts in connection with the work or to perform work with his own forces. The Contractor shall afford other Contractors reasonable opportunity for the introduction and storage of their materials and the execution of their work and shall properly connect and coordinate his work with theirs.

If any part of the Contractor's work depends for proper execution or results upon the work of any other Contractor, the Contractor shall promptly report to the architect any observed defects in such work that render it unsuitable for proper execution or connection. His failure to inspect and report shall constitute an acceptance of the other Contractor's work as fit and proper for the reception of his work, except as to defects which may develop in the other Contractor's work after the execution of his work.

Whenever work being done by the Owner's forces or by other Contractors is contiguous to work covered by this contract, the respective rights of the various interests involved shall be established by the Architect to secure the completion of the various portions of the work in general harmony.

ARTICLE 39 - MUTUAL RESPONSIBILITY OF CONTRACTORS

Should the Contractor cause damage to any separate Contractor on the work, the Contractor agrees, upon due notice, to settle with such Contractor if he will so settle. If such separate Contractor sues the Owner on account of any damage alleged to have been so sustained, the Owner shall notify the Contractor who shall defend such proceedings at the Contractor's expense and if any judgment against the Owner arises therefrom, the Contractor shall pay or satisfy it and pay all costs incurred by the owner.

ARTICLE 40 - CONTRACTOR/SUBCONTRACTOR RELATIONSHIP

The Contractor is fully responsible to the Owner for the acts and omissions of his subcontractors and of persons either directly or indirectly employed by them, as he is for the acts and omissions of persons directly employed by him and for the coordination of the work, including placement and fittings of the various component parts. No claims for extra cost as a result of the failure to coordinate the work, or by acts or omissions of the various subcontractors will be honored by the Owner.

The Contractor agrees to bind every subcontractor by the terms of contract documents as far as applicable to their portion of the work.

The Contractor shall make no substitution for any sub-contractor without timely notification to the Department of Purchasing, Owner Representative and architect. Any such request and subsequent approval for substitution of a sub-contractor granted shall be at no additional cost to the awarded project.

Nothing contained in the contract documents shall create any contractual relationship between the Owner and any subcontractor.

ARTICLE 41 - PROJECT SITE LIMITS

The Contractor shall confine his apparatus, the storage of materials, and the operations of his workmen to project site limits as directed by the Owner.

ARTICLE 42 - CLEAN UP

The Contractor shall at all times keep the premises free from accumulation of waste material or rubbish caused by his operation in connection with the work. At the completion of the work, he shall remove all his rubbish about the site of the work and all his tools, scaffolding and surplus materials and shall leave his work in a clean and usable condition, satisfactory to the Owner, unless more exactly specified. This will include, but not necessarily be limited to, glass, hardware, fixtures, masonry, tile, and marble floors shall be cleaned and waxed, if provided for in the specifications. This shall be done before final inspection and acceptance. In case of dispute, or if the Contractor fails to clean up at the completion of work, the Owner may perform the cleaning task and charge the cost to the Contractor.

ARTICLE 43 – POINTS OF REFERENCE

The General Contractor shall carefully preserve benchmarks, reference points and stakes, and in case of willful or careless destruction, the General Contractor shall be charged with the resulting expense of replacement and shall be responsible for any mistake that may be caused by their loss or disturbance

ARTICLE 44 - SUBSTITUTION - MATERIALS AND EQUIPMENT

Reference to or the listing of items to be incorporated in the construction without referring to any specific article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number shall be interpreted as establishing the general intent of the Contract and the general standard of quality for that item.

Specific references in the Contract Documents to any article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number, with the words "or equal", shall be interpreted as establishing a minimum standard of quality, and shall not be construed as limiting competition.

Substitution of other equipment and materials as "or equal" to items named in the specifications will be allowed provided the proposed substitution is approved by the Consultant and will perform the functions called for by the general design, be similar and of equal quality to that specified and be suited to the same use and capable of performing the same function of that specified. The Contractor has the burden to prove equality of any substitution requested.

Specific references in the Contract Documents to any article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number, without the words "or equal", shall be interpreted as defining an item or source that has after careful consideration been determined by the University as necessary to be compliant with, and/or to function properly within, the University operational system. No substitutions will be allowed.

In the event the Contract Documents contain specific reference to two or more items as described in Article 48, any of those listed will be acceptable.

Substitution of equipment and materials previously submitted by the Contractor and approved by the Consultant will be considered only for the following reasons:

Unavailability of the materials or equipment due to conditions beyond the control of the supplier.

