

QUARTERLY CAPITAL PROJECTS STATUS REPORT

Reporting Agency: Administrative Office of the Courts
Report Quarter: 2023-1 (January)
Print Date: 01/06/2023

<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Judicial Branch/AOC						
Barren County	Barren	2020-2022	Design/Phase A			
Bath County (Construction)	Bath	2018-2020	Design/Phase C			
Butler County	Butler	2020-2022	Design/Phase A			
Clinton County	Clinton	2020-2022	Design/Phase A			
Crittenden County	Crittenden	2020-2022	Design/Phase C			
Electronic Court Filing System	Multi	2022-2024	Planning			
Graves County	Graves	2022-2024	Design/Phase A			
* Hardin County - HVAC	Hardin	2022-2024	Design/Phase A			
Henry County (Renovation/Addition)	Henry	2014-2016	Complete/Closed Out	100	09/01/21	09/18/21
* Jefferson County Judicial Center - Carpet and Paint	Jefferson	2022-2024	Planning			
Jessamine County	Jessamine	2020-2022	Design/Phase B			
Leslie County	Leslie	2022-2024	Design/Phase A			
Madison County (Renovation)	Madison	2020-2022	Bidding			
Nicholas County	Nicholas	2014-2016	Complete/Closed Out	100	11/01/21	11/01/21
Oldham County (Renovation/Addition)	Oldham	2018-2020	In Construction	0	09/03/25	
Scott County	Scott	2020-2022	Design/Phase B			

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Department of Education/Operations and Support Services						
* Construct Kentucky FFA Leadership Training Classrooms and Activity Center	Breckinridge	2022-2024	Awaiting Initiation by Agency			
* Kentucky School for the Deaf Lee Hall Renovation	Boyle	2022-2024	A/E Selection			
* KSB Howser Hall Renovation	Jefferson	2016-2018	Complete/Not Closed Out	100	06/13/19	06/13/19
* KSB McDaniel/Scoggin Educational Building	Jefferson	2016-2018	Complete/Not Closed Out	100	09/02/18	09/02/18
* KSD New Elementary Building	Boyle	2016-2018	Complete/Not Closed Out	100	02/21/19	02/21/19
* State Schools Dormitory and Cottage Renovations	Multi	2022-2024	A/E Selection			
* State Schools HVAC Pool - 2020-2022 - Kentucky School for the Deaf HVAC Reported to CPBOC on 11/17/2022	Boyle	Pool	Awaiting Initiation by Agency			
* State Schools Roof Repair and Replacement Pool - 2020-22 - Kentucky School for the Blind Roofs Reported to CPBOC on 7/21/2022	Jefferson	Pool	In Construction	30	02/11/23	
* State Schools Roof Repair and Replacement Pool - 2022-24 - Kentucky School for the Deaf Roofs Reported to CPBOC on 7/21/2022	Boyle	Pool	In Construction	30	02/11/23	
Education and Labor Cabinet/General Administration						
Construct Carl D. Perkins Fork Truck Storage and Training Building Comments: Phase A design initiated	Johnson	2022-2024	Design/Phase A			
Renovate Carl D. Perkins Medical Wing Comments: Awaiting docs to rebid. Scope has been increased to include gym flooring.	Johnson	2022-2024	Design/Phase C			
Renovate McDowell Vocational Rehabilitation Center Comments: Construction in progress	Jefferson	2022-2024	In Construction	75	02/01/23	
Education and Labor Cabinet/KY Educational Television						
KET FCC Repacking	Multi	CPBOC-8/01/17	Complete/Closed Out	100	04/26/19	04/26/19
* Public Safety Emergency Warning and Alerting	Multi	2022-2024	Awaiting Initiation by Agency			

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* Transmitter and Repack Addition to KET FCC Repacking - ancillary systems and equipment upgrades that the FCC program will not reimburse as well as replacement of microwave radios	Multi	2018-2020	Complete/Not Closed Out	100	08/01/19	08/01/19
Energy & Environment Cabinet/Environmental Protection						
* Maxey Flats Cap	Fleming	2012-2014	Construction/Multiple Bid Packs			
* Southern Wood Treatment Site	Montgomery	2022-2024	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Boltz Lake Dam	Grant	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Bullock Pen Lake Dam	Multi	Pool	Complete/Not Closed Out	100	08/02/21	08/02/21
* State-Owned Dam Repair - Cannon Creek Dam	Bell	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Clements Lake Dam	Rowan	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Marion County Sportsmans Club Lake Dam	Marion	Pool	Awaiting Initiation by Agency			
* State-Owned Dam Repair - Scenic Lake Dam	Henderson	Pool	Complete/In Warranty	100	01/23/22	03/02/22
* State-Owned Dam Repair - Willisburg Lake Dam	Washington	Pool	A/E Selection			
Finance and Administration Cabinet/Facilities & Support Services						
* Air Handler Replacement and Repair - Central Lab Reallocation from Upgrade State Data Center Readiness (\$112,320) and Council of State Governments Building Complex (\$77,380) Comments: Final balancing and hood recertification is being completed now.	Franklin	2020-2022	In Construction	99	12/29/22	
* Cabinet for Human Services Building - Escalators Replacement/Elevators Upgrade Comments: Contract Awarded to Churchill McGee in the amount of \$4,637,445.00	Franklin	2022-2024	In Construction	1	11/29/24	
* Capitol Campus Renovation \$5 million was reallocated from Fourth Floor Capitol Renovation	Franklin	2020-2022	Design/Phase A			
* Capitol Campus Renovation - Phase II	Franklin	2022-2024	Awaiting Initiation by Agency			
* DFSS Maintenance Pool - 2018-20 - Central Lab Boiler Replacement Reported to CPBOC on 10/15/2019	Franklin	Pool	Complete/Not Closed Out	100	03/20/21	11/20/20

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* DFSS Maintenance Pool - 2020-22 - Capitol Annex Exterior Repairs Reported to CPBOC on 9/29/2022	Franklin	Pool	Awarding Contract			
* DFSS Maintenance Pool - 2020-22 - Governor's Mansion Chiller and Water Line Reported to CPBOC on 7/30/2021	Franklin	Pool	Complete/In Warranty	100	06/30/22	06/30/22
* DFSS Maintenance Pool - 2020-22 - Health Services Building, HVAC & Piping, Phase II Reported to CPBOC on 9/23/2021 Comments: Issues with final paint on cabinet units being addressed. Contract time to be extended	Franklin	Pool	In Construction	98	09/30/22	
* DFSS Maintenance Pool - 2020-22 - Libraries and Archives HVAC Replacement Reported to CPBOC on 5/19/2022 Comments: No issues	Franklin	Pool	In Construction	35	05/06/23	
* DFSS Maintenance Pools - CHR Central Utility Plant Optimization Reported to CPBOC on 10/27/2022 Comments: Contract for \$1,835,000.00	Franklin	Pool	In Construction	1	10/10/23	
* DFSS Maintenance Pools - Halon System Replacement Reported to CPBOC on 11/19/2020 Comments: Awaiting 1 year Warranty review.	Franklin	Pool	Complete/In Warranty	100	01/10/22	01/10/22
* Elevator Upgrades Phase 1	Multi	2020-2022	In Construction	60	05/31/23	
* Emergency Generator Repair or Replacement, COT/CHR	Franklin	2018-2020	Complete/Closed Out	100	05/15/21	05/15/21
* Fourth Floor Capitol Renovation \$5 million in bond funds authorized was reallocated to Capitol Campus Renovation	Franklin	2020-2022	Cancelled			
* HVAC Replacement - CHR Building Comments: Still waiting on long lead time Print Shop Units.	Franklin	2016-2018	In Construction	99	03/05/23	
* HVAC Replacement and Repair COT Building Comments: Primary Project complete, bid pack for Liebert controls being finalized.	Franklin	2020-2022	Construction/Multiple Bid Packs			
* Install Energy Management System Controls Comments: Agency used funds to implement CEMCS and identify energy remediation actions.	Multi	2014-2016	Complete/Closed Out		06/29/22	06/30/21
* L & N Building Exterior Upgrade	Jefferson	2022-2024	A/E Selection			

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* L&N Building Security and Structural Upgrades	Jefferson	2018-2020	Complete/Not Closed Out	100	03/20/22	03/20/22
* Upgrade Capitol Mechanical and Electrical System, Phase I	Franklin	2018-2020	Revising/Rebidding			
* Upgrade L&N Building	Jefferson	2016-2018	Complete/Not Closed Out	100	08/31/19	07/31/19
General Government Cabinet/KY River Authority						
Construct Lock and Dam 10	Multi	2016-2018	Complete/In Warranty	100	12/03/21	12/03/21
Design and Repair Dam 7	Multi	2022-2024	Awaiting Initiation by Agency			
Design Lock 5	Multi	2022-2024	Awaiting Initiation by Agency			
Locks 2 and 3 Upper Guide Wall Repairs Initiated under prior 2020-2022 authorization	Multi	2022-2024	Revising/Rebidding			
General Government Cabinet/Military Affairs						
* Armory Modernization and DMA Maintenance Pools - 2018-20 - DMA Lexington NGA Interior Upgrade Submitted as new project rather than reported as a pool allocation	Fayette	CPBOC-1/01/20	Complete/Not Closed Out	100	10/24/20	10/24/20
* Armory Modernization Pool - 2018-20 - DMA Leitchfield Readiness Center Assembly Hall Addition Reported to CPBOC on 10/15/2019	Grayson	Pool	Complete/Not Closed Out	100	03/15/21	03/15/21
Construct Chargeable Housing Facility Wendell H. Ford Regional Training Center	Muhlenberg	2022-2024	Awaiting Initiation by Agency			
Construct Civil Support Team Facility	Jefferson	2022-2024	Awaiting Initiation by Agency			
* Construct Conditioned Storage Facility - Kentucky Emergency Management	Franklin	2022-2024	Awaiting Initiation by Agency			
Construct Field Maintenance Shop - Louisville	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Field Maintenance Shop 1 Addition - Ashland	Boyd	2022-2024	Awaiting Initiation by Agency			
Construct Harold L. Disney Training Site Athletic Field	Knox	2022-2024	Awaiting Initiation by Agency			
Construct New Barracks at Harold L. Disney Training Site	Knox	2022-2024	Awaiting Initiation by Agency			

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Construct New Barracks at Wendell H. Ford Regional Training Center	Muhlenberg	2022-2024	Awaiting Initiation by Agency			
* Construct Readiness Center Somerset	Pulaski	2022-2024	Awaiting Initiation by Agency			
Construct Response Group Building KyANG Phase 1	Jefferson	2018-2020	Complete/In Warranty		04/22/22	04/22/22
Construct Support Building Wendell H. Ford Regional Training Center	Muhlenberg	2022-2024	Awaiting Initiation by Agency			
DMA Appalachian Challenge Academy Upgrades	Harlan	CPBOC-5/01/22	Complete/In Warranty	100	09/09/22	09/09/22
* DMA Barbourville Readiness Center Latrine Upgrade	Knox	CPBOC-6/01/20	Complete/In Warranty	100	10/18/22	10/18/22
DMA Bluegrass Station Building 415 Modification	Fayette	CPBOC-7/01/20	Complete/Not Closed Out	100	03/08/21	03/08/21
* DMA Boone National Guard Center Emergency Operations Center Renovation	Franklin	CPBOC-8/01/19	Complete/Not Closed Out	100	08/21/20	09/22/20
* DMA Boone National Guard Center Rear Access Control Point	Franklin	CPBOC-5/01/22	In Construction	5	09/13/23	
* DMA Boone National Guard Center SEOC Window Replacement	Franklin	CPBOC-8/01/21	Planning			
* DMA Bowman Field Annex Readiness Center Interior Restoration	Jefferson	CPBOC-5/01/22	Bidding			
DMA Construct Burlington Field Maintenance Shop	Boone	CPBOC-5/01/22	Design/Phase A			
DMA Construct Joint Force Headquarters Boone National Guard Center	Franklin	CPBOC-1/01/20	In Construction	48	08/28/23	
* DMA Danville Readiness Center Interior Restoration	Boyle	CPBOC-7/01/22	In Construction	13	06/05/23	
DMA Harold L. Disney Training Site Mobile Operations Urban Training Site Enhancement	Knox	CPBOC-8/01/20	Complete/Closed Out	100	12/24/21	12/24/21
DMA Interior Renovation Wellman Armory - Boone National Guard Center	Franklin	CPBOC-10/01/17	Complete/Not Closed Out	100	04/29/19	04/29/19
DMA Jackson Readiness Center Interior Restoration	Breathitt	CPBOC-8/01/21	In Construction	6	07/12/23	
DMA Murray Readiness Center Interior Restoration	Calloway	CPBOC-8/01/21	In Construction	52	04/06/23	
DMA Records Holding Facility - Boone National Guard Center	Franklin	CPBOC-10/01/17	Complete/Not Closed Out	100	12/07/18	07/02/19

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DMA Richmond Field Maintenance Shop No. 4 Bay Addition	Madison	CPBOC-9/01/16	Complete/Not Closed Out	100	06/19/17	08/04/17
* DMA Richmond Readiness Center Interior Modernization	Madison	CPBOC-6/01/20	Complete/Not Closed Out	100	11/09/21	11/09/21
DMA Springfield Readiness Center Latrine Restoration	Washington	CPBOC-8/01/21	Complete/In Warranty	100	08/17/22	08/17/22
DMA Wendell H. Ford Regional Training Center 1103rd Military Police RC/Provost/Welcome Center	Muhlenberg	CPBOC-6/01/20	Complete/Not Closed Out	100	10/01/21	11/13/21
DMA Wendell H. Ford Regional Training Center Bridge	Muhlenberg	CPBOC-10/01/19	Complete/Not Closed Out	100	11/02/20	11/18/20
DMA Wendell H. Ford Regional Training Center Cypress Creek Restoration	Muhlenberg	CPBOC-8/01/19	Design/Phase C			
DMA Wendell H. Ford Regional Training Center Fire Station Addition	Muhlenberg	CPBOC-6/01/20	Complete/Not Closed Out	100	09/02/21	09/27/21
DMA Wendell H. Ford Regional Training Center Multipurpose Athletic Field	Muhlenberg	CPBOC-6/01/20	Complete/Closed Out	100	05/27/21	05/27/21
Install Solar Energy Photovoltaic Panels	Multi	2022-2024	Awaiting Initiation by Agency			
Install Solar Panels at Armories Statewide	Multi	2018-2020	Construction/Multiple Bid Packs			
Comments: Boone Medcom is underway						
Install Solar Panels at Armories Statewide	Multi	2022-2024	Awaiting Initiation by Agency			
Replace and Repair Roofs Bluegrass Station	Fayette	2022-2024	Awaiting Initiation by Agency			
Comments: no change						
General Government Cabinet/Veterans' Affairs						
* Construct Bowling Green Veterans Center	Warren	2020-2022	In Construction	6	08/07/24	
* Design and Preconstruction - Bowling Green Veterans Center	Warren	Other	In Construction	6	08/07/24	
Authorization - House Bill 24 (2020 Regular Session)						
Emergency Replacement Nurse Call System - Western KY Veterans' Center	Hopkins	CPBOC-11/01/20	In Construction	85	11/30/23	
Comments: Delay in parts!						

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Expansion of Lawn Crypts - Kentucky Veterans Cemetery West	Christian	2022-2024	Awaiting Initiation by Agency			
Improve/Expand Pavement and Parking Areas	Multi	2020-2022	Complete/Closed Out	100	10/18/21	10/18/21
* Nurse Call System Comments: Owner Training and closeout documents. Some delays due to access resulting from COVID	Multi	2018-2020	In Construction	99	09/30/22	
* Radcliff Veterans' Center Building Repairs	Hardin	CPBOC-6/01/22	Design/Phase B			
Replace Cooling Towers and Domestic Water System - Eastern Kentucky Veterans Center	Perry	2022-2024	In Construction	0	05/09/23	
* Replace Heating and Cooling Systems - Western Kentucky Veterans Center	Hopkins	2022-2024	Awaiting Initiation by Agency			
Health and Family Services Cabinet/Behavioral Health						
* CHFS Maintenance Pools - Kentucky Correctional Psychiatric Center Roof Replacement Reported to CPBOC on 5/18/2021 (allocations from 2018-20 and 2020-22 bond-funded maintenance pools)	Oldham	Pool	Complete/In Warranty	100	03/24/22	04/22/22
* CHFS Maintenance Pools - Western State Hospital - HVAC Repairs and Patient Safety Enhancements Reported to CPBOC on 6/18/2019 (allocations from 2014-16, 2016-18, and 2018-20 bond-funded maintenance pools)	Christian	Pool	Complete/Not Closed Out	100	03/17/21	03/18/21
* Electrical & Telecom Upgrade-Western State Hospital Phase II	Christian	2018-2020	In Construction	10	09/10/23	
* Electrical System Upgrade at Western State Hospital - Design	Christian	2012-2014	Design/Phase C			
* HVAC System Replacement - Hazelwood Comments: Second Bid Pack is underway. Scheduled for completion summer of 2023	Jefferson	2018-2020	Construction/Multiple Bid Packs			
* Oakwood Renovate/Replace Cottages - Phase II Comments: UA waiting for last renovation of units to be completed before bidding this one.	Pulaski	2020-2022	Design/Phase C			
* Oakwood Renovate/Replace Cottages - Phase III Comments: no change	Pulaski	2022-2024	Awaiting Initiation by Agency			
* Renovate/Replace Cottages - Oakwood, Phase I Comments: no changes	Pulaski	2018-2020	Complete/In Warranty	100	03/04/22	03/04/22
* Western State Hospital - HVAC and Electrical Upgrades	Christian	2022-2024	Awaiting Initiation by Agency			

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* Western State Hospital-Electrical Upgrade - Phase III	Christian	2020-2022	Design/Phase A			
* Western State Hospital-Electrical Upgrade-Phase I	Christian	2016-2018	Complete/Not Closed Out	100	03/01/21	04/26/19
Health and Family Services Cabinet/Medicaid Administration						
Renovate CHR Complex Sixth Floor	Franklin	2022-2024	Planning			
Justice and Public Safety Cabinet/Corrections						
* Corrections Maintenance Pool - 2016-18 - Reformatory Psychiatric Treatment Unit HVAC Replacement Reported to CPBOC on 2/21/2017	Oldham	Pool	Planning			
* Corrections Maintenance Pools - Green River Correctional Complex Security Control for Buildings Reported to CPBOC on 8/19/2020 (allocations from 2018-20 and 2020-22 bond-funded maintenance pools) Comments: Contractor continues to be significantly behind schedule. Weekly meetings on Project with Contractor and Bond Company.	Muhlenberg	Pool	In Construction	65	01/13/22	
* Corrections Maintenance Pools - Kentucky State Penitentiary 5 Cellhouse Sewer Repairs Reported to CPBOC on 12/15/2022	Lyon	Pool	Awarding Contract			
* Corrections Maintenance Pools - Roederer Correctional Complex Kitchen Drain Line Repair/Replacement Reported to CPBOC on 10/27/2022	Oldham	Pool	In Construction	5	06/15/23	
* Demolish and Repair Tower Kentucky State Reformatory Reallocation from Stabilization of Dorm 8 Kentucky State Reformatory (\$4.155 million) and Repair and Stabilize Tower Kentucky State Reformatory (\$3.716 million) \$4.2 million of the \$7.871 million in bond funds authorized was reallocated to Design Relocation of Corrections Medical Facility	Oldham	2018-2020	Cancelled			
* Design of the Expansion of Little Sandy Correctional Complex	Elliott	2020-2022	In Construction	1	11/07/24	
* Design Relocation of Corrections Medical Facility Reallocation from the Demolish and Repair Tower Kentucky State Reformatory (\$4,200,000) and Replace Perimeter Fence, Kentucky State Reformatory (\$2,800,000)	Oldham	2020-2022	Design/Phase C			

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* Kentucky Correctional Institution for Women-Sewer Plant/Line Comments: MAC CONSTRUCTION AND EXCAVATING INC Contract \$1,817,609 Department: 527 Fund: C89K Account Template: JC89K	Shelby	2016-2018	In Construction	1	10/06/23	
* Kentucky State Penitentiary - Security Fence Addition	Lyon	2022-2024	A/E Selection			
* Little Sandy Correctional Complex - Expansion - Replace Reformat	Elliott	2022-2024	In Construction	1	11/07/24	
* Relocate Medical Services	Fayette	2022-2024	Awaiting Initiation by Agency			
* Repair and Stabilize Tower Kentucky State Reformatory \$3.716 million of the \$3.797 million in bond funds authorized was reallocated to Demolish & Repair Tower KSR	Oldham	2016-2018	Cancelled			
* Repair/Replace Roofs - Eastern Kentucky Correctional Complex	Morgan	2020-2022	In Construction	95	01/19/23	
* Replace Perimeter Fence, Kentucky State Reformatory \$2.8 million of the \$3.116 million in bond funds authorized was reallocated to Design Relocation of Corrections Medical Facility	Oldham	2018-2020	Cancelled			
* Stabilization of Dorm 8 Kentucky State Reformatory \$4.155 million in bond funds authorized was reallocated to Demolish and Repair Tower KSR	Oldham	2016-2018	Cancelled			
* Various - Water Tower Painting/Repairs Comments: GRW selected and phase A started	Multi	2022-2024	Design/Phase A			
Justice and Public Safety Cabinet/Criminal Justice Training						
Bizzack Complex HVAC Repair/Replacement Comments: Should be closing Fund soon. Work is complete awaiting final documentation.	Madison	2016-2018	Construction/Multiple Bid Packs			
Criminal Justice Training Maintenance Pool - 2020-22 - Schwendeman-Thompson HVAC & Bldng Sys Upgrade Reported to CPBOC on 9/23/2021 Comments: Awaiting backordered equipment in order to complete.	Madison	Pool	In Construction	98	12/28/22	

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Funderburk Building HVAC Upgrade	Madison	2016-2018	Construction/Multiple Bid Packs			
Comments: Should be closing Fund soon. Work is complete awaiting final documentation.						
* New Indoor Firing Range	Madison	2022-2024	Design/Phase A			
Comments: Design is moving forward. On Budget at this time.						
Justice and Public Safety Cabinet/State Police						
* KY Emergency Warning System (KEWS) Fiberglass Shelter Replacement	Multi	2022-2024	Awaiting Initiation by Agency			
* Posts 7 (Richmond) & 10 (Harlan) Construction	Multi	2022-2024	A/E Selection			
Postsecondary Education Cabinet/Eastern Kentucky University						
* Construct Science Building - Phase 2 and 3	Madison	2014-2016	Complete/Closed Out	100	10/25/17	08/17/17
Postsecondary Education Cabinet/Kentucky State University						
Acquire Land/Campus Master Plan	Franklin	2022-2024	Awaiting Initiation by Agency			
* Asset Preservation Pool - 2022-2024	Franklin	2022-2024	Awaiting Initiation by Agency			
Center for Families and Children	Franklin	CPBOC-12/01/08	Complete/Not Closed Out	100	12/02/11	12/29/11
Construct New Residence Hall	Franklin	2020-2022	In Construction	82	01/01/23	
Approved pursuant to KRS 45.763 CPBOC approved development agreement on April 20, 2021						
Kentucky State University Old Federal Building Renovation, Phase I	Franklin	CPBOC-12/01/09	Complete/Closed Out	100	07/20/12	07/20/12
Mold Remediation and Mechanical Repairs - The Halls	Franklin	CPBOC-2/01/19	Complete/Not Closed Out	100	07/26/19	07/26/19
Renovate Atwood Agricultural Research Building	Franklin	2012-2014	Complete/Not Closed Out	100	09/21/20	09/21/20
Renovate Hunter Hall	Franklin	CPBOC-7/01/21	In Construction	90	01/16/23	
* Repair Boilers and Aging Distribution Lines	Franklin	2014-2016	Construction/Multiple Bid Packs			
Comments: Awaiting direction from KSU						

Postsecondary Education Cabinet/KY Community and Technical College System

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Acquire and Improve Parking Lots - Jefferson CTC	Multi	2020-2022	Construction/Multiple Bid Packs			
* Asset Preservation Pool - 2022-24 - Madisonville Community College Glema Mahr Roof Reported to CPBOC on 9/29/2022	Hopkins	Pool	In Construction	5	03/20/23	
* Construct Advanced Manufacturing Facility - Bluegrass CTC	Scott	2014-2016	Complete/Not Closed Out	100	12/28/17	12/28/17
Construct Ag Health & Career Tech - Hopkinsville CC Ph I	Christian	2014-2016	Complete/Not Closed Out	100	07/10/19	09/16/19
Construct Fire Commission Five Story Training Drill Tower Comments: Project on hold till spring 2023	Muhlenberg	2020-2022	Other			
Construct Fire Commission NRPC Classroom Building	Muhlenberg	2020-2022	In Construction	10	11/02/23	
Construct Instructional Complex - Southcentral CTC	Warren	2014-2016	Complete/Not Closed Out	100	03/14/18	03/14/18
Construct National Responder Preparedness Center Parking Lot (Driving Course) - Fire Commission	Muhlenberg	2018-2020	Complete/Not Closed Out	100	01/20/21	01/04/21
Construct New Entrance - Leestown - Bluegrass CTC	Fayette	2016-2018	Complete/Closed Out	100	08/28/17	09/21/17
Construct Parking Garage - Jefferson CTC	Jefferson	2022-2024	Design/Phase A			
* Construct Student/Classroom [Instructional Facility] - Bluegrass CTC Newtown Comments: no change	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Procure Transportation Center - Elizabethtown CTC Comments: no changes	Hardin	2020-2022	Awaiting Initiation by Agency			
Design and Construct Newtown Campus, Phase I - Bluegrass CTC	Fayette	2012-2014	Complete/Closed Out	100	07/28/14	07/28/14
Emergency Building Repairs, Hazard Community and Technical College	Knott	CPBOC-11/01/22	Planning			
Emergency Building Repairs, Southeast Kentucky Community and Technical College	Letcher	CPBOC-11/01/22	Planning			
* Expand Leitchfield Campus - Elizabethtown CTC	Grayson	2022-2024	Awaiting Initiation by Agency			

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Procure Postsecondary Education Center Phase II - Maysville CTC	Rowan	2022-2024	Awaiting Initiation by Agency			
Property Acquisition - Fire Commission	Laurel	2022-2024	Awaiting Initiation by Agency			
Property Acquisition - Hopkinsville CC	Christian	2022-2024	Awaiting Initiation by Agency			
Relocate and Demolish Student Center - Henderson CC	Henderson	2022-2024	In Construction	50	02/06/23	
Renovate Administration Building - Bluegrass CTC Newtown Comments: no change	Fayette	2022-2024	Design/Phase A			
Renovate Advance Manufacturing and Construction Center - Hazard CTC	Perry	2020-2022	Design/Phase B			
Renovate Dental Hygiene Clinic - Big Sandy CTC - Mayo Campus Comments: Due to supply chain issues change orders are still pending completion and the Final Completion date will be adjusted accordingly.	Johnson	2018-2020	In Construction	99	10/17/22	
Renovate Industrial Education Building - Hazard CTC	Perry	2020-2022	Design/Phase B			
Renovate Laurel South Campus Phase I - Somerset CC	Laurel	2022-2024	Awaiting Initiation by Agency			
Renovate Main Campus Buildings - Southcentral Kentucky CTC	Warren	2022-2024	Design/Phase A			
Renovate Newtown Campus North Buildings - Bluegrass CTC Comments: no changes	Fayette	2020-2022	Design/Phase B			
* Renovate Occupational Technical Building Phase I - Elizabethtown CTC	Hardin	2022-2024	Awaiting Initiation by Agency			
Renovate Science Building Labs - Elizabethtown CTC	Hardin	2022-2024	Awaiting Initiation by Agency			
Renovate Student Center Building - Elizabethtown CTC	Hardin	2016-2018	In Construction	17	02/14/23	
Replace Hartford Building Phase I - Jefferson CTC	Jefferson	2022-2024	Design/Phase A			
Replace Windows and Doors - Somerset CC	Pulaski	2022-2024	Awaiting Initiation by Agency			
Roof Replacements - Ashland CTC Comments: pending Personal Service Contract with selected consultant thru RFP selection, just completed.	Boyd	2022-2024	Awaiting Initiation by Agency			
Upgrade ADA - Somerset CC	Multi	2022-2024	Awaiting Initiation by Agency			

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Upgrade Newtown Campus Buildings and Infrastructure - Bluegrass Comments: still in warranty	Fayette	2016-2018	Complete/In Warranty	100	12/27/21	12/27/21
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Bluegrass CTC, Danville \$2.736 million bond funded Workforce Development Construction Pool allocation with use of \$5 million in restricted funds authorized in HB 200; pool allocation reported to CPBOC on 8/21/2018.	Boyle	Pool	Complete/Not Closed Out	100	03/09/21	01/26/21
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Jefferson CTC, Downtown Match for \$15.2 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Jefferson	Pool	Complete/Not Closed Out	100	09/30/21	09/30/21
Workforce Development Construction Pool - Constr. Community Intergenerational Ctr. -Hazard CTC, Lees \$2 million for the design portion was authorized in HB 235 as a KCTCS BuildSmart Investment for Kentucky Competitiveness project. Match for \$2.88 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Breathitt	2014-2016	Complete/Closed Out	100	12/28/19	10/09/19
Workforce Development Construction Pool - Renovate Leestown Campus - Bluegrass CTC \$3.04 million bond funded Workforce Development Construction Pool allocation with use of \$2.935 million of the \$6 million in restricted funds authorized in HB 303; pool allocation reported to CPBOC on 2/20/2018. Comments: no changes	Fayette	2016-2018	Pending Authorization			
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs - West Kentucky CTC \$3.04 million bond funded Workforce Development Construction Pool allocation was reported to CPBOC on 9/19/2017.	McCracken	Pool	Complete/Closed Out	100	11/30/18	11/30/18
* Workforce Development Construction Pool - Renovate/ Equip Manufacturing Labs -Owensboro CTC, Downtwn Match for \$2.858 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC on 9/19/2017.	Daviess	Pool	Complete/Closed Out	100	04/12/19	04/12/19
* Workforce Development Construction Pool - Welding Facility Renov./Training Lab Addit. - Hopkinsville The \$1.6 million restricted funds authorization in HB 303 will not be used for the \$321,180 restricted funds match. Match for \$2.353 million bond funded Workforce Development Construction Pool allocation was approved by CPBOC as a scope increase on 2/20/18.	Christian	Pool	Complete/Not Closed Out	100	09/11/20	09/11/20

Postsecondary Education Cabinet/KY Higher Education Assistance Authority

KHEAA Building - HVAC and Roof Repair Franklin 2022-2024 Design/Phase C

Tourism, Arts & Heritage Cabinet/Fish & Wildlife Resources

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Ballard Wildlife Management Area "Big Pump"	Ballard	CPBOC-5/01/22	Planning			
Camp Currie Dining Hall Construction	Marshall	2016-2018	Complete/Not Closed Out	100	05/25/18	05/25/18
Camp Earl Wallace Dining Hall Construction Comments: Trying to work with the Finance and Administration Cabinet (FAC) to select a design team within the requirements of KRS 45A and FAC is not responding or unwilling to work with KDFWR.	Cumberland	2022-2024	Awaiting Initiation by Agency			
Critical Species Investigation Building Comments: In the process of getting the Delivery Orders reestablished for the design work.	Franklin	CPBOC-11/01/22	Design/Phase A			
FILO Office Space	Franklin	2016-2018	Complete/Not Closed Out	100	03/01/18	04/26/18
FILO Stream Mitigation Projects Pool - Bender Hollow Reported to CPBOC on 10/21/2021	Lincoln	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Big Farm Reported to CPBOC on 9/20/2016	Bath	Pool	Complete/In Warranty	100	02/08/22	02/08/22
FILO Stream Mitigation Projects Pool - Big Rivers Wetland Reported to CPBOC on 10/21/2021	Multi	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Blue Spring Creek Reported to CPBOC on 4/14/2020	Trigg	Pool	In Construction	48	02/09/23	
FILO Stream Mitigation Projects Pool - Broke Leg Creek Reported to CPBOC on 11/17/2022	Morgan	Pool	Design/Phase B			
FILO Stream Mitigation Projects Pool - Burnett Branch Reported to CPBOC on 10/21/2021 Land Acquisition from Kentucky Heritage Land Conservation Fund (partnership with KDOW Wild Rivers program) reported to the committee on 11/15/2011	Multi	Pool	Design/Phase C			
FILO Stream Mitigation Projects Pool - Crow Creek Reported to CPBOC on 9/23/2021	Clinton	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - East Fork Indian Creek Repair Reported to CPBOC on 9/23/2021 - Addition to Completed FILO-East Fork Indian River (C40N)	Menifee	Pool	Complete/In Warranty	100	03/01/22	03/01/22
FILO Stream Mitigation Projects Pool - Goose Creek	Casey	Pool	Complete/Not Closed Out	100	06/26/20	03/01/20

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Reported to CPBOC on 4/18/2017						
FILO Stream Mitigation Projects Pool - Horse Mill Reported to CPBOC on 1/18/2022	Morgan	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Little Sexton Reported to CPBOC on 5/19/2022	Multi	Pool	Design/Phase B			
FILO Stream Mitigation Projects Pool - Mabry Wildlife Management Area 2 Design Build Combination of Laurel Creek Gorge #2, Laurel Creek Gorge (Smith), and Mart Whitt Fork Laurel Creek Gorge #2 and Mart Whitt Fork reported to committee on 7/18/2017	Elliott	Pool	Complete/In Warranty	100	11/01/22	03/31/22
FILO Stream Mitigation Projects Pool - Mill Creek Reported to CPBOC on 11/17/2022	Jefferson	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Minor's Creek Reported to CPBOC on 10/17/2017	Multi	Pool	Complete/Not Closed Out	100	04/09/19	04/09/19
FILO Stream Mitigation Projects Pool - Morgan County Extension Wetland Reported to CPBOC on 10/21/2021	Morgan	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Mud Camp Reported to CPBOC on 9/29/2022	Cumberland	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Northern Kentucky Middle Creek I Reported to CPBOC on 1/18/2022	Boone	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Northern Kentucky Middle Creek II Reported to CPBOC on 10/21/2021	Boone	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Northern Kentucky Middle Creek III Reported to CPBOC on 10/21/2021	Boone	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Rich Wildlife Management Area - Red Oak Creek Reported to CPBOC on 6/19/2018	Owen	Pool	Complete/Not Closed Out	100	03/01/19	03/01/19
FILO Stream Mitigation Projects Pool - Rock Lick	Fleming	Pool	Design/Phase A			

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Will be reported to committee after design phase						
FILO Stream Mitigation Projects Pool - Rolling Fork WMA - Stillwell Branch Reported to CPBOC on 9/29/2022	Larue	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Ross Creek Land Acquisition and Construction Reported to CPBOC on 2/19/2013 and 5/19/2020	Multi	Pool	Complete/Not Closed Out	100	06/01/20	06/01/20
FILO Stream Mitigation Projects Pool - Ross Creek III, Lee County Will be reported to committee after design phase	Lee	Pool	Awaiting Initiation by Agency			
FILO Stream Mitigation Projects Pool - Staggs Branch Reported to CPBOC on 9/29/2022	Lewis	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Steep Creek Reported to CPBOC on 11/17/2022	Boone	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Stream Restoration Otter Creek Reported to CPBOC on 10/21/2021	Meade	Pool	Design/Phase A			
FILO Stream Mitigation Projects Pool - Whites Creek Reported to CPBOC on 10/21/2021	Boyd	Pool	Design/Phase C			
FILO Stream Mitigation Projects Pool - Wolf Run Jefferson County Memorial Forest Reported to CPBOC on 10/21/2021	Jefferson	Pool	Design/Phase A			
Lakes and Streams Branch Building Comments: In the process of getting the Delivery Orders reestablished for the design work.	Franklin	CPBOC-11/01/22	Design/Phase A			
Veteran's Memorial Wildlife Management Area - Public Shooting Range	Scott	CPBOC-5/01/22	Design/Phase C			
Tourism, Arts & Heritage Cabinet/KY Center for the Arts						
Exterior Repair and Restoration	Jefferson	2022-2024	Awaiting Initiation by Agency			
KCA - Fire Damage Restoration	Jefferson	CPBOC-9/01/18	Complete/Not Closed Out		03/10/20	03/10/20

Tourism, Arts & Heritage Cabinet/KY Horse Park

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* Barn Repair and Upgrades	Fayette	2022-2024	Design/Phase A			
* Covered Arena and Rolex Stadium	Fayette	2022-2024	Design/Phase A			
* Renovate International Museum of the Horse	Fayette	2022-2024	Design/Phase A			
* Replace Roofs - Museum, Gatehouse, Visitor Center	Fayette	2022-2024	Design/Phase A			
Tourism, Arts & Heritage Cabinet/Parks						
Fort Boonesborough - Parkwide - Flood Reconstruction	Madison	CPBOC-7/01/21	Complete/In Warranty	100	05/15/22	05/15/22
* HB 268 Pool - Kentucky Dam Village Infrastructure Sewer Upgrades (Phase I) Reported to CPBOC on 12/15/2022 Comments: Contract awarded to Youngblood Excavating & Contracting LLC Fund 670 CA35 - \$2,088,560 original Contract	Marshall	Pool	In Construction	1	09/29/23	
* HB 268 Pool - Water and Sewer Upgrades - West Reported to CPBOC on 5/18/2021	Multi	Pool	Construction/Multiple Bid Packs			
Lake Barkley Lodge Fire Repairs	Trigg	CPBOC-4/01/22	In Construction	85	01/13/23	
* Upgrade Guest Accommodations	Multi	2014-2016	Construction/Multiple Bid Packs			
Tourism, Arts & Heritage Cabinet/State Fair Board						
* Agricultural Development Board - Cardinal Stadium Demolition (Kentucky Exposition Center) Reported to CPBOC on 8/21/2018	Jefferson	Pool	Complete/Not Closed Out	100	07/29/22	07/29/22
* Agricultural Development Board - Deferred Maintenance and Renovation (Kentucky Exposition Center) Comments: Managed by agency	Jefferson	Pool	Other			
* Agricultural Development Board - Entry Gate Remodel (Kentucky Exposition Center)	Jefferson	Pool	Construction/Multiple Bid Packs			
* Agricultural Development Board - Freedom Hall Make-Up Ring Reported to CPBOC on 8/27/2019	Jefferson	Pool	Complete/Closed Out	100	03/10/20	02/01/22

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* Freedom Hall Sewer Line Replacement	Jefferson	2014-2016	Complete/Not Closed Out	100	08/24/17	08/24/17
* Ky International Convention Center Renovation and Expansion	Jefferson	2014-2016	Complete/Not Closed Out	100	11/01/18	11/01/18
* Ky International Convention Center Roof Replacement	Jefferson	2018-2020	Complete/Not Closed Out	100	03/10/20	03/10/20
* Prestonia Grounds and Infrastructure Improvements	Jefferson	2020-2022	Construction/Multiple Bid Packs			

Transportation Cabinet/Department of Highways

* Transportation Warehouse Facility Renovation or Replacement	Franklin	2020-2022	Complete/In Warranty	100	04/08/22	03/15/22
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Transportation Cabinet/Gen Admin & Support (Transp)

* Bullitt County Welcome Center	Bullitt	CPBOC-10/01/19	Complete/Closed Out	100	05/25/20	05/25/20
* Construct Ballard County Maintenance Facility and Salt Storage Structure	Ballard	2012-2014	Revising/Rebidding			
* Construct Bath County Maintenance and Salt Storage Facility	Bath	2022-2024	Awaiting Initiation by Agency			
* Construct Boyle County Bridge Crew Facility	Boyle	2022-2024	Planning			
* Construct Breckinridge County Maintenance and Salt Storage Facility	Breckinridge	2022-2024	Awaiting Initiation by Agency			
* Construct Casey County Maintenance Facility	Casey	2020-2022	Complete/In Warranty	100	06/28/22	06/28/22
* Construct Clay County District 11 Office Reallocation from Construct Manchester (D-11) District Office (\$7.445 million)	Clay	2018-2020	Planning			
* Construct Crittenden County Maintenance Facility and Salt Storage Structure Was not reauthorized in 2016-2018 budget and authorized as new project for 2018-2020	Crittenden	2018-2020	Complete/Not Closed Out	100	09/11/20	09/11/20
* Construct District 2 Office and Materials Lab	Hopkins	2022-2024	Awaiting Initiation by Agency			
* Construct Hart County Maintenance and Salt Storage Facility	Hart	2022-2024	Awaiting Initiation by Agency			
* Construct Hopkins County Maintenance Facility and Salt Storage Structure	Hopkins	2018-2020	Revising/Rebidding			

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* Construct Manchester (D-11) District Office \$6.6 million (2014-2016) and \$845,000 (2016-2018) from the road fund was reallocated to Construct Clay County District 11 Office	Clay	2014-2016	Cancelled			
* Construct Mercer County Maintenance and Salt Storage Facility	Mercer	2022-2024	Awaiting Initiation by Agency			
* Construct Morgan County Maintenance and Salt Storage Facility	Morgan	2022-2024	Awaiting Initiation by Agency			
* Construct Muhlenberg County Maintenance Facility and Salt Storage Structure	Muhlenberg	2012-2014	Complete/Not Closed Out		07/08/18	07/05/18
* Construct Nicholas County Maintenance/Salt Structure	Nicholas	2020-2022	Complete/In Warranty	100	04/29/22	04/29/22
* Construct Regional Salt Structures	Multi	2022-2024	Awaiting Initiation by Agency			
* Construct Union County Maintenance and Salt Storage Facility	Union	2022-2024	Awaiting Initiation by Agency			
* Construct Whitley County Maintenance/Salt Structure	Whitley	2020-2022	Planning			
* District 6 Office and Materials Lab House Bill 490 (2022 Regular Session) amended authorized project amount	Kenton	2020-2022	In Construction	5	03/05/24	
* Permanent Salt Conveyor System - Graves County	Graves	2022-2024	Planning			
* Simpson County Welcome Center	Simpson	CPBOC-9/01/19	Complete/Not Closed Out	100	12/08/20	12/01/20

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Department of Education/Operations and Support Services			
* KSB Howser Hall Renovation	07/13/20	\$13,183.00	In process of being closed out
* KSB McDaniel/Scoggin Educational Building	10/02/19	\$272.84	In process of being closed out
* KSD New Elementary Building	03/21/20	\$61,694.97	Waiting for agency to close account.
Education and Labor Cabinet/KY Educational Television			
* Transmitter and Repack	09/01/20	\$425,000.98	Waiting for agency to close account.
Energy & Environment Cabinet/Environmental Protection			
* State-Owned Dam Repair - Bullock Pen Lake Dam	09/02/22	\$36,938.81	Warranty repairs were required Waiting on final invoice to be processed
Finance and Administration Cabinet/Facilities & Support Services			
* DFSS Maintenance Pool - 2018-20 - Central Lab Boiler Replacement	12/20/21	\$58,788.59	Waiting on final invoicing for Boiler tube work.
* L&N Building Security and Structural Upgrades	04/20/23	\$538,891.71	Finishing some smaller projects within the account.
* Upgrade L&N Building	08/31/20	\$486,994.14	Finishing up some smaller projects
General Government Cabinet/Military Affairs			
* Armory Modernization and DMA Maintenance Pools - 2018-20 - DMA Lexington NGA Interior Upgrade	11/24/21	\$40,787.00	Will request UA to close. Seems to be a question on -\$22,557 in 703,
* Armory Modernization Pool - 2018-20 - DMA Leitchfield Readiness Center Assembly Hall Addition	04/15/22	\$12,685.83	Notified Agency to closeout!
DMA Bluegrass Station Building 415 Modification	04/08/22	\$34,081.00	will request UA to close
* DMA Boone National Guard Center Emergency Operations Center Renovation	10/22/21	\$37,928.98	Waiting for agency to close account.
DMA Interior Renovation Wellman Armory - Boone National Guard Center	05/29/20	\$112,925.06	Waiting for agency to close account
DMA Records Holding Facility - Boone National Guard Center	08/02/20	\$109,457.22	Waiting for agency to close account.
DMA Richmond Field Maintenance Shop No. 4 Bay Addition	09/04/18	\$78,241.00	WILL ASK UA TO CLOSE
* DMA Richmond Readiness Center Interior Modernization	12/09/22	\$22,586.00	will request UA to close
DMA Wendell H. Ford Regional Training Center 1103rd Military Police RC/Provost/Welcome Center	12/13/22	\$216,312.64	Awaiting agency
DMA Wendell H. Ford Regional Training Center Bridge	12/18/21	\$44,299.99	Awaiting agency

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DMA Wendell H. Ford Regional Training Center Fire Station Addition	10/27/22	\$6,410.25	awaiting agency
Health and Family Services Cabinet/Behavioral Health			
* CHFS Maintenance Pools - Western State Hospital - HVAC Repairs and Patient Safety Enhancements	04/18/22	\$80,307.89	Awaiting agency
* Western State Hospital-Electrical Upgrade-Phase I	05/26/20	\$20,161.22	Awaiting agency
Postsecondary Education Cabinet/Kentucky State University			
Center for Families and Children	01/29/13	\$572,155.00	requested UA to close many times but they owe money still to the general fund
Mold Remediation and Mechanical Repairs - The Halls	08/26/20	\$32,812.56	Awaiting direction from KSU
Renovate Atwood Agricultural Research Building	10/21/21	\$27,655.93	Waiting for agency to close account.
Postsecondary Education Cabinet/KY Community and Technical College System			
* Construct Advanced Manufacturing Facility - Bluegrass CTC	01/28/19	\$40,631.00	Will ask UA to close
Construct Ag Health & Career Tech - Hopkinsville CC Ph I	10/16/20	\$247,638.00	Awaiting agency
Construct Instructional Complex - Southcentral CTC	04/14/19	\$37,842.10	Waiting for agency to close account.
Construct National Responder Preparedness Center Parking Lot (Driving Course) - Fire Commission	02/04/22	\$831,109.14	Awaiting agency
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Bluegrass CTC, Danville	02/26/22	\$1,783.00	will request UA to close
* Workforce Development Construction Pool - Constr. Adv. Manuf. Ctr. - Jefferson CTC, Downtown	10/30/22	\$140,000.00	Finishing smaller projects within the account.
* Workforce Development Construction Pool - Welding Facility Renov./Training Lab Addit. - Hopkinsville	10/11/21	\$301,659.21	Awaiting agency
Tourism, Arts & Heritage Cabinet/Fish & Wildlife Resources			
Camp Currie Dining Hall Construction	06/25/19	\$320,871.77	Sending request to OSBD today, 12/21/22
FILO Office Space	05/26/19	\$21,990.12	entrance awning to be installed with last of funds in FY2023 or 24
FILO Stream Mitigation Projects Pool - Goose Creek	04/01/21	\$19,115.56	the project is required to undergo Corps of Engineers required monitoring period
FILO Stream Mitigation Projects Pool - Minor's Creek	05/09/20	\$113,838.04	in Corps of Engineers' required monitoring phase
FILO Stream Mitigation Projects Pool - Rich Wildlife Management Area - Red Oak Creek	04/01/20	\$968,658.33	in monitoring phase

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FILO Stream Mitigation Projects Pool - Ross Creek	07/01/21	\$570,970.06	in monitoring phase
Tourism, Arts & Heritage Cabinet/KY Center for the Arts			
KCA - Fire Damage Restoration	04/10/21	\$0.01	Waiting for agency to close account
Tourism, Arts & Heritage Cabinet/State Fair Board			
* Agricultural Development Board - Cardinal Stadium Demolition (Kentucky Exposition Center)	08/29/23	\$3,117.12	Finishing smaller projects within the account.
* Freedom Hall Sewer Line Replacement	09/24/18	\$84,033.49	Agency continues to use remaining funds. Finance has requested several times for the account to be closed.
* Ky International Convention Center Renovation and Expansion	12/01/19	\$92,392.80	Finishing minor small projects.
* Ky International Convention Center Roof Replacement	04/10/21	\$503,596.36	Waiting for agency to close account.
Transportation Cabinet/Gen Admin & Support (Transp)			
* Construct Crittenden County Maintenance Facility and Salt Storage Structure	10/11/21	\$20,035.59	Awaiting agency
* Construct Muhlenberg County Maintenance Facility and Salt Storage Structure	08/05/19	\$29,192.34	awaiting agency
* Simpson County Welcome Center	01/01/22	\$45,064.09	Awaiting agency

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<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Postsecondary Education Cabinet/Eastern Kentucky University						
Academic Computing Pool Comments: This project will upgrade the computing equipment and capabilities in central academic computing. Upgrades are initiated on a continuous cycle as advancements in technology occurs.	Madison	2022-2024	Other			
Additional University Services Space	Madison	2022-2024	Awaiting Initiation by Agency			
Administrative Computing Pool Comments: This pool supports the necessary equipment, hardware and software to serve the Administrative Computing function of the University.	Madison	2022-2024	Other			
* Asset Preservation Pool - 2022-2024 Use of restricted funds rather than agency bonds for the fiscal year 2023 match reported to CPBOC on 8/24/2022 Sub-projects: Asset Preservation Pool - 2022-2024 (Sub-project: Burrier Exterior Repairs) Asset Preservation Pool - 2022-2024 (Sub-project: Coates Exterior/Interior Repairs) Asset Preservation Pool - 2022-2024 (Sub-project: Improve Parking Systems) Asset Preservation Pool - 2022-2024 (Sub-project: Powell Roof Replacement) Asset Preservation Pool - 2022-2024 (Sub-project: Renovate Building Interiors) Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Replace/Modernize Elevator Systems) Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Replace/Upgrade Roof Structures) Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Upgrade Campus Pedestrian Accessways) Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Upgrade Electrical Systems) Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 0) Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 1) Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 2) Asset Preservation Pool - 2022-2024 (Sub-project: Rowlett Roof Replacement) Asset Preservation Pool - 2022-2024 (Sub-project: Steam Line Upgrades)	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Burrier Exterior Repairs)	Madison	2022-2024	A/E Selection			
Asset Preservation Pool - 2022-2024 (Sub-project: Coates Exterior/Interior Repairs)	Madison	2022-2024	A/E Selection			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Parking Systems)	Madison	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Powell Roof Replacement) Comments: Project delayed due to events in area as well as ran days	Madison	2022-2024	In Construction	72	10/31/22	

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Asset Preservation Pool - 2022-2024 (Sub-project: Renovate Building Interiors)	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Replace/Modernize Elevator Systems)	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Replace/Upgrade Roof Structures)	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Upgrade Campus Pedestrian Accessways)	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renovate/Upgrade Electrical Systems)	Madison	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 0) Comments: Sub projects as of 9-30-22 Burrier Chiller Replacement Wallance Chiller Replacement	Madison	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 1) Burrier Chiller Replacement	Madison	2022-2024	Bidding			
Asset Preservation Pool - 2022-2024 (Sub-project: Repair/Replace Infrastructure/Building Systems 2) Wallace Chiller Replacement	Madison	2022-2024	Bidding			
Asset Preservation Pool - 2022-2024 (Sub-project: Rowlett Roof Replacement)	Madison	2022-2024	In Construction	78	12/20/22	
Asset Preservation Pool - 2022-2024 (Sub-project: Steam Line Upgrades) Comments: Phase 1 - Emg in process \$1,932,546 (should be completed 4-20-23) Phase 2 - Other Repair in A/E design	Madison	2022-2024	In Construction	0	04/20/23	
Campus Data Network Pool Comments: This pool will support new hardware for communication, safety and security service delivery.	Madison	2022-2024	Other			
Campus Infrastructure Upgrade Approved pursuant to KRS 45.763 Asset Preservation Pool - 2022-2024 authorization will be used instead	Madison	2022-2024	Awaiting Initiation by Agency			
Commonwealth Hall Partial Repurposing and Renovation	Madison	2022-2024	Awaiting Initiation by Agency			

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Construct Academic Complex	Madison	2022-2024	Awaiting Initiation by Agency			
Construct Alumni and Welcome Center	Madison	2022-2024	Awaiting Initiation by Agency			
* Construct Aviation/Aerospace Instructional Facility Approved pursuant to KRS 45.763 \$1,890,800 in bond funds reallocated from Purchase Aviation Maintenance Technician/Pilot Training Equipment	Madison	2020-2022	A/E Selection			
Construct EKU Early Childhood Center	Madison	2022-2024	Awaiting Initiation by Agency			
* Construct New Model Laboratory School	Madison	2022-2024	Planning			
Construct Student Health Center	Madison	2022-2024	Awaiting Initiation by Agency			
Construct Student Life Facilities	Madison	2016-2018	In Construction	97	12/30/22	
Demolish Building Pool	Madison	2022-2024	Awaiting Initiation by Agency			
Innovation and Commercialization Pool Approved pursuant to KRS 45.763	Madison	2022-2024	Awaiting Initiation by Agency			
Miscellaneous Maintenance Pool - 2022-2024	Madison	2022-2024	Awaiting Initiation by Agency			
Miscellaneous Maintenance Pool - 2020-2022 Sub-project: Miscellaneous Maintenance Pool - 2020-2022 (Replace Campbell Building HVAC) Miscellaneous Maintenance Pool - 2020-2022 (Replace Ramsey Heat Plant Boiler 5)	Madison	2020-2022	Multiple Subprojects			
Miscellaneous Maintenance Pool - 2020-2022 (Replace Campbell Building HVAC)	Madison	2020-2022	In Construction	16	05/01/23	
Miscellaneous Maintenance Pool - 2020-2022 (Replace Ramsey Heat Plant Boiler 5)	Madison	2020-2022	In Construction	43	12/30/22	
Property Acquisitions Pool Approved pursuant to KRS 45.763	Madison	2022-2024	Awaiting Initiation by Agency			
* Renovate Alumni Coliseum	Madison	2022-2024	Design/Phase A			
Renovate and Upgrade Heat Plant	Madison	2022-2024	Awaiting Initiation by Agency			
Renovate Mechanical Systems Pool 2022-2024 Asset Preservation Pool - 2022-2024 authorization will be used instead	Madison	2022-2024	Awaiting Initiation by Agency			
Renovate Whalen Complex Approved pursuant to KRS 45.763	Madison	2022-2024	Awaiting Initiation by Agency			
Repair/Replace Infrastructure/Building System Pool	Madison	2022-2024	Awaiting Initiation by Agency			

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Asset Preservation Pool - 2022-2024 authorization will be used instead						
Residence Hall Renovation Pool	Madison	2020-2022	Multiple Subprojects			
Sub-projects:						
Residence Hall Renovation Pool (Sub-project: Phase I - Keene Hall)						
Residence Hall Renovation Pool (Sub-project: Phase I - Palmer Hall)						
Residence Hall Renovation Pool (Sub-project: Phase II - Burnam Hall)						
Residence Hall Renovation Pool (Sub-project: Phase II - Sullivan Hall)						
Residence Hall Renovation Pool (Sub-project: Phase I - Keene Hall)	Madison	2022-2024	Design/Phase C			
Residence Hall Renovation Pool (Sub-project: Phase I - Palmer Hall)	Fayette	2022-2024	Design/Phase A			
Residence Hall Renovation Pool (Sub-project: Phase II - Burnam Hall)	Madison	2022-2024	Design/Phase A			
Residence Hall Renovation Pool (Sub-project: Phase II - Sullivan Hall)	Madison	2022-2024	Design/Phase A			
Steam Line Upgrades	Madison	2022-2024	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Asset Preservation Pool - 2022-2024 authorization will be used instead						
University Services Space	Madison	2020-2022	Complete/Closed Out	100	10/29/22	10/31/22
Comments: Waiting on final invoices from contractor and A/E group closed on 10-23-22						
Upgrade Building Management System Controls	Madison	CPBOC-4/01/22	In Construction	100	12/20/22	

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Reporting Agency: Morehead State University

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<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Postsecondary Education Cabinet/Morehead State University						
* Asset Preservation Pool - 2022-2024 Comments: Cooper Hall phase A Campus Communications phase A Other projects less than \$1 million - varying phases Contract completion date varies on these projects	Rowan	2022-2024	Design/Phase A			
Capital Renewal and Maintenance Pool - Auxiliary	Rowan	2020-2022	Awaiting Initiation by Agency			
Comply with ADA - Auxiliary	Rowan	2022-2024	Awaiting Initiation by Agency			
Construct New Residence Hall	Rowan	2022-2024	Awaiting Initiation by Agency			
* Construct Science and Engineering Building	Rowan	2022-2024	Awaiting Initiation by Agency			
Renovate Alumni Tower Ground Floor Comments: Contract was not executed as of the end of quarter one.	Rowan	2020-2022	Design/Phase C			
Renovate Cartmell Residence Hall	Rowan	2022-2024	Awaiting Initiation by Agency			
Renovate Cooper Residence Hall	Rowan	2022-2024	Design/Phase A			
Renovate Fields Residence Hall	Rowan	2022-2024	Awaiting Initiation by Agency			
Renovate Grote-Thompson Residence Hall	Rowan	2022-2024	Awaiting Initiation by Agency			
Renovate Normal Residence Hall	Rowan	2022-2024	Awaiting Initiation by Agency			
Replace Exterior Precast Panels - Nunn Hall	Rowan	2020-2022	Awaiting Initiation by Agency			
Replace Turf on Jacobs Field	Rowan	2022-2024	Awaiting Initiation by Agency			

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Reporting Agency: Murray State University

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Postsecondary Education Cabinet/Murray State University						
Acquire Agriculture Research Farm Land	Calloway	2022-2024	Awaiting Initiation by Agency			
Acquire Property	Calloway	2022-2024	Awaiting Initiation by Agency			
* Asset Preservation Pool - 2022-2024	Calloway	2022-2024	Multiple Subprojects			
Sub-projects:						
Asset Preservation Pool - 2022-2024 (Sub-project: Doyle Fine Arts HVAC Upgrades)						
Asset Preservation Pool - 2022-2024 (Sub-project: Electrical Utility Upgrades)						
Asset Preservation Pool - 2022-2024 (Sub-project: Fine Arts HVAC Upgrades)						
Asset Preservation Pool - 2022-2024 (Sub-project: Mason Hall Renovations)						
Asset Preservation Pool - 2022-2024 (Sub-project: Oakley Applied Science Renovations)						
Asset Preservation Pool - 2022-2024 (Sub-project: Pogue Library HVAC Upgrades)						
Asset Preservation Pool - 2022-2024 (Sub-project: Doyle Fine Arts HVAC Upgrades)	Calloway	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Electrical Utility Upgrades)	Calloway	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Fine Arts HVAC Upgrades)	Calloway	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Mason Hall Renovations)	Calloway	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Oakley Applied Science Renovations)	Calloway	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Pogue Library HVAC Upgrades)	Calloway	2022-2024	Planning			
* Construct New Breathitt Veterinary Center	Christian	2014-2016	Multiple Subprojects			
Sub-projects:						
Construct New Breathitt Veterinary Center (Sub-project: Construct New BVC) - Complete/Closed Out						
Construct New Breathitt Veterinary Center (Sub-project: Construct New BVC Equipment Storage Bldg)						
Construct New Breathitt Veterinary Center (Sub-project: Construct New BVC Equipment Storage Bldg)	Christian	2014-2016	Design/Phase B			
Construct Residential Housing	Calloway	2020-2022	Design/Phase C			

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In lieu of agency bonds, MSU is authorized to enter into a public-private partnership, built-to-suit agreement, or lease-purchase not to exceed the authorized amount. This authorization includes the authorization under KRS 45.763 and 45A.077. P3 project delivery method reported to CPBOC on 10/21/2021						
* Construct School of Nursing and Health Professional Building	Calloway	2022-2024	A/E Selection			
Construct/Renovate Alternate Dining Facility Approved pursuant to KRS 45.763 P3 project delivery method reported to CPBOC on 10/21/2021	Calloway	2020-2022	Awaiting Initiation by Agency			
Enhance Dining Facility	Calloway	2022-2024	Awaiting Initiation by Agency			
Historic Building Preservation Pool Sub-projects: Historic Building Preservation Pool (Sub-project: Carr Hall HVAC) Historic Building Preservation Pool (Sub-project: Curris Center Interior Refresh) Historic Building Preservation Pool (Sub-project: Lovett Phase II Renovations) Historic Building Preservation Pool (Sub-project: Racer Arena HVAC) Historic Building Preservation Pool (Sub-project: Waterfield HVAC Phase II) Historic Building Preservation Pool (Sub-project: Wrather Hall Auditorium Renovations)	Calloway	2020-2022	Multiple Subprojects			
Historic Building Preservation Pool (Sub-project: Carr Hall HVAC)	Calloway	2020-2022	Revising/Rebidding			
Historic Building Preservation Pool (Sub-project: Curris Center Interior Refresh)	Calloway	2020-2022	In Construction	15	11/30/23	
Historic Building Preservation Pool (Sub-project: Lovett Phase II Renovations) Comments: Project has experienced supply chain delays on windows and stage curtains.	Calloway	2020-2022	In Construction	98	08/01/22	
Historic Building Preservation Pool (Sub-project: Racer Arena HVAC)	Calloway	2020-2022	Revising/Rebidding			
Historic Building Preservation Pool (Sub-project: Waterfield HVAC Phase II)	Calloway	2020-2022	In Construction	8	10/10/23	
Historic Building Preservation Pool (Sub-project: Wrather Hall Auditorium Renovations) Comments: The project has experienced supply chain delays in carpet, auditorium seating, and electrical components.	Calloway	2020-2022	In Construction	75	11/20/22	
Renovate Lovett Auditorium - HVAC and Electrical Repairs	Calloway	CPBOC-12/01/20	Complete/Not Closed Out	100	09/26/21	09/29/21
Renovate Residence Hall Electrical System	Calloway	2020-2022	Awaiting Initiation by Agency			

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<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
In lieu of agency bonds, MSU is authorized to enter into a public-private partnership, built-to-suit agreement, or lease-purchase not to exceed the authorized amount. This authorization includes the authorization under KRS 45.763 and 45A.077.						
Renovate Residence Hall HVAC System	Calloway	2020-2022	Awaiting Initiation by Agency			
In lieu of agency bonds, MSU is authorized to enter into a public-private partnership, built-to-suit agreement, or lease-purchase not to exceed the authorized amount. This authorization includes the authorization under KRS 45.763 and 45A.077.						
Renovate Residence Hall Interior	Calloway	2020-2022	Awaiting Initiation by Agency			
In lieu of agency bonds, MSU is authorized to enter into a public-private partnership, built-to-suit agreement, or lease-purchase not to exceed the authorized amount. This authorization includes the authorization under KRS 45.763 and 45A.077.						
Renovation of Blackburn Science Building	Calloway	CPBOC-3/01/19	Complete/Not Closed Out	100	04/22/21	04/29/21
Replace Campus Comm Infrastructure (Fiber Ring)	Calloway	2020-2022	In Construction	7	06/30/23	
Replace Expo Center Roof	Calloway	2022-2024	In Construction	50	06/30/23	
Comments: The Expo Roof is being replaced with University funds in phases for less than \$1M each phase.						
Replace Residence Hall Domestic Water Piping	Calloway	2020-2022	Awaiting Initiation by Agency			
In lieu of agency bonds, MSU is authorized to enter into a public-private partnership, built-to-suit agreement, or lease-purchase not to exceed the authorized amount. This authorization includes the authorization under KRS 45.763 and 45A.077.						
Upgrade Campus Network	Calloway	2016-2018	Complete/In Warranty	100	06/30/22	06/09/22
Upgrade Campus Phone System	Calloway	2016-2018	Complete/In Warranty	100	06/30/22	06/09/22

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QUARTERLY CAPITAL PROJECTS STATUS REPORT - SUPPLEMENT

Reporting Agency: Murray State University
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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Postsecondary Education Cabinet/Murray State University			
Renovate Lovett Auditorium - HVAC and Electrical Repairs	10/29/22	(\$105,639.98)	Keeping project open until Phase II work is complete. Also, need to ensure the project balance is correct and funded properly in coordination with other work going on in this building.
Renovation of Blackburn Science Building	05/29/22	\$684,735.58	Other renovations are being determined to spend the remainder of the project funds.

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Postsecondary Education Cabinet/Northern Kentucky University						
Acquire Land/Master Plan 2010-2012 Approved pursuant to KRS 45.763 2011 - \$2.5 million in agency bond proceeds for 26 parcels of land, primarily single family homes, included in Board-approved land acquisition and Master Plan Land acquisition area, to be acquired over many years, is outlined in 2009 Master Plan	Campbell	2010-2012	Planning			
* Asset Preservation Pool - 2022-2024 Sub-projects: Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Admin Center RR Rpl) Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Landrum Floor/RR Rpl) Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Student Union Roof) Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Fine Arts Center Phase II) Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Nunn Hall) Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Steely Library)	Campbell	2022-2024	Multiple Subprojects			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Admin Center RR Rpl)	Campbell	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Landrum Floor/RR Rpl)	Campbell	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew E&G Building Systems - Student Union Roof)	Campbell	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Fine Arts Center Phase II)	Campbell	2022-2024	Design/Phase B			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Nunn Hall)	Campbell	2022-2024	Design/Phase B			
Asset Preservation Pool - 2022-2024 (Sub-project: Renew/Renovate Steely Library)	Campbell	2022-2024	Planning			
Construct Indoor Tennis Facility Approved pursuant to KRS 45.763	Campbell	2022-2024	Design/Phase A			
Construct Mixed-Use Facility with Student Housing Approved pursuant to KRS 45.763 Sub-projects: Construct Mixed-Use Facility with Student Housing (Sub-project: Phase I - North Side) - Complete/Closed Out Construct Mixed-Use Facility with Student Housing (Sub-project: Phase II - South Side)	Campbell	2016-2018	Multiple Subprojects			

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Construct Mixed-Use Facility with Student Housing (Sub-project: Phase II - South Side) Mixed-use development of 20-30,000 square feet of full-service and casual restaurant and retail tenants, a 100-110 room hotel, 150-200 market rate apartments, parking and office space	Campbell	2016-2018	Planning			
Construct Research/Innovation Building Approved pursuant to KRS 45.763	Campbell	2022-2024	Awaiting Initiation by Agency			
Construct/Acquire New Residence Hall 2016-2018 Sub-projects: Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation Phase I) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation Phase II) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Commonwealth Hall Renovation) - Complete/Closed Out Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Construct New Residence Hall) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Install Univ Suites LED Lights) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Kentucky Hall Renovation) - Complete/Closed Out Construct/Acquire New Residence Hall 2016-2018 (Sub-project: KY/CW/Univ. Suites Roof Replacements) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Exterior Repairs) - Complete/Closed Out Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Renovations) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Replace Callahan Boilers #2 & #3) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Univ. Suites Cooling Tower Replacement) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Univ. Suites Exterior Façade Repairs) Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Woodcrest Apartments Stair Replacement) - Cancelled	Campbell	2016-2018	Planning			
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation Phase I)	Campbell	2016-2018	Complete/In Warranty	100	03/31/22	03/11/22
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Callahan Hall Renovation Phase II)	Campbell	2016-2018	In Construction	15	02/24/23	
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Construct New Residence Hall) CM at-Risk project delivery method reported to CPBOC on 11/20/2018	Campbell	2016-2018	Complete/Not Closed Out	100	07/20/21	08/13/21
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Install Univ Suites LED Lights)	Campbell	2016-2018	Complete/In Warranty	100	07/15/22	07/15/22
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: KY/CW/Univ. Suites Roof Replacements)	Campbell	2016-2018	Complete/In Warranty	100	09/20/22	09/20/22
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Norse Hall Renovations)	Campbell	2016-2018	Complete/In Warranty	100	07/30/22	07/30/22
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Replace Callahan Boilers #2 & #3)	Campbell	2016-2018	Complete/In Warranty	100	12/14/22	12/14/22

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Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Univ. Suites Cooling Tower Replacement)	Campbell	2016-2018	Complete/In Warranty	100	05/31/22	05/31/22
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Univ. Suites Exterior Façade Repairs)	Campbell	2016-2018	Planning			
* Expand Herrmann Science Center	Campbell	2022-2024	Design/Phase A			
Expand/Renovate Regents Hall	Campbell	2022-2024	Awaiting Initiation by Agency			
Reconstruct West Side Parking	Campbell	2018-2020	Awaiting Initiation by Agency			
Renew E&G Building Systems Projects Pool Asset Preservation Pool - 2022-2024 authorization will be used instead	Campbell	2020-2022	Awaiting Initiation by Agency			
Renew/Renovate Fine Arts Center Phase II Asset Preservation Pool - 2022-2024 authorization will be used instead	Campbell	2022-2024	Awaiting Initiation by Agency			
Renew/Renovate Nunn Hall Asset Preservation Pool - 2022-2024 authorization will be used instead	Campbell	2022-2024	Awaiting Initiation by Agency			
Renew/Renovate Steely Library Asset Preservation Pool - 2022-2024 authorization will be used instead	Campbell	2022-2024	Awaiting Initiation by Agency			
Renovate Brown Building	Campbell	2020-2022	Complete/In Warranty	100	07/14/22	08/01/22
Renovate Residence Halls	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate/Construct Campbell Hall Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			
Renovate/Construct Civic Center Building	Campbell	2022-2024	Planning			
Renovate/Expand Baseball Field	Campbell	2022-2024	Awaiting Initiation by Agency			
Replace Event Center Technology Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			
Replace Recreation Field Turf	Campbell	2022-2024	Awaiting Initiation by Agency			
Replace Underground Utility Infrastructure	Campbell	2022-2024	Awaiting Initiation by Agency			
Upgrade Admin/IT Infrastructure Pool Approved pursuant to KRS 45.763	Campbell	2020-2022	Awaiting Initiation by Agency			

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Reporting Agency: Northern Kentucky University
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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Postsecondary Education Cabinet/Northern Kentucky University			
Construct/Acquire New Residence Hall 2016-2018 (Sub-project: Construct New Residence Hall)	09/13/22	\$77,797.00	The project is not closed-out due to several unresolved punch list items.

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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<u>Cabinet/Agency/Project Title</u>	<u>County</u>	<u>Initial Auth</u>	<u>Status</u>	<u>Constr % Compl</u>	<u>Contract Compl</u>	<u>Actual Subst</u>
Postsecondary Education Cabinet/University of Kentucky						
Acquire Data Center Hardware - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire Information Technology Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire Land	Fayette	2022-2024	Multiple Subprojects			
Sub-projects:						
Acquire Land (Sub-project: Angliana) - 6/17/2022 - FCR 14						
Acquire Land (Sub-project: REI) - 6/17/2022 - FCR 16						
Acquire Land (Sub-project: Angliana)	Fayette	2022-2024	Complete/Closed Out		09/20/22	09/20/22
Comments: Office of Fayette County Clerk DB 3973 Page 33						
Acquire Land (Sub-project: REI)	Fayette	2022-2024	Complete/Closed Out		09/29/22	09/29/22
Comments: Office of the Fayette County Clerk DB 3975 Page 582						
Acquire Medical Facility 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire Medical Facility 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire Telemedicine/Virtual ICU 6/21/2019 - FCR 14	Fayette	2018-2020	In Construction	99	12/30/22	
Acquire Telemedicine/Virtual ICU	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Improve Administrative Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Improve Elevator Systems - UK HealthCare	Fayette	2022-2024	Multiple Subprojects			
Sub-projects:						
Acquire/Improve Elevator Systems - UK HealthCare (Parking Structure 8) - 9/16/2022 - FCR 11						
Acquire/Improve Elevator Systems - UK HealthCare (Parking Structure 8)	Fayette	2022-2024	Bidding			
Acquire/Improve Golf Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Improve Medical/Administrative Facility 1 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Acquire/Improve Medical/Administrative Facility 2 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Improve Medical/Administrative Facility 3 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			

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Acquire/Partnership Medical System	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Renovate Clinical Research Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Upgrade IT System - UK HealthCare	Fayette	2020-2022	Multiple Subprojects			
Sub-projects:						
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Medical Device Security Upgrade - Edge Firewall) - 2/15/2022 - 22 IT 06						
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Network Infrastructure Upgrade) - 6/27/2021 - 21 IT 33						
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Storage Infrastructure Upgrade) - 6/27/2021 - 21 IT 32						
Acquire/Upgrade IT System - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Medical Device Security Upgrade - Edge Fire)	Fayette		In Construction	93	02/09/24	
Comments: 22IT06						
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Network Infrastructure Upgrade)	Fayette		In Construction	28	06/30/26	
Comments: 21IT33						
Acquire/Upgrade IT System - UK HealthCare (Sub-project: Storage Infrastructure Upgrade)	Fayette		Complete/Closed Out	100	12/31/22	12/22/22
Comments: 21IT32						
ADA Compliance Pool	Fayette	2022-2024	Awaiting Initiation by Agency			
* Asset Preservation Pool - 2022-2024	Fayette	2022-2024	Multiple Subprojects			
Sub-projects:						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 0) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 1)						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 2)						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 3)						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 4)						
Asset Preservation Pool - 2022-2024 (Sub-project: FY24 Various Infrastructure/System Improvements) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Miller Hall) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Multi-Disciplinary Science Building) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Patterson Office Tower) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Pence Hall) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Peterson Service Building) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve White Hall Classroom Building Phase I) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: Improve White Hall Classroom Building Phase II) - 6/17/2022 - FCR 19						
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 0)	Fayette	2022-2024	Multiple Subprojects			

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Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 1) Emergency Replacement of Sanitary Sewer Line - Funkhouser Drive	Fayette	2022-2024	Complete/In Warranty	100	09/08/22	09/08/22
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 2) Breckinridge Hall Windows	Fayette	2022-2024	In Construction	1	11/30/23	
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 3) Fine Arts-Guignol Windows	Fayette	2022-2024	In Construction	1	12/31/23	
Asset Preservation Pool - 2022-2024 (Sub-project: FY23 Various Infrastructure/System Improvements 4) Memorial Coliseum Miscellaneous Building Systems	Fayette	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: FY24 Various Infrastructure/System Improvements)	Fayette	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Miller Hall)	Fayette	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Multi-Disciplinary Science Building)	Fayette	2022-2024	Design/Phase B			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Patterson Office Tower)	Fayette	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Pence Hall)	Fayette	2022-2024	Design/Phase A			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve Peterson Service Building)	Fayette	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve White Hall Classroom Building Phase I)	Fayette	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Improve White Hall Classroom Building Phase II)	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Agriculture Federal Research Facility I	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Agriculture Federal Research Facility II	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Agriculture Research Facility 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Agriculture Research Facility 2	Woodford	2018-2020	Complete/In Warranty	100	08/04/22	08/04/22

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Poultry Research Facility Relocation - 12/11/2018 - FCR 10 CM-at-Risk project delivery method reported to CPBOC on 2/19/2019 Use of \$354,000 in federal funds reported to CPBOC on 12/15/2021						
Construct Agriculture Research Facility 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Ambulatory Facility - UK HealthCare Cancer Treatment Center/Ambulatory Surgery Center (Design Only) - 5/4/2021 - FCR 12 Approved pursuant to KRS 45.763 Use of \$50 million in restricted funds rather than agency bonds reported to CPBOC on 12/15/2022 See Construct UKHC Cancer Treatment Center and Ambulatory Outpatient Center (combined auth)	Fayette	2020-2022	Combined Authorities			
Construct Beam Institute	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Beam Institute 1 6/19/2020 - FCR 9 See Construct Beam Institute I and II (combined auth)	Fayette	2020-2022	Combined Authorities			
Construct Beam Institute 2 Construct Maturation Building - 5/04/2021 - FCR 10 Use of private funds reported to CPBOC on 5/18/2021 See Construct Beam Institute I and II (combined auth)	Fayette	2020-2022	Combined Authorities			
Construct Beam Institute I and II (combined auth) Authorizations for the following projects have been combined (2/18/2022 - FCR 13) and reported to CPBOC on 5/19/2022: Construct Beam Institute 1 (Still Building) - \$10 million in restricted funds Construct Beam Institute 2 (Maturation Building) - \$10 million in restricted funds (use of \$125,000 in private funds reported to CPBOC on 5/18/2021) Projects were bid together on November 9, 2021 - \$9.525 million combined revised scope	Fayette	2020-2022	Multiple Subprojects			
Sub-projects: Construct Beam Institute I and II (combined auth) (Sub-project: Maturation Building) Construct Beam Institute I and II (combined auth) (Sub-project: Still Building)						
Construct Beam Institute I and II (combined auth) (Sub-project: Maturation Building)	Fayette	2020-2022	In Construction	37	05/15/23	
Construct Beam Institute I and II (combined auth) (Sub-project: Still Building)	Fayette	2020-2022	In Construction	23	05/15/23	
Construct Childcare Center Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct College of Medicine Building Design Phase - 5/4/2021 - FCR 13	Fayette	2020-2022	Design/Phase A			
Construct Cross Country Trail	Fayette	2022-2024	Awaiting Initiation by Agency			

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Construct Data Center - UK HealthCare Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Digital Village Building 3A	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Digital Village Building 3B	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Engineering Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Equine Campus, Phase 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Facilities Shops and Storage Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Facilities Shops and Storage Facility Vaughan Warehouse #1 and #7 Replacement for UK HealthCare - 6/17/2021 - FCR 11	Fayette	2020-2022	In Construction	91	04/11/23	
* Construct Health Education Building Approved pursuant to KRS 45.763 CM-at-Risk project delivery method reported to CPBOC on 7/21/2022	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Hospice Facility - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Indoor Track Approved pursuant to KRS 45.763 2/18/2022 - FCR 14	Fayette	2020-2022	In Construction	1	11/07/23	
Construct Indoor Track Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Library Depository Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical Facility 1 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical Facility 2 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical Facility 3 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical/Administrative Facility 1 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical/Administrative Facility 2 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Medical/Administrative Facility 3 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			

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Construct Metal Arts/Digital Media Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct New Alumni Center Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct North Farm Agricultural Research Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Office Park at Coldstream Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Office Park at Coldstream Approved pursuant to KRS 45.763	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Patient Care Facility (combined auth) Authorizations for the following projects were combined: Improve Central Heating Plant (2002-04) - \$4 million (2004-06 Additional) Expand Plant Capacity/Infrastructure (2002-04) - \$23 million (2004-06 Additional) Replace Cooling Plant Chillers (2002-04) - \$6 million (2004-2006 Additional) Design Patient Bed Tower - Hospital (2002-04) - \$10 million Land Acquisition Pool (2004-06) - \$5 million of \$15 million Construct Patient Care Facility - Hospital (2004-06) - \$200 million (\$100 million in Agency Bonds) Construct Patient Care Facility Phase II - Hospital (2006-08) - \$175 million (\$150 million in Agency Bonds) Purchase Furniture and Equipment - Patient Care Facility (2006-08) - \$27 million Expand Patient Care Facility - Hospital Phase III (2008-10) - \$250 million (HB 4 allowed a \$100 million Agency Bond authorization - meant to replace a portion of the original restricted fund authorization) UK did not utilize \$100 million authorization for Expand Patient Care Facility - Hospital Phase 4 (2010-12) Expand Patient Care Facility - Hospital Phase 3 (2012-14) - \$50 million Subprojects (Data Center and Support was also a component): Construct Patient Care Facility - Hospital (Subproject 1 - Huguélet Drive Extension) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 2 - Parking Garage) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 3 - Cooling Plant #1) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 4 - Central Utilities Plant Expansion) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 5 - Building) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 6 - Pavilion A, OR Suites and Support) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 7 - Eighth Floor Patient Beds and Pharmacy) - Complete/Closed Out Construct Patient Care Facility - Hospital (Subproject 8 - Clinical Decision Unit) - Complete/Closed Out Construct Patient Care Facility (combined auth) (Sub-project 1J: Fit-up Pavilion A Basement and Other Improvements) - 12/15/2020 - FCR 15	Fayette	2002-2004	Multiple Subprojects			
Construct Patient Care Facility (combined auth) (Sub-project 1J: Fit-up Pavilion A Basement/Etc.)	Fayette	2002-2004	In Construction	66	01/02/24	
Construct Police Headquarters	Fayette	2022-2024	Awaiting Initiation by Agency			

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Construct Research/Incubator Facility Approved pursuant to KRS 45.763 2/21/2020 - FCR 7 (prior authorization) P3 project delivery method reported to CPBOC on 5/19/2020 CPBOC approved development agreement on 8/19/2020	Fayette	2020-2022	Awaiting Initiation by Agency			
Construct Retail/Parking Facility 1 Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Retail/Parking Facility 2 Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct State Street Medical Facilities See Construct UKHC Cancer Treatment Center and Ambulatory Outpatient Center (combined auth)	Fayette	2022-2024	Combined Authorities			
Construct Student Housing	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Teaching Pavilion	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct Tennis Facility Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct UKHC Cancer Treatment Center and Ambulatory Outpatient Center (combined auth) Authorizations for the following projects have been combined (12/13/2022 - FCR) reported to CPBOC on 12/15/2022: Construct Ambulatory Facility - UK HealthCare - \$250 million in agency bonds; \$150 million in restricted funds; and \$50 million in third party financing Construct State Street Medical Facilities - \$100 million in restricted funds	Fayette	2022-2024	Design/Phase A			
Construct/Expand/Improve Ambulatory Care - UK HealthCare Approved pursuant to KRS 45.763 Sub-projects: Construct/Expand/Improve Ambulatory Care - UK HealthCare (Sub-project: HA Forensics/Pediatric Sleep) - 5/04/2021 - FCR 11 (prior authorization)	Fayette	2022-2024	Multiple Subprojects			
Construct/Expand/Improve Ambulatory Care - UK HealthCare (Sub-project: HA Forensics/Pediatric Sleep) Use of private funds reported to CPBOC on 5/18/2021	Fayette	2022-2024	Design/Phase C			
Construct/Fit-Up Retail Space	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Athletics Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Athletics Playing Fields 1	Fayette	2022-2024	Awaiting Initiation by Agency			

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Construct/Improve Athletics Playing Fields 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Athletics Playing Fields 3	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Dining Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Greek Housing	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Greek Housing	Fayette	2020-2022	Multiple Subprojects			
Sub-projects:						
Construct/Improve Greek Housing (Sub-project: Alpha Delta Pi) - 6/19/2020 - FCR 8						
Construct/Improve Greek Housing (Sub-project: Delta Gamma) - 2/19/2021 - FCR 9						
Construct/Improve Greek Housing (Sub-project: Alpha Delta Pi)	Fayette	2020-2022	Complete/Not Closed Out	100	08/09/21	08/09/21
Construct/Improve Greek Housing (Sub-project: Delta Gamma)	Fayette	2020-2022	Revising/Rebidding			
CM-at-Risk project delivery method reported to CPBOC on 3/15/2021						
Construct/Improve Gymnastic Practice Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Innovation Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Construct/Improve Medical/Administrative Facilities - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Medical/Administrative Facility 1 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Medical/Administrative Facility 2 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Medical/Administrative Facility 3 - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Office Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Parking I	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Parking II	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Improve Recreation Quad 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Relocate Data Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Construct/Relocate/Replace Greenhouses	Fayette	2022-2024	Awaiting Initiation by Agency			

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Decommission Facilities Demolition of Kirwan-Blanding - 12/12/2017 - FCR 6	Fayette	2016-2018	Complete/Closed Out	100	06/30/21	06/30/21
Decommission Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Emergency Replacement of Grain and Forage Center of Excellence 4/29/2022 - FCR 9; 6/17/2022 - FCR 17	Caldwell	CPBOC-5/01/22	Design/Phase B			
Expand KGS Well Sample and Core Repository	Fayette	2022-2024	Awaiting Initiation by Agency			
Expand Student Center (Dining) 10/18/2019 - PR 1 (2018-2020 Improve Student Center Space 2 authorization sometimes referenced) CM-at-Risk project delivery method reported to CPBOC on 1/21/2020	Fayette	CPBOC-12/01/19	Complete/Not Closed Out	100	07/30/21	07/30/21
* Expand/Renovate/Upgrade Law Building	Fayette	2014-2016	Complete/Not Closed Out	100	08/08/19	08/08/19
Facilities Renewal and Modernization Sub-projects: Facilities Renewal and Modernization (Sub-project: Barnhart Building - Design) - 6/17/2022 - FCR 18 Facilities Renewal and Modernization (Sub-project: Campus Enabling Infrastructure) - 6/17/2022 - FCR 18 Facilities Renewal and Modernization (Sub-project: Frazee Interior Fit-Up) - 6/17/2022 - FCR 18 Facilities Renewal and Modernization (Sub-project: Memorial Hall) - 6/17/2022 - FCR 18 Facilities Renewal and Modernization (Sub-project: Reynolds Building #1 Phase II) - 6/17/2022 - FCR 18	Fayette	2020-2022	Multiple Subprojects			
Facilities Renewal and Modernization (Sub-project: Barnhart Building - Design)	Fayette	2022-2024	Design/Phase A			
Facilities Renewal and Modernization (Sub-project: Campus Enabling Infrastructure)	Fayette	2022-2024	Awaiting Initiation by Agency			
Facilities Renewal and Modernization (Sub-project: Frazee Interior Fit-Up)	Fayette	2022-2024	Awarding Contract			
Facilities Renewal and Modernization (Sub-project: Memorial Hall)	Fayette	2022-2024	Awaiting Initiation by Agency			
Facilities Renewal and Modernization (Sub-project: Reynolds Building #1 Phase II)	Fayette	2022-2024	Awaiting Initiation by Agency			
Facilities Renewal and Modernization 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Facilities Renewal, Modernization & Deferred Maintenance	Fayette	2016-2018	Multiple Subprojects			