Inability of the supplier to meet Contract Schedule.

Technical noncompliance to specifications.

In substituting materials or equipment, the Contractor assumes responsibility for any changes in systems or modifications required in adjacent or related work to accommodate such substitutions, despite consultant approval, and all costs associated with the substitution shall be the responsibility of the Contractor. The Consultant shall be reimbursed by the Contractor for any architectural or engineering revisions required as the result of such substitutions.

Inclusion of a certain make or type of materials or equipment in the Contractor's bid proposal shall not obligate the Owner to accept such materials or equipment if they do not meet the requirements of the Contract Documents and any such substitutions in the preparation of the bid without written approval shall be at the sole risk of the Contractor. The Owners decision shall be final with respect to acceptability of alternative products.

ARTICLE 45 - TEST AND INSPECTION

Regulatory agencies of the government having jurisdiction may require any work to be inspected, tested or approved. The Contractor shall assume full responsibility therefore, pay all costs in connection therewith, unless otherwise noted, and furnish the architect the required certificates of inspection, testing or approval.

The Contractor shall give the architect timely notice of readiness of the work for all inspections, tests or approvals.

ARTICLE 46 - GUARANTEE AND WARRANTY

The Contractor shall guarantee that labor, equipment and materials will be free of defects for a period of one (1) year from the date of substantial completion. Expendable items and wear from ordinary use are excluded from this guarantee.

Prior to the final payment of the work, the Contractor shall assemble and present to the architect all guarantees and warranties required by the contract documents.

ARTICLE 47 - WAGES AND HOURS

As per House Bill 3, effective January 9, 2017, per KRS337 the University or any State Agency shall not have the authority to require any employer to pay to an employee a certain wage or fringe benefit other than as determined by the employer and or hourly rates (Minimum Wage) as established by Federal, State, Local or Agency itself.

All full-time employees working on University funded projects shall be paid a living wage of no less than \$10.10 per hour.

DAVIS BACON ACT

(Applicable to federally funded construction projects exceeding \$2,000)

Contractor agrees to pay wages to mechanics and laborers at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. Supplier agrees to pay wages and meet the other requirements as specified by Davis-Bacon Act, as amended (40 U.S.C. 3141-3148) as supplemented by the Department of Labor regulations (29 CFR Part 5). Supplier acknowledges that the UofL affiliated entity's decision to make a Contract with Supplier is conditioned upon the acceptance of the wage determination.

On covered contracts, the Trade Contractor shall post and keep posted in a conspicuous place or places at the site of the work, a copy or copies of prevailing rates of wages and the working hours as prescribed in the contract documents.

Any laborer, workman, or mechanic worked in excess of eight (8) hours per day for forty (40) hours per week, except in cases of emergency caused by fire, flood, or damage to life or property shall be paid not less than one and one-half (1-1/2) times the basic hourly rate of pay as fixed by law for all overtime worked. The determination of when an emergency exists shall be made by the public authority letting the contract as provided for by law.

On covered contracts, the contractor shall keep full and accurate payroll records covering all disbursements of wages to their employees to whom they are required to pay not less than the federal prevailing rate of wages. Records shall indicate the hours worked each day by each employee in each classification of work and amount paid each employee for his work in each classification. Payroll records are to be maintained for one year after completion of the contract. These records are to be open for inspection and transcript by the US Secretary of Labor at any reasonable time. These records may also be audited upon request by the Owner.

ARTICLE 48 – APPRENTICES

Apprentices (for all classifications of work) shall be permitted to work only under an apprenticeship agreement approved by the Kentucky Supervisor of Apprenticeship and by the Kentucky Apprenticeship Council which is recognized by the Bureau of Apprenticeship and Training, U.S. Department of Labor.

ARTICLE 49 – GOVERNING LAW

This contract and all issues and disputes arising out of this contract shall be governed by the applicable laws of the Commonwealth of Kentucky without consideration of its conflicts of laws principles.

VIOLATIONS OF AND COMPLIANCE WITH KENTUCKY LAWS

The contractor shall reveal to the owner any final determination of a violation by the contractor or subcontractor with the previous five (5) year period pursuant to KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the contractor or subcontractor. The contractor shall be in continuous compliance with the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the contractor or subcontractor for the duration of the contract.

Failure to reveal a final determination of a violation by the contractor and or subcontractor of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 or to comply with these statutes for the duration of the contract shall be grounds for the disqualification of the contractor and or subcontractor from eligibility for future contracts for a period of two (2) years after such determination.