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Sub-projects:						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Chemistry/Physics 3rd Floor) - 10/20/2017 - PR 4						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Grehan Journalism Building) - 10/20/2017 - PR 4 - Complete/Closed Out						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Infrastructure) - 10/20/2017 - PR 4 - Complete/Closed Out						
Facilities Renewal, Modernization & Deferred Maintenance (Sub-project: Chemistry/Physics 3rd Floor)	Fayette	2016-2018	Complete/In Warranty	100	07/27/22	07/27/22
Implement Land Use Plan	Fayette	2022-2024	Awaiting Initiation by Agency			
Sub-projects:						
Implement Land Use Plan (Sub-project: Meadowcrest) - 6/17/2022 - FCR 15						
Implement Land Use Plan (Sub-project: Meadowcrest)	Fayette	2022-2024	Other			
Comments: BOT approval 6/17/22 FCR 15; ongoing negotiations to acquire real property known as Meadowcrest, Fayette County, Ky						
Implement Patient Communication System - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Academic Facility 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 1 Renew/Modernize Cooper House - 6/17/2021 - FCR 9	Fayette	2020-2022	Complete/In Warranty	100	11/16/22	11/16/22
Improve Academic/Administrative Space 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 3	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Academic/Administrative Space 4	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Administrative/Office Facility - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Anderson Tower	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Athletics Facility 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Athletics Facility 2	Fayette	2022-2024	Multiple Subprojects			
Sub-projects:						
Improve Athletics Facility 2 (Sub-project: Nutter Fieldhouse) - 2/18/2022 - FCR 15 (prior authorization)						
Improve Athletics Facility 2 (Sub-project: Nutter Fieldhouse)	Fayette	2022-2024	In Construction	10	12/31/23	
Improve Athletics Facility 3	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve Athletics Facility 4	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Athletics Facility 5	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Barnhart Building 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Barnhart Building 2 Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Baseball Facility Phase II	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Boone Tennis Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Building Electrical Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Building Mechanical Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Building Shell Systems Peterson Service Building Window Replacement - 12/14/2021 - FCR 14	Fayette	2020-2022	In Construction	20	06/30/23	
Improve Building Shell Systems Sub-projects: Improve Building Shell Systems (Sub-project: William T. Young Library Roof Replacement) - 12/14/2021 - FCR 15 (prior authorization)	Fayette	2022-2024	Multiple Subprojects			
Improve Building Shell Systems (Sub-project: William T. Young Library Roof Replacement)	Fayette	2020-2022	In Construction	10	04/28/23	
Improve Building Systems - UK HealthCare Sub-projects: Improve Building Systems - UK HealthCare (Sub-project: Pavilion H - Replace AHU S1 & S1A) - 10/15/2020 - FCR 2; 9/10/2021 - FCR 8 Improve Building Systems - UK HealthCare (Sub-project: Pavilion WH - Replace AHU 4 & 5) - 10/15/2020 - FCR 3	Fayette	2020-2022	Multiple Subprojects			
Improve Building Systems - UK HealthCare Sub-projects: Improve Building Systems - UK HealthCare (Sub-project: Good Samaritan Emergency Generator Upgrade) - 12/15/2020 - FCR 16 (prior authorization)	Fayette	2022-2024	Multiple Subprojects			
Improve Building Systems - UK HealthCare (Sub-project: Good Samaritan Emergency Generator Upgrade)	Fayette	2022-2024	Revising/Rebidding			
Improve Building Systems - UK HealthCare (Sub-project: Pavilion H - Replace AHU S1 & S1A)	Fayette	2020-2022	In Construction	89	04/01/23	
Improve Building Systems - UK HealthCare (Sub-project: Pavilion WH - Replace AHU 4 & 5)	Fayette	2020-2022	Complete/In Warranty	100	08/15/22	08/15/22
Improve Building Systems - UKHC	Fayette	2016-2018	Multiple Subprojects			

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Sub-projects:						
Improve Building Systems - UKHC (Sub-project: Pav H AHU) - 9/13/2019 - FCR 5 - Complete/Closed Out						
Improve Building Systems - UKHC (Sub-project: Pav HA AHU #1, 2, & 3) - 9/14/2018 - FCR 6						
Improve Building Systems - UKHC (Sub-project: Pav HA AHU #8) - 6/16/2017 - FCR 9 - Complete/Closed Out						
Improve Building Systems - UKHC (Sub-project: Pav HA AHU #1, 2, & 3)	Fayette	2016-2018	Complete/Not Closed Out	100	09/20/21	09/20/21
Improve CAER Facilities	Fayette	2018-2020	Multiple Subprojects			
Sub-projects:						
Improve CAER Facilities (Sub-project: Carbon Fiber Development Facility) - 2/21/2020 - FCR 10; Authorization switched to Improve Center for Applied Energy Research Facilities (Sub-project: Carbon Fiber Developmnt Facility) - 6/17/2022 - FCR 23						
Improve CAER Facilities (Sub-project: Laboratory Building #1 Chiller Replacement) - 2/21/2020 - FCR 8 - Complete/Closed Out						
Improve CAER Facilities (Sub-project: Mineral Process Building Expansion) - 2/21/2020 - FCR 9						
Improve CAER Facilities (Sub-project: Mineral Process Building Expansion)	Fayette	2018-2020	Complete/In Warranty	100	11/30/22	11/30/22
Use of federal funds reported to CPBOC on 6/25/2020						
Improve Campus Core Quadrangle Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Campus Infrastructure Upgrade	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Campus Parking and Transportation System	Fayette	2022-2024	Awaiting Initiation by Agency			
Approved pursuant to KRS 45.763						
Sub-projects:						
Improve Campus Parking and Transportation System (Sub-project: 2023 Maintenance) - 6/17/2022 - FCR 21						
Improve Campus Parking and Transportation System (Sub-project: Parking Structure 2 Renovations) - 9/16/2022 - FCR 8						
Improve Campus Parking and Transportation System	Fayette	2018-2020	Multiple Subprojects			
Sub-projects:						
Improve Campus Parking and Transportation System (Sub-project: 2020 Maintenance) - 12/10/2019 - FCR 9; Use of restricted funds component of authorization - Complete/Closed Out						
Improve Campus Parking and Transportation System (Sub-project: Parking Structure #5) - 12/11/2018 - FCR 13; Approved pursuant to KRS 45.763/Funded pursuant to KRS 45A.007; CPBOC approved development agreement, including facilities lease, on May 14, 2019						
Improve Campus Parking and Transportation System (Sub-project: 2023 Maintenance)	Fayette	2022-2024	Design/Phase A			
Improve Campus Parking and Transportation System (Sub-project: Parking Structure #5)	Fayette	2018-2020	Complete/Not Closed Out	100	10/09/20	10/09/20
Improve Campus Parking and Transportation System (Sub-project: Parking Structure 2 Renovations)	Fayette	2022-2024	Design/Phase B			

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Improve Campus Parking and Transportation System 2020 Approved pursuant to KRS 45.763 Sub-project: Improve Campus Parking and Transportation System 2020 (Sub-project: 2021 Maintenance) - 2/19/2021 - FCR 5; Use of restricted funds component of authorization Improve Campus Parking and Transportation System 2020 (Sub-project: 2022 Maintenance) - 12/14/2021 - FCR 13; Use of restricted funds component of authorization	Fayette	2020-2022	Multiple Subprojects			
Improve Campus Parking and Transportation System 2020 (Sub-project: 2021 Maintenance)	Fayette	2020-2022	Complete/Closed Out	100	11/01/21	11/01/21
Improve Campus Parking and Transportation System 2020 (Sub-project: 2022 Maintenance)	Fayette	2020-2022	Complete/In Warranty	100	12/14/22	12/14/22
Improve Center for Applied Energy Research Facilities Sub-projects: Improve Center for Applied Energy Research Facilities (Sub-project: Carbon Fiber Developmnt Facility) - 6/17/2022 - FCR 23	Fayette	2022-2024	Multiple Subprojects			
Improve Center for Applied Energy Research Facilities (Sub-project: Carbon Fiber Developmnt Facility) Initially reported as Improve CAER Facilities (Sub-project: Carbon Fiber Development Facility) - 2/21/2020 - FCR 10	Fayette	2022-2024	Design/Phase C			
Improve Central Plants	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Chemistry/Physics Building Phase 3	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Child Development Center - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Civil/Site Infrastructure University Court - 12/13/2016 - FCR 10	Fayette	2016-2018	Cancelled			
Improve Civil/Site Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Civil/Site Infrastructure 2018 Approved pursuant to KRS 45.763 Sub-project: Improve Civil/Site Infrastructure 2018 (Sub-project: Library Drive Extension Phase 1) - 2/22/2019 - FCR 6 - Complete/Closed Out Improve Civil/Site Infrastructure 2018 (Sub-project: South Campus Quad) - 12/10/2019 - FCR 13 - Cancelled	Fayette	2018-2020	Multiple Subprojects			
Improve Civil/Site Infrastructure 2018 (Sub-project: South Campus Quad)	Fayette	2018-2020	Cancelled			

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Improve Clinical/Ambulatory Services Approved pursuant to KRS 45.763 Sub-projects: Improve Clinical/Ambulatory Services (Sub-project: Cancer Services) - 6/22/2018 - FCR 6 Improve Clinical/Ambulatory Services (Sub-project: Otolaryngology) - 6/24/2016 - FCR 14 - Complete/Closed Out Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy) - 9/09/2016 - FCR 11	Fayette	2016-2018	Multiple Subprojects			
Improve Clinical/Ambulatory Services (Sub-project: Cancer Services)	Fayette	2016-2018	Complete/Not Closed Out	100	11/02/21	11/02/21
Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy)	Fayette	2016-2018	Complete/Not Closed Out	100	11/06/19	11/06/19
Improve Clinical/Ambulatory Services Facilities - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Coldstream Research Campus Public Infrastructure - 5/5/2020 - FCR 5 (prior authorization); 5/4/2021 - FCR 9	Fayette	2020-2022	In Construction	90	03/15/23	
Improve Coldstream Research Campus	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve College of Agriculture, Food, and Environment Motor Pool Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Cooper House Prior authorization moved to Improve Academic/Administrative Space 1 - 6/17/2021 - FCR 9	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Dentistry Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Division of Laboratory Animal Resources Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Electrical Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Electrical Infrastructure Sub-project: Improve Electrical Infrastructure (Sub-project: Ag North/South Complex) - 9/11/2020 - FCR 8	Fayette	2020-2022	In Construction	99	01/06/23	
Improve Elevator Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Enterprise Networking 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Enterprise Networking 2	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve Fume Hood Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Funkhouser Building Approved pursuant to KRS 45.763 6/17/2022 - FCR 24 (Design)	Fayette	2022-2024	Planning			
Improve Good Samaritan Hospital Facilities Sub-projects: Improve Good Samaritan Hospital Facilities (Sub-project: Interventional Radiology) - 2/22/2019 - FCR 7 - Cancelled Improve Good Samaritan Hospital Facilities (Sub-project: Magnetic Resonance Imaging) - 6/22/2018 - FCR 5 - Complete/Closed Out Improve Good Samaritan Hospital Facilities (Sub-project: Third Floor Renovation) - 2/21/2020 - FCR 11	Fayette	2018-2020	Multiple Subprojects			
Improve Good Samaritan Hospital Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Good Samaritan Hospital Facilities (Sub-project: Third Floor Renovation)	Fayette	2018-2020	Complete/Not Closed Out	100	04/16/21	04/16/21
Improve Housing Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Jacobs Science Building 6/17/2022 - FCR 20	Fayette	2022-2024	Design/Phase A			
Improve Joe Craft Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Joe Craft Football Practice Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Johnson Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Kastle Hall	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve King Library Sub-projects: Improve King Library (Sub-project: Replace Substation and Electrical Upgrades) - 9/16/2022 - FCR 10	Fayette	2022-2024	Awarding Contract			
Improve King Library (Sub-project: Replace Substation and Electrical Upgrades)	Fayette	2022-2024	Awarding Contract			
Improve Kingsbrook Lifecare Center - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Kroger Field Stadium	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Kroger Field Stadium Audio Visual Improvements - 2/18/2022 - FCR 16	Fayette	2020-2022	Complete/In Warranty	100	08/17/22	08/17/22
Improve Lancaster Aquatic Center 1	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve Lancaster Aquatic Center 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Lexington Theological Seminary Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Library Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Life Safety	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Markey Cancer Center Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve McVey Hall	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Mechanical Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Center Library	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 1 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 10 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 11 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 12 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 13 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 14 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 15 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 16 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 17 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 2 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 3 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve Medical Facility 4 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 5 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 6 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 7 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 8 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Facility 9 - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Medical Plaza	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Memorial Coliseum Design - 2/22/2019 - FCR 8 Comments: on hold	Fayette	2018-2020	Other			
Improve Memorial Coliseum This authorization may be used in addition to Facilities Renewal and Modernization project allocation depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Memorial Hall	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Mineral Industries Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Multi-Disciplinary Science Building This authorization may be used in addition to Asset Preservation Pool - 2022-2024 project allocation depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Nursing Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Nutter Field House	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Nutter Training Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Parking Garage 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Parking Garage 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Parking/Transportation System - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Parking/Transportation Systems 1 UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Parking/Transportation Systems 2 UK HealthCare Approved pursuant to KRS 45.763	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve Parking/Transportation Systems UK HealthCare Approved pursuant to KRS 45.763 Parking Structure 8 Expansion - Design Only - 6/17/2021 - FCR 10 CM-at-Risk project delivery method reported to CPBOC on 11/18/2021	Fayette	2020-2022	Design/Phase C			
Improve Pence Hall This authorization may be used in addition to Asset Preservation Pool 2022-2024 project allocation depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Peterson Service Building This authorization may be used in addition to Asset Preservation Pool - 2022-2024 project allocation depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Reynolds Building 1 This authorization may be used in addition to Renew/Modernize Facilities and Facilities Renewal and Modernization project allocations depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Sanders-Brown Building See Improve Sanders-Brown Center on Aging/Neuroscience Facilities (combined auth)	Fayette	2020-2022	Combined Authorities			
Improve Sanders-Brown Center on Aging/Neuroscience Facilities (combined auth) Authorizations for the following projects have been combined (9/10/2021 - FCR 5) and reported to CPBOC on 10/21/2021: Improve Sanders-Brown Building - \$35 million in restricted funds Improve Sanders-Brown Center on Aging/Neuroscience Facilities Completion - \$14 million in bond funds and \$14 million in private funds Use of the \$14 million bond funds and \$14 million of the \$35 million restricted funds authorization is anticipated CM-at-Risk project delivery method reported to CPBOC on 2/22/2022	Fayette	2020-2022	Design/Phase C			
* Improve Sanders-Brown Center on Aging/Neuroscience Facilities Completion Approved pursuant to KRS 45.763 See Improve Sanders-Brown Center on Aging/Neuroscience Facilities (combined auth)	Fayette	2020-2022	Combined Authorities			
Improve Scovell Hall	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Seaton Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Senior Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Site/Civil Infrastructure - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Soccer/Softball Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Spindletop Hall Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			

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Improve State Street Medical Facilities	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Student Center Space 2	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Student Center Space 3	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Sturgill Development Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Taylor Education Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve UK HealthCare Facilities - UK Chandler Hospital	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve University Storage Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Utilities Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Utilities Infrastructure - Royal Blue Health - UK	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve W.T. Young Facility	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Whalen Building and Bay Facility - Kentucky Advanced Manufacturing	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve White Hall Classroom Building This authorization may be used in addition to Asset Preservation Pool - 2022-2024 project allocation depending upon condition of building	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Wildcat Coal Lodge	Fayette	2022-2024	Awaiting Initiation by Agency			
Improve Willard Medical Education Building	Fayette	2022-2024	Awaiting Initiation by Agency			
Lease - Purchase Campus Call Center System	Fayette	2022-2024	Awaiting Initiation by Agency			
Lease - Purchase Campus Infrastructure Networking Equipment to Improve UK Network (Data Center of the Future) - 9/4/2019 - 20 IT 08	Fayette	2018-2020	In Construction	90	01/06/23	
Lease - Purchase Campus IT Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Lease - Purchase High Performance Computer	Fayette	2022-2024	Awaiting Initiation by Agency			
Lease - Purchase Network Security	Fayette	2022-2024	Awaiting Initiation by Agency			
Lease - Purchase Voice Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Purchase/Construct CO2 Capture Process Plant Approved pursuant to KRS 45.763 9/16/2022 - FCR 4	Fayette	2022-2024	Planning			

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Renew/Modernize Facilities Sub-projects: Renew/Modernize Facilities (Sub-project: 18th Floor Patterson) - 12/10/2019 - FCR 11 Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase I) - 12/10/2019 - FCR 11 - Complete/Closed Out Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase II) - 12/10/2019 - FCR 11 Renew/Modernize Facilities (Sub-project: Chemistry/Physics Phase II) - 2/22/2019 - FCR 2; 12/10/2019 - FCR 11 Renew/Modernize Facilities (Sub-project: Modernize Frazee Hall) - 12/10/2019 - FCR 11 Renew/Modernize Facilities (Sub-project: Reynolds Building #1 Design) - 2/22/2019 - FCR 3; 12/10/2019 - FCR 11 Renew/Modernize Facilities (Sub-project: Reynolds Building #1 Phase I) - 6/17/2022 - FCR 25 Renew/Modernize Facilities (Sub-project: Scovell Hall Design) - 6/21/2019 - FCR 19; 12/10/2019 - FCR 11 - Cancelled Renew/Modernize Facilities (Sub-project: Study of Quad) - 12/10/2019 - FCR 11 - Complete/Closed Out Renew/Modernize Facilities (Sub-project: White Hall Renovation Phase I) - 12/10/2019 - FCR 11	Fayette	2018-2020	Multiple Subprojects			
Renew/Modernize Facilities (Sub-project: 18th Floor Patterson)	Fayette	2018-2020	Complete/Closed Out	100	06/16/21	06/16/21
Renew/Modernize Facilities (Sub-project: Campus Enabling Infrastructure Phase II)	Fayette	2018-2020	In Construction	27	07/01/23	
Renew/Modernize Facilities (Sub-project: Chemistry/Physics Phase II)	Fayette	2018-2020	Complete/In Warranty	100	07/27/22	07/27/22
Renew/Modernize Facilities (Sub-project: Modernize Frazee Hall) CM-at-Risk project delivery method reported to CPBOC on 9/16/2020	Fayette	2018-2020	In Construction	49	04/25/23	
Renew/Modernize Facilities (Sub-project: Reynolds Building #1 Design)	Fayette	2018-2020	In Construction	16	01/17/24	
Renew/Modernize Facilities (Sub-project: Reynolds Building #1 Phase I)	Fayette	2018-2020	Awaiting Initiation by Agency			
Renew/Modernize Facilities (Sub-project: White Hall Renovation Phase I)	Fayette	2018-2020	Complete/In Warranty	100	02/14/22	02/14/22
Renovate Carnahan House	Fayette	2022-2024	Awaiting Initiation by Agency			
Renovate Space for a Testing Center	Fayette	2022-2024	Awaiting Initiation by Agency			
Renovate/Expand University Student Center	Fayette	2014-2016	Complete/Not Closed Out	100	06/18/18	06/18/18
Renovate/Improve Nursing Units - UK HealthCare Pav H 3rd Floor Behavioral Health - 9/11/2020 - FCR 7	Fayette	2020-2022	In Construction	75	04/11/23	
Renovate/Improve Nursing Units - UK HealthCare	Fayette	2022-2024	Awaiting Initiation by Agency			
Renovate/Upgrade HealthCare Facilities	Fayette	2014-2016	Combined Authorities			

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See Renovate/Upgrade UK HealthCare Facilities (combined auth)						
Renovate/Upgrade Healthcare Facilities (Agency Bonds)	Fayette	2016-2018	Combined Authorities			
See Renovate/Upgrade UK HealthCare Facilities (combined auth)						
Renovate/Upgrade Healthcare Facilities (Restricted Funds)	Fayette	2016-2018	Multiple Subprojects			
Sub-projects:						
Renovate/Upgrade Healthcare Facilities (Restricted Funds) (Sub-project: Simulation Center) - 12/13/2016 - FCR 12 - Complete/Closed Out						
Renovate/Upgrade Healthcare Facilities (Restricted Funds) (Sub-project: University Inn) - 12/13/2016 - FCR 11 - Complete/Closed Out						
Renovate/Upgrade HealthCare Facilities 2	Fayette	2014-2016	Combined Authorities			
See Renovate/Upgrade UK HealthCare Facilities (combined auth)						
Renovate/Upgrade UK HealthCare Facilities (combined auth)	Fayette	2014-2016	Multiple Subprojects			
Authorizations for the following projects have been combined and transmitted March 2015 and reported June 20, 2017 to CPBOC:						
Renovate/Upgrade HealthCare Facilities (2014-2016) - \$150 million in agency bonds						
Renovate/Upgrade HealthCare Facilities 2 (2014-2016) - \$130 million in restricted funds						
Renovate/Upgrade Healthcare Facilities (2016-2018) - \$150 million in agency bonds						
Sub-projects:						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: 9th & 10th Floor Fit-Out and Elevators) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Chiller) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Kitchen/Cafeteria) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: Blood Bank) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: MRI, Hyperbaric & Radiology) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU)						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: 11th Floor) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1G: Pavilion A - Surgery) - Complete/Closed Out						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Endoscopy Renovation) - 6/24/2016 - FCR 15 - Cancelled						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Fit-up 5th & 12th Floors) - 2/19/2016 - FCR 13; 6/24/2016 - FCR 15; 12/12/2017 - FCR 12; 6/19/2020 - FCR 10						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Interventional Pav A) - 6/24/2016 - FCR 15						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Obstetrical Pav HA & H) - 6/24/2016 - FCR 15; 12/12/2017 - FCR 12 - Cancelled						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Phase 2 Radiology Pav A) - 6/24/2016 - FCR 15 - Cancelled						
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU)	Fayette	2014-2016	Complete/Not Closed Out	100	03/16/18	03/16/18

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Renovate/Upgrade UK Healthcare Facilities (combined auth) (Sub-project 1I: Fit-up 12th Floor)	Fayette	2014-2016	In Construction	29	12/15/23	
Renovate/Upgrade UK Healthcare Facilities (combined auth) (Sub-project 1I: Fit-up 5th Floor)	Fayette	2014-2016	Complete/In Warranty	100	04/20/22	04/20/22
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Interventional Pav A)	Fayette	2014-2016	Complete/Not Closed Out	100	01/21/21	01/21/21
Repair Critical Infrastructure/Buildings Systems	Fayette	2022-2024	Awaiting Initiation by Agency			
Repair/Replace Campus Cable Infrastructure	Fayette	2022-2024	Awaiting Initiation by Agency			
Repair/Upgrade/Expand Central Plant Cooling Plant #1 Tower Replacement - 6/21/2019 - FCR 18	Fayette	2018-2020	In Construction	90	07/15/23	
Repair/Upgrade/Expand Central Plants Sub-project: Repair/Upgrade/Expand Central Plants (Sub-project: Deaerators) - 2/19/2021 - FCR 5 Repair/Upgrade/Expand Central Plants (Sub-project: Expand Central Plant - Design) - 9/10/2021 - FCR 6	Fayette	2020-2022	Multiple Subprojects			
Repair/Upgrade/Expand Central Plants (Sub-project: Deaerators)	Fayette	2020-2022	Complete/In Warranty	100	12/22/22	12/22/22
Repair/Upgrade/Expand Central Plants (Sub-project: Expand Central Plant - Design)	Fayette	2020-2022	Design/Phase B			
Replace Basketball Playing Floors	Fayette	2022-2024	Awaiting Initiation by Agency			
Replace UK HealthCare IT Systems 1	Fayette	2022-2024	Awaiting Initiation by Agency			
Replace UKHC IT Systems 1 Single Enterprise Electronic Health Record - 4/30/2019 - FCR 10	Fayette	2018-2020	In Construction	80	06/30/23	
* Research Building 2 Initial Authorization - House Bill 298 (2015 Regular Session) Sub-projects: Research Building 2 (Sub-project: Final Phase) Research Building 2 (Sub-project: Phase I - Construct Research Building) - 3/16/2015 - FCR 14 - Complete/Closed Out Research Building 2 (Sub-project: Phase III - Fit-Up Two Wet Labs) - 12/10/2019 - FCR 10	Fayette	Other	Multiple Subprojects			
Research Building 2 (Sub-project: Final Phase)	Fayette	Other	Complete/In Warranty	100	06/08/22	06/08/22
Research Building 2 (Sub-project: Phase III - Fit-Up Two Wet Labs)	Fayette	Other	Complete/Closed Out	100	09/29/21	09/29/21

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* Research Building 2 (University of Kentucky HealthCare Disparities Initiative/Phase II) 10/19/2018 - PR 4	Fayette	2018-2020	Complete/Closed Out	100	11/09/20	11/09/20
Upgrade/Renovate/Expand Research Labs Multiple Sub-projects: Upgrade/Renovate/Expand Research Labs (Sub-project: Biosafety Lab Renovation - HSRB) - 9/10/2021 - FCR 7 (prior authorization); 6/17/2022 - FCR 22 Upgrade/Renovate/Expand Research Labs (Sub-project: EduceLab) - 9/16/2022 - FCR 9	Fayette	2022-2024	Multiple Subprojects			
Upgrade/Renovate/Expand Research Labs (Sub-project: Biosafety Lab Renovation - HSRB)	Fayette	2022-2024	Design/Phase C			
Upgrade/Renovate/Expand Research Labs (Sub-project: EduceLab)	Fayette	2022-2024	Bidding			
Use of \$827,000 in federal funds and \$173,000 in private funds reported to CPBOC on 12/15/2022						

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Postsecondary Education Cabinet/University of Kentucky			
Construct/Improve Greek Housing (Sub-project: Alpha Delta Pi)	09/09/22	\$10,000.00	warranty issues
Expand Student Center (Dining)	08/30/22	\$50,000.00	multiple warranty dates
* Expand/Renovate/Upgrade Law Building	09/08/20	\$439,000.00	multiple warranty dates
Improve Building Systems - UKHC (Sub-project: Pav HA AHU #1, 2, & 3)	10/20/22	\$500,000.00	warranty issues
Improve Campus Parking and Transportation System (Sub-project: Parking Structure #5)	11/09/21	\$1,000.00	warranty issues
Improve Clinical/Ambulatory Services (Sub-project: Cancer Services)	12/02/22	\$200,000.00	warranty issues
Improve Clinical/Ambulatory Services (Sub-project: Radiation Medicine Accelerator/Brachytherapy)	12/06/20	\$245,500.00	warranty issues
Improve Good Samaritan Hospital Facilities (Sub-project: Third Floor Renovation)	05/16/22	\$50,000.00	warranty issues
Renovate/Expand University Student Center	07/18/19	\$201,250.00	multiple warranty dates
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1F: Children's Hospital NICU)	04/16/19	\$1.00	warranty issues
Renovate/Upgrade UK HealthCare Facilities (combined auth) (Sub-project 1I: Interventional Pav A)	02/21/22	\$800,000.00	warranty issues

* indicates projects involving General Fund supported bonds or "state" cash (General Fund, Investment Income, Capital Construction Surplus, Contingency Fund, Emergency Fund, or Statewide Deferred Maintenance Fund).

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Postsecondary Education Cabinet/University of Louisville						
Acquire Dormitories	Jefferson	2022-2024	Awaiting Initiation by Agency			
* Asset Preservation Pool - 2022-2024	Jefferson	2022-2024	Multiple Subprojects			
CM-at-Risk project delivery method reported to CPBOC on 5/19/2022						
Sub-projects:						
Asset Preservation Pool - 2022-2024 (Sub-project: Building Energy Management and Controls)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 0)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 1)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 2)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 3)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 4)						
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 5)						
Asset Preservation Pool - 2022-2024 (Sub-project: Life Safety Systems)						
Asset Preservation Pool - 2022-2024 (Sub-project: Refresh/Refurbish Multiple Buildings)						
Asset Preservation Pool - 2022-2024 (Sub-project: Roof Replacement)						
Asset Preservation Pool - 2022-2024 (Sub-project: Schneider Hall - HVAC and Remediation)						
Asset Preservation Pool - 2022-2024 (Sub-project: Building Energy Management and Controls)	Jefferson	2022-2024	In Construction	5	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 0)	Jefferson	2022-2024	Multiple Subprojects			
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 1) Renovate Law School	Jefferson	2022-2024	In Construction	5	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 2) Replace HVAC Various Buildings (House Bill 1)	Jefferson	2022-2024	In Construction	5	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 3) Upgrade College of Education HVAC (House Bill 1)	Jefferson	2022-2024	In Construction	5	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 4) Upgrade Dental School HVAC	Jefferson	2022-2024	In Construction	30	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: HVAC/Electrical/Plumbing in Various Buildings 5)	Jefferson	2022-2024	In Construction	5	12/31/24	

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Upgrade Law School HVAC						
Asset Preservation Pool - 2022-2024 (Sub-project: Life Safety Systems)	Jefferson	2022-2024	In Construction	8	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: Refresh/Refurbish Multiple Buildings)	Jefferson	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Roof Replacement)	Jefferson	2022-2024	In Construction	5	12/31/24	
Asset Preservation Pool - 2022-2024 (Sub-project: Schneider Hall - HVAC and Remediation)	Jefferson	2022-2024	In Construction	10	12/31/24	
Athletics Enhancements in New Dormitory	Jefferson	2022-2024	Awaiting Initiation by Agency			
Athletics Enhancements in New Dormitory	Jefferson	2020-2022	Complete/In Warranty	100	12/31/22	11/01/22
Basketball/Lacrosse Practice Facility Expansion	Jefferson	2022-2024	In Construction	85	02/01/23	
Design-Build project delivery method reported to CPBOC on 7/21/2022						
Kueber Center Renovation						
Belknap 3rd Street Improvements	Jefferson	2022-2024	Design/Phase C			
Belknap Brandeis Corridor Improvements	Jefferson	2022-2024	Design/Phase C			
Belknap Campus New Residence Halls (combined auth)	Jefferson	2018-2020	Complete/In Warranty	100	12/01/22	12/01/22
\$90 million of authorized agency bonds was reallocated to Demolish and Construct Residence Halls						
Authorizations for the following projects have been combined and reported to CPBOC, with associated scope reduction from \$103.875 million to \$90 million, on 10/15/2019:						
Demolish and Replace Miller Resident Hall (2018-2020) - \$70.6 million in agency bonds						
Renovate and Expand Threlkeld Resident Hall (2018-2020) - \$33.275 million in agency bonds						
Comments: Housing buildings 1 and 2 have been completed and now in warranty.						
Belknap Century Corridor Improvements	Jefferson	2022-2024	Awaiting Initiation by Agency			
Belknap Stormwater Improvements	Jefferson	2022-2024	Awaiting Initiation by Agency			
Capital Renewal for Athletic Venues	Jefferson	2022-2024	Awaiting Initiation by Agency			
Capital Renewal, Replacement, and Upgrade Pool	Jefferson	2022-2024	Awaiting Initiation by Agency			
Cardinal Stadium Club Upgrades	Jefferson	2022-2024	Awaiting Initiation by Agency			
Cardinal Stadium Club Upgrades	Jefferson	2020-2022	Combined Authorities			
See Renovate Cardinal Stadium Club (combined auth)						
College of Education HVAC Upgrade	Jefferson	2020-2022	In Construction	60	03/30/23	

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Construct Administrative Office Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Artificial Turf Field for Intramural	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Athletic Grounds Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Athletics Office Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Athletics Village	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Belknap Campus Parking Garage	Jefferson	2022-2024	Awaiting Initiation by Agency			
* Construct Belknap Classroom/Academic Building	Jefferson	2014-2016	Complete/In Warranty	100	09/15/18	09/30/22
Construct College of Business Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Football Practice Field Lighting	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct HSC Parking Structure II	Jefferson	2004-2006	Complete/Not Closed Out	100	11/30/09	12/15/09
Construct Indoor Facility	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Medical Office/Lab Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
* Construct Multidisciplinary Engineering Building 1 - Speed School Addition	Jefferson	2022-2024	Design/Phase B			
Construct Natatorium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct Practice Bubble	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct ShelbyHurst Academic Building and Conference Center	Jefferson	2022-2024	Awaiting Initiation by Agency			
Construct/Upgrade Utility Infrastructure	Jefferson	2022-2024	Planning			
Demolish and Construct Golf Maintenance/Chemical Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Demolish and Construct Residence Halls Reallocation from Demolish and Replace Miller Residence Hall (\$56.725 million) and Renovate and Expand Threlkeld Residence Hall projects (\$33.275 million)	Jefferson	2020-2022	Multiple Subprojects			
Sub-projects: Demolish and Construct Residence Halls (Sub-project: Phase 1) Demolish and Construct Residence Halls (Sub-project: Phase 2)						
Demolish and Construct Residence Halls (Sub-project: Phase 1)	Jefferson	2020-2022	Complete/In Warranty	100	09/01/21	09/30/22

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Initiated under 2018-2020 Belknap Campus New Residence Halls (combined auth) authorization						
Demolish and Construct Residence Halls (Sub-project: Phase 2)	Jefferson	2020-2022	Complete/In Warranty	100	12/31/22	12/01/22
Initiated under 2018-2020 Belknap Campus New Residence Halls (combined auth) authorization						
Demolish and Replace Miller Resident Hall See Belknap Campus New Residence Halls (combined auth) \$56.725 million of the \$70.6 million in agency bonds authorized was reallocated to Demolish and Construct Residence Halls	Jefferson	2018-2020	Combined Authorities			
Expand and Renovate Wright Natatorium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Expand College of Business	Jefferson	2022-2024	Awaiting Initiation by Agency			
Expand Marshall Center Complex	Jefferson	2022-2024	Awaiting Initiation by Agency			
Expand Patterson Stadium/Construct Indoor Facility	Jefferson	2022-2024	Design/Phase A			
Expand Ulmer Softball Stadium/Construct Indoor Facility	Jefferson	2022-2024	Awaiting Initiation by Agency			
Exterior Envelope Replacement School of Medicine Building 55A	Jefferson	2022-2024	Awaiting Initiation by Agency			
Housing Facilities - Lease CPBOC approved master lease on 11/19/2020 (Denny Crum Hall)	Jefferson	2020-2022	Complete/In Warranty	100	12/31/22	10/01/22
Improve Housing Facilities Pool	Jefferson	2022-2024	Awaiting Initiation by Agency			
J.B. Speed Building Renovation - Phase 1	Jefferson	CPBOC-11/01/20	Complete/In Warranty	100	12/31/21	09/01/22
Lease Housing Facilities	Jefferson	2022-2024	Awaiting Initiation by Agency			
Medical Tower - 55A Vivarium	Jefferson	CPBOC-11/01/20	In Construction	25	12/30/24	
Public/Private Partnership Louisville Automation and Robotics Research Institute Building - Speed Sc Approved pursuant to KRS 45.763	Jefferson	2022-2024	Awaiting Initiation by Agency			
Public/Private Partnership Resident Hall Approved pursuant to KRS 45.763	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Computer Processing System and Storage	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Computing for Research Infrastructure	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Content Management System	Jefferson	2020-2022	Awarding Contract			
Purchase Content Management System	Jefferson	2022-2024	Awarding Contract			

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Purchase Fiber Infrastructure	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Housing Facilities	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Identity Management	Jefferson	2020-2022	Complete/In Warranty	100	12/31/23	05/30/22
Purchase Identity Management	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Land	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Networking System	Jefferson	2018-2020	Complete/In Warranty	100	01/30/21	08/31/22
Purchase Networking System	Jefferson	2022-2024	Awaiting Initiation by Agency			
Purchase Next Generation/ERP Support System	Jefferson	2020-2022	In Construction	75	12/31/23	
Purchase Research Computing Infrastructure	Jefferson	2018-2020	In Construction	50	12/31/22	
Regional Biocontainment Laboratory Pressurization Upgrade	Jefferson	2020-2022	In Construction	50	08/30/25	
Renovate and Expand Threlkeld Resident Hall See Belknap Campus New Residence Halls (combined auth) \$33.275 of agency bonds authorized was reallocated to Demolish and Construct Residence Halls	Jefferson	2018-2020	Combined Authorities			
Renovate Bass Rudd Tennis Center	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Belknap Physical Plant Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Cardinal Football Stadium See Renovate Cardinal Stadium Club (combined auth)	Jefferson	2020-2022	Combined Authorities			
Renovate Cardinal Football Stadium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Cardinal Park	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Cardinal Stadium Club (combined auth) Authorizations for the following projects have been combined and reported 11/18/2021 Renovate Cardinal Football Stadium - \$10 million in other funds (cash) Cardinal Stadium Club Upgrades -\$2 million in other funds (cash) Design-build project delivery method reported to CPBOC on 11/18/2021	Jefferson	2020-2022	Complete/In Warranty	100	03/31/23	09/30/22
Renovate Chemistry Fume Hoods, Phase II	Jefferson	2022-2024	Design/Phase A			
Renovate Chemistry Teaching Labs/Auditorium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate College of Business Classrooms	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Dental School Administrative Space	Jefferson	2022-2024	Awaiting Initiation by Agency			

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Renovate Flexner Way Mall	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Fresh Tissue Lab and Morgue	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Garvin Brown Boathouse	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Golf Club Shelby County	Shelby	2022-2024	Awaiting Initiation by Agency			
Renovate Gottschalk Hall	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Gross Anatomy Lab	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Health Sciences Center Instructional and Student Services Space	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Humanities Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Kentucky Lions Eye Center	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Law School Asset Preservation Pool - 2022-2024 authorization will be used instead	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Life Sciences Building Vivarium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Lynn Soccer Stadium	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Marshall Center	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Music School Building	Jefferson	2022-2024	Awarding Contract			
Renovate Natural Sciences Building	Jefferson	2022-2024	Design/Phase A			
Renovate Office Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Parking Structures	Jefferson	2020-2022	In Construction	75	06/30/23	
Renovate Parking Structures	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Patterson Baseball Stadium CM-at-Risk project delivery method reported to CPBOC on 9/29/2022	Jefferson	2022-2024	In Construction	15	10/01/23	
Renovate School of Medicine Building 55A	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate School of Nursing Building Sub-project: Renovate School of Nursing Building (Sub-project: Phase I) - Complete/Closed Out Renovate School of Nursing Building (Sub-project: Phase II)	Jefferson	2020-2022	Multiple Subprojects			
Renovate School of Nursing Building	Jefferson	2022-2024	Awaiting Initiation by Agency			

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Renovate School of Nursing Building (Sub-project: Phase II)	Jefferson	2020-2022	Complete/In Warranty	100	12/31/22	10/31/22
Renovate Speed School Research Building	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Thornton's Academic Center	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Trager Football Practice Facility	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Unitas Residence Hall	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate University Tower Apartments	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovate Vivarium Facilities	Jefferson	2022-2024	Awaiting Initiation by Agency			
Renovation and Adaptation Projects for Various Buildings	Jefferson	2020-2022	In Construction	40	12/31/22	
Renovation and Adaptation Projects for Various Buildings	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace Artificial Turf Field III	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace Artificial Turf Field III	Jefferson	2020-2022	Complete/In Warranty	100	06/30/23	12/01/22
Replace Artificial Turf Field IV	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace Cardinal Stadium Seats	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace Electronic Video Boards	Jefferson	2020-2022	Complete/In Warranty	100	06/30/22	06/30/22
Replace Electronic Video Boards	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace HVAC Various Buildings College of Business and Research Resource Center	Jefferson	2020-2022	In Construction	50	06/30/23	
Replace HVAC Various Buildings Asset Preservation Pool - 2022-2024 authorization will be used instead	Jefferson	2022-2024	Awaiting Initiation by Agency			
Replace Physical Access Control System	Jefferson	2022-2024	In Construction	5	12/24/23	
Replace Seats in Athletic Venues	Jefferson	2020-2022	Complete/In Warranty	100	06/30/22	06/30/22
Replace Seats in Athletic Venues	Jefferson	2022-2024	Awaiting Initiation by Agency			
Resurface & Repair Parking Lot	Jefferson	2020-2022	Complete/In Warranty	100	12/31/21	02/01/22
Resurface and Repair Parking Lots	Jefferson	2022-2024	Planning			
Steam Plant Modernization Approved pursuant to KRS 45.763	Jefferson	2022-2024	Awarding Contract			

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Upgrade and Expand WiFi and Cellular Services at Cardinal Stadium	Jefferson	CPBOC-12/01/19	Complete/In Warranty	100	12/31/22	10/01/22
Upgrade College of Education HVAC Asset Preservation Pool - 2022-2024 authorization will be used instead	Jefferson	2022-2024	Awaiting Initiation by Agency			
Upgrade Dental School HVAC Asset Preservation Pool - 2022-2024 authorization will be used instead	Jefferson	2022-2024	Awaiting Initiation by Agency			
Upgrade Law School HVAC Asset Preservation Pool - 2022-2024 authorization will be used instead	Jefferson	2022-2024	Awaiting Initiation by Agency			
Upgrade STEM Instruction Buildings	Jefferson	2022-2024	Planning			

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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Postsecondary Education Cabinet/University of Louisville Construct HSC Parking Structure II	01/15/11	\$438,342.82	Working to partner with a third party to occupy space, and will build out accordingly. Conducted study to identify the different options for the space.

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Postsecondary Education Cabinet/Western Kentucky University						
Add Club Seating at Diddle Arena	Warren	2022-2024	Awaiting Initiation by Agency			
* Asset Preservation Pool - 2022-2024	Warren	2022-2024	Multiple Subprojects			
Sub-projects:						
Asset Preservation Pool - 2022-2024 (Sub-project: Building Automation System Upgrades)						
Asset Preservation Pool - 2022-2024 (Sub-project: Exterior Lighting)						
Asset Preservation Pool - 2022-2024 (Sub-project: Fiber Optic Cabling System)						
Asset Preservation Pool - 2022-2024 (Sub-project: FY 23 Steam Distribution System)						
Asset Preservation Pool - 2022-2024 (Sub-project: Henry Hardin Cherry Hall Renovation)						
Asset Preservation Pool - 2022-2024 (Sub-project: High Voltage Distribution System)						
Asset Preservation Pool - 2022-2024 (Sub-project: Sanitary Sewer System)						
Asset Preservation Pool - 2022-2024 (Sub-project: Storm Water System)						
Asset Preservation Pool - 2022-2024 (Sub-project: Building Automation System Upgrades)	Warren	2020-2022	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Exterior Lighting)	Warren	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Fiber Optic Cabling System)	Warren	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: FY 23 Steam Distribution System)	Warren	2022-2024	Planning			
Asset Preservation Pool - 2022-2024 (Sub-project: Henry Hardin Cherry Hall Renovation)	Warren	2022-2024	A/E Selection			
Comments: The university has completed final interviews and working on contract award.						
Asset Preservation Pool - 2022-2024 (Sub-project: High Voltage Distribution System)	Warren	2022-2024	Design/Phase A			
Asset Preservation Pool - 2022-2024 (Sub-project: Sanitary Sewer System)	Warren	2022-2024	Awaiting Initiation by Agency			
Asset Preservation Pool - 2022-2024 (Sub-project: Storm Water System)	Warren	2022-2024	Awaiting Initiation by Agency			
Construct Baseball Grandstand	Warren	2022-2024	Awaiting Initiation by Agency			
Construct Football Pressbox	Warren	2022-2024	Awaiting Initiation by Agency			
Construct, Renovate and Improve Athletic Facilities authorization will be used instead						
Construct Indoor Athletic Training Facility	Warren	2022-2024	Awaiting Initiation by Agency			

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Construct, Renovate and Improve Athletic Facilities authorization will be used instead						
* Construct New Gordon Ford College of Business CM-at-Risk project delivery method reported to CPBOC on 10/27/2022	Warren	2022-2024	Design/Phase B			
Construct Parking Structure IV	Warren	2022-2024	Awaiting Initiation by Agency			
Construct South Plaza	Warren	2022-2024	Awaiting Initiation by Agency			
Construct, Renovate and Improve Athletic Facilities Sub-projects: Construct, Renovate and Improve Athletic Facilities (Sub-project: Hilltopper Fieldhouse) Construct, Renovate and Improve Athletic Facilities (Sub-project: Houchens-Smith Stadium Press Box) Use of \$5.5 million in private funds for Improve Softball and Soccer Complex reported to CPBOC on 2/22/2022 (now under separate authorization) Comments: Status is reported in Sub projects	Warren	2020-2022	Other			
Construct, Renovate and Improve Athletic Facilities (Sub-project: Hilltopper Fieldhouse)	Warren	2022-2024	Design/Phase A			
Construct, Renovate and Improve Athletic Facilities (Sub-project: Houchens-Smith Stadium Press Box)	Warren	2022-2024	Design/Phase A			
Demolish Foundation Building/Improve Site	Warren	2020-2022	In Construction	0	05/03/23	
Demolish Garrett Conference Center/Improve Site	Warren	2020-2022	In Construction	45	05/03/23	
Demolish Tate Page Hall/Improve Site	Warren	2020-2022	Complete/Not Closed Out	100	12/17/21	12/17/21
Expand Track and Field Facilities	Warren	2022-2024	Awaiting Initiation by Agency			
Improve Softball and Soccer Complex Initiated under Construct, Renovate and Improve Athletic Facilities (reported to CPBOC on 2/22/2022)	Warren	2022-2024	In Construction	15	06/01/23	
Purchase Property for Campus Expansion	Warren	2022-2024	Awaiting Initiation by Agency			
Purchase Property/Parking and Street Improvements	Warren	2022-2024	Awaiting Initiation by Agency			
Remove and Replace Student Housing at Farm	Warren	2022-2024	Awaiting Initiation by Agency			
Renovate and Expand Clinical Education Complex	Warren	2022-2024	Awaiting Initiation by Agency			
Renovate and Expand Innovation Campus (Center for Research and Development)	Warren	2022-2024	Awaiting Initiation by Agency			
Renovate Center for Research and Development Phase I	Warren	2022-2024	Awaiting Initiation by Agency			
Renovate Helm/Cravens Library	Warren	2018-2020	Other			

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Sub-projects:						
Renovate Helm/Cravens Library (Sub-project: Construct WKU Commons @ Helm Library)						
Renovate Helm/Cravens Library (Sub-project: Partial Demolition of Helm Library) - Complete/Closed out						
Comments: Status is reported in Sub Projects						
Renovate Helm/Cravens Library (Sub-project: Construct WKU Commons @ Helm Library)	Warren	2018-2020	Complete/In Warranty	95	03/31/22	03/31/22
Renovate South Campus	Warren	2022-2024	Awaiting Initiation by Agency			
Renovate State/Normal Street Properties	Warren	2022-2024	Awaiting Initiation by Agency			
Replace Underground Infrastructure Phased Steam Line Replacement	Warren	2020-2022	Complete/In Warranty	100	12/17/22	10/28/22

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<u>Cabinet/Agency/Project Title</u>	<u>Scheduled Closeout</u>	<u>Account Balance</u>	<u>Explanation - Why has the account not been closed?</u>
Postsecondary Education Cabinet/Western Kentucky University Demolish Tate Page Hall/Improve Site	01/17/23	\$80,426.99	The account has some open purchase orders that need to be reconciled and closed out.

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QUARTERLY CAPITAL PROJECTS STATUS REPORT

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Department of Education/Operations and Support Services						
* Education Finance Application Budgeted Amount - \$2,500,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2022-2024	Initiation Phase			
Education and Labor Cabinet/General Administration						
Claims Payment Management System Budgeted Amount - \$2,460,000 Comments: Expenditure: \$738,080.25 % complete: 15%	Multi	2018-2020	Execution & Control Phase			
Labor Market Data Technologies for Job Matching Budgeted Amount - \$6,636,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2022-2024	Initiation Phase			
Education and Labor Cabinet/KY Educational Television						
KET Next Gen Conversion Budgeted Amount - \$11,500,000 Comments: Expenditure: \$1,956,516.81 % complete: 0.00%	Multi	CPBOC-9/01/21	Initiation Phase			
Education and Labor Cabinet/Workforce Investment						
Case Management System Budgeted Amount - \$4,620,000 Comments: Expenditure: \$0.0 % complete: 11%	Multi	CPBOC-7/01/21	Initiation Phase			
Replace Unemployment Insurance System Budgeted Amount - \$47,500,000 \$10 million reallocated from \$20 million bond funded Legacy Retirement System authorization Comments: Expenditure: \$339,558.71 % complete: 0.00%	Multi	2018-2020	Planning Phase			
Unemployment Insurance System Maintenance and Support Upgrades	Multi	CPBOC-2/01/22	Initiation Phase			

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Budgeted Amount - \$2,000,000 Comments: Expenditure: \$1,384,819.25 % complete: 0.00%						
Finance and Administration Cabinet/Commonwealth Office of Technology						
Hybrid - Cloud Service Architecture Budgeted Amount - \$3,000,000 Comments: Expenditure: \$0.00 % complete: 18.00%	Multi	2022-2024	Initiation Phase			
* Kentucky Business OneStop (KyBOS) Phase IV Budgeted Amount - \$4,128,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2022-2024	Initiation Phase			
* Legacy System Retirement Budgeted Amount - \$10,000,000 No further action planned on project during this fiscal year. Initially \$20 million - \$10 million of authorization reallocated to Replace Unemployment Insurance System Comments: Expenditure: \$7,458,500.98 % complete: 38.00%	Multi	2018-2020	Execution & Control Phase			
Finance and Administration Cabinet/Controller						
* eMARS Upgrade and Systems Enhancements Budgeted Amount - \$14,000,000 Comments: Expenditure: \$2,098,657.50 % complete: 15.00%	Multi	2022-2024	Execution & Control Phase			
Finance and Administration Cabinet/General Administration						
* Business One-Stop Portal - Phase III Budgeted Amount - \$12,000,000 Comments: Expenditure: \$5,472,815.28 % complete: 66.00%	Multi	2016-2018	Execution & Control Phase			
Finance and Administration Cabinet/Revenue						
* Integrated Tax System Budgeted Amount - \$92,500,000	Multi	2018-2020	Execution & Control Phase			

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Comments: Expenditure: \$23,160,425.96 % complete: 35.00%						
General Government Cabinet/Agriculture						
AGR Inspection and Licensing Project Budgeted Amount - \$2,118,000 Initiated under prior 2020-2022 authorization Comments: Expenditure: \$895,255.00 % complete: 42.00%	Multi	2022-2024	Execution & Control Phase			
General Government Cabinet/Attorney General						
* Upgrade Technology Budgeted Amount - \$2,000,000 Comments: Expenditure: \$0.0 % complete: 18.00%	Multi	2020-2022	Planning Phase			
General Government Cabinet/KY Communications Network Authority						
* Next Generation Kentucky Information Highway Budgeted Amount - \$454,462,400 Comments: Expenditure: \$407,220,347.00 % complete: 96.00%	Multi	2014-2016	Execution & Control Phase			
General Government Cabinet/Registry of Election Finance						
* System Modernization Budgeted Amount - \$1,836,000 Comments: Expenditure: \$333,510.56 % complete: 2.00%	Multi	2016-2018	Execution & Control Phase			
General Government Cabinet/Secretary of State						
Enhanced Statewide Election Administration and Online Ballot Delivery System Budgeted Amount - \$1,500,000 Canceled - funds in process of being returned to feds Comments: Expenditure: \$666,423.59 % complete: 25.00%	Multi	CPBOC-10/01/13	Other			

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Health and Family Services Cabinet/Community Based Services						
The Workers Information System (TWIST) Case File Digitization Budgeted Amount - \$10,000,000 Comments: Expenditure: \$17,817.00 % complete: 25.00%	Multi	2022-2024	Execution & Control Phase			
* The Workers Information System (TWIST) Modernization Budgeted Amount - \$18,993,000 Comments: Expenditure: \$190,299.90 % complete: 86.00%	Multi	2022-2024	Execution & Control Phase			
Health and Family Services Cabinet/Department for Income Support (CHFS)						
* Kentucky Child Support Enforcement System (KASES III) Budgeted Amount - \$33,000,000 Comments: Expenditure: \$2,924.00 % complete: 0.00%	Multi	2022-2024	Execution & Control Phase			
Health and Family Services Cabinet/General Administration						
KASPER Budgeted Amount - \$2,000,000 Comments: Expenditure: \$1,382,351.72 % complete: 80.00%	Multi	2020-2022	Execution & Control Phase			
Health and Family Services Cabinet/Public Health						
Budget, Accounting and Reporting System Budgeted Amount - \$4,220,000 Comments: Expenditure: \$422,864.89 % complete: 68.00%	Multi	2018-2020	Planning Phase			
Scan and Image Historical Records Budgeted Amount - \$5,750,000 Comments: Expenditure: \$2,375,283.00 % complete: 65.00%	Multi	2018-2020	Execution & Control Phase			

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Vital Statistics Digitized System Budgeted Amount - \$2,700,000 Comments: Expenditure: \$1,271,903.79 % complete: 46.00%	Multi	2018-2020	Execution & Control Phase			
WIC Modernization Budgeted Amount - \$10,756,000 Comments: Expenditure: \$296,397.24 % complete: 17.00%	Multi	2020-2022	Initiation Phase			
Justice and Public Safety Cabinet/Public Advocacy						
* Case Management System Budgeted Amount - \$1,650,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2022-2024	Initiation Phase			
Justice and Public Safety Cabinet/State Police						
* Emergency Radio System Replacement, Phase II Budgeted Amount - \$52,450,000 Reported under Two-Way Radio System Replacement, Phase I and Phase II Comments: Expenditure: \$71,658,759.05 % complete: 67.00%	Multi	2020-2022	Execution & Control Phase			
* Emergency Radio System Replacement, Phase III Budgeted Amount - \$80,909,000	Multi	2022-2024	Execution & Control Phase			
* Two-Way Radio System Replacement, Phase I and Phase II Budgeted Amount - \$35,100,000 (2018-2020) and \$52,450,000 (2020-2021) for \$87,550,000 total Two-Way Radio System Replacement, Phase I and Emergency Radio System Replacement, Phase II combined under one account	Multi	2018-2020	Execution & Control Phase			
Postsecondary Education Cabinet/Council on Postsecondary Education						
* Upgrade Kentucky Regional Optical Network Infrastructure Enhancement Budgeted Amount - \$1,000,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2022-2024	Initiation Phase			

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Postsecondary Education Cabinet/KY Community and Technical College System						
Upgrade IT Infrastructure - Gateway CTC Budgeted Amount - \$1,500,000 Comments: Expenditure: \$0.0 % complete: 0.00%	Multi	2020-2022	Initiation Phase			
Tourism, Arts & Heritage Cabinet/KY Heritage Council						
* Records Digitization Budgeted Amount - \$1,000,000 Reallocation from Historical Society's Historical Society Digital Initiatives Comments: Expenditure: \$991,091.78 % complete: 97.00%	Multi	2020-2022	Execution & Control Phase			
Tourism, Arts & Heritage Cabinet/KY Historical Society						
* Historical Society Digital Initiatives Budgeted Amount - reduced from \$3,500,000 to \$2,500,000 Bond funded portion reallocated to Heritage Council's Records Digitization	Multi	2014-2016	Execution & Control Phase			
Transportation Cabinet/Gen Admin & Support (Transp)						
* AASHTOWare Budgeted Amount - \$2,000,000 Initiated under prior 2020-2022 authorization Comments: Expenditure: \$1,528,828.25 % complete: 51%	Multi	2022-2024	Execution & Control Phase			
Facilities Technology Modernization Budgeted Amount - \$1,450,000 Comments: Expenditure: \$352,112.16 % complete: 24%	Multi	CPBOC-4/01/21	Execution & Control Phase			
* Replace Automated Vehicle Information System (AVIS) Budgeted Amount - \$29,000,000 KYTC used \$25 million in bond funds and will use \$4 million in restricted funds to complete the project, adding a centralized lien management system Comments: Expenditure: \$28,688,696.39 % complete: 60%	Multi	2008-2010	Execution & Control Phase			

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* Replace Kentucky Driver Licensing System Budgeted Amount - \$12,500,000 Comments: Expenditure: \$835,511.73 % complete: 6%	Multi	2012-2014	Initiation Phase			
* Transportation Enterprise Database II Budgeted Amount - \$3,000,000 Comments: Expenditure: \$548,455.78 % complete: 20%	Multi	2016-2018	Execution & Control Phase			
Transportation Cabinet/Vehicle Regulation						
* International Registration Plan System Upgrade Budgeted Amount - \$2,500,000 Comments: Expenditure: \$1,907,208.85 % complete: 64%	Multi	2016-2018	Execution & Control Phase			

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January 10, 2023

Senator Jason Howell, Co-Chair
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Capitol Annex Building – Room 34
702 Capitol Avenue
Frankfort, Kentucky 40601

Subject: Sundry Scientific and Research Equipment

Dear Senator Howell and Honorable Members of the Capital Projects and Bond Oversight Committee:

Pursuant to KRS §45.760(5), the University of Kentucky hereby reports the purchase of the following items of scientific and research equipment in excess of \$200,000. Money specifically budgeted and appropriated by the General Assembly for another purpose or program is not being used to acquire these items.

- A lead-shielded x-ray vault has been purchased from Marietta Nondestructive Testing, LLC for use by UK's Educelab. The vault is custom built to house the built-to-spec micro-CT machinery for the imaging of heritage and cultural artifacts that come in shapes and sizes that preclude traditional imaging techniques. The vault shields primary and secondary radiation while providing proper electrical and door openings for x-ray equipment. The total cost of the research equipment was \$547,372.90 and was purchased with federal funds from a National Science Foundation grant. The item will be located at the William S. Webb Museum of Anthropology in Lexington, Kentucky.
- A wire-arc additive manufacturing (WAAM) cell has been purchased from ABB Inc. for use by UK's Institute for Sustainable Manufacturing. This equipment is used for rapid and cost effective additive manufacturing of large scale components. The capabilities of the WAAM cell equipment complements existing technologies being developed at UK, further strengthens collaboration with the Army Research Lab and provides critical research tools for students to pursue advanced research and degrees. The total cost of the research equipment was \$316,440.00 and was purchased with federal funds from an Army Research Office grant. The items will be located at the Center for Robotics and Manufacturing Systems building in Lexington, Kentucky.
- A TSQ Altis Plus Mass Spectrometer has been purchased from ThermoFisher Scientific for use by UK's Small Molecule Mass Spectrometry Core Laboratory. The Altis Plus allows for identification and quantification of analytes by correlating masses of known elements or through unique characteristic patterns. This equipment will support the high throughput of samples submitted to the Core Laboratory which supports researchers across the university. Access to the equipment will aid in grant submissions for future research work. The total cost of the research equipment was \$362,800.83 and was purchased with federal funds from a National Institutes of Health (NIH) grant. The item

will be located at the Biomedical Biological Sciences Research Building in Lexington, Kentucky.

- A small animal radiation research platform (SARRP) has been purchased from Xstrahl Life Sciences for use by the Department of Radiation Medicine. This instrument will provide new capabilities for 3D bioluminescent optical imaging at UK to meet the needs of 16 NIH-funded investigators. The instrument capabilities offered by the SARRP will replace two aging items that are at the end of their useful life. The total cost of the research equipment was \$870,863.00 and was purchased with federal funds from a NIH grant. The item will be located at the Peter P. Bosomworth Health Sciences Research Building in Lexington, Kentucky.

Please advise if you have any questions or if you would like additional information.

Sincerely,



Angela Martin
Vice President for Financial Planning and Chief Budget Officer

cc: Elizabeth Baker
Kristen Cheser



Andy Beshear
GOVERNOR

FINANCE AND ADMINISTRATION CABINET
OFFICE OF THE CONTROLLER
OFFICE OF FINANCIAL MANAGEMENT

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Frankfort, Kentucky 40622
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Holly M. Johnson
SECRETARY

L. Joe McDaniel
ACTING CONTROLLER

Ryan Barrow
EXECUTIVE DIRECTOR

December 27, 2022

The Honorable Jason Howell, Co-Chairman
The Honorable Chris Freeland, Co-Chairman
Members of the Capital Projects and Bond Oversight Committee
Capital Projects and Bond Oversight Committee
Room 34, Capitol Annex
702 Capital Avenue
Frankfort, KY 40601

Re: Non-Approval of Kentucky Infrastructure Authority Loans/Grants (“KIA”)

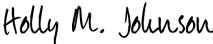
Dear Senator Howell, Representative Freeland,
and Members of the Capital Projects and Bond Oversight Committee:

I am in receipt of your December 16, 2022, notification that the Capital Projects and Bond Oversight Committee did not approve the following Kentucky Infrastructure Authority transactions reported by the Office of Financial Management at its December 15, 2022, meeting:

- a. Clean Water State Revolving Fund (Fund A) Program Loan Increase - City of Mount Sterling (Montgomery County), A21-005; and
- b. Cleaner Water Program Grants (16 Round One Grant Reallocations/402 Round Two County Pool Allocations).

As noted in your letter, the procedural concerns regarding HB 1 2022 RS raised during the meeting have been resolved; therefore, the transactions listed above shall move forward pursuant to KRS 45.800.

Sincerely,

DocuSigned by:

Holly M. Johnson, Secretary

Enclosure

C: John Hicks, State Budget Director, Office of State Budget Director
Ryan Barrow, Executive Director, Office of Financial Management
Sandy Williams, Executive Director, Kentucky Infrastructure Authority

SENATE MEMBERS

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President, LRC Co-Chair

David Givens
President Pro Tempore

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Majority Floor Leader

Morgan McGarvey
Minority Floor Leader

Julie Raque Adams
Majority Caucus Chair

Reginald Thomas
Minority Caucus Chair

Mike Wilson
Majority Whip

Dennis Parrett
Minority Whip



LEGISLATIVE RESEARCH COMMISSION

State Capitol 700 Capital Avenue Frankfort KY 40601

502-564-8100

Capitol Fax 502-564-2922

Annex Fax 502-564-6543

legislature.ky.gov

Jay D. Hartz
Director

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Minority Caucus Chair

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Majority Whip

Angie Hatton
Minority Whip

December 16, 2022

Holly M. Johnson, Secretary
Finance and Administration Cabinet
200 Mero Street, 5th Floor
Frankfort, Kentucky 40622

Dear Secretary Johnson:

Pursuant to KRS 45.800(2), this letter is to notify you that the Capital Projects and Bond Oversight Committee did not approve the Kentucky Infrastructure Authority transactions requiring action transmitted by the Office of Financial Management; the Clean Water State Revolving Fund (Fund A) Program Loan Increase - City of Mount Sterling (Montgomery County), A21-005 and the Cleaner Water Program Grants (Agenda Item 6.A.1.). Procedural concerns have since been addressed and the present committee members did not voice any objections to the transactions during the meeting.

If you have any questions, then please contact Katherine Halloran, Committee Staff Administrator, (502) 564-8100, extension 59124.

Sincerely,

Senator Jason Howell, Co-Chair
Capital Projects and Bond Oversight Committee

Sincerely,

Representative Chris Freeland, Co-Chair
Capital Projects and Bond Oversight Committee

- C: Members, Capital Projects and Bond Oversight Committee
John Hicks, State Budget Director, Office of State Budget Director
Sandy Williams, Executive Director, Kentucky Infrastructure Authority
Ryan Barrow, Executive Director, Office of Financial Management, Finance and Administration Cabinet



December 21, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$990,000 Lawrence County School District Finance Corporation
School Building Revenue Bonds, Series of 2023

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance Phase 2 High School Improvements.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$990,000	
District	LAWRENCE COUNTY SCHOOL DISTRICT	
Issue Name:	School Building Revenue Bonds, Series 2023	
Purpose:	Phase 2 High School Improvements	
Projected Sale Date of Bonds:	Q1 2023	
First Call Date:	N/A	
Method of Sale:	Competitive Bids	
Place/time of sale:	Parity /SFCC, Frankfort, Ky. / TBD	
Bond Rating:	Moody's: "A1"	
Bond Counsel:	Steptoe and Johnson, Louisville, KY	
Fiscal Agent:	RSA Advisors LLC, Lexington, Kentucky	
Date received by SFCC:	/ /	<i>To be filled in by SFCC</i>
Date scheduled for Committee review:	/ /	<i>To be filled in by SFCC</i>

Estimated par amount of Bonds:

% Share of total Bonds:

Estimated average annual debt service:

Estimated debt service reserve:

SFCC Portion	Local Portion	Total
\$0	\$990,000	\$990,000
0.00%	100.00%	100.00%
\$0	\$123,091	\$123,091
\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)

Special Tax Counsel

Number verifications

Bond Rating & Bank Fee

Underwriter's Discount

Credit Enhancement

Total Cost of Issuance:

\$0	\$10,890	\$10,890
\$0	\$0	\$0
\$0	\$0	\$0
\$0	\$7,700	\$7,700
\$0	\$19,800	\$19,800
\$0	\$0	\$0
\$0	\$38,390	\$38,390

Anticipated Interest Rates:

5 Years: 4.150% 10 Years: 4.150%

Notes: No tax Increase required



January 19, 2023

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$3,250,000 Lincoln County School District Finance Corporation
School Building Revenue Bonds, Series of 2023

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance High School Athletic Improvements.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$3,250,000	
District	LINCOLN COUNTY SCHOOL DISTRICT	
Issue Name:	School Building Revenue Bonds, Series 2023	
Purpose:	High School Athletic Improvements	
Projected Sale Date of Bonds:	Q1 2023	
First Call Date:	8 Years at par	
Method of Sale:	Competitive Bids	
Place/time of sale:	Parity/SFCC, Frankfort, Ky. / TBD	
Bond Rating:	Moody's: "A1"	
Bond Counsel:	Steptoe and Johnson, Louisville, KY	
Fiscal Agent:	RSA Advisors LLC, Lexington, Kentucky	
Date received by SFCC:	/ /	<i>To be filled in by SFCC</i>
Date scheduled for Committee review:	/ /	<i>To be filled in by SFCC</i>

	SFCC Portion	Local Portion	Total
Estimated par amount of Bonds:	\$0	\$3,250,000	\$3,250,000
% Share of total Bonds:	0.00%	100.00%	100.00%
Estimated average annual debt service:	\$0	\$257,674	\$257,674
Estimated debt service reserve:	\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)	\$0	\$26,000	\$26,000
Special Tax Counsel	\$0	\$0	\$0
Number verifications	\$0	\$0	\$0
Bond Rating & Bank Fee	\$0	\$15,850	\$15,850
Underwriter's Discount	\$0	\$65,000	\$65,000
Credit Enhancement	\$0	\$0	\$0
Total Cost of Issuance:	\$0	\$106,850	\$106,850

Anticipated Interest Rates:

5 Years: 3.000% 10 Years: 3.200% 15 Years: 3.900%
20 Years: 4.200%

Notes: No tax Increase required



December 21, 2022

Ms. Katherine Halloran
700 Capital Ave
Frankfort, KY 40601

Re: Reporting of Bond Issuance Costs to the Capital Projects and Bond Oversight
Committee ("Bond Oversight Committee")

Dear Ms. Halloran:

Enclosed please find a Bond Payee Disclosure form for the following bond issue:

\$2,435,000 (est.)
Lyon County
School District Finance Corporation
Energy Conservation Revenue Bonds
Series 2023

Please be advised that the enclosed costs are estimated. Actual costs will not be known until the bonds are sold. Please be advised that no tax increases are necessary to support this financing.

We hereby request that the above bond issue be considered by the Bond Oversight Committee at its next meeting.

If you need any additional information, please do not hesitate to call me at 502.588.1829.

Sincerely,

/s/ Alex Perdue

Alex Perdue
Public Finance

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$2,435,000
Issue Name:	Lyon County School District Energy Conservation Revenue Bonds, Series 2023
Purpose:	Energy conservation measures at various schools throughout the District
Projected Sale Date of Bonds:	Late January/February 2023
First Call Date:	TBD
Method of Sale:	Competitive
Place/Time of Sale:	TBD
Bond Rating:	Expected "A1" – Moody's
Bond Counsel:	Steptoe Johnson
Fiscal Agent:	Baird
Architect/Engineer:	Ascendant Facility Partners

Estimated par amount of Bonds:
 % Share of total Bonds:
 Estimated average annual debt service:
 Estimated debt service reserve:
Estimated Costs of Issuance (1):
 Fiscal Agent, Bond Counsel,
 Advertisements, Printing, etc.
 Special Tax Counsel
 Number Verifications
 Bond Rating
 Underwriter's Discount
 Paying Agent/Escrow Agent Bank
 Total Cost of Issuance:

SFCC Portion	Local Portion	Total
-	\$2,435,000	\$2,435,000
-	100%	100%
-	\$191,114	\$191,114
-	-	-
-	\$22,740	\$22,740
-	-	-
-	-	-
-	15,000	15,000
-	48,700	48,700
-	3,500	3,500
-	\$89,940	\$89,940

Anticipated Interest Rates: 5 Years: 2.71% 10 Years: 2.96%
 15 Years: 3.59% 20 Years: 3.95%

(1) Actual costs will not be known until the bonds are sold.



December 21, 2022

Ms. Katherine Halloran
700 Capital Ave
Frankfort, KY 40601

Re: Reporting of Bond Issuance Costs to the Capital Projects and Bond Oversight
Committee ("Bond Oversight Committee")

Dear Ms. Halloran:

Enclosed please find a Bond Payee Disclosure form for the following bond issue:

\$9,895,000 (est.)
McCracken County
School District Finance Corporation
Energy Conservation Revenue Bonds
Series 2023

Please be advised that the enclosed costs are estimated. Actual costs will not be known until the bonds are sold. Please be advised that no tax increases are necessary to support this financing.

We hereby request that the above bond issue be considered by the Bond Oversight Committee at its next meeting.

If you need any additional information, please do not hesitate to call me at 502.588.1829.

Sincerely,

/s/ Alex Perdue

Alex Perdue
Public Finance

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$9,895,000
Issue Name:	McCracken County School District Energy Conservation Revenue Bonds, Series 2023
Purpose:	Energy conservation measures at various schools throughout the District
Projected Sale Date of Bonds:	Late January/February 2023
First Call Date:	TBD
Method of Sale:	Competitive
Place/Time of Sale:	TBD
Bond Rating:	Expected "A1" – Moody's
Bond Counsel:	Steptoe Johnson
Fiscal Agent:	Baird
Architect/Engineer:	Ascendant Facility Partners

	SFCC Portion	Local Portion	Total
Estimated par amount of Bonds:	-	\$9,895,000	\$9,895,000
% Share of total Bonds:	-	100%	100%
Estimated average annual debt service:	-	\$739,641	\$739,641
Estimated debt service reserve:	-	-	-
Estimated Costs of Issuance (1):			
Fiscal Agent, Bond Counsel, Advertisements, Printing, etc.	-	\$52,580	\$52,580
Special Tax Counsel	-	-	-
Number Verifications	-	-	-
Bond Rating	-	30,000	30,000
Underwriter's Discount	-	197,900	197,900
Paying Agent/Escrow Agent Bank	-	3,500	3,500
Total Cost of Issuance:	-	\$283,980	\$283,980

Anticipated Interest Rates: 5 Years: 2.96% 10 Years: 3.21%
 15 Years: 3.72% 20 Years: 4.20%

(1) Actual costs will not be known until the bonds are sold.



January 20, 2023

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$1,100,000 Muhlenberg County School District Finance Corporation
School Building Revenue Bonds, Series of 2023

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance improvements at Muhlenberg County High School West Campus.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in black ink, appearing to read 'L Theinert', with a long horizontal flourish extending to the right.

Lincoln Theinert

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$1,100,000	
District:	MUHLENBERG COUNTY SCHOOL DISTRICT	
Issue Name:	School Building Revenue Bonds, Series 2023	
Purpose:	Improvements at Muhlenberg County High School West Campus	
Projected Sale Date of Bonds:	Q1 2023	
First Call Date:	8 Years at par	
Method of Sale:	Competitive Bids	
Place/time of sale:	Parity/SFCC, Frankfort, Ky. / TBD	
Bond Rating:	Moody's: "A1"	
Bond Counsel:	Steptoe and Johnson, Louisville, KY	
Fiscal Agent:	RSA Advisors LLC, Lexington, Kentucky	
Date received by SFCC:	/ /	<i>To be filled in by SFCC</i>
Date scheduled for Committee review:	/ /	<i>To be filled in by SFCC</i>

	SFCC Portion	Local Portion	Total
Estimated par amount of Bonds:	\$0	\$1,100,000	\$1,100,000
% Share of total Bonds:	0.00%	100.00%	100.00%
Estimated average annual debt service:	\$0	\$89,152	\$89,152
Estimated debt service reserve:	\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)	\$0	\$12,000	\$12,000
Special Tax Counsel	\$0	\$0	\$0
Number verifications	\$0	\$0	\$0
Bond Rating & Bank Fee	\$0	\$11,550	\$11,550
Underwriter's Discount	\$0	\$22,000	\$22,000
Credit Enhancement	\$0	\$0	\$0
Total Cost of Issuance:	\$0	\$45,550	\$45,550

Anticipated Interest Rates:

5 Years: 3.000% 10 Years: 3.200% 15 Years: 3.900%
 20 Years: 4.200%

Notes: No Tax Increase required



December 27, 2022

Capital Projects and Bond Oversight Committee
702 Capital Avenue, Room 34, Capitol Annex
Frankfort, KY 40601

RE: \$4,515,000 Williamsburg Ind. School District Finance Corporation
School Building Revenue Bonds, Series of 2023

Please find enclosed a Bond Payee Disclosure Form and Plan of Financing related to the above-referenced series of Bonds. The Bonds will be used to finance athletic improvements.

We would like to go ahead and submit the plan to Bond Oversight so that we will be ready to proceed with the bond sale shortly. The Bonds will be funded with 100% Local Funds.

Please process this bond disclosure form for review by the Bond Oversight Committee at the next meeting. Should you have any questions or require any additional information, please contact our office.

Sincerely,

A handwritten signature in blue ink that reads 'Dwight Salsbury'.

Dwight G. Salsbury

Enclosures

147 East Third Street
Lexington, KY
40508

859/977-6600

fax: 859/381-1357

www.rsamuni.com

BOND PAYEE DISCLOSURE FORM

Par Amount:	\$4,515,000	
District:	WILLIAMSBURG INDEPENDENT SCHOOL DISTRICT	
Issue Name:	School Building Revenue Bonds, Series 2023	
Purpose:	Athletic Improvements	
Projected Sale Date of Bonds:	Q1 2023	
First Call Date:	8 Years at par	
Method of Sale:	Competitive Bids	
Place/time of sale:	Parity/SFCC, Frankfort, Ky. / TBD	
Bond Rating:	Moody's: "A1"	
Bond Counsel:	Step toe and Johnson, Louisville, KY	
Fiscal Agent:	RSA Advisors LLC, Lexington, Kentucky	
Date received by SFCC:	/ /	<i>To be filled in by SFCC</i>
Date scheduled for Committee review:	/ /	<i>To be filled in by SFCC</i>

	SFCC Portion	Local Portion	Total
Estimated par amount of Bonds:	\$0	\$4,515,000	\$4,515,000
% Share of total Bonds:	0.00%	100.00%	100.00%
Estimated average annual debt service:	\$0	\$371,184	\$371,184
Estimated debt service reserve:	\$0	\$0	\$0

Estimated Cost of Issuance:

Fiscal Agent, Bond Counsel, Advertisements, Printing, Etc)	\$0	\$31,060	\$31,060
Special Tax Counsel	\$0	\$0	\$0
Number verifications	\$0	\$0	\$0
Bond Rating & Bank Fee	\$0	\$15,850	\$15,850
Underwriter's Discount	\$0	\$90,300	\$90,300
Credit Enhancement	\$0	\$0	\$0
Total Cost of Issuance:	\$0	\$137,210	\$137,210

Anticipated Interest Rates:

5 Years: 3.000% 10 Years: 3.200% 15 Years: 3.900%
20 Years: 4.200%

Notes: No tax Increase required



A LEADING AMERICAN UNIVERSITY WITH INTERNATIONAL REACH
DEPARTMENT OF PLANNING, DESIGN AND CONSTRUCTION

January 4, 2023

Senator Jason Howell, Co-Chair
Capital Projects and Bond Oversight Committee
Legislative Research Commission
Annex Room 136
702 Capitol Avenue
Frankfort, KY 40601

Dear Senator Howell and Members of the Capital Projects and Bond Oversight Committee:

Pursuant to KRS 45A.180 (2), (5) Western Kentucky University is reporting the intent to utilize an alternative project delivery method "Construction Manager at Risk" for the Construct, Renovate and Improve Athletic Facilities (Hilltopper Fieldhouse/Houchens-Smith Stadium Press Box project. WKU is submitting a copy of the Request for Proposals (RFP) along with this letter.

We will be happy to provide any additional information or answer any questions you have regarding this RFP.

Sincerely,

Western Kentucky University

Bryan B. Russell, LEED® AP
Chief Facilities Officer

cc:

Susan I. Howarth, Executive V.P. Strategy, Operations & Finance
Jennifer B. Smith, Executive Director, Government & Relations
Marci C. Morehead, Manager, Purchasing-Procurement Services
Dan Chaney, Project Manager, Capital Construction

The Spirit Makes the Master

Western Kentucky University | 1906 College Heights Blvd. #21091 | Bowling Green, KY 42101-1091
phone: 270-745-8708 | fax: 270-745-2126 | email: pdc@wku.edu | web: www.wku.edu

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Request for Proposal
FOR CONSTRUCTION MANAGEMENT SERVICES
For Hilltopper Field House
And
Football Press Box expansion



**HILLTOPPER FIELD HOUSE & FOOTBALL PRESS BOX EXPANSION
WESTERN KENTUCKY UNIVERSITY
BOWLING GREEN, KENTUCKY**

BID INFORMATION

INVITATION TO BID: WKU-10345
PROPOSALS DUE: JANUARY 19, 2023 AT 2:30 PM CENTRAL
MANDATORY PRE-BID CONFERENCE: JANUARY 5, 2023 AT 10:00 AM CENTRAL
PRE-BID CONFERENCE LOCATION: VIDEO CONFERENCE: <https://wku.zoom.us/j/99598586538>
DATE ISSUED: DECEMBER 13, 2022

**QUESTIONS REGARDING THIS PROPOSAL MUST BE RECEIVED BEFORE 2:30 PM ON JANUARY 12, 2023.
AT THE BELOW LINK:**

<https://supplier.unimarket.com/app/supplier/bid-request/view/rfq-uuid/3aa3b51b-386d-4af4-888f-7a12f1d834a9>

CONTRACTING AGENCY

WESTERN KENTUCKY UNIVERSITY
DEPARTMENT OF PLANNING, DESIGN AND CONSTRUCTION
DAN CHANEY, PROJECT MANAGER
270-745-2760
1906 COLLEGE HEIGHTS BLVD, #21091
BOWLING GREEN, KENTUCKY 42101-1091

CONSULTANT

KNBA
815 WEST MARKET STREET, SUITE 502
LOUISVILLE, KY 40202

INVITATION NO. WKU-10345	DATE ISSUED DECEMBER 13, 2022	METHOD OF AWARD PROPOSAL AND PRICE
TYPE OF COMMODITY CONSTRUCTION MANAGEMENT SERVICES		
NAME OF BUYER Marci C. Morehead		TELEPHONE 270/745-6365

Western Kentucky University, Purchasing Department, will receive sealed proposals until the time and date shown below. Proposals should be submitted electronically via the Unimarket system.

IMPORTANT: PROPOSALS MUST BE RECEIVED BY: January 19, 2023 AT 2:30 PM CENTRAL

NOTICE OF REQUIREMENTS

1. The University's General Terms and Conditions and Instructions to Bidders apply to this RFP. When the RFP includes construction services, the University's General Conditions for Construction and Instructions to Bidders, apply to the RFP.
2. Contracts resulting from this RFP must be governed by and in accordance with the laws of the Commonwealth of Kentucky.
3. Any agreement or collusion among offerors or prospective offerors, which restrains, tends to restrain, or is reasonably calculated to restrain competition by agreement to bid at a fixed price or to refrain from offering, or otherwise, is prohibited.
4. Any person who violates any provisions of KRS 45A.325 shall be guilty of a felony and shall be punished by a fine of not less than five thousand dollars nor more than ten thousand dollars, or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, corporation, or association who violates any of the provisions of KRS 45A.325 shall, upon conviction, be fined not less than ten thousand dollars or more than twenty thousand dollars.

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by KRS 523.040:

1. That I am the offeror (if the offeror is an individual), a partner, (if the offeror is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the offeror is a corporation);
2. That the attached proposal has been arrived at by the offeror independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other Contractor of materials, supplies, equipment or services described in the RFP, designed to limit independent bidding or competition;
3. That the contents of the proposal have not been communicated by the offeror or its employees or agents to any person not an employee or agent of the offeror or its surety on any bond furnished with the proposal and will not be communicated to any such person prior to the official closing of the RFP;
4. That the offeror is legally entitled to enter into contracts with the Western Kentucky University and is not in violation of any prohibited conflict of interest, including, but not limited to, those prohibited by the provisions of KRS 45A.330 to .340, and 164.390;
5. That the offeror, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sale and use tax imposed by Chapter 139 to the extent required by Kentucky law and will remain registered for the duration of any contract award;
6. That I have fully informed myself regarding the accuracy of the statement made above.

SWORN STATEMENT OF COMPLIANCE WITH CAMPAIGN FINANCE LAWS

In accordance with KRS 45A.110 (2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to a bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

CONTRACTOR REPORT OF PRIOR VIOLATIONS OF KRS CHAPTERS 136, 139, 141, 337, 338, 341 & 342

The contractor by signing and submitting a proposal agrees as required by 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that have occurred in the previous five (5) years prior to the award of a contract and agrees to remain in continuous compliance with the provisions of the statutes during the duration of any contract that may be established. Final determinations of violations of these statutes must be provided to the University by the successful contractor prior to the award of a contract.

CERTIFICATION OF NON-SEGREGATED FACILITIES

The contractor, by submitting a proposal, certifies that he/she is in compliance with the Code of Federal Regulations, No. 41 CFR 60-1.8(b) that prohibits the maintaining of segregated facilities.

SIGNATURE REQUIRED: This proposal cannot be considered valid unless signed and dated by an authorized agent of the offeror. Type or print the signatory's name, title, address, phone number and fax number in the spaces provided. Offers signed by an agent are to be accompanied by evidence of his/her authority unless such evidence has been previously furnished to the issuing office.

DELIVERY TIME:	NAME OF COMPANY:	
	ADDRESS:	Phone/Fax:
	CITY, STATE & ZIP CODE:	E-MAIL:
FEDERAL EMPLOYER ID NO.:	TYPED OR PRINTED NAME:	WEB ADDRESS:
	SIGNATURE:	DATE:

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1. DEFINITIONS

The terms "addendum" and "addenda" mean written or graphic instructions issued by Western Kentucky University prior to the receipt of proposals that modify or interpret the RFP documents by additions, deletions, clarifications and/or corrections.

The term "competitive negotiations" means the method authorized in the Kentucky Revised Statutes, Chapter 45A.085.

The terms "offer" or "proposal" mean the offeror's/offers' response to this RFP. The term "offeror" means the entity or contractor group submitting the proposal. The term "contractor" means the entity receiving a contract award.

The term "purchasing agency" means the Western Kentucky University, Purchasing Division.

The term "purchasing official" means the Western Kentucky University's appointed contracting representative.

The term "responsible offeror" means a person, company or corporation that has the capability in all respects to perform fully the contract requirements and the integrity and reliability that will assure good faith performance. In determining whether an offeror is responsible, the University may evaluate various factors including (but not limited to): financial resources; experience; organization; technical qualifications; available resources; record of performance; integrity; judgment; ability to perform successfully under the terms and conditions of the contract; adversarial relationship between the offeror and the University that is so serious and compelling that it may negatively impact the work performed under this RFP; or any other cause determined to be so serious and compelling as to affect the responsibility of the offeror.

The term "solicitation" refers to this RFP.

The term "University" means Western Kentucky University.

2. OVERVIEW

Western Kentucky University is soliciting responses for the purpose of selecting a construction management firm to manage a new Field House building and renovation of the Football Press Box on the main campus at Western Kentucky University (see Attachment 1).

2.1 Intent and Scope

Western Kentucky University desires the services of an experienced and highly qualified construction management firm to provide "FULL SERVICE" construction management on the proposed project. The Construction Manager (CM) selected will become a responsible, cooperative and contributing member of the Owner's professional team for the design and construction of the project. The Construction

Manager (CM) will provide assistance and advice and provide management services to the Owner and design consultants to assure the project is completed within defined budget, program and schedule (see Attachment 2, **tentative** project schedule).

The total maximum construction budget is approximately **\$37,000,000** which is to include costs for Construction Management Services as well as the award of trade contracts. CM services will be awarded for this entire budget, but the two projects shall be tracked separately and are funded separately as follows:

Hilltopper Field House: \$31,000,000
 Football Press Box renovation: \$ 6,000,000
 Total: \$37,000,000

The contract established for construction management services will be of a pure agency relationship through Phase 4 Bidding, and then convert to a “LUMP SUM, AT RISK” contract.

The Construction Manager (CM) will not be allowed to self-perform work or bid on any of the proposed work categories. The Construction Manager will hold all construction contracts. The CM shall break the trade packages down such that there are no fewer than ten **(10) trade contracts**.

PHASE 1	
Release of RFP	12/13/2022
Pre-Proposal Conference at 10:30 https://wku.zoom.us/j/99598586538	1/5/2023
Deadline for Written Questions Phase 1	1/12/2023
RFP Proposals Due at 2:30 PM	1/19/2023
Evaluation of Phase 1 Proposals	1/26/2023
PHASE 2*	
Notification of Phase 2 Finalist	1/26/2023
Deadline for Written Questions from Phase 2 Finalist	2/2/2023
Offeror Presentations from Phase 2 Finalist – On Campus	2/9/2023
Contract Award	2/13/2023

*Decisions and Clarifications will be incorporated into the proposal documents by an addendum.