ARTICLE 50 – CONDUCT OF EMPLOYEES

It is understood that the possession of weapons and/or consumption of alcohol or drugs on the job by any personnel, Contractor or otherwise, is strictly prohibited. Any person having possession of same and/or under the influence of alcohol or drugs, while on the premises at any time, shall be removed from the site at the direction of the Contractor and shall be subject to automatic dismissal by the Contractor.

Contractor must maintain the work environment free of discrimination, verbal and sexual harassment keeping in compliance with any and all Affirmative Action Plans. No Contractor or subcontractor employee shall fraternize, use abusive language, make both verbal or suggestive overtures to or with the students, staff and general public at or near the facility or job site. Job conducts and responsibilities shall be discussed regularly at sub-contractor meetings.

ARTICLE 51 - AUDITED FINACIAL STATEMENTS

The University reserves the right to request Audited Financial Statements from any and all firms submitting proposals in order to adequately evaluate firms' financial stability in performing the services as outlined within this request for proposal. Upon request from the University, firm(s) shall provide the last two (2) years audited financial statements for review by the University. These statements shall be treated as Proprietary Information. They will be marked so in the bid file and will not be subject to open records inspection/requests.

ARTICLE 52 – ASBESTOS CONTAINING MATERIALS

No asbestos-containing materials or lead-based coatings are to be purchased/supplied by any firm/person supplying to the University or installed in or on University property by any person performing work for the University. Furthermore, all products marked "May Contain Mineral Fiber" will be assumed to contain asbestos unless the manufacturer provides written certification that no asbestos fibers are present in the product and identifies the fibers for which the product is marked. Lead-based coatings are defined as containing more than 0.06% lead in the dried coating.

An exception to this policy can be made where an authorized faculty or staff member certifies that the use of asbestos or lead-based coating is essential to an ongoing research or production project and works with University Environmental Health and Safety Department to ensure that the material is used, stored and disposed of in a safe and legal manner."

ARTICLE 53 – SMOKE FREE FACILITIES

Smoking by contractor and sub-contractors is prohibited on all UofL Belknap, Health Sciences and Shelby Campus'.

Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project

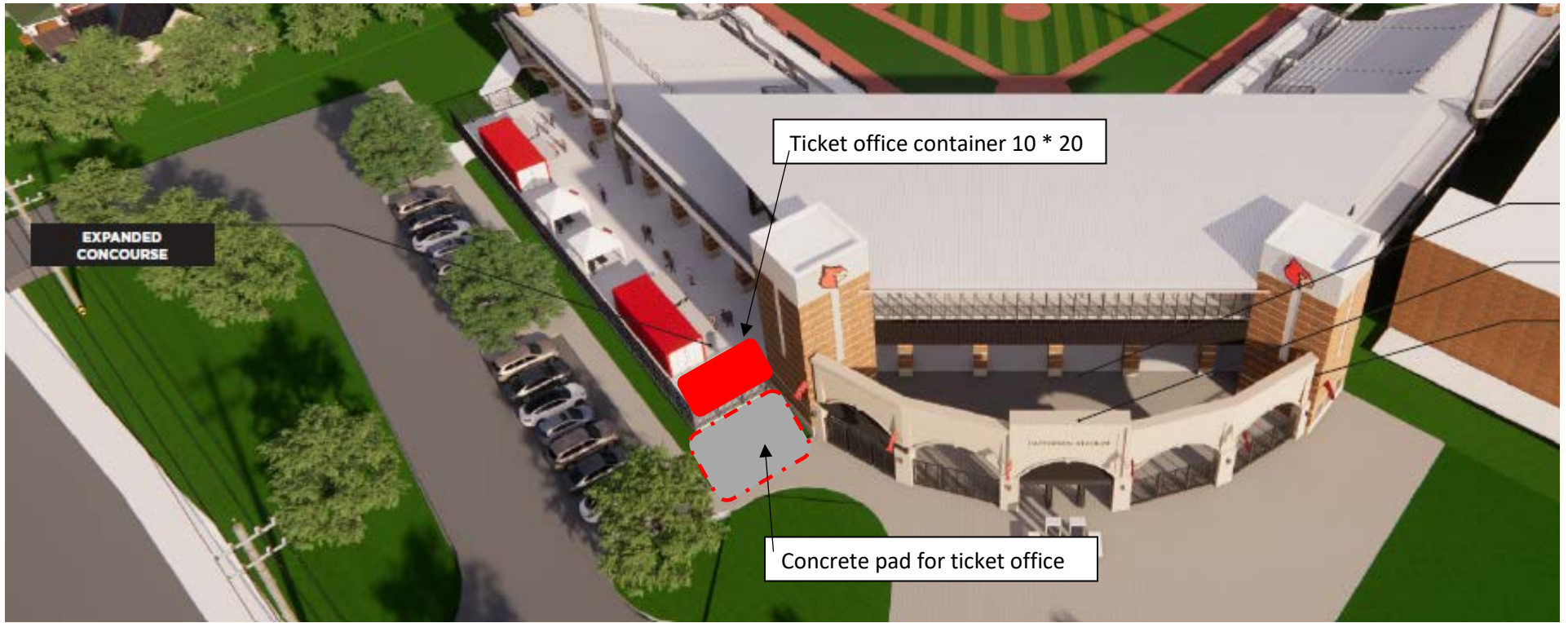
Site Perspective **Entry Gate**



Jim Patterson Stadium Master Plan Concept Design 09.28.21

POPULOUS

Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project



Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project



Extended existing electrical for grill cart to new fence line.

Cable Trough for tv cabling to interconnect box. To be lined up with correct column where interconnect box is located.

Ticket office container 10 * 20

Ticket office windows (3)

Concrete pad in front of ticket office windows.

Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project

Front View of New Gate Entry



Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project

Front Overhead View of New Gate Entry



Jim Patterson Stadium Front Entry and 3rd Base Line Expansion Project

Front View of New Gate Entry



University of Louisville
DEPARTMENT OF PROCUREMENT SERVICES
LOUISVILLE, KENTUCKY

Invitation No: RP-007-23

Date: July 29, 2022

Title: Jim Patterson Stadium Renovation: Design-Build

Addendum No. One (1)

The following shall clarify and/or modify the original bid document(s) as issued by the University of Louisville.

- Add mandatory pre-proposal sign in sheet

Bidder must acknowledge receipt of this and any addenda either with bid or by separate letter. Acknowledgement must be received in the Department of Procurement Services, Service Complex Building, University of Louisville no later than **08/17/2022 2:00PM, EST**. If by separate letter, the following information must be placed in the lower left-hand corner of the envelope:

Invitation No: RP-007-23

Title: Jim Patterson Stadium Renovation: Design-Build

Due Date: August 17, 2022 at 2:00 PM, EST

BY: Amber Horn
Digitally signed by Amber Horn
Date: 2022.07.28 14:39:02 -04'00'
Authorized Purchasing Officer

Receipt Acknowledged: _____
FIRM

BY: _____

University of Louisville
DEPARTMENT OF PROCUREMENT SERVICES
LOUISVILLE, KENTUCKY

Invitation No: RP-007-23
Title: Jim Patterson Stadium Renovation – Design-Build
Addendum No. 2

Date: 8/5/2022

Please see the attached **Exhibit A** for clarifications/modifications of the original bid documents issued by the University of Louisville and a response to written questions.

The University has also issued documents which contain the original specifications and drawings of Jim Patterson Stadium as well as site environmental information which can be found at the following link:

<https://louisville.box.com/s/84ikesdkv2oqy8h3mhbmujt58rs40k>

Bidder must acknowledge receipt of this and any addenda either with bid or by separate letter. Acknowledgement must be received in the Department of Procurement Services, Service Complex Building, University of Louisville no later than **August 17th, 2022, by 2:00PM, EST.** If by separate letter, the following information must be placed in the lower left-hand corner of the envelope:

Invitation No: RP-007-23
Title: Jim Patterson Stadium Renovation – Design-Build
Due Date: 8/17/2022 by 2:00PM, EST.

Evan Riddell Digitally signed by Evan Riddell
Date: 2022.08.05 13:38:54 -04'00'

BY: _____
Authorized Purchasing Officer

Receipt Acknowledged: _____
FIRM

BY: _____

Exhibit A
RP-007-23
Questions and University Response

1. During the Pre-Bid it was mentioned that the bat statue was to be replaced/relocated. Can this be confirmed?

University Response: Yes, the existing bat structure is to be removed and a new structure is to be installed in the location shown on the rendering. Rueff Sign was the original company that created and installed the structure that is currently in place.

2. During the Pre-Bid it was mentioned the fencing down the Right and Left Field Line was to be replaced. Can this be confirmed?