2.2 Background Information

The Press Box of Houchens Industries – L.T. Smith Stadium was part of the facility’s original construction in 1968. It is a non-conditioned, non-ADA-compliant space that is needing renovation including an expansion and elevator.

The current practice facility for the WKU football team is an outdoor field adjacent to the stadium. There is a desire for an enclosed facility, which would also be used by other University programs as detailed in the attached programming document.

3. PROPOSAL REQUIREMENTS & REGULATIONS

3.1 Preparation of Offers

The offeror is expected to follow all specifications, terms, conditions, and instructions in this RFP. The offeror will furnish all information required by this solicitation.

Proposals should be prepared simply and economically, providing a description of the offeror's capabilities to satisfy the requirements of the solicitation. Emphasis should be on completeness and clarity of content. All documentation submitted with the proposal should be bound in the single volume except as otherwise specified.

3.2 Proposal Information and Criteria

The following list specifies the items to be included in the proposal. Offerors should read it carefully and address it completely and in the order listed to facilitate the University's review of the proposal.

Proposals shall be organized into the sections identified below. The content of each section is detailed in the following pages. It is strongly suggested that offerors use the same numbers for the following content that are used in the RFP.

- Signed Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest Form
- Transmittal Letter
- Executive Summary and Proposal Overview
- Criteria 1 – Offeror Qualifications
- Criteria 2 – Project Team Qualifications
- Criteria 3 – Services Defined
- Criteria 4 – Scheduling Ability
- Criteria 5 – Constructability and Interdisciplinary Coordination Review

3.3 Signed Authentication of Proposal, Statements of Non-Collusion & Non-Conflict of Interest Form

The Offeror will sign and return the proposal cover sheet and print or type their name, firm, address, telephone number and date. The person signing the offer must initial erasures or other changes. An offer signed by an agent is to be accompanied by evidence of their authority unless such evidence has been previously furnished to the purchasing agency. The signer shall further certify that the proposal is made without collusion with any other person, persons, company or parties submitting a proposal; that it is in all respects fair and in good faith without collusion or fraud; and that the signer is authorized to bind the principal offeror.

3.4 Transmittal Letter

The Transmittal Letter accompanying the RFP shall be in the form of a standard business letter and shall be signed by an individual authorized to legally bind the offeror. It shall include:

- A statement referencing all addenda and written questions, the answers and any clarifications to this RFP issued by the University and received by the offeror (If no addenda have been received, a statement to that effect should be included.).
- A statement that the offeror’s proposal shall remain valid for six (6) months after the closing date of the receipt of the proposals.
- A statement that the offeror will accept financial responsibility for all travel expenses incurred for oral presentations (if required) and candidate interviews.
- A statement that summarizes any deviations or exceptions to the RFP requirements and includes a detailed justification for the deviation or exception.
- A statement that identifies the confidential information as described in Section 6.11.

3.5 Executive Summary and Proposal Overview

The Executive Summary and Proposal Overview shall condense and highlight the contents of the technical proposal in such a way as to provide the evaluation committee with a broad understanding of the entire proposal.

As part of the Executive Summary and Proposal Overview, Offeror shall submit with their response a summarized profile describing the demographic nature of their company or organization:

- Is your company certified as small business, minority-owned, women-owned, veteran-owned, disabled-owned, or similar classification?
- Include other demographic information that you feel may be applicable to the Request for Proposal submission.

3.6 Criteria 1 - Offeror Qualifications

The purpose of the Offeror Qualifications section is to determine the ability of the offeror to respond to this RFP. Offerors must describe and offer evidence of their ability to meet each of the qualifications listed below.

- Provide a brief narrative describing of the history of your firm. Identify the number of employees in your firm, and the ownership.
- Provide an executive summary profile of your firm indicating the total annual volume of work; an overview of the firms resources; the approximate percentage of University related work versus other project types; a breakdown of your firms volume relative to CM @ Risk, CM Agency, Lump-sum negotiated, Lump- sum hard bid, design-build, etc.; Indicate whether your firm routinely self performs any trade work and if so the relative amount of self-performed work to the total volume. Indicate what resources, if any, your firm has regarding self-performed trade work. Provide any other information necessary to describe your core business parameters.
- Provide an organizational chart of your firm indicating the lines of authority for the senior personnel involved in performance of this contract and relationships of this staff to other programs or functions of the firm. This chart should show lines of authority to the next senior level of management beyond the project team level.
- Has your firm had a contract terminated for default in the last five years? If so, describe such incident.
- Indicate any other experience that demonstrates the qualifications of your firm for the performance of this contract.

3.7 Criteria 2 – Project Team Qualifications

- Provide an organization chart, resumes of key team members, and brief description of roles and responsibilities.
- Complete and provide the detailed staffing chart for construction indicating the on-site staffing that you recommend to adequately provide the construction management services requested. Refer to Attachment (“F”) Staffing Matrix.
- Describe at least 5 projects of similar scale and complexity to which project members of the team have been involved and the level of their involvement. Provide the project name, size, cost, date, firm role, team member role, and owner contact information.
- The CM must commit that staff identified in your proposal will actually perform the assigned work and be committed for the duration. Describe the level of commitment and the ability your firm has regarding this assurance and the stability of the proposed team.

3.8 Criteria 3 – Services Defined

- The CM should provide documentation of their understanding of the services requested in the RFP and contract documents.
- Your proposal must provide for the completion of all work necessary to accomplish the scope of work defined in this RFP. Include a complete description of the proposed approach and methodology to accomplish the work described. The plan must be in sufficient detail to convey to the evaluation team the CM’s knowledge of projects of similar scale and complexity and the CM’s knowledge of the requirements, demands, and constraints of this project.
- Explain how your firm/team approaches budgeting and budget maintenance to assure a successful completion within budget.
- Describe your firm’s Disadvantaged Business Enterprise program and strategy for achieving DBE participation as well as your strategy to promote local trade, contractor, and vendor participation this project.

3.9 Criteria 4 – Scheduling Ability

- Explain how your firm/team approaches scheduling and schedule maintenance to assure a successful on-time completion of the design and construction phases.
- Provide examples of schedules your firm has generated in support of projects of the size and complexity of this project.

3.10 Criteria 5 – Constructability and Interdisciplinary Coordination Review

- Describe the process your firm will undertake to accomplish a comprehensive constructability and interdisciplinary coordination review of the final construction documents for Bid Packages. Confirm this process will identify constructability problems and document related conflicts which will be corrected and incorporated into bidding documents to reduce RFI’s and minimize change orders.
- Provide examples of the final products of such reviews and describe how their results can be productively incorporated into the construction documents.

3.11 Offeror Communication

To ensure that RFP documentation and subsequent information (modifications, clarifications, addenda, Written Questions and Answers, etc.) are directed to the appropriate persons within the offeror’s firm,

each offeror who intends to participate in this RFP is to provide the following information to the purchasing officer. Prompt, thorough compliance is in the best interest of the offeror. Failure to comply may result in incomplete or delayed communication of addenda or other vital information. Contact information is the responsibility of the offeror. Without the prompt information, any communication shortfall shall reside with the offeror.

THIS INFORMATION SHOULD BE SENT TO MARCI MOREHEAD BY January 4, 2023, VIA EMAIL:

Marci.Morehead@wku.edu

- Name of primary contact
- Mailing address of primary contact
- Telephone number of primary contact
- Fax number of primary contact
- E-mail address of primary contact
- Additional contact person(s) with same above information

All communication with the University regarding this RFP shall only be directed to the purchasing officer listed above.

3.12 Proposal Submission and Deadline

Offeror must provide four (4) printed copies and upload digitally to Unimarket, prior to 2:30 P.M. Central on January 19, 2023 and addressed to:

Dan Chaney, Project Manager
WKU Planning Design and Construction
Western Kentucky University
1906 College Heights Blvd.
Bowling Green KY 42101

Note: Proposals received after the closing date and time will not be considered. In addition, proposals received via fax or e-mail are not acceptable.

<https://supplier.unimarket.com/app/supplier/bid-request/view/rfq-uuid/3aa3b51b-386d-4af4-888f-7a12f1d834a9>

Note: In accordance with the Kentucky Revised Statute 45A.085, there will be no public opening.

3.13 Proposed Deviations from the RFP

The stated requirements appearing elsewhere in this RFP shall become a part of the terms and conditions of any resulting contract. Any deviations therefrom must be specifically defined in accordance with the transmittal letter, Section 4.3 (d). If accepted by the University, the deviations shall become part of the contract, but such deviations must not be in conflict with the basic nature of this RFP.

Note: Offerors shall not submit their standard terms and conditions as exceptions to the University's General Terms and Conditions. Each exception to the University's General Terms and Conditions shall be individually addressed.

3.14 Modification or Withdrawal of Offer

An offer and/or modification of offer received at the office designated in the solicitation after the exact hour and date specified for receipt will not be considered.

An offer may be modified or withdrawn by written notice before the exact hour and date specified for receipt of offers. An offer also may be withdrawn in person by an offeror or an authorized representative, provided the identity of the person is made known and the person signs a receipt for the offer, but only if the withdrawal is made prior to the exact hour and date set for receipt of offers.

3.15 Rejection

Grounds for the rejection of proposals include (but shall not be limited to):

- Failure of a proposal to conform to the essential requirements of the RFP.
- Imposition of conditions that would significantly modify the terms and conditions of the solicitation or limit the offeror's liability to the University on the contract awarded on the basis of such solicitation.
- Failure of the offeror to sign the University RFP. This includes the Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest statements.
- Receipt of proposal after the closing date and time specified in the RFP.

3.16 Addenda

Any addenda or instructions issued by the purchasing agency prior to the time for receiving proposals shall become a part of this RFP. Such addenda shall be acknowledged in the proposal. No instructions or changes shall be binding unless documented by a proper and duly issued addendum.

3.17 Disclosure of Offeror's Response

The RFP specifies the format, required information and general content of proposals submitted in response to this RFP. The purchasing agency will not disclose any portions of the proposals prior to contract award to anyone outside the Purchasing Division, the University's administrative staff, representatives of the state or federal government (if required) and the members of the committee evaluating the proposals. After a contract is awarded in whole or in part, the University shall have the right to duplicate, use or disclose all proposal data submitted by offerors in response to this RFP as a matter of public record.

Any submitted proposal shall remain valid six (6) months after the proposal due date.

The University shall have the right to use all system ideas, or adaptations of those ideas, contained in any proposal received in response to this RFP. Selection or rejection of the proposal will not affect this right.

3.18 Restrictions on Communications with University Staff

From the issue date of this RFP until a contractor is selected and a contract award is made, offerors are not allowed to communicate about the subject of the RFP with any University administrator, faculty,

staff or members of the board of trustees except: the purchasing office representative, any University purchasing official representing the University administration, others authorized in writing by the purchasing office and University representatives during offeror presentations. If violation of this provision occurs, the University reserves the right to reject the offeror's proposal.

3.19 Cost of Preparing Proposal

Costs for developing the proposals and any subsequent activities prior to contract award are solely the responsibility of the offerors. The University will provide no reimbursement for such costs.

3.20 Disposition of Proposals

All proposals become the property of the University. The successful proposal will be incorporated into the resulting contract by reference.

3.21 Alternate Proposals

Offerors may submit alternate proposals. If more than one proposal is submitted, all must be complete (separate) and comply with the instructions set forth within this document. Each proposal will be evaluated on its own merits.

3.22 Questions

All questions shall be submitted via Unimarket.

3.23 Section Titles in the RFP

Section titles used herein are for the purpose of facilitating ease of reference only and shall not be construed to infer the construction of contractual language.

3.24 No Contingent Fees

No person or selling agency shall be employed or retained or given anything of monetary value to solicit or secure this contract, except bona fide employees of the offeror or bona fide established commercial or selling agencies maintained by the offeror for the purpose of securing business. For breach or violation of this provision, the University shall have the right to reject the proposal, annul the contract without liability, or, at its discretion, deduct from the contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee or other benefit.

3.25 Proposal Addenda and Rules for Withdrawal

Prior to the date specified for receipt of offers, a submitted proposal may be withdrawn by submitting a written request for its withdrawal to the University purchasing office, signed by the offeror. Unless requested by the University, the University will not accept revisions or alterations to proposals after the proposal due date.

4. EVALUATION PROCESS

A committee of University officials will evaluate proposals and make a recommendation to the Procurement Officer. Western Kentucky University will use a multi-phase evaluation process as outlined below.

The evaluation will be based upon the information provided in the proposal, the Financial Proposal, additional information requested by the University for clarification, information obtained from references and independent sources, and oral presentations, if requested.

The evaluation of proposals will include consideration of responses to the list of criteria in Section 3. Offerors must specifically address all criteria in their response. Any deviations or exceptions to the specifications or requirements must be described and justified in a transmittal letter. Failure to list such exceptions or deviations in the transmittal letter may be considered sufficient reason to reject the proposal.

The evaluation of responsive proposals shall then be completed by an evaluation committee and will be evaluated strictly in accordance with the requirements set forth in this RFP, including any addenda that are issued.

Phase 1 Evaluation Process and Scoring

The evaluation committee shall review proposals and each committee member shall independently score each proposal based on the Phase 1 Evaluation Criteria contained in this document. The score as determined by each evaluation committee member will be averaged to determine the Phase 1 committee composite score for each Offeror. Insofar as it is practical, no less than two (2) Offerors will be selected to participate in Phase 2. A minimum score to proceed to Phase 2 is 75. The relative weight and available points/score of the criteria for Phase 1 is defined below:

Qualifications – Firm	20 Points
Project Team Qualifications	30 Points
Services Defined	30 Points
Scheduling Ability	10 Points
Constructability and Interdisciplinary Coordination Review	<u>10 Points</u>
Maximum Points available for Phase 1: 100 Points	

Phase 2 Evaluation Process and Scoring

Each Offeror selected to participate in Phase 2 shall be required to participate in a Presentation before the evaluation committee and shall submit a Financial Proposal. Phase 2 finalists may also be required to submit additional information as requested by the University.

Phase 2 Step 1 - The Phase 2 Presentations and any additional information supplied by the finalist shall be evaluated and ranked by the evaluation committee members, who shall not have knowledge of the Financial Proposal component. Each evaluator shall independently evaluate the Phase 2 non price offers and indicate a ranking (1st, 2nd, 3rd, etc...).

The evaluation committee shall then discuss and determine the ranking for each offeror. The relative weight and available points/score of the criteria for Phase 2 Step 1 is defined below:

Rank	Points/Score
1st	100 Points
2nd	75 Points
3rd	50 Points
4th or below	25 Points

The total maximum points/scores available for the Non-Price committee composite score for Phase 2 Step 1 will be 100.

Phase 2 Step 2 - A total average Non-Price score for Phase 1 and for Phase 2 Step 1 shall be calculated for each Offeror taking part in Phase 2. The maximum points/score available for Non-Price Score will be 200. The Offerors shall have their Non-Price Score determined by applying the following formula:

$$\text{Phase 1} + \text{Phase 2 Step 1} = \text{Phase 2 Step 2 Non-Price Score}$$

Phase 2 Step 3 - The Financial Proposals are evaluated in Phase 2 Step 3. The maximum points/score available for Offeror's Financial Score will be 200. The Offeror proposing the lowest price/score shall receive the maximum price/score of 200 points. The Offerors shall have their price/score determined by applying the following formula:

$$\text{Lowest Price} \times 200 = \text{Offeror's Financial score}$$

Phase 2 Step 4 - The Offeror with the highest point total from Phase 2 Step 2 plus Phase 2 Step 3 shall receive the award unless the Financial Proposal is in excess of the authorized budget. If two or more of the Offerors achieve the same highest point total at the end of the final phase scoring, the purchasing officer shall request best and final proposals from each Offeror. The Offerors shall have their price/score determined by applying the following formula:

$$\text{Non-Price Score (Phase 1 and Phase 2)} + \text{Offeror's Financial Score} = \text{Offeror's Total Score}$$

Total Evaluation Scoring

The relative importance and available points/score of the criteria for Phase 2 is defined below:

Maximum Financial Proposal Point/Score	200 (50%)
Maximum Non-Price Points/Score for Phase 1 and Phase 2	<u>200 (50%)</u>
Maximum Points available for Financial and Non-Price Score	400 Points

The evaluation will be based upon the information provided in the proposal, additional information requested by the University for clarification, information obtained from references and independent sources and oral presentations (if requested).

Evaluating Committee Members:

Bryan Russell, Chief Facilities Officer
Ken Branch, Director, Department of Facilities Management
Les Forsythe, Sr Associate Athletic Director for Facilities & Events
Kerra Ogden, Capital Construction Project Manager
Dan Chaney, Capital Construction Project Manager
Marci Morehead, Purchasing Officer (non-voting)

5. SUPPLEMENTAL CONDITIONS

5.1 Terms and Conditions

The General Conditions of the Contract for Construction by a Construction Manager at Risk are attached as Attachment "A".

5.2 Contract

The Contract between Western Kentucky University and Construction Manager at Risk are attached as Attachment "B".

The proposal shall include pricing for all phases as described herein.

5.3 Special Conditions

The Special Conditions of the Contract for Construction by a Construction Manager at Risk are attached as Attachment "C"

5.4 Bonding

Only Phase 2 Offerors shall submit a bid guarantee of not less than five (5%) percent of the amount of the base bid.

A 100% Performance Bond and 100% Payment Bond shall be furnished by the successful offeror. All bonding and insurance requirements are contained in the RFP and/or General Conditions.

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond as defined in the Agreement, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void.

The Construction Manager shall provide a Performance and Payment Bond initially in the amount of the Construction Management Service fee and, subsequently, the Construction Manager shall provide performance and payment bonds for each phase of the Work to be performed under the Contract at such time the phase of the Work is awarded, each in a penal amount equal to the dollar amount for the Work included in the applicable phase.

5.5 Additions, Deletions or Contract Changes

The University reserves the right to add, delete, or change related items or services to the contract established from this RFP. No modification or change of any provision in the resulting contract shall be made unless such modification is mutually agreed to in writing by the contractor and the project manager, which will be then incorporated as a written modification to the contract. Memoranda of understanding and correspondence shall not be interpreted as a modification to the contract.

5.6 Competitive Negotiation

It is the intent of the RFP to enter into competitive negotiation as authorized by KRS 45A.085.

The University will review all proposals properly submitted. However, the University reserves the right to request necessary modifications, reject all proposals, reject any proposal that does not meet mandatory requirement(s) or cancel this RFP, according to the best interests of the University.

Offeror(s) selected to participate in negotiations may be given an opportunity to submit a Best and Final Offer to the purchasing agency. All information-received prior to the cut-off time will be considered part of the offeror's Best and Final Offer.

The University also reserves the right to waive minor technicalities or irregularities in proposals providing such action is in the best interest of the University. Such waiver shall in no way modify the RFP requirements or excuse the offeror from full compliance with the RFP specifications and other contract requirements if the offeror is awarded the contract.

5.7 Appearance Before Committee

Any, all or no offerors may be requested to appear before the evaluation committee to explain their proposal and/or to respond to questions from the committee concerning the proposal. Offerors are prohibited from electronically recording these meetings. The committee reserves the right to request additional information.

5.8 Construction Manager Cooperation in Related Efforts

Western Kentucky University reserves the right to undertake or award other contracts for additional or related work. The Construction Manager shall fully cooperate with such other Contractors and University employees and carefully fit their work to such additional work. The Construction Manager shall not commit or permit any act, which will interfere with the performance of work by any other Contractor(s) or by University employees. This clause shall be included in the contracts of all parties with whom this Construction Manager will be required to cooperate. The University shall equitably enforce this clause to all parties, to prevent the imposition of unreasonable burdens on any Construction Manager

5.9 Construction Manager Responsibility

Any contracts that may result from the RFP shall specify that the contractor(s) is/are solely responsible for fulfillment of the contract with the University.

5.10 Method of Award

It is the intent of the University to award a contract to the qualified offeror whose offer, conforming to the conditions and requirements of the RFP, is determined to be the most advantageous to the University, cost and other factors considered.

Notwithstanding the above, this RFP does not commit the University to award a contract from this solicitation. The University reserves the right to reject any or all offers and to waive formalities and minor irregularities in the proposal received.

5.11 Confidentiality

The University recognizes an offeror's possible interest in preserving selected information and data included in the proposal; however, the University must treat such information and data as required by the Kentucky Open Records Act, KRS 61.870, et seq.

Information areas which normally might be considered proprietary, and therefore confidential, shall be limited to individual personnel data, customer references, formulae and company financial audits which, if disclosed, would permit an unfair advantage to competitors. If a proposal contains information in

these areas and the offeror declares them to be proprietary in nature and not available for public disclosure, the offeror shall declare in the Transmittal Letter the inclusion of proprietary information and shall noticeably label as confidential or proprietary each sheet containing such information. Proposals containing information declared by the offeror to be proprietary or confidential, either wholly or in part, outside the areas listed above may be deemed non-responsive and may be rejected.

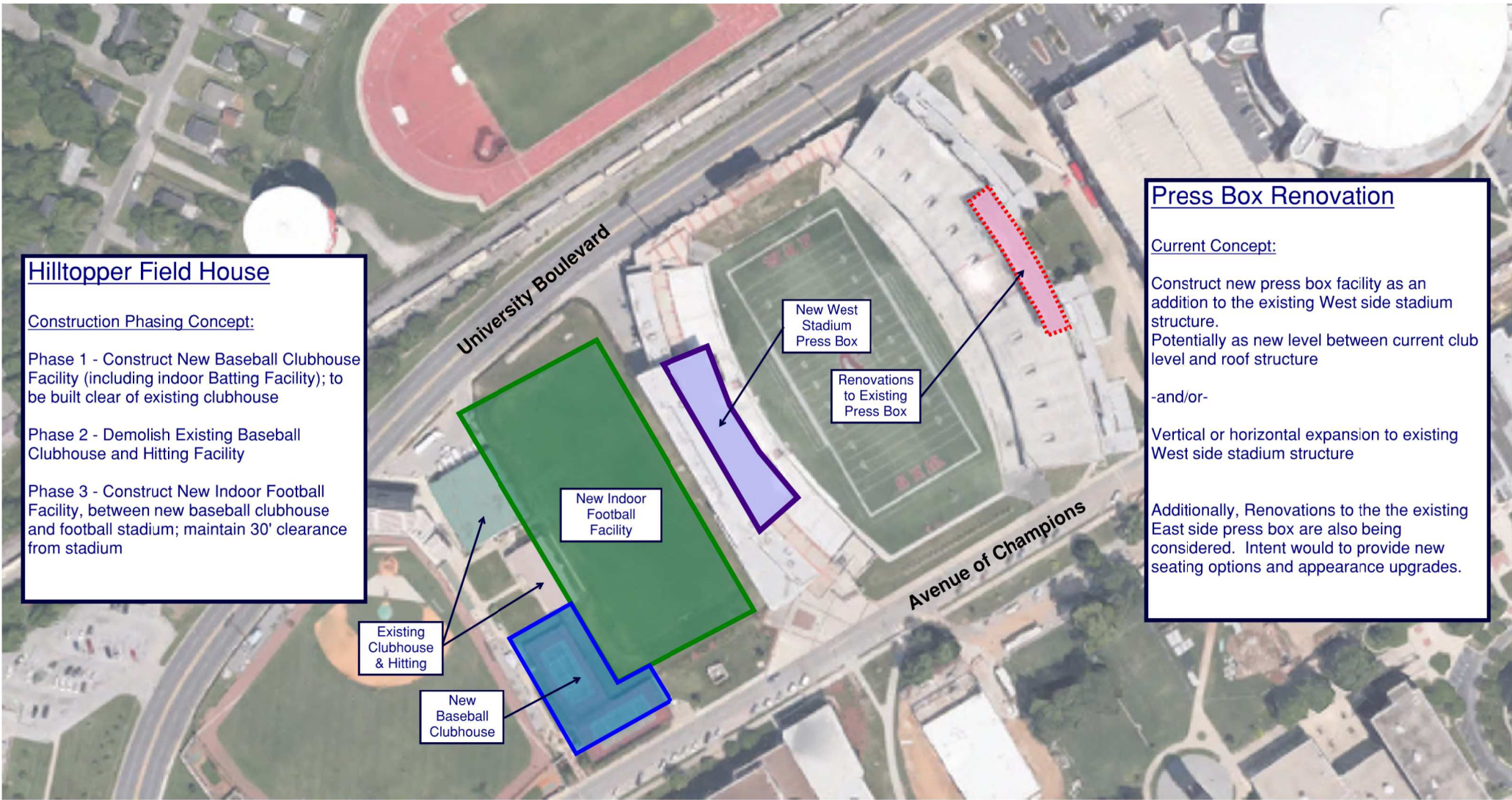
The University's General Counsel shall review each offeror's information claimed to be confidential and, in consultation with the offeror (if needed), make a final determination as to whether or not the confidential or proprietary nature of the information or data complies with the Kentucky Open Records Act.

5.12 Conflict of Interest

This Request for Proposal and resulting Contract are subject to provisions of the Kentucky Revised Statutes regarding conflict of interest and the Western Kentucky University's Ethical Principles and Code of Conduct. When submitting and signing a proposal, an offeror is certifying that no actual, apparent or potential conflict of interest exists between the interests of the University and the interests of the offeror. A conflict of interest (whether contractual, financial, organizational or otherwise) exists when any individual, contractor or subcontractor has a direct or indirect interest because of a financial or pecuniary interest, gift or other activities or relationships with other persons (including business, familial or household relationships) and is thus unable to render or is impeded from rendering impartial assistance or advice, has impaired objectivity in performing the proposed work or has an unfair competitive advantage.

Questions concerning this section or interpretation of this section should be directed to the University purchasing officer identified in this RFP.

WKU Field House and Press Box Renovation Project



Hilltopper Field House

Construction Phasing Concept:

Phase 1 - Construct New Baseball Clubhouse Facility (including indoor Batting Facility); to be built clear of existing clubhouse

Phase 2 - Demolish Existing Baseball Clubhouse and Hitting Facility

Phase 3 - Construct New Indoor Football Facility, between new baseball clubhouse and football stadium; maintain 30' clearance from stadium

Existing Clubhouse & Hitting

New Baseball Clubhouse

New Indoor Football Facility

New West Stadium Press Box

Renovations to Existing Press Box

Press Box Renovation

Current Concept:

Construct new press box facility as an addition to the existing West side stadium structure. Potentially as new level between current club level and roof structure

-and/or-

Vertical or horizontal expansion to existing West side stadium structure

Additionally, Renovations to the the existing East side press box are also being considered. Intent would to provide new seating options and appearance upgrades.

Optional Design Schedule

WKU Field House Press Box

Space Program
HKS Proj. No. 25543

	2022				2023												2024												2025																				
	September	October	November	December	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September	October	November	December	J	F	M	A	M	J	J	A	S	O	N	D									
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4					
Site Evaluation																																																	
Program Verification																																																	
Schematic Design																																																	
Design Development																																																	
Construction Documents																																																	
CONSTRUCTION																																																	
Bid Negotiation																																																	
Construction of Baseball Clubhouse																																																	
Demolish existing baseball facilities																																																	
Construction of Indoor Practice Facility																																																	
Construction of Press Box																																																	
WKU Baseball Season																																																	
WKU Football Season																																																	



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FOR CONSTRUCTION BY A CONSTRUCTION MANAGER AT RISK
Western Kentucky University Planning Design and Construction

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GENERAL CONDITIONS OF THE CONTRACT FOR CONSTRUCTION BY A
CONSTRUCTION MANAGER AT RISK
Western Kentucky University
Planning Design and Construction

These General Conditions are binding upon the Construction Manager and all Sub-contractors as each are subject to the provisions contained herein.

ARTICLE 1 - DEFINITIONS

- 1.1 Wherever used in these General Conditions or in other Contract Documents, the following terms have the meaning indicated which are applicable to both the singular and plural thereof:
- 1.1.1 ARCHITECT'S SUPPLEMENTAL INSTRUCTIONS (ASI) - The term "ASI" means a written order issued by the Consultant that clarifies or interprets the Contract Documents, that orders minor changes in the Work, that does not require an adjustment in either cost or time, and that does not require a Change Order.
- 1.1.2 BUSINESS DAY – The term "Business Day" means a Calendar Day that is not a Saturday, Sunday or legal holiday.
- 1.1.3 CALENDAR DAY - The term "Calendar Day" means a day of twenty-four hours measured from midnight to the next midnight
- 1.1.4 CHANGE ORDER - The term "Change Order" means a written order to the Construction Manager, signed by the Owner and issued after the execution of the Contract, directing a change in the Work or an adjustment in the Contract Amount or the Contract Time. A Change Order may be an agreed change by the Construction Manager and the Owner or it may be a unilateral change by the Owner.
- 1.1.5 CONSULTANT - The term "Consultant" means the person and/or entity, whether singular or plural, either Architect, Engineer or other Consultant, who is or are identified as such in the Contract Documents.
- 1.1.6 CONSTRUCTION MANAGER or CONSTRUCTION MANAGER AT RISK (CM) - The term "Construction Manager" or "Construction Manager at Risk" (CM) means the person or entity who will or has entered into a contract with the Owner that assumes the risk for construction of the Project as the construction manager, and who will provide consultation and collaboration regarding the construction during and after design of the Project. The CM shall execute and hold all construction Trade Contracts and Purchase Orders for the Project.
- 1.1.7 CONTRACT - The term "Contract" means the Contract between Owner and Construction Manager and consists of all Contract Documents as defined in Article 1.1.10 of these General Conditions.

- 1.1.8 CONTRACT AMOUNT - The term "Contract Amount" means the sum stated in the Agreement which represents the total amount payable by the Owner to the Construction Manager for the performance of the Work under the Contract Documents, plus or minus adjustments as provided for in the Contract Documents or by approved Change Orders.
- 1.1.9 CONTRACT DOCUMENTS - The "Contract Documents" include the Agreement of Contract between the Owner and the Construction Manager (the Agreement"); the Request for Proposal; the General Conditions; the Special Conditions; the Construction Manager's Form of Proposal; the Construction Manager's Bonds; the Specifications, Drawings and Addenda for the construction of the Project which are to be used for bidding of the bid pack/Trade Contracts; and any Change Orders issued after execution of this Contract. The Contract Documents shall not be construed to create a contractual relationship of any kind between the Owner and any Sub-contractor, or any person or entity other than the Construction Manager. Documents not included or expressly contemplated in this Article do not, and shall not, form any part of the Contract for Construction. Without limiting the generality of the foregoing, shop drawings and other submittals from the Construction Manager or its Sub-contractors and suppliers do not constitute a part of the Contract Documents. Except as otherwise provided, where these Contract Documents obligate the Construction Manager to certain responsibilities or require the Construction Manager to perform certain actions, the Construction Manager may require these same responsibilities and/or actions of one or more Sub-contractors. However, assignment of such responsibilities or actions to one or more Sub-contractors shall not be construed to relieve the Construction Manager of its obligation to the University under this contract.
- 1.1.10 CONTRACT TIME - The term "Contract Time", unless otherwise provided, means the specified number of consecutive Calendar Days following the stipulated commencement of the Work as stated in the Work Order, plus or minus adjustments as provided for by approved Change Orders, within which the Construction Manager shall complete the Work required by the Contract and shall achieve certification of substantial and final completion.
- 1.1.11 KRS REFERENCES - Reference to "KRS" means the "Kentucky Revised Statutes" adopted by the Commonwealth of Kentucky, including all laws that may have been revised, amended, supplemented or new laws enacted.
- 1.1.12 OWNER - The term "Owner" means the Western Kentucky University, a statutory body corporate existing pursuant to Sections 164.100 et seq. of the Kentucky Revised Statutes.
- 1.1.13 PROJECT - The term "Project" means the total construction of the Work performed under the Contract Documents, which may be the whole or a part, and which may include construction by the Owner or by separate contracts.
- 1.1.14 PROJECT MANAGER - The term "Project Manager", when used alone, means the

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Owner's representative responsible for administration and management of the Project. The Owner's Project Manager during construction shall be the designated Western Kentucky University Capital Projects Management Project Manager that is in charge of the Project. The term "CM Project Manager" means the individual employed by the Construction Manager who is assigned to the Project to provide overall management during both the design and construction phases of the Project, and who has total responsibility for the successful completion of the Project

- 1.1.15 PROVIDE - The term "Provide," as used throughout the specifications, shall mean furnish, install and pay for.
- 1.1.16 SHOP DRAWINGS - The term "Shop Drawings" means drawings, diagrams, schedules, and other data specially prepared for the Work by the Construction Manager or any Sub-contractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.
- 1.1.17 SUBSTANTIAL COMPLETION - The term "Substantial Completion" is the point at which, as certified in writing by the Owner, a project is at the level of completion, in strict compliance with the contract, where (a) necessary approval by public regulatory authorities (and by other authorities having jurisdiction or as identified in Article 11.2, as necessary) has been given; (b) the Owner has received all required warranties and documentation, and (c) the Owner may enjoy beneficial use or occupancy and may use, operate, and maintain the project in all respects, for its intended purpose. Partial use or occupancy shall not necessarily result in the project being deemed substantially complete and shall not be evidence of Substantial Completion. In order for the Owner to enjoy beneficial use or occupancy and use, operate, and maintain the project in all respects, for its intended purpose, the stage or progress of the Work or a designated portion thereof shall be sufficiently complete, accessible, operable and usable, and all parts, systems and site Work shall be 100% complete, cleaned and available for the Owner's full use without interruption in accordance with the Contract Documents, including but not limited to the provisions of Article 28 of these General Conditions. The Work will not be considered acceptable for Substantial Completion review until all Project systems included in the Work are operational as designed and scheduled, all designated or required governmental inspections and certifications have been made and approvals provided to the Owner, designated instruction of the Owner's personnel in the operation of systems has been completed, and all final finishes within the Contract Documents are in place. In general, the only remaining Work shall be minor in nature so that the Owner and/or the Owner's tenants could occupy the Project on that date and the completion of the Work by the Construction Manager would not materially interfere or hamper the Owner's or the Owner's tenants' normal business operations. As a further condition of Substantial Completion acceptance, the Construction Manager shall certify in writing that all remaining Work, the same being solely of a "punch list" nature, will be completed within thirty (30) consecutive Calendar Days following the date of Substantial Completion.

- 1.1.17.1 The parties agree that "substantial completion" as defined in Article No. 2 of the Agreement and Article 1 of the General Conditions, as extended by approved Change Order(s) pursuant to Article 18.1 of the General Conditions, shall be the "date of completion specified in the contract" for purposes of KRS. 45A.250(2).
- 1.1.18 SUB-CONTRACTOR - The term "Sub-contractor" means the person, company, corporation, joint venture or other legal entity with whom the Construction Manager has executed a Contract for a portion of the Work.
- 1.1.19 WORK - The term "Work" means the scope of construction and services required by the Contract Documents and all approved Change Orders, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Construction Manager to perform and complete the Construction Manager's obligations under the Contract in an expeditious, orderly and workmanlike manner. The Work may constitute the whole or a part of the Project.
- 1.1.20 WORK ORDER - The term "Work Order" means a written notice by the Owner to the Construction Manager authorizing the Construction Manager to commence Work under the Contract and establishing the beginning date from which the time for Substantial and Final Completion shall be established.
- 1.1.21 UNIT PRICE - The term "Unit Price" means the amount per unit of measurement for materials or services as described in the bid documents.

ARTICLE 2 - CONSULTANT

- 2.1 The Consultant will be the Owner's representative during construction and until the Work is complete. The Consultant will advise and consult with the Owner. The Owner's instructions to the Construction Manager may be forwarded through the Consultant.
- 2.2 The Consultant will regularly, but no less frequently than monthly, visit the site to become familiar with the progress of the Work, the quality of the Work being provided and to determine if the work is proceeding in accordance with the Contract Documents. On the basis of these on-site inspections, the Consultant will inform the Owner of the progress of the Work, will advise the Owner of any defects and deficiencies observed in the Work and, when appropriate, will certify to the Owner that the Work in place equals or exceeds the amount requested by the Construction Manager on all applications for progress payments.
 - 2.2.1 If applicable for the Work, the Consultant will verify to the Owner that the Construction Manager is performing erosion prevention and sediment control inspections as required by the Kentucky Division of Water Construction General Permit (KYR10) at least once every 7 days and shall include the findings in the site visit reports.
- 2.3 The Consultant will be the interpreter of the requirements of the drawings and

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specifications and any changes made to the drawings and specifications.

- 2.4 Claims, disputes, and other matters in question that arise relating to the execution or the progress of the Work shall be referred in writing to the Consultant by the Construction Manager. The Consultant will provide a response in accordance with and subject to the provisions of Article 38 of these General Conditions.
- 2.5 The Consultant will have the authority to reject Work which does not conform to the Contract Documents or to the required level of quality and performance.
- 2.6 The Consultant will review and approve, or take other appropriate action upon receipt of the Construction Manager's submittals such as Shop Drawings, product data, and samples. The review of submittals will be for general conformance with the design concept of the work, and for compliance with the information provided by the Contract Documents. Such review will not relieve the Construction Manager of any responsibility for errors or omissions in submittals, and will in no way constitute a waiver of or change to the requirements of the Contract Documents.
 - 2.6.1 The Consultant's review and response will be completed with reasonable promptness with a goal of ten (10) business days or less. The Consultant's review of a specific item shall not indicate approval of an assembly of which the item is a component.
- 2.7 The Consultant will prepare Change Orders for the Owner to direct changes in the Work. Minor changes in the Work, not involving modifications to the contract cost or completion times and that are consistent with the purpose of Work, may be directed by the Consultant through Architect's Supplemental Instructions (ASI).
- 2.8 When requested by the Construction Manager, the Consultant will conduct inspections to determine if the Project is at the level of completion required by and is in strict compliance with the Contract such that the Owner may enjoy beneficial use or occupancy and may use, operate, and maintain the project in all respects for its intended purpose, as further defined in the Contract. If the level of completion warrants, the Consultant will confirm that all necessary approvals by public regulatory authorities or other authorities having jurisdiction have been given, will confirm that the Owner has received all required warranties and documentation, will recommend dates for certification of Substantial Completion and Final Completion by the Owner, and will complete and submit the Notice of Termination of coverage under the KPDES General Permit for Storm Water Discharges Associated with Construction Activity.
- 2.9 The Construction Manager will accept direction for the Work on the Project only from the Owner's Project Manager or from the Consultant. Requests for information from the Construction Manager shall be directed to the Consultant.

ARTICLE 3 - CORRELATION AND INTENT OF CONTRACT DOCUMENTS

- 3.1 Execution of the Contract by the Construction Manager is a representation that

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the Construction Manager has or shall thoroughly and carefully examine the site of the of Work; shall timely investigate all conditions which can affect the Work or its cost, including but not limited to availability of labor, materials, supplies, water, electrical power, roads, access to the site, uncertainties of weather, water tables, the character of equipment and facilities needed to perform the Work, and local conditions under which the Work is to be performed; and further, that the Construction Manager shall insure that the documents issued for bidding by Sub-contractors reflect the results of this investigation and are adequate to complete the Work. It is the responsibility of the Construction Manager to be familiar with and comply with all Federal, State, and local laws, ordinances, and regulations which might affect those engaged in the Work, and to be familiar with the materials, equipment, or procedures to be used in the Work, or which in any other way could affect the completion of the Work. The Construction Manager shall carefully study and compare the Contract Documents with each other and with other information provided to the Construction Manager by the Consultant or the Owner pursuant to the Contract Documents and shall notify the Owner and the Consultant in writing of any errors, inconsistencies or omissions in the Contract Documents recognized by the Construction Manager. Any failure to properly familiarize itself with the proposed Work shall not relieve the Construction Manager from the responsibility for completing the Work in accordance with the Contract Documents.

- 3.2 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Construction Manager. All labor or materials which are reasonably inferable from the Contract Documents and which are necessary to produce the desired result, even though not specifically mentioned in the Contract Documents, shall be included in the Work at no additional cost to the Owner.
- 3.3 In the event a question arises regarding the meaning or intent of the Contract Documents, the Construction Manager shall report it by preparing an RFI to the Consultant. The Consultant shall furnish, with reasonable promptness and with a goal of three (3) business days and by whatever means as may be appropriate, additional instructions necessary for the proper execution of the Work. All such drawings and instructions shall be consistent with the Contract Documents, true developments thereof, and reasonably inferable therefrom. The Work shall be executed in conformity therewith and the Construction Manager shall do no Work without proper drawings and instructions. Items indicated on drawings as "N.I.C." or "Not In Contract" are shown for explanation purposes only and are not to be included in this Contract.
- 3.4 The Contract Documents are complementary, and what is required by one shall be binding as if required by all. In case of conflicts between the various documents, the order of precedence will be as follows: (1) Addenda, (2) Special Conditions, (3) General Conditions, (4) Technical provisions of the Specifications and (5) Drawings.
- 3.5 Any notice to the Construction Manager from the Owner regarding this Contract shall be in writing and delivery and service of such notice shall be considered

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complete when sent by certified mail to the Construction Manager at Construction Manager's last known address. Such notice may also, at the Owner's election, be hand-delivered to the Construction Manager or the Construction Manager's authorized representative.

ARTICLE 4 - PRE-CONSTRUCTION CONFERENCE

- 4.1 Following the execution of the Contract, a pre-construction conference will be held. Representatives of the Capital Project Management Division, Consultant, Construction Manager, and all major Sub-contractors shall be present to discuss the time for construction, methods and plan of operation, authority of the Consultant, procedures for handling shop drawings, progress estimates and requests for payments, and other relevant issues. The time and location of this meeting will be the responsibility of the Construction Manager in consultation with the Consultant, Owner and other interested parties.
- 4.2 Environmental aspects of the project, including erosion prevention and sediment control (EPSC) and storm water management shall be discussed during this conference. The Group shall discuss the Storm Water Pollution Prevention Plan (SWPPP) to ensure that all parties understand the requirements. During this meeting the responsibility for reading the rain gage on a daily basis will be established. The Construction Manager will identify the initial measures to be installed prior to land disturbing activities beginning. Any modifications to the SWPPP due to constructability issues should be discussed at this conference.

ARTICLE 5 - SHOP DRAWINGS

- 5.1 The Construction Manager shall submit a shop drawing and product sample submittal schedule to the Consultant establishing dates for the submission of Shop Drawings and product samples prior to the submittal of the Construction Manager's first application for payment for construction phase services. The schedule shall have been coordinated with all Sub-contractors and material suppliers as well as the Construction Manager's construction schedule and shall allow for adequate and reasonable time for review of the samples and submittals by the Consultant. The Construction Manager shall be responsible for compliance with the submittal schedule and shall insure that the submittal schedule is maintained in order to accurately reflect the status of processing all required submittals.
- 5.2 The Construction Manager shall review product samples and Shop Drawings for compliance with the requirements of the Contract Documents, and shall submit them to the Consultant in accordance with submittal procedure and schedule established. The Construction Manager's review and submittal to the Consultant of any Shop Drawing or sample shall constitute a representation to the Owner and Consultant that a) the Construction Manager has determined and verified all quantities, dimensions, field construction criteria, materials, catalog numbers, and similar data, or assumes full responsibility for doing so, and that b) each Shop

Drawing or sample has been reviewed or coordinated with the requirements of the Work and the Contract Documents. Shop Drawings and submittal requirements shall not be deemed satisfied until approvable documents are received by the Consultant. Incorrect or incomplete submittals will be returned to the Construction Manager without action. No claim for additional time or extension of the contract will be considered if such claim is the result of failure by the Construction Manager to provide correct, accurate, complete and approvable submittals.

- 5.3 The Consultant will review submittals with reasonable promptness, and take appropriate action or return submittals to the Construction Manager for corrections as may be required. The Construction Manager shall make any corrections required by the Consultant for compliance with the Contract and shall return the required number of corrected copies of Shop Drawings and resubmit new samples until approved. The Construction Manager shall direct specific attention, in writing, or on resubmitted Shop Drawings, to revisions other than the corrections called for by the Consultant on previous submissions.
- 5.4 Where a Shop Drawing or sample submission is required by the specifications, no related Work shall be commenced until the submission has been accepted in writing by the Consultant. The review and acceptance shall be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents. The acceptance of a separate item will not indicate acceptance of the assembly in which the item functions. A copy of each accepted Shop Drawing and product sample shall be kept in good order by the Construction Manager at the site and shall be made available to the Consultant on request.
- 5.5 The Consultant's acceptance of Shop Drawings or samples shall not relieve the Construction Manager from the responsibility for any deviations from the requirements of the Contract Documents unless the Construction Manager has in writing called the Consultant's attention to such deviation at the time of submission and the Consultant has given written approval to the specific deviation. Any acceptance by the Consultant does not relieve the Construction Manager from responsibility for errors or omissions in the Shop Drawings.

ARTICLE 6 - LAYING OUT WORK

- 6.1 The Construction Manager will secure all data at the site of the building such as grades of lot, convenience of receiving and sorting material, location of public services, and other information which will have a bearing proposals or on the execution of the Work and shall address these issues in the preparation of scopes of work for the Subcontract bid packages. No allowance shall be made for failure of the Construction Manager to obtain such site information prior to submitting their proposal or to include such information in the Subcontract bid packages, and no adjustment to the Construction Manager's Contract amount or stipulated time for completion shall be allowed when due to failure by the Construction Manager to do so.

- 6.2 The Construction Manager shall be responsible for all lines, levels and measurements of all Work executed under the Contract. The Construction Manager shall verify all dimensions before laying out the Work and will be held responsible for any error resulting from failure to do so. Working from lines and levels established by the property survey or by other Contract Documents, and as shown in relation to the Work, the Construction Manager will establish and maintain bench marks and other dependable markers to set lines and levels for Work at each area of construction and elsewhere on the site as needed to properly locate each element of the entire Project. The Construction Manager shall calculate and measure from the bench marks and dependable markers required dimensions as shown (within recognized tolerances if not otherwise indicated), and shall not scale drawings to determine dimensions. The Construction Manager shall advise Sub-contractors and trades persons performing Work of marked lines and levels provided for their use in layout work. The Construction Manager shall verify layout information shown on drawings as required for the Work.
- 6.3 The Construction Manager shall be responsible for coordination of the installation of all elements of the Work, including preparation of coordination drawings if required by the Contract Documents or deemed necessary by the Construction Manager for performance of the Work.
- 6.4 If any encroachments are made by the Construction Manager or any Sub-contractor on any adjacent property, the Construction Manager shall, at the Construction Manager's expense, and within thirty (30) Calendar Days after written notice from the Owner or the Consultant, correct any encroachments and obtain approval from the owner of such adjacent property for any encroachments that cannot be feasibly corrected. The Construction Manager shall not be entitled to any adjustment to the Contract Amount or the Contract Time as a result of any such encroachment or the correction thereof.

ARTICLE 7 - PLANS, DRAWINGS, SPECIFICATIONS AND RECORD DRAWINGS

- 7.1 The cost of plans, specifications and official contract documents for use by Sub-contractors for bidding and for construction shall be borne by the Construction Manager or by the Sub-contractors. Arrangements for orders and payment for plans, specifications and other contract documents must be made with Lynn Imaging.
- 7.2 The Construction Manager shall keep one copy of all Contract Documents, including Drawings, Specifications and Shop Drawings on the site and in good order. A qualified representative of the Construction Manager shall record on these documents, from day to day as Work progresses, all changes and deviations from the Contract Documents. Prior to Substantial Completion, the Construction Manager shall complete and turn over to the Consultant the As-Built drawings, with a digital copy (in PDF format) submitted to the Owner simultaneously. The As-Built drawings shall consist of a set of drawings which indicate all field changes that were

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made to adapt to field conditions, changes resulting from Change Orders and all concealed and buried installations of piping, conduit and utility services. All buried and concealed items, both inside and outside the facility, shall be accurately located on the As-Built drawings as to depth and in relationship to not less than two permanent features such as interior or exterior wall faces. The As-Built drawings shall be clean and all changes, corrections and dimensions shall be given in a neat and legible manner in a contrasting color. For any changes or corrections in the Work which are made subsequent to the Substantial Completion Inspection, revisions shall be made to the As-Built drawings and submitted to the Consultant prior to final payment. Approval of the final payment request shall be contingent upon compliance with these provisions.

- 7.3 All drawings, specifications and copies thereof, furnished by the Consultant to the Owner, are the property of the Western Kentucky University. They shall not be used by the Consultant, Construction Manager, or any Sub-contractor or Supplier on any other Project.

ARTICLE 8 - TEMPORARY UTILITIES

- 8.1 The Construction Manager shall provide and pay for, unless modified in the Special Conditions, all temporary conveniences including, but not limited to, wiring, lighting, power and electrical outlets, heat, water, and sanitary facilities required for construction. In the event the Owner elects to make available, at no cost to the Construction Manager, the electric power required for construction activities, the electric power supplied shall not be utilized as a means to provide temporary heat or for welding.
- 8.2 The Construction Manager is responsible for paying all utility costs, whether the costs are from an outside utility company or from the University, for utility services used in the course of completing the Work. The Construction Manager shall provide temporary heating, ventilation, telephones, water, electricity, portable gas, lighting for the Work, safety lighting, security lighting, and trash removal/dumpster service for both Construction Manager and Sub-contractor use during the Project. Work and safety lighting shall be provided continuously during working hours. Security lighting shall be provided at all hours of darkness.

ARTICLE 9 - MATERIALS, EQUIPMENT, APPLIANCES, AND EMPLOYEES

- 9.1 Unless otherwise provided in the Contract Documents, the Construction Manager shall provide and pay for all materials, labor and personnel, tools, equipment, construction equipment and machinery, utilities, supplies, appliances, transportation, taxes, temporary facilities, licenses, permits and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and the proper execution and completion of the Work safely, without damage to persons and property, and in compliance with all applicable law. The Construction Manager shall furnish, erect, maintain, and remove at the completion of the Contract, all temporary installations as may be required during the construction period.
- 9.2 Immediately following the execution of each of the Trade Contracts, the Construction Manager shall determine the source of supply for all materials required under that Trade Contract and the length of time required for their delivery, and shall assure that orders are placed for such materials in sufficient time to assure delivery to the site so that such materials are available to be incorporated into the Work when needed to comply with the schedule of Work.
- 9.3 The Construction Manager shall immediately notify the Consultant in writing of any known problems with the procurement, fabrication or ordering of any materials. Unless changes are approved in writing by the Consultant, the Construction Manager will not be excused for delays in securing materials specified.
- 9.4 The Construction Manager or Sub-contractors shall not place purchase orders or issue contracts for materials, supplies, equipment and services necessary to complete this Project using the name of the Western Kentucky University. All orders placed by the Construction Manager that are related to this Project must use the name of the Construction Manager or Sub-contractor placing the order. The use of the Western Kentucky University's name for ordering purposes is strictly prohibited. Payment for all goods and services required for the completion of the Work is the sole responsibility of the Construction Manager. Any invoices received at the University that are related to this Project will be immediately forwarded to the Construction Manager. Copies of these invoices will be made and placed in the Construction Manager's file and proof must be provided that these invoices have been paid in full prior to the processing of the next scheduled application for progress payment.
- 9.5 The route for delivery of all materials to the Project shall be coordinated with the Owner's Project Manager.
- 9.6 The Construction Manager shall be responsible for the proper and adequate storage of materials and equipment. Unless otherwise provided in the Contract Documents, all materials shall be of good quality and new. Workmanship and materials supplied and incorporated into this Work shall be of first quality. The Construction Manager, if required, shall furnish satisfactory evidence as to the kind and quality of materials.

- 9.7 The Construction Manager shall at all times enforce strict discipline and good order among all employees and Sub-contractors. The conduct of all individuals performing Work or operations related to the Work is the responsibility of the Construction Manager. The consumption of alcohol or drugs on the job by any workers is strictly prohibited. Any individual apprehended under the influence of alcohol or drugs on the premises at any time shall be subject to automatic removal from the Project by the Construction Manager, the Consultant or the Owner. Improper conduct of any kind will not be permitted and may result in the offending individual, Sub-contractor or Construction Manager being barred from the Owner's premises. The Construction Manager shall not permit the employment on the Project of any person unfit or not skilled in the Work assigned.

ARTICLE 10 - ROYALTIES AND PATENTS

- 10.1 The Construction Manager shall pay all royalties and license fees. If a particular process, product or device is specified in the Contract Documents and it is known to be subject to patent rights or copyrights, the existence of such rights shall be disclosed in the Contract Documents and the Construction Manager is responsible for payment of all associated royalties. The Construction Manager hereby agrees to indemnify, defend and hold the Owner, and any subsidiary, parent, or affiliates of the Owner, or other persons or entities designated by the Owner, and their respective directors, officers, agents, employees and designees (collectively, the "Indemnities") harmless from all losses, claims, liabilities, injuries, damages and expenses, including attorneys' fees and legal expenses, that the Indemnities may incur as a result of the Construction Manager's failure to strictly comply with its obligations under this Paragraph 10.1.

ARTICLE 11 - SURVEYS, PERMITS, REGULATIONS, AND STANDARD CODES

- 11.1 The Owner will furnish only such surveys that are specifically required by the Contract Documents. Approvals, assessments, and easements for permanent structures or permanent changes in existing structures shall be secured and paid for by the Owner, unless otherwise specified. All required utility tap-on fees shall be secured and paid for by the Construction Manager, or included in a Trade Contract. All construction permits, where required by local ordinances, except excavation permit, shall be obtained by the Construction Manager, but no fee shall be charged to or paid by the Construction Manager as the Owner is exempt from such charges. A Contractor's license fee for doing business in the locale, if applicable, shall be paid for by the Construction Manager.
- 11.2 All branches of Work shown on the plans and specifications shall be executed in strict compliance with all state and federal regulations and codes, with all national codes, and with the requirements of both ADA and JCAHO when applicable.
- 11.3 Stormwater Management Compliance:

- A. Contractor (operator) shall conduct stormwater inspections according to KYR10 for sites disturbing one or more acres. Refer also to site technical specifications.
- B. WKU projects shall comply with the stormwater permitting program established by federal regulations promulgated November 16, 1990 by EPA. In Kentucky, this program falls under the KPDES permit program administered by the Kentucky Division of water. Adherence to this program shall be the responsibility of the contractor.
- C. On the WKU Campus, the program applies to all those construction sites that will disturb ground cover and topsoil. This area includes long narrow projects such as buried pipelines/conduit/sewer construction. The area of disturbance is defined as only that portion of the site where ground cover and/or topsoil is removed, as contrasted to areas where tree or shrub clearing is the only activity.
- D. Because Kentucky has a general permit in place, the application involves just the submittal of a Notice of Intent (NOI) form.
- E. The NOI form shall be submitted by the general contractor at least 7 days prior to start of construction. The applicant shall wait for a response from the agency. The agency does respond to the applicant with a copy of the relevant general permit and a letter of coverage.
- F. The construction general permit does not require runoff sampling, but there is a requirement for preparing and implementing a stormwater pollution prevention plan prior to the start of construction. This plan shall be available for review by DOW upon site inspection, although it does not need to be submitted to or approved by the agency prior to permitting. Periodic visual inspections by the permit holder are also required to verify that the erosion control plan is being followed and is working.
- G. Once construction is completed and a ground cover has been established so that erosion control measures are no longer necessary, the permit coverage itself is no longer needed. At this point, the original applicant shall submit a Notice of Termination (NOT) form to the agency.
- H. All information relating to Storm Water Permitting for Construction Sites is available at <http://www.wku.edu/ehs/stormwater/stormwater.php> or <http://www.bgky.org/publicworks/planningdesign/stormwater/index.php>
- I. The WKU Project Manager shall periodically inspect the site to verify the proper installation and maintenance of required erosion and sediment controls. These periodic inspections shall occur within two weeks of the initiation of land disturbance and monthly thereafter until such time as the project is complete and disturbed land stabilized/restored. A report will be generated following each inspection.

ARTICLE 12 - PROTECTION OF WORK, PROPERTY, AND PUBLIC

- 12.1 The Construction Manager shall continuously maintain adequate protection of all Work from damage and shall protect the Owner's property from injury or loss arising in connection with this Contract. Except as otherwise covered by Builder's Risk

insurance, the Construction Manager shall pay for any damage, injury, or loss, except such as may be directly due to errors in the Contract Documents or caused by agents or employees of the Owner. The Construction Manager shall adequately protect adjacent property as provided by law and the Contract Documents.

- 12.2 In an emergency affecting the safety of life, or of the Work, or of adjoining property, the Construction Manager, without special instruction or authorization from the Consultant or the Owner, is obligated to act to prevent such threatened damage, loss or injury.
- 12.3 The Construction Manager shall maintain fire protection as required by the Kentucky Building Code. Access to the Project site and surrounding buildings for local fire truck access during construction must be maintained. The Construction Manager shall maintain construction to allow access to new, existing or temporarily relocated standpipes, fire hydrant connections and fire alarm communication panels pursuant to Section 3018.8 of the Kentucky Building Code. If the Construction Manager utilizes the Owner's fire protection equipment, the Construction Manager shall replace any such materials lost, consumed or misplaced during the Contract period. The Construction Manager is responsible for any false alarms caused by dust created in the Work area or dust traveling to areas beyond the Work area due to inadequate dust protection barriers. Should there be a need for any existing or newly installed fire alarm system, or parts of a system that requires service, to be removed from service or disconnected, prior approval must be obtained from the Owner and the Construction Manager shall immediately provide alternate protection such as a fire watch until such systems are returned to full normal operations. When work or service is completed on a disabled fire alarm system, the Owner shall be immediately notified so the system can be placed in service.
- 12.4 The Construction Manager and Sub-contractors are responsible for the security of their own materials, tools and equipment at the Project site.
- 12.5 The Construction Manager shall provide to the Owner's Project Manager a key to Construction Manager's field office or job trailer.

ARTICLE 13 - BLASTING

- 13.1 Blasting is not allowed unless permission is granted in the Special Conditions. Should blasting be allowed by the Special Conditions, it shall be completed in accordance with all laws, regulations, ordinances and instructions contained in the Special Conditions.

ARTICLE 14 - CONSTRUCTION AND SAFETY DEVICES

- 14.1 The Construction Manager shall provide safety controls for protection of the life and health of employees and visitors. The Construction Manager will utilize precautionary methods for the prevention of damage to property, materials, supplies, and equipment, and for avoidance of work interruptions in the performance of this Contract. In order to provide such safety control, the

Construction Manager shall comply with all pertinent provisions of the Kentucky Fire Prevention Code, Kentucky Building Code, Kentucky Labor Cabinet's Division of Occupational Safety and Health Program Construction Standards and Federal Occupational Safety and Health (Construction) Standards that are in effect at the time the Contract is entered into and during the period in which the Contract is to be performed.

- 14.2 The Construction Manager shall provide a written safety program which includes all pertinent written specialty standards such as, but not limited to, Control of Hazardous Energy Sources (Lockout/Tagout), Hazard Communications Program, First Aid, Blood Borne Pathogen Program, Respirator Use Program and Hearing Conservation Program. The Construction Manager shall require all Sub-contractors to have an effective written safety program or be required to follow the Construction Manager's written safety program.
- 14.3 The Construction Manager shall maintain an accurate record of and shall report to Kentucky Labor Cabinet's Division of Occupational Safety and Health in the manner and on the forms prescribed by that Division, exposure data and all accidents resulting in death, traumatic injury, or occupational disease. The Construction Manager shall maintain an accurate record of and shall report to the Owner's Project Manager, any damage to property, materials, supplies, or equipment incident to Work under this Contract.
- 14.4 The Kentucky Labor Cabinet's Division of Occupational Safety and Health may notify the Construction Manager of any noncompliance with the foregoing provisions. The Construction Manager shall, upon receipt of such notice, immediately correct the cited conditions. Notice delivered to the Construction Manager or the Construction Manager's representative at the site of the Work shall be deemed sufficient for this purpose. If the Construction Manager fails or refuses to comply promptly, the Owner may issue an order stopping all or part of the Work until satisfactory corrective action has been taken. Failure or refusal to comply with the order will be grounds for reducing or stopping all payments due under the Contract to the Construction Manager. No part of the construction time lost due to any such stop order shall be cause for, or the subject of a claim for, extension of time or for additional costs or damages by the Construction Manager.
- 14.5 The Construction Manager or any Sub-contractor shall immediately contact Western Kentucky University's Department of Health and Safety through the Owner's Project Manager should they be selected for an inspection by the Kentucky Occupational Safety and Health Compliance Division.
- 14.6 Compliance with the provisions of the foregoing sections by Sub-contractors shall be the responsibility of the Construction Manager.
- 14.7 Nothing in the provisions of this Article 14 shall prohibit the U.S. Department of Labor or the Kentucky Department of Labor Division of Occupational Safety and Health from enforcing pertinent occupational safety and health standards as authorized under Federal or State Occupational Safety and Health Standards.

- 14.8 The Construction Manager shall take all necessary precautions for the safety of employees on the Work, and shall comply with all applicable provisions of federal, state, and municipal safety laws and building codes to prevent accidents or injury to persons on, about, or adjacent to the premises where the Work is being performed. If the Construction Manager or any Sub-contractor has questions related to the health or safety required by their written safety program, they should contact the Kentucky Labor Cabinet Occupational Safety and Health Program Division of Education and Training. The Construction Manager shall designate a responsible member of the on-site work force as the safety officer and shall report to the Consultant and to the Owner the name of the person selected. The duties of the safety officer include the enforcement of safety regulations.

ARTICLE 15 - HAZARDOUS MATERIALS

- 15.1 If the Construction Manager encounters material reasonably believed to be or suspected to be asbestos containing material, lead, polychlorinated biphenyls (PCBs), fluorescent light bulbs and ballasts, mercury or other hazardous material, the following procedures must be followed:
- 15.1.1 The Construction Manager shall immediately stop work in the affected area and notify the Owner's Project Manager. The Owner's Project Manager will contact the Owner's Environmental Health and Safety unit to arrange for collection of samples, review of existing data, or other testing necessary to confirm the presence of hazardous materials. The Owner's Project Manager will notify the Construction Manager in writing of the results. Until that notification is received, the Work must not continue in the affected area.
- 15.1.2 If the material is confirmed to be asbestos, lead, polychlorinated biphenyls (PCBs), fluorescent light bulbs and ballasts, mercury or other hazardous material, the Owner will take appropriate action to remove the material before the Construction Manager can continue Work in the affected area.
- 15.1.3 The Construction Manager shall not be required to perform any Work related to asbestos, lead, polychlorinated biphenyls, or other hazardous material. The Construction Manager is advised that certain classes of building materials (thermal system insulation, sprayed or troweled surfacing materials, and resilient flooring) installed before 1981 are required by law to be treated as asbestos containing until proven otherwise. These presumed asbestos containing materials must not be disturbed without confirmation from the Owner that asbestos is not present.
- 15.2 The Owner, the Construction Manager, and Sub-contractors will be under the requirements of the OSHA Hazard Communication Standard (29) CFR 1910.1200. The Construction Manager and Sub-contractors must provide their own written Hazard Communication Program. The Hazard Communication Standard must include: (1) A list of the hazardous chemicals to which the Construction Manager's employees may be exposed; (2) Statement of the measures that Construction Manager's employees and Sub-contractors may take to lessen the possibility of exposure to the

hazardous materials; (3) The location of and access to the Material Safety Data Sheets (MSDS's) related to the hazardous chemicals located in the Work area; (4) Procedures that the Construction Manager's employees and Sub-contractors are to follow if they are exposed to hazardous chemicals above the Permissible Exposure Limit (PEL). Material Safety Data Sheets may be reviewed upon request by the Construction Manager or any Sub-contractor as they pertain to the Work areas of the Project. Photocopies of the MSDS's may be made by Construction Manager at its expense.

- 15.3 The Construction Manager and Sub-contractors shall provide the Owner with a list of any hazardous materials that will be used on the job site. The Construction Manager and Sub-contractors shall provide the Owner with copies of Material Data Sheets for all such materials to be used.
- 15.4 It is the policy of the Owner that PCB containing equipment will be treated by the Construction Manager and the Owner in a manner that conforms to the intent of all applicable laws and regulations (primarily 40 CFR Part 761). The following procedures shall be followed by the Construction Manager and Sub-contractors while present on the Owner's Project or other property:
- (1) Only authorized, trained personnel may inspect, repair, or maintain PCB transformers; and (2) No combustible materials may be stored within a PCB transformer room or within five meters of a PCB transformer. Such materials include, but are not limited to, paints, solvents, plastic, paper, and wood. The Construction Manager shall not use rooms containing PCB transformers for storage rooms, staging areas, job site offices or break rooms. Violation of this policy may be grounds for dismissal of the offending Construction Manager and/or Sub-contractor from the Project. All PCB transformers at the Western Kentucky University are identified by a PCB label as defined in federal regulations. If the Construction Manager should have a question as to the location of a PCB transformer, it should contact the Owner's Project Manager.
- 15.5 The Construction Manager shall ensure that NO asbestos-containing materials (including but not limited to: drywall, joint compound, roof mastic or floor tile adhesive) will be install on any University project without prior written approval of the University's Environmental Health and Safety Division. Additionally, the Construction Manager shall submit MSDS sheets and have prior approval before installing any materials that contains hazardous substances or could pose an environmental hazard. If any environmental hazardous materials are installed without written approval of the University, the Construction Manager will be responsible for all material replacement cost, all removal and all other associated damages. Any materials removed shall be taken out in accordance with all applicable federal, state and local regulations.

ARTICLE 16 - INSPECTION OF WORK

- 16.1 Inspections, tests, measurements or other acts of the Consultant are for the sole purpose of assisting the Consultant in determining if the Work, materials, rate of progress, and quantities comply with the Contract Documents. These acts or

functions shall not relieve the Construction Manager from performing the Work in full compliance with the Contract Documents, nor relieve the Construction Manager from any of the responsibility for the Work assigned to it by the Contract Documents. No inspection by the Consultant shall constitute or imply acceptance. Approval of material is general and shall not constitute waiver of the Owner's right to demand full compliance with Contract Documents.

- 16.2 All Work completed and all materials incorporated for the Project are subject to inspection by the Owner, the Consultant or their representatives to determine conformance with the Contract Documents. The Owner, Consultant and their representatives shall at all times have access to the Work whenever it is in preparation or progress. The Construction Manager shall provide, at no additional cost to the Owner, any facilities necessary for sufficient and safe access to the Work to complete any inspections required. The Consultant shall be given timely notification in order to

arrange for the proper inspections to be performed on any Work outside of the normal working day or week. If the Consultant provides the Construction Manager with a list of construction milestones that require inspection, the Construction Manager shall provide the Consultant with at least five (5) Business Days written notice prior to the commencement of Work with respect to such milestone in order to permit the Consultant time to coordinate an inspection of the commencement of the applicable Work.

16.2.1 Normal Work hours are defined as a period between 7:00 a.m. and 5:00 p.m. Monday through Friday. The Construction Manager shall notify the Owner's Project Manager at least one working day prior to performance of any Work for permission to do any Work during non-normal Work hours.

- 16.3 If the Specifications, the Consultant's instructions, laws, ordinances, or any public authority require any Work to be specially inspected, tested or approved, the Construction Manager shall give the Consultant timely notice of the readiness of the Work for inspection. The Consultant shall promptly make all required inspections. If any portion of the Work should be covered contrary to the request of the Consultant, or to the requirements specifically expressed in the Contract Documents, the Work must be uncovered for inspection and observation and shall be uncovered and replaced at the Construction Manager's expense.

- 16.4 If any other portion of the Work has been covered, which the Consultant has not specifically requested to observe prior to being covered, the Consultant, with the Owner's approval, may request to see such Work and it shall be uncovered by the Construction Manager. If such Work is found to be in accordance with the Contract Documents, the cost of uncovering and replacement shall be charged to the Owner by appropriate Change Order. If such uncovered Work is not in accordance with the Contract Documents, the Construction Manager shall pay all costs for uncovering and replacement of such Work.

ARTICLE 17 - SUPERINTENDENT - SUPERVISION

- 17.1 The Construction Manager shall completely and thoroughly direct and superintend the Work in accordance with the highest standard of care for the Construction Manager's profession so as to ensure expeditious, workmanlike performance in accordance with requirements of the Contract Documents. Except as otherwise dictated by specific requirements of the Contract Documents, the Construction Manager shall be solely responsible for and have control over all construction means, methods, techniques, sequences and procedures. The Construction Manager shall be responsible for the acts and omissions of all Sub-contractors and persons directly or indirectly employed by the Construction Manager in the completion of the Work. The Construction Manager shall be responsible for coordinating and scheduling all portions of the Work unless the Contract Documents give other specific instructions. The Construction Manager shall not be relieved of obligations to perform the Work in accordance with the Contract Documents either by the activities of the Consultant in the administration of the Contract, or by tests, inspections or approvals required or performed by persons other than the Construction Manager.
- 17.2 The Construction Manager shall have a competent superintendent on the Project site at all times during the process of the Work. The superintendent shall have authority to act on the Construction Manager's behalf with regard to all aspects of performance of this Contract. The superintendent shall have such assistants with individual specialized competencies as may be necessary to fully understand and oversee all aspects of the Work. The Construction Manager shall also provide administrative, supervisory and coordinating personnel required to fully perform the Work and for interfacing the Work with other work of the Project. The superintendent and all assistants shall be physically fit for their work and capable of going to all locations where Work is being performed. A communication given to the superintendent shall be binding on the Construction Manager. Immediately after the award of Contract, the Construction Manager shall submit to the Consultant a list of Construction Manager's employees and consultants, including names, positions held, addresses, telephone numbers and emergency contact numbers.
- 17.3 The superintendent assigned shall not be changed except under the following circumstances:
(1) Where the superintendent ceases to be employed by the Construction Manager, in which case the Construction Manager shall give timely written notice to the Owner of the impending change of the superintendent and a reasonable explanation for the change; or (2) Where the Owner or the Consultant have reasonable grounds for dissatisfaction with the performance of the superintendent and give written notice to the Construction Manager of the grounds. In either case, the Construction Manager shall obtain prior written approval from the Owner of the qualifications of the proposed replacement superintendent. Such prior approval will not be unreasonably withheld.
- 17.4 If the Owner or Consultant determines that the superintendent is not performing, or is

incompetent to perform the required Work, the Owner may direct the Construction Manager to remove the superintendent from the Project and replace the superintendent with an employee who has the necessary expertise and skills to satisfactorily perform the Work.

ARTICLE 18 - CHANGES IN THE WORK

- 18.1 The Owner, at any time after execution of the Contract, may make changes within the general scope of the Contract or issue additional instructions, require additional Work, or direct the deletion of Work. The Owner's right to make changes shall not invalidate the Contract or relieve the Construction Manager of any obligations under the Contract Documents. All such changes to the Work shall be authorized in writing by Change Order and shall be executed under the conditions of the Contract Document. Any adjustment of the Contract Amount or Time of Completion, as may be appropriate, shall be made only at the time of ordering such change. Change order proposals based on a reservation of rights, whether for additional compensation to be determined at a later date or for an extension of time to be determined at a later date, will not be considered for approval and shall be returned to the Construction Manager without action.
- 18.2 The cost or credit resulting from a change in Work shall be determined in one or more of the following ways:
- 18.2.1 By unit prices named in the Contract or additional unit prices subsequently agreed upon;
- 18.2.2 By agreement on a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- 18.2.3 By an amount agreed upon by the Construction Manager and the Owner as a mutually acceptable fixed or percentage fee.
- 18.3 All lump sum proposals shall include a detailed cost breakdown satisfactory to the Consultant and to the Owner for each component of Work indicating both labor and material costs. This cost breakdown shall be submitted to the Consultant promptly and with a goal of seven (7) Calendar Days or less after receipt of the proposal request.
- 18.3.1 In computing labor costs, the hourly labor rates shall not exceed a mutually agreeable combined hourly labor rate plus fringe benefits negotiated with the Owner based on a presentation of acceptable documentation by the CM. For the purposes of this Article, the term "fringe benefits" shall mean those funds transferred irrevocably to a third party for payment/distribution. In addition, there may be added by the Sub-contractor an amount agreed upon, but not to exceed ten percent (10%) of the actual cost, for overhead and profit.
- 18.3.2 The CM is entitled to a mark-up for bonds and insurance on all change orders.

For change orders coded "End User Requested Changes" or "Other Owner Requested Changes" the CM may add overhead & profit in addition to the bonds and insurance referenced above. The mark-ups shall not exceed the combined percentage for overhead and profit, bonds, and insurance stated in the CM's "Financial Proposal Summary". These mark-ups will not be added to the individual change orders but will be reconciled by amendment at the completion of the project and/or on an annual basis for those projects exceeding 12 months in duration.

- 18.4 If none of the above methods are mutually agreed upon or if the Construction Manager does not respond promptly, a change may be made by unilateral determination by the Owner and/or the Consultant of reasonable costs or savings attributable to the change, including a reasonable allowance for overhead and profit. If this method is utilized, the Construction Manager shall promptly proceed with the Work involved in the change upon receipt of a written order signed by the Owner. In such case, the Construction Manager shall keep and present an itemized accounting of labor, equipment, material and other costs, in such form as may be prescribed by the Consultant.
- 18.5 In all cases where Change Orders are determined by unit prices set forth in the Contract Documents, no amount is to be added for additional overhead and profit.
- 18.6 The Construction Manager shall keep and present in such form as the Consultant may direct, a correct account of all items comprising the net cost of such Work, together with vouchers. The determination of the Consultant and/or the Owner shall be final upon all questions of the amount and cost of extra Work and changes in the Work, and it shall include in such cost, the cost to the Construction Manager of all materials used, the cost of all labor (including social security, old age and unemployment insurance, fringe benefits to which the employee is entitled, and Workers Compensation insurance), and the fair rental of all machinery used upon the extra Work, for the period of such use, which was upon the Work before or which shall be otherwise required by or used upon the Work before or after the extra Work is done. If the extra Work requires the use of machinery not already on the Project site, or to be otherwise used upon the Work, then the cost of transportation of such machinery to and from the Project site shall be added to the fair rental value. Transportation costs shall not be allowable for distances exceeding one hundred (100) miles.
- 18.7 The Construction Manager shall not include or allow to be included in the cost of change in the Work any cost or rental of small tools, or any portion of the time of the Construction Manager or the superintendent, or any allowance for the use of capital, or for the cost of insurance or bond premium or any actual or anticipated profit, or job or office overhead. These items are considered as being covered under the added amount for general overhead addressed in Article 18.3
- 18.8 The Owner will not pay claims made for lost opportunities, claims made for lost production or production inefficiencies or claims made that are formula based.

18.9 Pending final determination of value, partial payments on account of changes in the Work may be made on recommendation of the Consultant. All Change Orders shall be in full payment and final settlement of all claims for direct, indirect and consequential costs, including all items covered and affected. Any such claim not presented by the Construction Manager for inclusion in the Change Order shall be waived.

18.10 The Consultant may authorize minor changes in the Work which do not involve additional cost or extension of the Contract Time, and which are not inconsistent with the intent of the Contract

Documents. Such changes shall be made by an ASI issued by the Consultant, and shall be binding on the Owner and the Construction Manager. The Construction Manager shall carry out such orders promptly. If the Construction Manager should claim that an ASI involves additional cost or delay to the completion of the Work, the Construction Manager shall give the Consultant written notice thereof within ten (10) Calendar Days after receipt of the written ASI. If this notification does not occur, the Construction Manager shall be deemed to have waived any right to claim or adjustment to the contract sum or to the contract completion time.

18.10.1 If the Construction Manager claims that any instructions by the Consultant involve additional cost or time extension, the Construction Manager shall give the Consultant written notice thereof within ten (10) Calendar Days after the receipt of such instructions and before proceeding to execute the change in Work. The written notice shall state the date, circumstances, whether a time extension will be requested, and the source of the order that the Construction Manager regards as a Change Order. Unless the Construction Manager acts in accordance with this procedure, any oral order shall not be treated as a change and the Construction Manager hereby waives any claim for an increase of the Contract amount or extension of the contract time.

18.11 Requests for extension of time related to changes in the Work shall be submitted in accordance with the requirements of Article 21 of these General Conditions.

18.12 Prior to final payment, the Construction Manager shall provide to the Owner a full accounting of executed change orders by and between the Construction Manager and the Trade Contracts. The Construction Manager shall also provide a reconciliation of that accounting against the executed change orders by and between the Owner and the Construction Manager.

ARTICLE 19 - RULES AND MEASUREMENTS FOR EXCAVATION

19.1 If applicable, the following Rules and Measurements shall apply to the use of Unit Prices for the excavation portion of the Work:

19.1.1 Except as provided in this Article 19 for arbitrary measurements, the quantity of excavation shall be its in-place volume before removal.

- 19.1.2 No allowance will be made for excavating additional material of any nature taken out for the convenience of the Construction Manager beyond the quantity computed under these "Rules and Measurements."
- 19.1.3 The quantities of excavation shall be computed from instrument readings taken by the Consultant's representative in vertical cross sections located at such intervals that will assure accuracy.
- 19.1.4 "Trench Excavation" for pipes shall arbitrarily be assumed to be two feet (2') wider than the outside diameter of the pipe barrel and with sides vertical.
- 19.1.5 The quantities shall be computed from plan size, or if there are no drawings, from actual measurements of the Work in place.
- 19.1.6 Each unit price shall cover, among other things, engineering (surveying) costs and keeping excavating dry.
- 19.1.7 Earth excavation for structures will be measured between the vertical planes passing 18 inches beyond the outside of the footings and from the surface of the ground to the neat lines of the bottom of the structure.
- 19.1.8 Rock excavation for structures will be measured between the vertical planes passing 18 inches beyond the outside of the footings and from the surfaces of the rock to the neat lines of the bottoms of the structures or the actual elevation of the rock ledge.
- 19.1.9 Rock excavation for pipelines trenches, unless otherwise provided for in the Specifications, shall be measured as follows: An arbitrary width of 18 inches plus the nominal diameter of the pipe multiplied by the depth from the surface the rock to six (6) inches below the invert for pipe 24 inches in diameter or less and eight (8) inches below the invert for all pipe greater than 24 inches in diameter. No additional compensation will be allowed for excavation for bell holes, gates or other purposes. The measurement of rock excavation for manholes shall be in accordance with Section 19.1.8 above.
- 19.1.10 Unclassified excavation shall be measured in the same manner as earth excavation.

ARTICLE 20 - CONCEALED CONDITIONS

- 20.1 The Contract Drawings show the approximate location of the existing and new utility lines. These lines have been identified and located as accurately as possible using available information. The Construction Manager is responsible for verifying all actual locations. If utilities require relocation or rerouting that is not shown or indicated to be relocated or rerouted, the Construction Manager shall contact and cooperate with the Consultant to make the required adjustments. Any request for change in the Contract Amount by the Construction Manager shall be made pursuant to Article 18 of the General Conditions.

- 20.2 If any charted or uncharted utility service is interrupted by activities of the Construction Manager or the Construction Manager's Sub-contractor(s) for any reason, the Construction Manager shall work continuously to restore service to the satisfaction of the Owner.
- 20.2.1 If any charted utility service, or any uncharted utility service the existence of which could have been discovered by careful examination and investigation of the site of the Work by the Construction Manager, is interrupted by activities of the Construction Manager or the Construction Manager's Sub-contractor(s) for any reason, the entire cost to restore service to the satisfaction of the Owner shall be paid by the Construction Manager. Should the Construction Manager fail to proceed with appropriate repairs in an expedient manner, the Owner reserves the right to have the work/repairs completed and the cost of such work/repairs deducted from the monies due or to become due to the Construction Manager pursuant to Article 22 of the General Conditions.
- 20.3 The Construction Manager shall promptly, but in no case more than ten (10) Calendar Days from the time of discovery, and before the conditions are disturbed, notify Consultant in writing of:
- 20.3.1 Subsurface or latent physical conditions or any condition encountered at the site which differ materially from those indicated in the Contract Documents and which were not known by Construction Manager or could not have been discovered by careful examination and investigation of the site of the proposed Work;
- 20.3.2 Unknown and unexpected physical conditions at the site, of an unusual nature, differing materially from those ordinarily encountered in the locale or generally recognized as inherent in the Work provided for in this Contract or,
- 20.3.3 Concealed or unknown conditions in an existing structure which are at variance with the conditions indicated by the Contract Documents, which are of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the Work provided for in this Contract, and which were not known by the Construction Manager and could not have been discovered by careful examination and investigation of the site of the Work.
- 20.4 The Consultant shall promptly investigate the conditions discovered. If the Consultant finds that conditions, which are materially different from those ordinarily encountered and generally recognized as inherent in the Work provided for in this Contract, were not known by the Construction Manager, and could not have been discovered by careful examination and investigation of the site of the Work, have caused or would cause a material increase or decrease in the Construction Manager's cost of construction or the time required for performance of any part of the Work under this contract, the Consultant will recommend and the Owner will make an equitable adjustment in the Contract Amount and/or the time allotted for performance in the Contract Documents. Failure by the Construction Manager to provide written notice to the Owner of such claims for additional compensation or time for performance

within ten (10) Calendar Days of discovery of such conditions shall constitute a waiver by the Construction Manager of the right to make such claims. The Owner will not pay claims made for lost opportunities, claims made for lost production or production inefficiencies or claims made that are formula based.

- 20.5 If the Consultant determines that changed conditions do not exist or are not materially different and no adjustment in the Contract Amount or time is warranted, the Construction Manager shall continue performance of the Contract as directed by the Consultant. No claim by the Construction Manager under this clause shall be allowed unless the required written notice is given and the Consultant is given adequate opportunity to investigate the conditions encountered prior to disturbance. The failure of the Construction Manager to give the Consultant proper notice of a differing site condition shall not affect the Owner's right to an equitable adjustment of the contract price or time if there is a decrease in the Contract Amount or time required to perform the Work.

ARTICLE 21 - DELAYS AND EXTENSION OF TIME

21.1 It is agreed that time is of essence for each and every portion of this Contract and where additional time is allowed for the completion of the Work or any part of the Work under this Contract, the new time limit fixed by such time extension shall be of the essence of this Contract. An extension of time shall not be cause for extra compensation under this Contract, except as set forth in Article 21.10 below.

- 21.2 The Construction Manager will, subject to the provisions of Articles 21.7, 21.8 and 21.9 below, be granted an extension of time and/or relief from liquidated damages when the delay in completion of the Work is due to:

21.2.1 Any preference, priority, or allocation order duly issued by the government;

21.2.2 Unforeseeable causes beyond the control and without the fault or negligence of the Construction Manager including, but not limited to, acts of God, or of the public enemy, acts of the Owner, acts of another contractor in the performance of a contract with the Owner, floods, epidemics, quarantine restrictions, strikes, and freight embargoes.

21.2.2.1 For such delays which stop all work on the Project for thirty (30) Calendar Days or more, the Construction Manager shall be authorized at its discretion to remove its people from the site and return when the normal progress of the work may continue.

21.2.3 Regardless of the cause of a delay, the Construction Manager shall expend all reasonable effort to mitigate the impact of any delay.

21.2.4 Requests for additional time due to delays in transportation or due to failures of suppliers shall not be considered for approval.

21.3 Requests for extensions of time and/or relief from liquidated damages, except for

weather related claims, shall be made in writing not later than ten (10) Calendar Days after the beginning of the delay. Requests for extension of time or relief from liquidated damages shall be stated in numbers of whole Calendar Days.

- 21.4 Except as otherwise provided in the Contract Documents, extensions of the contractually required completion dates may be granted for unusually bad weather on the Project. Unusually bad weather as used herein means daily temperature or precipitation that exceeds the normal weather recorded and expected for the locality and/or the season or seasons of the year. For the purposes of this contract, it is mutually agreed that the following chart accurately defines the number of days in each month on which bad weather can reasonably be anticipated to impact weather dependent construction operations, and the Construction Manager shall anticipate this normal seasonal weather in the development of the Project baseline schedule.

Mean Number of Days When Max temp 32 or below	Jan.	Feb	Mar	Apr.	May	Jun	Jul.	Aug	Se p.	Oct	Nov.	Dec.
	9	6	1	0	0	0	0	0	0	0	1	5
Precip. Is 0.10 Inch or Greater	7	6	9	7	8	8	8	6	5	5	7	7

For the purpose of this Contract, "unusually bad weather" shall be interpreted as either 1) those days in a given month on which rainfall was 0.10 inch or more that exceed the number of days shown in the row for "Precip" or 2) those days in a given month on which maximum temperature was 32 degrees F or below that exceed the number of days shown in the row for "Max Temp", whichever is greater.

- 21.4.1 Requests for extension of time due to unusually bad weather that could not reasonably have been anticipated at the time of execution of the Contract shall be made in writing not later than the tenth calendar day of the month following the month in which the delay occurred.
- 21.4.2 Requests for an extension of time due to unusually bad weather shall be considered for approval only if it is shown that a) the unusual weather event delayed work on a specific weather dependent activity or activities that had been planned to be underway on the date(s) on which the weather event occurred, as shown in the most recent update to the Project schedule that had been submitted to the Owner prior to the date of the event, and b) only if the delay to that activity or activities is shown to be the proximate cause of a corresponding delay to the contractually required completion dates for the Project shown in the most recent update to the Project schedule. The actual dates on which the delay(s) occurred must be stated

and the specific activities that were directly impacted must be identified. In the event of concurrent delays, only those activities actually impacting contractually required completion dates will be considered in evaluating the merit of a delay request. Time extensions will not be considered if such adjustments do not exceed the total or remaining "float" associated with the impacted activities at the time of delay as shown in the most recent update to the Project schedule, nor for concurrent delays not caused by the Owner.