University Response: the black fence fabric is to be replaced with like material on both the first and third base line. The existing poles, cross bars and tubing running on the top rail is to be used to install the new material.

3. The RFP states: Fence, Proposal includes new black ornamental fence approximately 300LF x 7' Tall. Can you please confirm where this fence type is expected?

University Response: This is the new fence surrounding new extended third base line concourse.

4. The fence for around the 3rd base concourse is already slated as 8' Fence Similar to the Alley Project.

University Response: the locations and fence type and area are the same. The fence height needs to be 8 feet tall along the new extended third base line concourse.

5. Will the final completion date be December 10th or in February as mentioned during the Pre-Bid Meeting?

University Response: The final completion date is December 10th, 2022. The baseball season starts in February.

6. Geotechnical Report from the site.

University Response: There is no report on file that can be provided.

7. Any Requirements surrounding Brownfield or Contaminated soils.

University Response: See information provided by DEHS at the following link:

<https://louisville.box.com/s/84ikesdkv2oqy8h3mhbmduojt58rs40k>

8. MEP, Architectural and Structural Plans of the existing stadium.

University Response: All files that exist have been provided at the following link:

<https://louisville.box.com/s/84ikesdkv2oqy8h3mhbmduojt58rs40k>

9. AutoCAD Drawings and Plans of the existing Stadium.

University Response: All files that exist have been provided at the following link:

10. Under the Financial proposal section, it is listing a request for a lump sum. My question is are you looking for a cost-plus style proposal or is this a truly a lump sum hard bid for this project including all cost for the project.

University Response: During the RFP process we use the proposal amount that is submitted to evaluate all proposals and there could be some negotiation with the selected Offeror for a final contract. The proposal offer should reflect all costs associated with the requested services in the scope of work. The University does not allow cost plus contracts so all fees should be included in the lump sum.

11. Technical Proposal - This section is listing preliminary drawings and specifications as well as a detailed rendering with the bid. What kind of detail are you looking for on these drawings / renderings?

University Response: The University is asking for contractors to submit proposals with the information made available on the RFP. It is up to your firm how detailed of a proposal you want to submit. Although, proposals will be scored so it would be important for you to be able to properly convey to the evaluation committee what you are going to build.

Clarifications & Add Alternates

- **Clarification:** All sconce lights located on front the exterior of the current façade should be replaced.

- **Clarification:** Concourse lighting to be reviewed. Bidders to provide athletics with best options to replace or add additional lighting to the existing concourse to improve upon what is currently in place.

- **Add Alternates:** Athletics would like bidders to provide pricing for the following Add Alternates:
 - a. Athletics would like bidders to provide an alternate price to repairs any and all asphalt issues on the existing concourse and then re-seal the entire asphalt area on the main concourse, sidewalk out to the berm and the path along the first base line that leads out to the Hack Shack.
 - b. Replace the current flagpole located along the left field line farthest to the west. Current pole is bent and needs to be removed and replaced.



**FINANCE AND ADMINISTRATION CABINET
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES
DIVISION OF REAL PROPERTIES**

Andy Beshear
GOVERNOR

Holly M. Johnson
SECRETARY

Bush Building, 3rd Floor
403 Wapping Street
Frankfort, Kentucky 40601
Phone: (502) 564-2205
Fax: (502) 564-8108

Sam Ruth
COMMISSIONER

Scott Aubrey
DIRECTOR

MEMORANDUM

TO: Katherine Halloran, Committee Staff Administrator
Capital Projects and Bond Oversight Committee

FROM: Brien S. Hoover, Leasing Manager *BSH*
Division of Real Properties

DATE: September 7, 2022

SUBJECT: PR-5744, Christian County
Department of Juvenile Justice
KRS 48.111(6) Advertisement Notice

Attached please find a copy of a pending lease invitation to lease space for the Department of Juvenile Justice in Christian County. We anticipate that the total annual cost of the lease may exceed \$200,000.00; therefore, this notice is provided in accordance with the provisions of KRS 48.111(6).

If you have any questions or require additional information concerning this matter, please advise.

BSH/bh
Attachment

CC: Capital Construction Log
OSBD
PR-5744 File
BSH

INVITATION TO LEASE SPACE

The Kentucky Finance and Administration Cabinet desires to lease approximately 10,010 square feet of office/classroom space with 28 reserved parking spaces to be located in Hopkinsville, Kentucky.