- 21.4.3 In anticipation of the possibility of delay due to unusually bad weather, the Construction Manager shall identify those activities in the baseline schedules, and those activities subsequently added to updated schedules, that might reasonably be expected to be delayed by such weather.
- 21.4.4 Delays caused by unusually bad weather shall be incorporated in the Project schedule when the schedule is next updated by showing actual dates and/or percent complete for those activities that were impacted by the unusually bad weather as well as the effects of any effort to mitigate such delays. When claims are submitted for time extensions resulting from more than one occurrence of unusually bad weather during a month, the Project schedule shall be updated to reflect such separate events sequentially so that the impact of each subsequent occurrence is shown on an adjusted Project schedule that includes all prior claims for additional time.
- 21.5 In addition to the requirements of Article 21.7 and Article 21.8 below, any request for an extension of time for strikes or lockouts shall be supported by a written statement of facts concerning the strike including, but not limited to, the dates, the craft(s) affected, the reason for the strike, efforts to resolve the dispute, and efforts to minimize the impact of the strike on the Project.
- 21.6 Approval of time extensions for changes in the Work will depend upon the extent, if any, to which the changes cause delay in the completion of the various elements of construction. The Change Order granting the time extension may provide that the Contract Time will be extended only for those specific elements so delayed and that other Work will not be altered.
- 21.7 The Contract Time will only be adjusted for causes specified above. Extensions of time will only be approved if the Construction Manager provides justification supported by the Project schedule or other acceptable data that 1) such changes are, in fact, on the critical path and extend the contractually required completion dates, and 2) the Construction Manager has expended all reasonable effort to minimize the impact of such changes on the construction schedule. No additional extension of time will be granted subsequently for claims having the basis in previously approved extensions of time.
- 21.8 In support of requests for an extension of time not caused by unusual inclement weather, and concurrently with the submittal of any such request, the Construction Manager shall submit to the Consultant and the Owner a written impact analysis

showing the influence of each such event on contractually required completion dates as shown in the updated Project schedule most recently submitted to the Owner prior to the event. The analysis shall include a partial network diagram showing a sequence of new or revised activities and/or durations that are proposed to be added to the existing schedule including related logic (a "fragnet"). This impact analysis and the fragnet shall include the new activities and/or activity revisions proposed to be added to the existing schedule and shall demonstrate the claimed impact on the critical path and the contractually required completion dates. The Construction Manager will not be granted an extension of time and/or relief from liquidated damages when the delay to completion of the work is attributable to, within the control of, or due to the fault, negligence, acts, or omissions of the Construction Manager and/or the Construction Manager's contractors, subcontractors, suppliers, or their respective employees and agents. Time extensions will not be considered in the event such adjustments do not exceed the total or remaining "float" associated with the impacted activities at the time of delay, nor for concurrent delays not caused by the Owner. In the event of concurrent delays, only that event actually impacting contractually required completion dates will be considered in adjusting the schedule and evaluating the merit of a delay claim. Requests for an extension of time which are not supported by this information shall not be considered for approval.

- 21.9 Approved extensions of time not caused by unusual inclement weather shall be incorporated in a revised schedule at the time of approval. No subsequent requests for time extension will be considered unless all previous approved time extensions have been incorporated in the Project schedule on which the requests are based.
- 21.10 Except as provided for in Article 21.10.1 through 21.10.3 below, no payment or compensation shall be made to the Construction Manager and extensions of the time fixed for completion of the Contract shall be the Construction Manager's sole remedy for any and all delays, hindrances, obstructions or impacts in the orderly progress of the Work.
- 21.10.1 In addition to the provisions of Articles 18.3 and 18.3.1 above, and subject to the requirements of Article 21.8 and 21.8.1 above, if the Owner orders changes to the scope of Work for the Project that extend the then current contractually required completion dates of the Project, the Construction Manager shall be entitled to reimbursement for job site, general conditions and staffing costs associated with such delay.
- 21.10.2 If delays, hindrances, impacts or obstructions of the Construction Manager's performance of the Contract are in whole or in part within the control of the Owner and, subject to the requirements of Article 21.8 and 21.8.1, extend contractually required completion dates of the Project, the Construction Manager shall be entitled to reimbursement for job site, general conditions and staffing costs for that portion of the costs caused by acts or omissions of the Owner.
- 21.10.3 Such reimbursements shall not include consequential or similar damages, exemplary

damages, damages based on unjust enrichment theory, formula based delay claims, or any element of home office overhead.

ARTICLE 22 - CORRECTION OF WORK BEFORE FINAL PAYMENT

- 22.1 The Construction Manager shall promptly remove from the site and replace any material and/or correct any Work found by the Consultant to be defective or that fails to conform to the requirements of the Contract, whether incorporated in the Work or not, and whether observed before or after Substantial or Final Completion. The Construction Manager shall bear all costs of removing, replacing or correcting such Work or material including the cost of additional professional services necessary, and the cost of repairing or replacing all Work of separate contractors damaged by such removal or replacement.
- 22.2 The Consultant will notify the Construction Manager and the Owner immediately upon its knowledge that additional services will be necessary. The Owner may consent to accept such nonconforming Work and materials with an appropriate adjustment in the Contract Amount. Otherwise, the Construction Manager shall promptly replace and re-execute the Work in accordance with the Contract Documents and without expense to the Owner and shall bear the expense of making good all work of other contractors destroyed or damaged by such removal or replacement. If the Construction Manager fails to commence and continue to correct non-conforming Work within a reasonable time as determined by the Consultant, the Owner may without limitation of other rights available to the Owner and without prejudice to other remedies, take any necessary action to make the necessary corrections. If the Owner makes required corrections for non conforming Work or materials, a Change Order will be issued reflecting an equitable deduction from the Contract Amount. This amount will be deducted from payments due to the Construction Manager or, if no additional payments are due, Construction Manager or the Construction Manager's surety shall be responsible for payment of this amount.

ARTICLE 23 - CORRECTION OF WORK AFTER FINAL PAYMENT

- 23.1 Neither the final certificate of payment nor any provisions in the Contract Documents shall relieve the Construction Manager of responsibility for materials and equipment incorporated into the Work that fails to meet specification requirements, or for the use of faulty materials or poor quality workmanship. If within one year after the date of Substantial Completion of the Work or designated portion thereof, any of the Work is found to be defective or not in accordance with the requirements of the Contract Documents, the Construction Manager shall correct it promptly after receipt of written notice from the Owner to do so. The Construction Manager shall correct any defects due to these conditions and pay for any damage to other Work resulting from their use. Nothing contained in this clause shall be construed to establish a period of limitation with respect to any obligation of the Construction Manager under the Contract including, but not limited to, warranties. The obligation of the Construction

Manager under this section shall be in addition to and not in limitation of any obligations imposed by special guarantees or warranties required by the Contract, given by the Construction Manager, or otherwise recognized or prescribed by law.

- 23.2 In addition to being responsible for correcting the Work and removing any non-conforming Work or materials from the job site, the Construction Manager shall bear all other costs of bringing the affected Work into compliance with the Contract requirements. This includes costs of any required additional testing and inspection services, Consultant's services, and any resulting damages to other property or to work of other contractors or of the Owner.
- 23.3 If the Construction Manager fails to correct nonconforming Work within a reasonable time as determined by the Consultant, the Owner may take necessary actions to make the necessary corrections. If the Owner makes required corrections for nonconforming Work or materials after Final Payment to the Construction Manager, the Owner shall be entitled to recover all amounts for such corrections, including costs and attorney's fees, from Construction Manager or surety.

ARTICLE 24 - TERMINATION OF CONTRACT FOR CONVENIENCE OF OWNER

- 24.1 The Owner, by written notice to the Construction Manager, may terminate this Contract in whole or in part when it is in the interest of the Owner, at the sole discretion of the Owner. In such case, the Construction Manager shall be paid for all Work in place and a reasonable allowance for profit and overhead on Work done, provided that such payments shall not exceed the total Contract price as reduced by the value of the Work as yet not completed. The Construction Manager shall not be entitled to profit and overhead on Work not performed.

ARTICLE 25- OWNER'S RIGHT TO STOP WORK

- 25.1 If the Construction Manager fails to correct defective Work as required, or persistently fails to carry out the Work in accordance with the Contract Documents, the Owner by written notice may order the Construction Manager to stop the Work or any portion of the Work until the cause for the order has been eliminated to the satisfaction of the Owner. The Consultant may stop Work without written notice for 24 hours whenever in its professional opinion such action is necessary or advisable to insure conformity with the Contract Documents. The Construction Manager shall not be entitled to an adjustment in the Contract Time or Amount under this clause in the event such stoppages are determined to be the fault of the Construction Manager or its Sub-contractor(s). The right of the Owner or Consultant to stop Work shall not give rise to a duty on the part of the Owner or Consultant to exercise this right for the benefit of the Construction Manager or others.

ARTICLE 26 -TERMINATION OF CONTRACT FOR DEFAULT ACTION OF CONSTRUCTION MANAGER

- 26.1 In addition to its rights under Articles 24 and 25, the Owner may terminate the contract upon the occurrence of any one or more of the following events:

- 26.1.1 If the Construction Manager refuses or fails to prosecute the Work (or any separable part thereof) with such diligence as will insure its completion within the agreed upon time; or if the Construction Manager fails to complete the Work within such time;
- 26.1.2 If the Construction Manager is adjudged a bankrupt or insolvent, or makes a general assignment for the benefit of creditors, or if the Construction Manager or a third party files a petition to take advantage of any debtor's act or to reorganize under the bankruptcy or similar laws concerning the Construction Manager, or if a trustee or receiver is appointed for the Construction Manager or for any of the Construction Manager's property on account of the Construction Manager's insolvency, and the Construction Manager or its successor in interest does not provide adequate assurance of future performance in accordance with the Contract within ten (10) days of receipt of a request for assurance from the Owner;
- 26.1.3 If the Construction Manager repeatedly fails to supply sufficient qualified supervision of the work, or repeatedly fails to ensure that Sub-contractors supply adequate supervision, suitable materials or equipment, or adequate numbers of skilled workmen and supervision to the Work;
- 26.1.4 If the Construction Manager repeatedly fails to make prompt payments to Sub-contractors or suppliers at any tier, or for labor, materials or equipment;
- 26.1.5 If the Construction Manager disregards laws, ordinances, rules, codes, regulations, orders or similar requirements of any public entity having jurisdiction;
- 26.1.6 If the Construction Manager disregards the authority of the Consultant or the Owner;
- 26.1.7 If the Construction Manager performs Work which deviates from the Contract Documents, and neglects or refuses to correct rejected Work; or
- 26.1.8 If the Construction Manager otherwise violates in any material way any provisions or requirements of the Contract Documents.
- 26.2 Once the Owner determines that sufficient cause exists to justify the action, the Owner may terminate the Contract without prejudice to any other right or remedy the Owner may have, after giving the Construction Manager and its Surety three (3) Calendar Days notice by issuing a written Declaration of Default. The Owner shall have the sole discretion to permit the Construction Manager to remedy the cause for the contemplated termination without waiving the Owner's right to terminate the Contract.
- 26.3 In the event that the Contract is terminated, the Owner may demand that the Construction Manager's Surety take over and complete the Work on the Contract. The Owner may require that in so doing, the Construction Manager's Surety not utilize the Construction Manager in performing the Work. Upon the failure or refusal of the Construction Manager's Surety to take over and begin completion of the

Work within twenty (20) Calendar Days after the demand, the Owner may take over the Work and prosecute it to completion as provided below.

- 26.3.1 In the event that the Contract is terminated and the Construction Manager's Surety fails or refuses to complete the Work, the Owner may take over the Work and prosecute it to completion in accordance with the laws of the Commonwealth, by contract or otherwise, and may exclude the Construction Manager from the site. The Owner may take possession of the Work and of all of the Construction Manager's tools, appliances, construction equipment, machinery, materials, and plant which may be on the site of the Work, and use the same to the full extent they could be used by the Construction Manager, without liability to the Construction Manager. At the Owner's sole discretion, the Owner has the right to take assignment of any or all portions of the contract work in order to prosecute the completion of the Work. In exercising the Owner's right to prosecute the completion of the Work, the Owner may also take possession of all materials and equipment stored at the site or for which the Owner has paid the Construction Manager but which are stored elsewhere, and finish the Work as the Owner deems expedient. In such case, the Construction Manager shall not be entitled to receive any further payment until the Work is finished.
- 26.3.2 If the unpaid balance of the Contract Price exceeds the direct and indirect costs and expenses of completing the Work including compensation for additional professional and Consultant services, such excess shall be used to pay the Construction Manager for the cost of the Work it performed and a reasonable allowance for overhead and profit. If such costs exceed the unpaid balance, the Construction Manager or the Construction Manager's Surety shall pay the difference to the Owner. In exercising the Owner's right to prosecute the completion of the Work, the Owner shall have the right to exercise its sole discretion as to the manner, methods, and reasonableness of the costs of completing the Work and the Owner shall not be required to obtain the lowest figure for Work performed in completing the Contract. In the event that the Owner takes bids for remedial Work or completion of the Project, the Construction Manager shall not be eligible for the award of such Contract.
- 26.3.3 The Construction Manager shall be liable for any damage to the Owner resulting from the termination or the Construction Manager's refusal or failure to complete the Work, and for all costs necessary for repair and completion of the Project above the amount of the Contract. The Construction Manager shall be liable for all attorney's fees, costs and expenses incurred by the Owner to enforce the provisions of the Contract.
- 26.3.4 If liquidated damages are provided in the Contract and the Owner terminates the Contract, the Construction Manager shall be liable for such liquidated damages, as provided for in Article 29.2 and 29.3 below, until Substantial Completion and Final Completion of the Work are achieved.
- 26.3.5 In the event the Contract is terminated, the termination shall not affect any rights of the Owner against the Construction Manager. The rights and remedies of the Owner

under this Article are in addition to any other rights and remedies provided by law or under this Contract. Any retention or payment of monies to the Construction Manager by the Owner will not release the Construction Manager from liability.

- 26.3.6 In the event the Contract is terminated under this Article, and it is determined for any reason that the Construction Manager was not in default under the provisions of this Article, the termination shall be deemed a Termination for Convenience of the Owner pursuant to Article 24 and the rights and obligations of the parties shall be determined in accordance with Article 24.

ARTICLE 27 - SUSPENSION OF WORK

- 27.1 The Owner or the Consultant may, at any time and without cause, order the Construction Manager in writing or cause the Construction Manager to suspend, delay or interrupt all or any part of the Work for such period of time as the Owner may determine to be appropriate for its convenience.

Adjustment may be made for any increase in the Contract time necessarily caused by such suspension or delay, in accordance with Article 21.

ARTICLE 28 - TIME OF COMPLETION

- 28.1 The Construction Manager shall begin the Work on the date of commencement as specified in the Work Order. All time limits stated in the Contract Documents are of the essence of the Contract. The actual end of the Contract Time shall be the date specified on the approved certificate of Substantial Completion. The time for completion set forth in the Contract is a binding part of the Contract upon which the Owner may rely in planning the use of the facilities to be constructed and for all other purposes.
- 28.2 Substantial Completion is defined in Article 1.1.17 of these General Conditions. Only incidental corrective Work under punch lists and final cleaning (if required) for Owner's full use shall remain for Final Completion. The ability to occupy or utilize shall include regulatory authority approval unless regulatory approval is delayed due to actions of the Owner or the Consultant. When the Owner accepts and occupies a portion of the Project, the operation, maintenance, utilities, and insurance of that portion of the Project becomes the responsibility of the Owner.
- 28.3 The date of Substantial Completion shall be that date certified by the Owner, in accordance with the following procedures, that the Work is sufficiently complete to occupy or utilize as defined above.
- 28.3.1 When the Construction Manager considers the entire Work is substantially complete as defined in Article 1.1.17 of these General Conditions, and is ready for its intended use, the Construction Manager shall notify the Consultant in writing and request an inspection. The declaration and request shall be accompanied by a list prepared by the Construction Manager of those items of Work still to be completed or corrected. The failure of the Construction Manager or Consultant to include any item or items

which are not completed or which need correction on such list shall not alter the responsibility of the Construction Manager to complete all Work in accordance with the Contract Documents.

- 28.3.2 The Consultant shall, within a reasonable time after receipt of notification from the Construction Manager of a declaration of Substantial Completion and request for inspection, make such inspection. Prior to the Substantial Completion Inspection and within sufficient time to allow the Consultant's review, the Construction Manager shall submit all As-Built drawings, Notice of Termination, catalog data, complete operating and maintenance instructions, manufacturer specifications, certificates, warranties, written guarantees and related documents required by the contract. The Consultant shall review said documents for accuracy and compliance with the Contract Documents and incorporate them into complete operating instructions and deliver them to the Owner.
- 28.3.3 If the Consultant considers the Work substantially complete, the Consultant shall recommend that the Owner prepare a Certificate of Substantial Completion which shall establish the date of Substantial Completion and the responsibilities between the Owner and Construction Manager for security, maintenance, heat, utilities and insurance, if not otherwise provided for in the Contract Documents, and a tentative list of items to be completed or corrected, and shall fix the time within which the Construction Manager shall complete the items listed therein. This time shall not exceed thirty (30) Calendar Days unless otherwise provided for in the Work Order. The Certificate of Substantial Completion shall be submitted to the Consultant and Construction Manager for their written acceptance of the responsibilities assigned to them in the certificate. The Project shall not be deemed substantially complete until the certificate is issued. If, after making the inspection, the Consultant does not consider the Work substantially complete, the Consultant will notify the Owner and the Construction Manager in writing
- 28.4 Operation and Maintenance Manual Deliverables. In anticipation and preparation of completion of the Work and the closing out of the Project, and to facilitate training of the Owner's personnel in the maintenance and operation of the new installations, the Construction Manager shall comply with the requirements of Article 8.7 of the Special Conditions. (For the purposes of this article, air test and balance reports may be submitted at a later date with the request for certification of substantial completion.) These manuals shall be submitted to the Consultant for approval, and subsequently forwarded to the Owner's Project Manager by or before the time construction is 75% complete, as reflected by the Contractor's most recently submitted Application for Payment.
- 28.4.1 The provisions of Article 30.11 notwithstanding, if the Construction Manager meets the requirements of Article 28.4 above with respect to timely submittal of approvable Operation and Maintenance manuals and provided the project construction is 1) at least 75% complete and 2) is equal to or ahead of the approved progress schedule and 3) the Work completed is in compliance with the requirements of the contract documents, the Owner, at the sole discretion of the Director, Capital Projects

Management Division may reduce the retainage to not less than three percent (5%) of the current Contract Amount. In the event the Construction Manager fails to submit acceptable O&M manuals prior to reaching 75% completion, it is agreed that the Owner at its sole discretion may deduct from the current and subsequent Applications for Payment an amount deemed by the Owner to be sufficient to encourage prompt compliance with this contractual requirement, until such time as acceptable O&M manuals are received.

28.5 Project Close Out. When the Construction Manager considers that all Work required by the Contract is 100% complete, including correction of any remaining punch list work or deficiencies, the Construction Manager shall notify the Consultant in writing and request a final inspection. The Consultant, upon receipt of written notice from the Construction Manager that the Work is complete and is ready for final inspection and acceptance, will promptly make such inspection and if the Consultant finds the Work completed and acceptable under the Contract Documents and the Contract fully performed, the Consultant will notify the Construction Manager in writing to submit, and will certify to the Owner a final Certificate for Payment in accordance with Articles 30.9 and 30.9.1 of these General Conditions. If the Construction Manager does not complete the punch items within the time designated, the Owner retains the right to have these items corrected at the expense of the Construction Manager including all architectural, engineering and inspection costs and expenses incurred by the Consultant and the Owner, and to deduct such costs and expenses from the funds being held in retainage. The Owner shall not be required to release the retainage until such items have been completed.

ARTICLE 29 - LIQUIDATED DAMAGES

- 29.1 The Owner and the Construction Manager recognize and agree that time is of the essence of this Contract and that the Owner will suffer financial loss if the Work is not completed within the time specified in the Contract plus any extensions that may be allowed. The parties further recognize the delays, expense and difficulties involved in proving the actual loss suffered by the Owner should the Work not be completed on time. The Owner and the Construction Manager agree on the amounts stated as liquidated damages in the Agreement. The Owner and Construction Manager agree that the amount stated as liquidated damages are not intended to be penalties.
- 29.2 Should the Construction Manager fail to satisfactorily complete the Work under Contract on or before the date stipulated for Substantial Completion, as adjusted by approved Change Orders, if any, the Construction Manager will be required to pay liquidated damages to the Owner for each consecutive Calendar Day that the Owner is deprived of full use of the area beyond the date specified unless otherwise stipulated elsewhere by Owner. After the date for Substantial Completion has been certified by the Owner, the Construction Manager shall cease to owe liquidated damages until the date established for Final Completion.
- 29.3 If Final Completion is not achieved by the date established for Final Completion, as adjusted by approved Change Orders, if any, liquidated damages in the amount

stipulated in the Agreement will become due and collectable. The Contract will be considered complete and Final Completion shall be deemed to have occurred when all Work has been completed in compliance with the Contract Documents and the Certificate of Final Completion has been issued by the Owner. No deduction or payment of liquidated damages will, in any degree, release the Construction Manager from further obligations and liabilities to complete the entire Contract. Permitting the Construction Manager to continue and finish the Work, or any part of it, after expiration of the Contract Time, shall in no way constitute a waiver on the part of the Owner of any liquidated damages due under the Contract.

ARTICLE 30 - PAYMENT TO THE CONSTRUCTION MANAGER

- 30.1 Payments on account of this Contract shall be made monthly as Work progresses. The Construction Manager shall submit to the Consultant, in the manner and form prescribed, an application for each payment, and, if required, receipts or other vouchers showing payments made for materials and labor, including payments to Sub-contractors. All payments shall be subject to any withholding or retainage provisions of this contract. All pay request documents, except the final payment, shall be submitted in whole dollar amounts. All payment applications from the Construction Manager shall include line items for overhead, profit and general condition costs.
- 30.2 The Consultant shall, within ten (10) Business Days after receipt of each application for payment, certify approval of payment in writing to the Owner and present the application to the Owner, or return the application to the Construction Manager indicating in writing its reasons for refusing to approve payment. The Owner, provided no exception is taken to the application for payment submitted by the Consultant, will issue payment on or within thirty (30) Business Days from the date received from the Consultant. A reasonable delay on the part of the Owner in making payment to the Construction Manager for any given payment shall not be grounds for breach of Contract. The Consultant may refuse to approve the whole or any part of any payment if it would be incorrect to make such presentation to the Owner.
- 30.3 If payment is requested on the basis of materials and equipment not incorporated in the Work, but delivered and suitably stored at an off jobsite location agreed to in writing by the Owner that meets the manufacturer's requirements for the stored material and not-comingled with other material, the Construction Manager must furnish the following:
- 30.3.1 A list of the materials consigned to the Project (which shall be clearly identified), giving the place of storage, together with copies of invoices.
- 30.3.2 Certification that all items have been tagged for delivery to the Project and that they will not be used for any other purpose.
- 30.3.3 A letter from the Surety indicating that the Surety agrees to the arrangements and that payment to the Construction Manager shall not relieve either the Construction

Manager or its Surety of their responsibility to complete the Work.

- 30.3.4 Evidence of adequate insurance listing the Owner as an additional insured covering the material in storage.
- 30.3.5 Evidence that representatives of the Consultant have visited the Construction Manager's place of storage and checked all items listed on the Construction Manager's certificate. They shall certify, insofar as possible, that the items are in agreement with the Specifications and approve their incorporation into the Project.
- 30.4 The Owner will pay 80% of the invoiced value less retainage for materials stored off site providing the above conditions are met.
- 30.5 The Construction Manager's signature on each subsequent application for payment shall certify that all previous progress payments received on account of the Work have been applied to discharge in full all of the Construction Manager's obligations reflected in prior applications for payment.
- 30.6 Each payment made to the Construction Manager shall be on account of the total amount payable to the Construction Manager and the Construction Manager warrants and guarantees that the title to all materials, equipment and Work covered by the paid partial payment shall become the sole property of Owner free and clear of all encumbrances. Nothing in this Article shall be construed as relieving Construction Manager from the sole responsibility for care and protection of materials, equipment and Work upon which payments have been made or restoration of any damaged Work or as a waiver of the right of Owner to require fulfillment of all terms of the Contract Documents.
- 30.7 Within thirty (30) Calendar Days of the award of any Trade Contracts, and prior to submitting the next application for payment, the Construction Manager shall submit to the Consultant and the Owner for approval a detailed breakdown of the Contract Amount including all trade contracts that have been awarded as of the date of that application for payment pursuant to CSI specification divisions, divided so as to facilitate payment and correlated to the schedule required by General Conditions Article 32 of the Contract Documents. The total value of all activities shall add up to the Contract Amount. When approved by the Consultant and the Owner, this schedule shall be used as a basis for Construction Manager's applications for payment and may be used by the Owner to determine costs or credits resulting from changes in the Work. Failure to obtain the approval of the Schedules of Values shall be a basis for withholding payment to the Construction Manager.
- 30.8 Retainage – The Owner will retain ten percent (10%) of the Construction Manager's progress payments, including amounts claimed for construction management fee until fifty one percent (51%) of the construction project has been completed. Thereafter, if the Work is fully in compliance with the requirements of the Contract and except as provided for in Article 28.4.1 above, the Owner shall retain five percent (5%) of the total contract amount until Substantial Completion and acceptance of all Work covered by this Contract, as collateral security to insure

successful completion of the Work. For the purposes of this Article, the term "in full compliance" shall mean 1) that the progress of the Work is equal to or ahead of that predicted by the Project Baseline schedule and 2) the Work completed is in compliance with the requirements of the contract documents. Subsequent to the issuance of the Substantial Completion Certificate and depending upon the cost involved for the completion and/or correction of punch list items, the Consultant may recommend to the Owner an adjustment to the amount being held as retainage and, if approved by Owner, the amount of retainage may then be reduced and a sufficient sum retained by Owner to assure completion of the remaining unfinished Work. Retainage reduction as provided for in this Article 30.8 is contingent upon the Construction Manager and/or Sub-contractors being on or ahead of the approved progress schedule and on verification by the Consultant that the Work completed is in compliance with the requirements of the contract documents.

- 30.8.1 In addition to the retainage set forth above, the Owner may withhold from any monthly progress payments or nullify any progress payments in whole or in part as necessary to protect the Owner from loss on account of:
- 30.8.1.1 Defective Work which has not been remedied or completed Work which has been damaged requiring correction or replacement, or
 - 30.8.1.2 Action required by the Owner to correct Defective Work or complete Work which the Construction Manager has failed or refused to correct or complete, or
 - 30.8.1.3 Failure of the Construction Manager to perform any of its obligations under the Contract, or
 - 30.8.1.4 Failure of the Construction Manager to make payment properly to Sub-contractors; suppliers of material, services or labor; or to reimburse the University for utilities or other services as provided for in the Contract;
 - 30.8.1.5 Amounts to be withheld as liquidated damages for failure to complete the Project in the allotted Contract time.
- 30.8.2 When the Owner is satisfied that the Construction Manager has remedied any such deficiency, payments shall be made of the amount being withheld on the next scheduled application for payment.
- 30.9 Final Payment – When all Work is completed and acceptable and the Contract is fully performed, the Construction Manager will be directed to submit a final payment application for certification and the entire balance shall be due and payable upon a certification of completion by the Consultant that the Work is in accordance with the Contract Documents. Final change order reconciliation as per Article 18.12 must be provided prior to final payment.
- 30.9.1 Upon issuance of the Certificate of Final Completion by the Owner and submittal by

the Construction Manager of all required documents and releases, all retained amounts shall be paid to the Construction Manager as part of the Final Payment. By accepting such payment, the Construction Manager certifies that all amounts due or that may become due to any Sub-contractor, any Consultant of the Construction Manager, or any vendors or material suppliers, have been paid or will be paid from the proceeds of the final payment; and that, further, there are not liens, claims or disputes involving the Owner or the Consultant that are outstanding or unresolved.

- 30.10 The Construction Manager shall promptly pay each Sub-contractor and material supplier upon receipt of payment from the Owner the amount to which said Sub-contractor and supplier is entitled, reflecting the percentage actually retained from payments to the Construction Manager on account of such Sub-contractor's work. The Construction Manager shall, by an appropriate Agreement with each Sub-contractor and material supplier, require each Sub-contractor and supplier to make payments to their sub-contractors, vendors and suppliers in similar manner.

The Consultant may, on request, furnish to any Sub-contractor or material supplier information regarding the percentages of completion applied for by the Construction Manager and the action thereon by the Consultant.

- 30.11 Neither the Owner nor the Consultant shall have any obligation to make payment to any Sub-contractor or material supplier except as may otherwise be required by law.

ARTICLE 31 - AUDITS

- 31.1 The Construction Manager's Trade Contractors', sub-contractors' and/or vendor's "records" shall upon reasonable notice be open to inspection and subject to audit and/or reproduction during normal business working hours as may be deemed necessary by the Owner at its sole discretion.

Such audits may be performed by an Owner's representative or an outside representative engaged by the Owner. The Owner or its designee may conduct such audits or inspections throughout the term of this contract and for a period of three years after final payment, or longer if required by law. Owner's representative may (without limitation) conduct verifications such as counting employees at the Construction Site, witnessing the distribution of payroll, verifying information and amounts through interviews and written confirmations with Construction Manager's employees, field and agency labor, Trade Contractors and vendors.

- 31.2 "Records" as referred to in this Contract shall include any and all information, materials and data of every kind and character, including without limitation, records, books, papers, documents, subscriptions, superintendents' reports, drawings, receipts, vouchers and memoranda, and any and all other agreements, sources of information and matters that may in the Owner's judgment have any bearing on or pertain to any matters, rights, duties or obligations under or covered by any Contract Document. Such records shall include hard copy, as well as computer readable data if it can be made available, written policies and procedures; time sheets; payroll registers; cancelled payroll checks; subcontract files (including proposals of successful and unsuccessful bidders, bid recaps, etc.); original

estimates; estimating work sheets; correspondence; change order files (including documentation covering negotiated settlements); back charge logs and supporting documentation; invoices and related payment documentation; general ledger; records detailing cash and trade discounts earned; insurance rebates and dividends; and any other Construction Manager or contractor records which may have a bearing on matters of interest to the Owner in connection with the Construction Manager's dealings with the Owner (all foregoing hereinafter referred to as the "records") to the extent necessary to adequately permit evaluation and verification of any or all of the following:

Compliance with Contract requirements for deliverables;

Compliance with approved plans and specifications;

Compliance with Owner's business ethics expectations;

Compliance with Contract provisions regarding the pricing of change orders;

Accuracy of Construction Manager representations regarding pricing of invoices; and Accuracy of Construction Manager representations related to claims submitted by the Construction Manager or its payees.

- 31.3 The Construction Manager shall require all payees (examples of payees include Trade Contractors, Sub-contractors, vendors, and/or material suppliers) to comply with the provisions of this Article by including the requirements hereof in a written contract agreement between the Construction Manager and payees. Such requirements to include flow-down right of audit provisions in contracts with payees will also apply to Subcontractors and Sub-subcontractors, material suppliers, etc. The Construction Manager will cooperate fully and will cause all related parties and all of the Construction Manager's Trade Contractors and/or subcontractors (including those entering into lump sum subcontracts) to cooperate fully in furnishing or in making available to Owner from time to time whenever requested, in an expeditious manner, any and all such information, materials and data.
- 31.4 Owner's authorized representative or designee shall have reasonable access to the Construction Manager's facilities, shall be allowed to interview all current or former employees to discuss matters pertinent to the performance of this contract and shall provide adequate and appropriate work space in order to conduct audits in compliance with this Article. The Construction Manager and its payees agree bear their costs and expenses relating to any inspections and audits.
- 31.5 If an audit inspection or examination in accordance with this Article discovers any fraud or misrepresentation, or discloses overpricing or overcharges (of any nature) by the Construction Manager to the Owner, in addition to making adjustments for the overcharges, the reasonable actual cost of the Owner's audit shall be reimbursed to the Owner by the Construction Manager. Any adjustments and/or payments that must be made as a result of any such audit or inspection of the Construction Manager's invoices and/or records shall be made within Ninety (90) Calendar Days from presentation of the Owner's findings to the Construction Manager.
- 31.6 The provisions of Articles 31.1, 31.2 and 31.5 notwithstanding, the Owner shall have the right to conduct inspections and audits of any matter relating to the Contract

Documents or the Work, which shall be for the Owner's sole benefit and shall not relieve the Construction manager, its sureties, contractors, subcontractors suppliers and their respective employees and agents of any obligations under the Contract Documents.

- 31.7 Any audits or inspections under Article 31 shall not constitute a waiver of any right the Owner has to accounting or discovery of records in the possession, custody or control of the Construction Manager, its sureties, contractors, subcontractors, vendors and their respective employees and agents

ARTICLE 32 - PROGRESS & SCHEDULING

32.1 If requested by the Owner during the Design Phase of the Project, and working in cooperation with the Owner and the Consultant(s), the Construction Manager shall prepare a Critical Path Method (CPM) type Design Phase schedule incorporating design phase and review activities through completion of the design and bidding of the Trade Contracts, shall include in this Design Phase schedule the broad categories of Work to be accomplished in the subsequent implementation of the design and construction of the Project, and shall modify and update this Design Phase schedule as necessary to reflect the actual status and then current plan for the Project.

- 32.2 The schedules submitted for this Project shall be prepared using Primavera P6 scheduling software. If approved by the University, and at the sole discretion of the University, schedules submitted using earlier versions of Primavera scheduling software (Primavera SureTrak or Primavera P3) may be converted to Primavera P6 format by the University for review purposes. However, the University will not be responsible for any inaccuracies that may result from such conversions.

32.2.1 Prior to bidding Trade Contracts, the Construction Manager shall prepare and submit to the Owner and the Consultant a preliminary CPM construction schedule for the Work that will be included in the Project bidding documents.

3.2.2.2 The schedules submitted for this Project shall coordinate Work in accordance with all schedules included in the Owner's approved Program. Construction work shall be scheduled and executed such that operations of the University are given first priority. This applies particularly to outages and restriction of access.

- 32.2.3 The schedules submitted for this Project shall not exceed time limits established for the Project. Schedules which reflect a duration less than the Contract Time are for the convenience of the Construction Manager and shall not be the basis of any claim for delay or extension of time.
- 32.2.4 Schedules shall be revised at appropriate intervals as required by the condition of the Work and the Project, shall be related to the entire Project to the extent required by the Contract Documents, and shall provide for expeditious and practicable execution of the Work.

- 32.2.5 The Construction Manager shall also submit a payment schedule indicating the percentage of the Contract Amount and the amount of the anticipated monthly payments that will be requested as the Project proceeds.
- 32.2.6 The Owner may withhold approval of all or a portion of progress payments until the progress payment schedule and construction schedule have been submitted by the Construction Manager.
- 32.3 The Construction Manager shall prepare and keep current, for the Consultant's approval, a separate schedule of submittals coordinated with the Construction Manager's CPM construction schedule that provides reasonable time for the Consultant to review the submittals.
- 32.4 The Construction Manager shall cause the work to be performed pursuant to the most recent schedules.

ARTICLE 33 - USE OF COMPLETED PORTIONS

- 33.1 Upon mutual Agreement between the Owner, Construction Manager, and Consultant, the Owner may use a completed portion of the Project after an inspection is made. Such possession and use shall not be deemed as acceptance of any Work not completed in accordance with the Contract Documents, nor shall such possession and use be considered to alter warranty obligations or cause any warranty period to commence prior to Substantial Completion.

ARTICLE 34 - INDEMNIFICATION

- 34.1 To the fullest extent permitted by law, the Construction Manager shall indemnify and hold harmless the Owner, its consultants, and their respective employees and agents from and against all claims, damages, losses and expenses, including attorney's fees, provided that any such claim, loss, damage or expense: (a) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself) including the loss of use resulting therefrom, and (b) is caused in whole or in part by any negligent act or omission of the Construction Manager, any Sub-contractor or material supplier, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable. This basic obligation to indemnify shall not be construed to nullify or reduce other indemnification rights which the Owner, its consultants, and their respective employees and agents would otherwise have.
- 34.2 The Construction Manager shall also indemnify and hold harmless the Owner, its consultants, and their respective employees and agents from any claims relating to the Project brought against the Owner, its consultants, and their respective employees and agents by any Sub-contractor unless such claims are due to the gross negligence or misconduct of the Owner or Consultant.
- 34.3 In any and all claims against the Owner its consultants, and their respective employees and agents, by any employee of the Construction Manager, any Sub-

contractor, any one directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under this Article shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Construction Manager or any Sub-contractor under Worker's Compensation acts, disability benefit acts or other employee benefit acts.

- 34.4 The obligations of the Construction Manager under this Article shall not extend to the liability of the Consultant, his agents or employees, arising out of (1) the preparation or approval of maps, drawings, opinions, reports, surveys, Change Orders, designs or specifications, or (2) the giving of or the failure to give directions or instructions by the Consultant, his agents or employees, provided such giving or failure to give is the primary cause of injury or damage.

ARTICLE 35 - INSURANCE

- 35.1 The Construction Manager shall furnish the Owner the Certificates of Insurance or other acceptable evidence that insurance is effective, and guarantee the maintenance of such coverage during the term of the Contract. Each policy of insurance, except Workers Compensation, shall name the Western Kentucky University and the directors, officers, trustees and employees of the University as additional insured on a primary and non-contributory basis as their interest appears. Waiver of subrogation in favor of the Western Kentucky University shall apply to all policies. Any endorsements required to validate such waiver of subrogation shall be obtained by the Construction Manager at the Construction Manager's expense.
- 35.2 The Construction Manager shall not commence, nor allow any Sub-contractor to commence Work under this Contract, until the Owner has reviewed the certificates and approved coverages and limits as satisfying the requirements of the bidding process.
- 35.3 Workers' Compensation and Employers' Liability Insurance. The Construction Manager shall acquire and maintain Workers' Compensation insurance with Kentucky's statutory limits and Employers' Liability insurance as defined in the Special Conditions for all employees who will be working at the Project site. In the event any Work is sublet, the Construction Manager shall require any Sub-contractor to provide proof of this insurance for the Sub-contractors' employees, unless such employees are covered by insurance provided by the Construction Manager.
- 35.4. The Construction Manager shall either require each Sub-contractor to procure and maintain insurance of the type and limits stated during the terms of the Contract, or insure the activities of such Sub-contractors under a blanket form as described below:
- 35.4.1 Commercial General Liability Insurance. The Construction Manager shall acquire and maintain a Broad Form Comprehensive General Liability (CGL) Insurance Policy

including premises- operations, products/completed operations, blanket contractual, broad form property damage, real property fire legal liability and personal injury liability coverage. The Insurance Policy must be on an "occurrence" form only, unless approved by the Owner. Contractual liability must be endorsed to include defense costs. Products and completed operations insurance must be carried for two years following completion of the Work. Policies which contain Absolute Pollution Exclusion endorsements are not acceptable. Coverage must include pollution from "hostile fires". Where required by the risks involved, Explosion, Collapse and Underground (XCU) coverages shall be added by endorsement. If the work involved requires the use of helicopters, a separate aviation liability policy as defined in the Special Conditions will be required. If cranes and rigging are involved, a separate inland marine policy with liability limits as defined in the Special Conditions will be required.

35.4.1.1 The limits of liability shall not be less than defined in the Special Conditions.

35.4.2 Comprehensive Automobile Liability Insurance. The Construction Manager shall show proof and guarantee the maintenance of insurance to cover all owned, hired, leased or non-owned vehicles used on the Project. Coverage shall be for all vehicles including off the road tractors, cranes and rigging equipment and include pollution liability from vehicle upset or overturn. Policy limits shall not be less than defined in the Special Conditions.

35.4.3 Excess or Umbrella Liability Insurance. The Construction Manager shall acquire and maintain a policy of excess liability insurance in an umbrella form for excess coverages over the required primary policies of broad form commercial general liability insurance, business automobile liability insurance and employers' liability insurance. This policy shall have a minimum as defined in the Special Conditions for each occurrence in excess of the applicable limits in the primary policies. The excess liability policy shall not contain an absolute pollution exclusion and shall include coverages for pollution that may occur due to hostile fires and vehicle upset and overturn. The limits shall be increased as appropriate to cover any anticipated special exposures.

35.5 Builders Risk Insurance. The Construction Manager shall purchase and maintain an "all risk" Builder's Risk Insurance policy upon the Work at the site to the full insurable value thereof. Such insurance shall include interests of the Owner, Construction Manager, and all Sub-contractors and of their subcontractors. It shall insure against perils of fire, extended coverage, vandalism and malicious mischief. Construction Manager's work performed, and materials to be incorporated into the project and stored on the jobsite, will be covered. Builder's Risk does not include temporary buildings, or Construction Manager or Construction Manager's tools, equipment, or trailers and contents.

35.6 Insurance Agent and Company Insurance as required in the bidding process of the Project shall be written according to applicable state law in Kentucky. The policies shall be written by an insurer duly authorized to do business in Kentucky in compliance with KRS: 304.1-.100 and -110.

ARTICLE 36 - PERFORMANCE AND PAYMENT BONDS

- 36.1 The Construction Manager shall furnish a Performance Bond in the form provided in the Contract Documents in the full amount of the Contract Amount as security for the faithful performance of the Contract. The Construction Manager shall also furnish a Payment Bond in the form provided in the Contract Documents in the full amount of the Contract Amount for the protection of all persons performing labor or furnishing materials, equipment or supplies for the Construction Manager or its Sub-contractors for the performance of the Work provided for in the Contract, including security for payment of all unemployment contributions which become due and payable under Kentucky Unemployment Insurance Law.
- 36.2 Each bond furnished by the Construction manager shall incorporate by reference the terms of the Contract as fully as though they were set forth verbatim in such bonds. In the event the Contract Amount is adjusted by Change Order, the penal sum of both the performance bond and the payment bond shall be deemed increased by like amounts.
- 36.3 The performance and payment bonds shall be executed by a surety company authorized to do business in the Commonwealth of Kentucky, and the contract instrument of bonds must be countersigned by a duly appointed and licensed resident agent.