Interested parties may submit proposals to lease existing properties and/or proposals to construct new facilities. The initial proposal must include the name, address and telephone number of the property owner; the name, address and telephone number of the property agent, if applicable; the street address of existing properties; and the street address or a location description for sites offered for new construction. Any property selected for lease must meet OSHA specifications, as well as ADA guidelines and all applicable building codes as enforced by the Kentucky Division of Building Code Enforcement. Additional information regarding participation requirements for lease projects will be provided to all respondents.

Proposals may be made by any person in writing on or before **10:30 a.m. EDT, September 28, 2022**, and must be sent to: **Division of Real Properties, RE: PR-5744, ATTN: Leasing Branch, Suite 300, 403 Wapping Street, Frankfort, KY 40601**. Fax and email proposals will not be accepted. For additional information, contact: Brien Hoover, Division of Real Properties, 502.564.2430 or Brien.Hoover@ky.gov.



Andy Beshear
GOVERNOR

**FINANCE AND ADMINISTRATION CABINET
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES
DIVISION OF REAL PROPERTIES**

Bush Building, 3rd Floor
403 Wapping Street
Frankfort, Kentucky 40601
Phone: (502) 564-2205
Fax: (502) 564-8108

Holly M. Johnson
SECRETARY

Sam Ruth
COMMISSIONER

Scott Aubrey
DIRECTOR

MEMORANDUM

TO: Katherine Halloran, Committee Staff Administrator
Capital Projects and Bond Oversight Committee

FROM: Brien S. Hoover, Leasing Manager *BSH*
Division of Real Properties

DATE: September 14, 2022

SUBJECT: Quarterly Leasehold Improvements Report

The following leasehold improvement requests have been processed by the Leased Properties Branch of this Division during the fourth quarter of Fiscal Year 2022.

Property Identification Number: PR-3665, Barren County
Tenant Agency: Cabinet for Health & Family Services
Total Cost: \$9,450.00
Scope of Work: Security improvements (i.e., installation of six keypads on entry/exit and access control doors).

Please advise should you have questions or require additional information.

BSH/bh

CC: Capital Construction Log
BSH



Andy Beshear
GOVERNOR

**FINANCE AND ADMINISTRATION CABINET
DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES
DIVISION OF REAL PROPERTIES**


Bush Building, 3rd Floor
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Holly M. Johnson
SECRETARY

Sam Ruth
COMMISSIONER

Scott Aubrey
DIRECTOR

TO: Katherine Halloran, Committee Staff Administrator
Capital Projects and Bond Oversight Committee

FROM: Brien S. Hoover, Leasing Manager 
Division of Real Properties

DATE: September 14, 2022

SUBJECT: Quarterly Lease Modification Report

The following lease modifications, resulting in annual rent changes, have been processed by the Leased Properties Branch of this Division during the second quarter of Fiscal Year 2022.

PR-5625, Madison County, KYTC			
Square Foot Change	Annual Rent Change	Number of Staff	Effective:
From 2,525	From \$37,622.52	Original 10	5/2/2022
To 3,128	To \$46,607.20	Current 12	Expires:
Difference 603	Difference \$8,984.68		6/30/2029
Rental: \$14.90 per sq ft			
Program Changes: Supplemental space to accommodate KSP staff.			

PR-4466, Franklin County, Board of Elections			
Square Foot Change	Annual Rent Change	Number of Staff	Effective:
From 900	From \$4,320.00	Original N/A	4/1/2022
To 800	To \$3,840.00	Current N/A	Expires:
Difference -100	Difference -\$480.00		6/30/2024
Rental: \$4.80 per sq ft			
Program Changes: Reduction in storage space per agency request/lessor consent.			

PR-3974, Jefferson County, KYTC			
Square Foot Change	Annual Rent Change	Number of Staff	Effective:
From 185,483	From \$417,336.76	Original N/A	4/1/2022
To 151,938	To \$341,860.52	Current N/A	Expires:
Difference -33,545	Difference -\$75,476.24		6/30/2025
Rental: \$2.25 per sq ft			
Program Changes: Reduction in (salt) storage space per agency request/lessor consent.			
PR-3912, Larue County, CHFS			
Square Foot Change	Annual Rent Change	Number of Staff	Effective:
From 3,171	From \$28,602.44	Original N/A	4/1/2022
To 3,458	To \$31,191.16	Current N/A	Expires:
Difference 287	Difference \$2,588.72		6/30/2029
Rental: \$9.02 per sq ft			
Program Changes: Plan correction for dedicated mechanical space.			