ARTICLE 37 - DAMAGED FACILITIES

- 37.1 The Construction Manager shall repair or replace, at no expense to the Owner, any damaged section of existing buildings, paving, landscaping, streets, drives, utilities, watersheds, etc. caused by Work performed under the Contract or incidental thereto, whether by the Construction Manager's own forces, Sub-contractors or by material suppliers. Such repair or replacement shall be performed by craftsmen skilled and experienced in the trade or craft for the original Work.
- 37.2 Water damage to the interior of any building caused by Work performed under the Contract or incidental thereto, whether by the Construction Manager's own forces, Sub-contractors, or by material suppliers, and whether occurring in a new or existing building, shall be repaired by the Construction Manager at the Construction Manager's expense, and any materials damaged inside the building, including personal property, shall be repaired or replaced at the full replacement cost by the Construction Manager at the Construction Manager's expense.
- 37.3 For existing buildings, the Construction Manager, along with the Owner's Representative and Consultant, will tour the Project site to evaluate existing conditions and determine any existing damage before any Work on this Contract is done.

- 37.4 Should the Construction Manager fail to proceed with appropriate repairs in an expedient manner, the Owner reserves the right to have the Work/repairs completed and deduct the cost of such Work/repairs from amounts due or to become due to the Construction Manager. If the Owner deems it not expedient to repair the damaged Work, or if repairs are not done in accordance with the Contract, an equitable deduction from the Contract price shall be made.

ARTICLE 38 - CLAIMS & DISPUTE RESOLUTION

- 38.1 All Construction Manager's claims and disputes shall be referred to the Consultant for review and recommendation. All claims shall be made in writing to the Consultant and to the Owner's Project Manager not more than ten (10) days from the occurrence of the event which gives rise to the claim or dispute, or not more than ten (10) days from the date that the Construction Manager knew or should have known of the claim or dispute. Unless the claim is made in accordance with these requirements, it shall be waived. Any claim not submitted before Final Payment shall be waived. The Consultant shall render a written decision within fifteen (15) days following receipt of a written demand for the resolution of a claim or dispute.
- 38.1.1 The provisions of Article 43.2 notwithstanding, claims and disputes between the Construction Manager and any Sub-contractor or supplier shall not be referred to the Consultant except to request interpretation and/or clarification of the intent of the plans or specifications. Such claims and disputes between the Construction Manager and any Sub-contractor shall be resolved between those parties as required by Article 43.4 of these General Conditions.
- 38.2 The Consultant's decision shall be final and binding on the Construction Manager unless the Construction Manager submits to the Consultant and the Owner's Project Manager a written notice of appeal within fifteen (15) Calendar Days of the Consultant's decision. The Construction Manager must present within fifteen (15) Calendar Days of such notice to appeal a narrative claim in writing with complete supporting documentation. After receiving the written claim, the Project Manager will review the materials relating to the claim and may meet with the Consultant and/or the Construction Manager to discuss the merits of the claim. The Project Manager will render a decision within thirty (30) Calendar Days after receiving the written claim and supporting documentation. The decision of the Project Manager shall be final and binding pending further appeal as provided for in Article 39. If the Consultant or the Project Manager do not issue a written decision within thirty (30) calendar days after receiving the claim and supporting documentation, or within a longer period as may be established by the parties to the Contract in writing, then the Construction Manager may proceed as if an adverse decision had been received.
- 38.3 If the Project Manager does not agree with the Consultant's decision on a claim by the Construction Manager, the Project Manager shall notify the Construction Manager and the Consultant and direct the Construction Manager to perform the Work about which the claim was made and the Construction Manager shall proceed with such Work in accordance with the Project Manager's instruction. If the

Construction Manager disagrees with a decision of the Project Manager concerning a Construction Manager's claim, the Construction Manager shall proceed with the Work as indicated by the Project Manager's decision.

- 38.4 The Construction Manager shall continue to diligently pursue Work under the Contract pending resolution of any dispute, and the Owner shall continue to pay for undisputed work in place.

ARTICLE 39 - CLAIMS FOR DAMAGE

39.1 Should either party to the Contract suffer damage because of wrongful act or neglect of the other party, or of anyone employed by them, or others for whose act they are legally liable, or if other controversy should arise under the Contract, such claim or controversy shall be made in writing to the other party within thirty (30) days after the first occurrence of the event. Prior to the institution of any action in court, the claim or controversy (together with supporting data) shall be presented in writing to the Director of the Capital Project Management Division at the Western Kentucky University ("Director") or his designee. The Director, or designee, is authorized, subject to any limitations or conditions imposed by regulations, to settle, comprise, pay, or otherwise adjust the claim or controversy with the Construction Manager. The Director, or designee, shall promptly issue a decision in writing. A copy of the decision shall be mailed or otherwise furnished to the Construction Manager. The decision rendered shall be final and conclusive unless the Construction Manager files suit pursuant to KRS 45A.245. If the Director, or designee, does not issue a written decision within one hundred and twenty (120) days after written request for a final decision, or within a longer period as may be established by the parties to the Contract in writing, then the Construction Manager may proceed as if an adverse decision had been received.

- 39.2 Any legal action on the Contract shall be brought in the Franklin Circuit Court and shall be tried by the Court sitting without a jury. All defenses in law or equity, except the defense of government immunity, shall be preserved to the Owner. The Owner shall recover from the Construction Manager all attorney's fees, costs and expenses incurred to the extent the Owner prevails in defending or prosecuting each claim in litigation of disputes under the Contract. The Owner is the prevailing party under this provision and is entitled to recover attorneys' fees, costs and expenses on a claim-by-claim basis to the extent the Owner successfully defeats or prosecutes each claim. A recovery of a net judgment by the Construction Manager shall not be determinative of the Owner's right to recover attorneys' fees, expenses and costs. Rather, such a determination shall be made based on the extent that the Owner successfully defends or prosecutes each distinct claim in litigation under the Contract, even if the Owner does not prevail on every claim. The Construction Manager shall be liable to the Owner for all attorney's fees, costs and expenses incurred by the Owner to enforce the provisions of the Contract.

ARTICLE 40 - LIENS

- 40.1 The filing and perfection of liens for labor, materials, supplies, and rental equipment

supplied on the Work are governed by KRS 376.195 et seq.

- 40.2 Statements of lien shall be filed with the Fayette County Clerk and any action to enforce the same must be instituted in the Fayette Circuit Court, pursuant to KRS 376.250 (5).
- 40.3 The lien shall attach only to any unpaid balance due the Construction Manager for the improvement from the time a copy of statement of lien, attested by the Fayette County Clerk, is delivered to the Owner, pursuant to the provisions of KRS 376.240.

ARTICLE 41 - ASSIGNMENT

41.1 Neither party to the Contract shall assign the Contract, or any portion thereof without the prior written consent of the other, which consent may be granted or withheld in the granting party's sole and absolute discretion. The Construction Manager shall not assign any amount or part of the Contract or any of the funds to be received under the Contract unless the Construction Manager has the prior written approval of the Owner (which approval may be granted or withheld in the Owner's sole and absolute discretion) and the Surety on the Construction Manager's bond has given written consent to any such assignment.

ARTICLE 42 - SEPARATE CONTRACTS

- 42.1 The Owner reserves the right to enter into other Contracts in connection with the Project or to perform any work with the Owner's forces in the normal sequence of the work as depicted in the then current construction schedule. Except for work performed by University personnel, such contracts shall be assignable to the Construction Manager and shall contain the same terms and conditions as the contracts between the Construction Manager and the Sub-contractors. The Construction Manager will be entitled to a maximum of three percent (3%) overhead and profit on the value of such assigned contracts. The Construction Manager shall afford other contractors reasonable opportunity for the introduction and storage of their materials and the execution of their work and shall properly connect and coordinate its Work with theirs in such manner as the Consultant may direct.
- 42.2 Should the Construction Manager cause damage to any separate contractor on the Work, and the separate contractor sues the Owner on account of any damage alleged to have been so sustained, the Construction Manager shall be responsible for all costs, attorney's fees and expenses incurred by the Owner for defending such proceedings unless the Owner prevails on behalf of the Construction Manager in which case fees and expenses will be the responsibility of the separate contractor and if any judgment against the Owner arises therefrom, the Construction Manager shall pay or satisfy it and shall pay all costs, attorney's fees and expenses incurred by the Owner.

- 42.3 If any part of the Construction Manager's Work depends upon the work of any other separate contractor, the Construction Manager shall promptly report to the Consultant any observed defects in such work that render it unsuitable for proper execution connection. The failure to inspect and report shall constitute an acceptance of the other contractor's work as fit and proper for the reception of the work, except as to defects which may develop in the other contractor's work after the execution of the work.
- 42.4 Whenever work being done by the Owner's forces or by other contractors is contiguous to work covered by this Contract, the respective rights of the various parties involved shall be established by the Owner to secure the completion of the various portions of the Work in general harmony.

ARTICLE 43 - CONSTRUCTION MANAGER/SUB-CONTRACTOR RELATIONSHIP

- 43.1 The Construction Manager is fully responsible to the Owner for the acts and omissions of the Sub-contractors and of persons either directly or indirectly employed by them. The Construction Manager is responsible for the acts and omissions of persons employed directly by the Construction Manager and for the coordination of the Work, including placement and fittings of the various component parts. No claims for extra costs as a result of the failure to coordinate the Work, or by acts or omissions of the various Sub-contractors, will be paid by the Owner.
- 43.2 Except as otherwise provided in these Contract Documents, the Construction Manager agrees to bind every Sub-contractor by the terms and conditions of the Contract Documents as far as applicable to their portion of the Work. Upon request, the Construction Manager shall provide copies of any subcontracts and purchase orders to the Owner or Consultant.
- 43.3 The Construction Manager shall make no substitution or change in any Sub-contractor listed and accepted by the Consultant or Owner except as approved in writing by the Owner. The Construction Manager shall not employ any Sub-contractor or supplier against whom the Owner or the Consultant has made reasonable and timely objection. The Construction Manager (CM) will not be allowed to self-perform work or bid on any of the proposed work categories unless a subcontractor fails to perform and upon prior approval by the Universities authorized representatives."
- 43.4 Nothing contained in the Contract Documents shall create any contractual relationship between the Owner and any Sub-contractor, Trade Contractor or Supplier, nor shall the Construction Manager include any language in their contracts with any Sub-contractor, Trade Contractor and/or Supplier that might imply such a relationship. The Construction Manager is hereby notified that it is the Construction Manager's contractual obligation to settle disputes between Sub-contractors, Trade Contractors, and/or Suppliers. Neither the Owner nor the Consultant will settle disputes between the Construction Manager and any Sub-contractor, Trade Contractor, and/or Supplier or between Sub-contractors, Trade Contractors, and/or Suppliers.

- 43.4.1 The Owner does not waive sovereign immunity under KRS 45A.245(1) for any claim or claims made by parties not having a written contract with the Western Kentucky University.
- 43.4.2 Third party and/or flow-through type claims, from Sub-contractors and/or suppliers or any other entity not having a written contract directly with the University, are specifically prohibited by this Contract and no provision of the Construction Manager's contracts with such entities shall indicate otherwise.
- 43.4.3 The Construction Manager shall indemnify and hold harmless the Owner and its agents and employees from any claims relating to the Project brought against the Owner by any of the Construction Manager's Sub-contractors or suppliers, or between their sub-contractors or suppliers.

ARTICLE 44 - CASH ALLOWANCE

- 44.1 The Construction Manager is to provide or require the Sub-contractor(s) to include in the Contract Amount all costs necessary to complete the Work. Costs based on "allowances" shall be permitted only for objectively quantifiable material items and only with the prior written approval of the Owner.

ARTICLE 45 - PROJECT SITE LIMITS

- 45.1 The Construction Manager shall confine the apparatus, the storage of materials, and the operations of Workmen to Project site limits indicated in the Contract Documents and as permitted by law, ordinances, and permits, and shall not unreasonably encumber the site with materials and equipment.

ARTICLE 46 - CLEAN UP

- 46.1 The Construction Manager shall at all times keep the premises free from accumulation of waste material or rubbish caused by the operations in connection with the Work. All corridors and exit doors must be kept clear at all times. All exit ways, walks, and drives must be kept free of debris, materials, tools and vehicles.
- 46.2 At the completion of the Work, and prior to final inspection and acceptance, the Construction Manager shall remove all remaining waste materials, rubbish, Construction Manager's construction equipment, tools, machinery, and surplus materials and shall leave the Work in a clean and usable condition, satisfactory to the Consultant and the Owner. If the Construction Manager fails to clean up as provided in the Contract Documents, the Owner may perform the cleaning tasks and charge the cost to the Construction Manager.

ARTICLE 47 - POINTS OF REFERENCE

- 47.1 The Construction Manager shall carefully preserve bench marks, reference points and

stakes, and in case of willful or careless destruction, the Construction Manager shall be charged with the resulting expense of replacement and shall be responsible for any mistake that may be caused by their loss or disturbance.

ARTICLE 48 - SUBSTITUTION - MATERIALS AND EQUIPMENT

48.1 Reference to or the listing of items to be incorporated in the construction without referring to any specific article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number shall be interpreted as establishing the general intent of the Contract and the general standard of quality for that item.

48.2 Specific references in the Contract Documents to any article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number, with the words "or equal", shall be interpreted as establishing a minimum standard of quality, and shall not be construed as limiting competition.

48.2.1 Substitution of other equipment and materials as "or equal" to items named in the specifications will be allowed provided the proposed substitution is approved by the Consultant and will perform the functions called for by the general design, be similar and of equal quality to that specified and be suited to the same use and capable of performing the same function of that specified. The Construction Manager has the burden to prove equality of any substitution requested.

48.3 Specific references in the Contract Documents to any article, device, equipment, product, material, fixture, patented process, form, method or type of construction, or by name, make, trade name, or catalog number, without the words "or equal", shall be interpreted as defining an item or source that has after careful consideration been determined by the University as necessary to be compliant with, and/or to function properly within, the University operational system. No substitutions will be allowed.

48.3.1 In the event the Contract Documents contain specific reference to two or more items as described in Article 48.3, any of those listed will be acceptable.

48.4 Substitution of equipment and materials previously submitted by the Construction Manager and approved by the Consultant will be considered only for the following reasons:

48.4.1 Unavailability of the materials or equipment due to conditions beyond the control of the supplier.

48.4.2 Inability of the supplier to meet Contract Schedule.

48.4.3 Technical noncompliance to specifications.

48.5 In substituting materials or equipment, the Construction Manager assumes

responsibility for any changes in systems or modifications required in adjacent or related work to accommodate such substitutions, despite consultant approval, and all costs associated with the substitution shall be the responsibility of the Construction Manager. The Consultant shall be reimbursed by the Construction Manager for any architectural or engineering revisions required as the result of such substitutions.

- 48.6 Inclusion of a certain make or type of materials or equipment in the Construction Manager's bid proposal shall not obligate the Owner to accept such materials or equipment if they do not meet the requirements of the Contract Documents and any such substitutions in the preparation of the bid without written approval shall be at the sole risk of the Construction Manager.

ARTICLE 49 - TEST AND INSPECTION

- 49.1 Regulatory agencies of the government having jurisdiction may require any Work to be inspected, tested or approved. The Construction Manager shall assume full responsibility therefore, pay all costs in connection therewith, unless otherwise noted, and furnish the Consultant the required certificates of inspection, testing or approval.
- 49.2 The Construction Manager shall give the Consultant timely notice of readiness of the Work for all inspections, tests or approvals.
- 49.3 The technical specifications may indicate specific testing requirements to be performed by the Construction Manager. Unless otherwise provided in the Contract Documents, the cost of all such testing shall be the responsibility of the Construction Manager. Testing shall be completed using a testing facility or laboratory approved by the Owner.
- 49.4 The costs of all inspection fees as may be required to construct and occupy the Work shall be the responsibility of the Construction Manager.

ARTICLE 50 - WARRANTY

- 50.1 The Construction Manager warrants to the Owner and the Consultant that all materials and equipment furnished under this Contract shall be new and in accordance with the requirements of the Contract Documents, and that all Work shall be of good quality, free from faults and defects and in conformance with the Contract Documents. If required by the Consultant or the Owner, the Construction Manager shall furnish satisfactory evidence as to the kind and quality of materials and equipment. If the Construction Manager requests approval of a substitution of material or equipment, the Construction Manager warrants that such installation, construction, material, or equipment will equally perform the function for which the original material or equipment was specified. The Construction Manager explicitly warrants the merchantability, the fitness for a particular purpose, and quality of all substituted items in addition to any warranty given by the manufacturer and/or

supplier. Approval of any such substitution is understood to rely on such warrant of performance. Prior to the Substantial Completion inspection, the Construction Manager shall deliver to the Consultant all warranties and operating instructions required under the Contract or to which the Construction Manager is entitled from manufacturers, suppliers, and Sub-contractors. All warranties for products and materials incorporated into the Work shall begin on the date of Substantial Completion. The warranty provided in this Article 50 shall be in addition to and not a limitation of any other warranty or remedy required by law or by the Contract Documents, and such warranty shall be interpreted to require the Construction Manager to replace defective material and equipment and re-execute defective Work which is disclosed to the Construction Manager by or on behalf of the Owner within a period of one (1) year after Substantial Completion of the entire Work in addition to other warranty obligations beyond one year from Substantial Completion as provided for by law or by the Contract Documents.

- 50.2 Neither the final payment, any provision in the Contract Documents nor partial or entire use or occupancy of the premises by the Owner shall constitute an acceptance of Work not done in accordance with Contract Documents or relieve the Construction Manager or its Sureties of liability with respect to any warranties or responsibilities for faulty materials and workmanship. The Construction Manager or its sureties shall remedy any defects in Work and any resulting damage to Work at the Construction Manager's own expense. The Construction Manager shall be liable for correction of all damage resulting from defective Work. If the Construction Manager fails to remedy any defects or damage, the Owner may correct Work or repair damages and the cost and expense incurred in such event shall be paid by or be recoverable from the Construction Manager or the surety. The Owner will give notice of observed defects with reasonable promptness.
- 50.3 The Construction Manager shall guarantee that labor, material, and equipment will be free of defects for a period of one (1) year from the date shown on the Certificate of Substantial Completion unless special conditions or additional warranty periods are required by the contract pursuant to Article 23 in addition to warranty obligations which extend beyond one year from Substantial Completion. The Owner will give notice of observed defects with reasonable promptness. Expendable items and wear from ordinary use are excluded from this warranty.
- 50.4 Should the Construction Manager be required to perform tests that must be delayed due to climate conditions, it is understood that such tests will be accomplished by the Construction Manager at the earliest possible date with provisions of the general warranty beginning upon satisfactory completion of said test. The responsibility of the Construction Manager under this Article will not be abrogated if the Owner should elect to initiate final payment. If the Owner initiates final payment, consent of Construction Manager's surety acknowledging that Work not yet tested is required. The Construction Manager shall warrant that the entire Project will conform to the Contract Documents.
- 50.5 In addition to the foregoing, the Construction Manager shall warrant for a period of one

(1) year that all buildings and other improvements constructed as a part of the Work shall be watertight and leak proof at every point and in every area. The Construction Manager shall, immediately upon notification by or on behalf of the Owner of water penetration, determine the source of water penetration and, at the Construction Manager's expense, (a) do any work necessary to make such buildings or improvements watertight and (b) repair and replace any other damaged material, finishes and furnishings damaged as a result of such water penetration and return the buildings or other improvements to their original condition.

50.6 The Construction Manager shall address and resolve to the Owner's satisfaction any warranty claims made by or on behalf of the Owner during the above described warranty period and all repairs and replacements made by the Construction Manager pursuant to this Article 50 shall be warranted by the Construction Manager, on the terms set forth in this Article 50, for a period of time commencing upon the completion of such repairs and replacements and ending on the later of (a) the expiration of the one (1) year warranty period provided for above or (b) six (6) months after the date such repair or replacement is completed.

50.7 All costs, attorney's fees and expenses incurred by the Owner as a result of the Construction Manager's failure to honor any warranty for the Work shall be paid by or recoverable from the Construction Manager.

ARTICLE 51 - PREVAILING WAGE LAW REQUIREMENTS (NO LONGER USED AS OF 1/9/17)

ARTICLE 52 - APPRENTICES

52.1 Apprentices (for all classifications of work) shall be permitted to work only under an apprenticeship agreement approved by the Kentucky Supervisor of Apprenticeship and by the Kentucky Apprenticeship and Training, United States Department of Labor.

ARTICLE 53 - GOVERNING LAW

53.1 This Contract and all issues and disputes arising out of this Contract shall be governed by, construed and enforced in accordance with the laws of the Commonwealth of Kentucky without consideration of its conflicts of laws principles.

ARTICLE 54 - NONDISCRIMINATION IN EMPLOYMENT

During the performance of the Contract, the Contractor agrees as follows:

1. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, national origin, or disability in employment. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, age, national origin, or disability in employment. Such action shall include, but not be

limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, national origin or disability in employment.
3. The Contractor will send to each labor union or representatives of workers with which it has a collective bargaining agreement or other Contract or understanding, a notice advising the said labor union or workers' representatives of the Contractor's commitments under this Article, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
4. Failure to comply with the above nondiscrimination clause constitutes a material breach of Contract,

ARTICLE 55 - AFFIRMATIVE ACTION; REPORTING REQUIREMENTS

55.1 The Construction Manager and any Sub-contractor is exempt from any affirmative action or reporting requirements, under the Kentucky Equal Employment Opportunity Act of 1978, KRS

45.550 to KRS 45.640 "The Act", if any of the following conditions are applicable:

55.1.1 The Trade Contract awarded is in the amount of two hundred and fifty thousand dollars (\$250,000.00) or less, and the amount of the Trade Contract is not a subterfuge to avoid compliance with the provisions of the Act;

55.1.2 The Construction Manager or Sub-contractor utilizes the services of fewer than eight (8) employees during the course of the Contract;

55.1.3

The Construction Manager or Sub-contractor employs only family members or relatives;

55.1.4 The Construction Manager or Sub-contractor employs only persons having a direct ownership interest in the business and such interest is not a subterfuge to avoid compliance with the provisions of The Act.

55.2 The Construction Manager and any Sub-contractor, not otherwise exempted, shall:

55.2.1 For the length of the Contract, hire DBE's from within the drawing area to satisfy the agreed upon goals and timetables. Should the union with which the General Contractor or Sub-contractor have collective bargaining agreements be unwilling to provide sufficient DBE's to satisfy the agreed upon goals and timetables, the General Contractor and Sub-contractors shall hire DBE's from other sources within the drawing area.

Diverse Business Enterprises (DBE) consist of minority, women, disabled, veteran and disabled veteran owned business firms that are at least fifty-one percent owned and operated by an individual(s) of the aforementioned categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled. MBE, WBE, Veterans, Disabled Veterans and Disabled make up Diverse Business Enterprises (DBE)

55.2.2 The equal employment provisions of The Act may be met in part by the Construction Manager contracting to a DBE contractor or Sub-contractor. A DBE contractor, or Sub-contractor shall mean a business established under the definition of a DBE in Article 55.2.1

55.2.3 The Construction Manager shall, for the length of the Contract, furnish such information as required by The Act and by such rules, regulations and orders issued pursuant thereto and will permit access by the contracting agency and the department to all books and records pertaining to its employment practices and Work sites for purposes of investigation to ascertain compliance with The Act and such rules, regulations and orders issued pursuant thereto.

55.3 If the Construction Manager is found to have committed an unlawful practice against a provision of The Act during the course of performing under this Contract, a Trade Contract or a subcontract covered under The Act, the Owner may cancel or terminate the Contract, conditioned upon a program for future compliance approved by the Owner. The Owner may also declare such Construction Manager ineligible to submit proposals on further contracts until such time as the Construction Manager complies in full with the requirements of The Act.

55.4 Any provisions of The Act notwithstanding, the Construction Manager shall not be required to terminate an existing employee, upon proof that employee was employed prior to the date of the Contract, nor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

END OF DOCUMENT

**AGREEMENT BETWEEN
WESTERN KENTUCKY UNIVERSITY
AND CONTRACTOR**

THIS AGREEMENT, made the **Insert Day** day of **Insert Month** **Insert Year** by and between

Insert Contractor Name ("Contractor"),
Insert Contractor Address

and

WESTERN KENTUCKY UNIVERSITY, ("Owner"),

is to bind the parties hereto to the principles and terms set forth herein, and shall be binding upon the parties hereto.

WITNESSETH, that the Contractor and Owner for the consideration hereinafter named, agree as follows:

ARTICLE 1 - SCOPE OF WORK

The contractor shall furnish all of the materials and perform all the Work described in the Specifications and/or shown on the Drawings entitled:

**COLLEGE OF BUSINESS
BID #: WKU-
WESTERN KENTUCKY UNIVERSITY**

which Specifications and/or Drawings are incorporated in and made a part thereof .

ARTICLE 2 - TIME OF COMPLETION

The Contractor must begin Work specified by the written Work Order from the Owner. **Substantial Completion** shall be **XXX calendar days** after the stipulated commencement date with **Final Completion being 30 calendar days** after the date of Substantial Completion.

ARTICLE 3 - LIQUIDATED DAMAGES

It is mutually understood and agreed by and between the parties hereto that time is of the essence in the performance of this contract and that the Owner will sustain substantial monetary and other damages in the event of a failure or delay by the Contractor in the completion of the Work. It is further understood and agreed upon and made part of this Contract that the Work must be begun, performed, and completed without delay by the Contractor and if the Contractor fails to begin, perform without interruption, and complete said Work in due and proper time, the Contractor may be declared in default of this Agreement. If the Work is not substantially complete within the time required in Article 2 of this Agreement, the Contractor shall pay to the Owner, as liquidated damages for delay and not as a penalty, the sum of **\$1,500.00** for each and every day after the date of Substantial Completion until the date of actual Substantial Completion. If the Work is not finally completed within the time required in the preceding Article 2 of this Agreement, the Contractor shall pay to the Owner, as liquidated damages for delay and not as a penalty, the sum of **\$2,000.00** for each and every day after the date of Final Completion until the date of actual Final Completion. In no event shall liquidated damages for delay in Final Completion be due before the date required for Final Completion in Article No. 2 of this Agreement. This

**AGREEMENT BETWEEN
WESTERN KENTUCKY UNIVERSITY
AND CONTRACTOR**

provision for liquidated damages is intended to compensate the Owner for delay only and shall not preclude the Owner from making claims for other damages.

If the Work is not commenced by the Contractor at the time specified in Article 2 of this Agreement, then the Contractor and its surety or sureties shall be liable for and pay to the Owner all damages sustained by reason of such failure or breach of contract and the Owner may immediately award the Work to the next responsible and responsive bidder.

ARTICLE 4 - THE CONTRACT AMOUNT

Subject to additions and deductions for Change Orders made in accordance with the Contract Documents, the Owner shall pay the Contractor as full consideration for the Contractor's satisfactory performance of the Contract obligations the sum of:

\$Insert Dollars in Figures

Insert Dollars in Words

ARTICLE 5 - PROPOSED PAYMENTS

The Owner shall make periodic partial payments in accordance with the General Conditions for Work satisfactorily completed and for materials suitably stored at the site of the Work as estimated by the Consultant, less retainage and the aggregate of previous payments.

ARTICLE 6 - ACCEPTANCE AND FINAL PAYMENT

Final payment shall be due thirty (30) days after Final Completion of the Work, provided, that all Work has been fully completed in accordance with the Contract Documents as evidenced by a certificate by the Consultant for the project, and acceptance by the Owner.

The Contractor shall submit with the final estimate evidence satisfactory to the Consultant that all payrolls, material bills and other indebtedness connected with the Work have been paid or that provisions for the satisfaction thereof have been made. If, after the Work has been substantially completed, final completion of the Work is delayed through no fault of the Contractor, the Owner may pay to the Contractor from the remaining balance of funds for this Agreement a sum equal to the value of that portion of the Work fully completed and accepted by the Owner as provided in this Agreement.

ARTICLE 7 - THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, all Addenda, the Contractor's Bid Form, the General Conditions, the Special Conditions, the Contractor's Bonds, the Specifications, the Drawings and Change Orders issued after execution of the Contract for the Work described in Article 1 of this Agreement, all of which are incorporated in and made a part hereof by reference, and which shall be binding upon the Contractor and the Owner.

**AGREEMENT BETWEEN
WESTERN KENTUCKY UNIVERSITY
AND CONTRACTOR**

ARTICLE 8 - CONTRACT ADJUSTMENTS

The Owner, without invalidating this Agreement may make adjustments to the Work as provided by KRS 45A.200 (1), and may order extra Work or make changes by altering, adding to or deducting from the Work. All such Work shall be executed and paid for in accordance with the General Conditions of the Contract.

ARTICLE 9 - SPECIAL NOTICE

The Contractor hereby certifies that it is fully informed of the conditions relating to construction and labor under which the Work under this Agreement is to be performed, and agrees that it shall employ, methods and means in carrying out the Work so as not to interfere with or interrupt the Work of any other Contractor working on/or adjacent to the site for this Work.

ARTICLE 10 - OWNER'S RIGHT TO TERMINATE CONTRACT

This contract may be terminated for the convenience of the Owner or for default as provided for by KRS 45A.200 and Article 24 of the General Conditions.

IN WITNESS WHEREOF this Agreement is executed in Insert Number of Documents to be Executed counterparts, each one of which shall be deemed an original and adequate proof of this Agreement, on the date and year first herein before written.

WITNESS:

CONTRACTOR:

BY: _____

BY: _____

Title: _____

Recommended:

WESTERN KENTUCKY UNIVERSITY

BY: _____

BY: _____

TITLE: _____

TITLE: _____

WESTERN KENTUCKY UNIVERSITY
SPECIAL CONDITIONS OF THE CONTRACT
FOR CONSTRUCTION BY A CONSTRUCTION MANAGER AT RISK

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ARTICLE 01 GENERAL INFORMATION

- 1.1 These Special Conditions are intended to modify, supplement, or delete from, applicable Articles of the General Conditions.
- 1.2 Where any Article of the General Conditions is supplemented by these Special Conditions, the Article shall remain in effect and the supplement shall be added thereto.
- 1.3 Where Special Conditions conflict with General Conditions, provisions of the Special Conditions take precedence.

ARTICLE 02 PERMITS AND FEES

All Permits and fees shall be secured and paid for by the Construction manager.

ARTICLE 03 (NOT USED)

ARTICLE 04 CONSULTANT

4.1 Wherever in these Contract Documents reference is made to the Consultant, it shall be understood to mean Gensler Architects or their duly authorized representatives. (See Article 2 of the General Conditions.)

ARTICLE 05 GEOTECHNICAL REPORT

5.1 No subsurface or geotechnical survey information is available at this time, but once available will be available to the CM

ARTICLE 06 TIME FOR COMPLETION

6.1 The time for Substantial Completion as further defined in Article 1 of the General Conditions shall be 946 consecutive calendar days from the date of commencement as specified in the Work Order letter, and Final Completion shall be 30 days thereafter.

ARTICLE 07 LIQUIDATED DAMAGES

- 7.1 Should the Construction Manager fail to achieve Substantial Completion of the Work under this Contract on or before the date stipulated for Substantial Completion (or such later date as may result from extensions in the Contract

Time granted by the Owner), he agrees that the Owner is entitled to, and shall pay the Owner as liquidated damages the sum of One Thousand, Five Hundred Dollars (\$1,500.00) for each consecutive calendar day that Substantial Completion has not been met. See Article 3 of the Agreement.

- 7.2 Should the Construction Manager fail to achieve Final Completion of the Work under this Contract on or before the date stipulated for Final Completion (or such later date as may result from extensions in the Contract Time granted by the Owner), he agrees that the Owner is entitled to, and shall pay the Owner as liquidated damages the sum of Two Thousand Dollars (\$2,000.00) for each consecutive calendar day until Final Completion is reached. See Article 3 of the Agreement.

ARTICLE 08 SUBMITTALS AND SHOP DRAWINGS

8.1 SUBMITTALS - GENERAL

- 8.1.1 The Construction Manager shall submit each set of Shop Drawings, product data, samples, and test and/or certification reports and samples as a separate item.
- 8.1.2 All sample selections for color shall be submitted for approval at the same time. Color selections shall not be submitted individually.
- 8.1.3 Any deviation from the Contract Documents shall be noted on the transmittal form comment section.
- 8.1.4 All submittals are to be reviewed by the Construction Manager for compliance with the Contract Documents before submission for approval. All submittals are to be initiated by the Construction Manager. Submittals made directly to the Consultant by sub-contractors, manufacturers or suppliers will not be accepted or reviewed.
- 8.1.5 Re-submittals shall conspicuously note all changes from earlier submissions. Special notation by the Construction Manager shall be made to any changes other than those made in response to the Consultant's review.
- 8.1.6 Manufacturers shall, when requested by the Consultant, submit test reports prepared by reputable firms or laboratories certifying as to performance, operation, construction, wearability, etc., to support claims made by the manufacturer of the equipment or materials proposed for inclusion in the Work. Construction Manager shall also submit a list of three (3) installations

where said equipment or materials have been in service for a minimum of five (5) years.

8.2 SUBMISSIONS -

8.2.1 Review of submittals is only for compliance with the design concept and the contract documents. THE CONSULTANT SHALL NOT BE RESPONSIBLE FOR CHECKING DEVIATIONS FROM CONTRACT DOCUMENT REQUIREMENTS OR CHANGES FROM EARLIER SUBMISSIONS NOT SPECIFICALLY NOTED.

8.2.2 The following shall be verified prior to making submittals:

Field Measurements, Field Construction Criteria, Catalog numbers and similar data, Quantities and Capacities, and Compliance with requirements, including verification of all dimensions,

8.2.3 Review Stamp designations shall be as follows:

NET = No Exceptions Taken": Proceed with the Work, no corrections needed. 8.2.3.2

"FC= Furnish as Corrected": Proceed with the Work, noting the corrections/conditions of the approval.

"RR = Revise and Resubmit": Do not proceed with the Work, as the submittal does not comply with the Contract Documents. Revisions to the submittal are required for approval. On projects utilizing UK E-Communication, "Send Back a Step" is used in lieu of "Revise and Resubmit"

"R = rejected": Do not proceed with the Work, the submittal is rejected.

8.3.1 In making a submittal, the Construction Manager shall be deemed to be making the following representations:

8.3.1.1 The Construction Manager understands and agrees that he shall bear full responsibility for the products furnished. The Construction Manager expressly warrants that products described in the attached submittal will be usable and that they conform to the Contract requirements unless specifically noted otherwise.

8.3.1.2 The Construction Manager understands and agrees that, without assuming design responsibility, he expressly warrants that products described in the attached submittal are capable of being used in accordance with the intent of the design documents and that they conform to the Contract requirements

unless specifically noted otherwise.

8.3.1.3 The Construction Manager acknowledges that the Owner will rely on the skill, judgment, and integrity of the Construction Manager as to conformance requirements and subsequent usability.

8.4 SHOP DRAWING AND PROCUREMENT SUBMITTAL LOG

8.4.1 The Construction Manager, within ten (10) days after the Pre-Construction meeting, shall begin uploading submittals, to generate a log fixing the dates for submission of Shop Drawings, special order material items, certifications, guarantees, and any other items required to be submitted to the Consultant for review, approval or acceptance.

8.4.2 The log shall track all submittals to date. The updated log shall then be reviewed and discussed at each progress meeting to determine items that may impact the construction schedule.

8.5 Shop Drawings

8.5.1 The Construction Manager shall review, approve, and submit Shop Drawings to the Consultant, in accordance with the Consultant's Shop Drawing & Procurement Submittal Log. By approving and submitting Shop Drawings, the Construction Manager represents that he has determined and verified all materials, field measurements, and field construction criteria related thereto, or will do so, and that he has checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

8.5.2 The Construction Manager shall submit Shop Drawings required for the Work and the Consultant will review and take appropriate action. The review and approval shall be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents. The approval of a separate item will not indicate approval of the assembly in which the item functions.

8.5.3 The Construction Manager shall make any corrections required by the Consultant for compliance to the Contract and shall return the required number of corrected copies of Shop Drawings and resubmit new samples until approved. The Construction Manager shall direct specific attention, in writing, or on resubmitted Shop Drawings, to revisions other than the corrections called for by the Consultant on previous submissions. The Construction Manager's stamp of approval on any shop drawing or sample

shall constitute a representation to Owner and Design Consultant that the Construction Manager has either determined and verified all quantities, dimensions, field construction criteria, materials, catalog numbers, and similar data, or he assumes full responsibility for doing so, and that he has reviewed or coordinated each shop drawing or sample with the requirements of the Work and the Contract Documents.

8.5.4 Where a shop drawing or sample submission is required by the specifications, no related Work shall be commenced until the submission has been approved by the Design Consultant. A copy of each approved shop drawing and each approved sample shall be kept in good order by the Construction Manager at the site and shall be available to the Consultant.

8.5.5 The Consultant's approval of Shop Drawings or samples shall not relieve the Construction Manager from his responsibility for any deviations from the requirements of the Contract Documents unless the Construction Manager has in writing called the Consultant's attention to such deviation at the time of submission and the Consultant has given written approval to the specific deviation. Any approval by the Consultant shall not relieve the Construction Manager from responsibility for errors or omissions in the Shop Drawings.

8.5.6 All submittals are to be submitted electronically by the contractor. Each individual Shop Drawing shall have its respective specification number and description highlighted.

8.5.7 Where Shop Drawings include fire alarm, communication systems schematics, sprinkler systems, etc., a sepia of each drawing shall be submitted to the Consultant as part of the "Record" set of drawings.

8.6 SUBMISSIONS - SAMPLES

8.6.1 Office samples shall be of sufficient size and quantity to clearly illustrate functional characteristics of the product with integrally related parts and attachment devices, and full range of color, texture, and pattern.

8.6.2 Products shall not be used until the sample has been submitted to and approved by the Consultant.

8.6.3 A minimum of two (2) samples are required to be submitted to the Consultant for review and approval and will be distributed as follows:

- a) One to be retained by the University;
- b) One to be returned to the Design Consultant;

- c) An additional sample or samples may be submitted, at the Construction Manager's option, for distribution to a third party.

8.6.4 Field samples (block, brick, etc.) of materials to be constructed at the site shall be submitted for review as required by the individual section of the Contract Documents.

8.7 SUBMISSIONS - OPERATION AND MAINTENANCE MANUALS

8.7.1 The University requires a minimum of one (1) bound copies and one (1) digital copy of the final installation, training, operation, maintenance, and repair manuals to be turned over to the Owner's Project Manager and approved for content by the Consultant by or before the time construction is 75% complete. Projects utilizing e-Communication will create digital copy from the Document Library (Closeouts) in e-Communication. The Closeout Log must contain individual line items for each physical copy submitted with corresponding PDF attachments. Operation and maintenance manuals and materials, where specified, for mechanical and electrical equipment and for operating items other than mechanical and electrical equipment must be submitted in PDF format with a separate PDF file for each item. In the event the Construction Manager fails to provide these required electronic submittals prior to reaching seventy-five (75%) completion, it is agreed that the Owner at its sole discretion may deduct from the current and subsequent Applications for Payment an amount deemed by the Owner to be sufficient to encourage prompt compliance with this contractual requirement, until such time as acceptable O&M manuals are received.

8.7.2 Manuals provided must be of sufficient detail to enable the Owner or others to install, calibrate, train, operate, maintain, service and repair every system, subsystem, and/or piece of equipment installed on or as part of this Contract. Manuals submitted through UK E-Communication® shall be scanned and submitted in color. Mark-ups must be made using visible color when printed. Each manual must contain:

8.7.2.1 Project Title, Project number, Location, dates of submittals, names, addresses and phone number for the Consultant, Construction Manager, and Construction Manager's Sub-contractors;

8.7.2.2 An Equipment Index that includes vendors' names, addresses, and telephone numbers for all equipment purchased on the Project;

- 8.7.2.3 Emergency instructions with phone numbers and names of contact persons on warranty items shall be uploaded to UK E-Communication®;
- 8.7.2.4 Copies of each system's air balancing record and each system's hydronic balancing record (1) physical copy and (1) digital copy.
- 8.7.2.5 Copy of As-Built temperature control system drawings and components and sequence of operation;
- 8.7.2.6 Any Computer, Micro controller, and/or Microprocessor equipped equipment installed shall be provided with source code copies of all software and firmware (prom, e- prom, rom, other) supplied on this Contract; and
- 8.7.2.7 Copies of all inspection and guarantee certificates, manufacturers' warranties with Western Kentucky University listed as the Owner for all equipment provided and/or installed.
- 8.7.2.8 If the binder includes manuals from any one vendor covering several different model numbers, the model used on the Project must be highlighted.
- 8.7.2.9 Included in the front of the "Operation and Maintenance Manual" shall be a copy of the Interior and Exterior Finish plan and Schedule listing all finish materials, the manufacturer, the finish color, and the manufacturer's paint number.
- 8.7.2.10 A copy of all digital photographs shall be submitted to the owner for their records.

8.8 SUBMISSIONS – AS - BUILT SET OF DRAWINGS

- 8.8.1 The Construction Manager shall submit one (1) electronic copy of As - Built set of drawings in PDF format indicating all deviations of construction as originally specified in the Contract Documents. These As-Built Drawings will compile information from the Construction Manager as well as all Sub-contractors.

The Construction Manager shall provide a qualified representative to update the As - Built set of drawings as construction progresses.

8.8.2 Approval of the Final Payment request will be contingent upon compliance with these provisions. The Construction Manager's As – Built set of drawings shall be delivered to the Consultant at their completion so that the Consultant may make any changes on the original contract drawings.

8.9 SUBMISSIONS - EQUIPMENT LIST

8.9.1 Complete equipment list is required by the owner. WKU may require this data to be in a format that is compatible with WKU DFM.

8.9.2 All materials that require preventative maintenance (PM) shall be listed. The equipment list is to be provided in Excel spreadsheet format.

8.9.3 Listing of maintenance parts and items: i.e. filters, lubricants, etc. for each work task shall also be listed.

8.10 SUBMISSIONS – MAINTENANCE MATERIALS

8.10.1 If specified, Maintenance/Replacement Materials, Spare Parts, and special maintenance tools for proper maintenance shall be provided by the CM at Risk.

ARTICLE 09 PLANS, DRAWINGS, AND SPECIFICATIONS

9.1 The successful Construction Manager can purchase any number of sets of plans and specifications from Lynn Imaging.

9.2 All drawings, specifications and copies, thereof, prepared by the Consultant, are the property of the Western Kentucky University. They are not to be used on other Work.

ARTICLE 10 PROGRESS MEETINGS

10.1 In addition to specific coordination and pre-installation meetings for each element of Work, and other regular Project meetings held for other purposes, progress meetings will be held as outlined at the Preconstruction Meeting. Each entity then involved in planning, coordination or performance of Work

shall be properly represented at each progress meeting. The following areas will be covered at each progress meeting: current status of work in place, CM's review of upcoming work (1 month look ahead), schedule status, upcoming outages, new outage requests, shop drawings due from contractors, shop drawings being reviewed, outstanding RFI's, outstanding proposed change orders, change orders, new business, As-Built updated, close-out documents status, defective work in place issues, review "pencil copy" of payment application, safety issues and new business or other issues not covered above.. With regard to schedule status, discuss whether each element of current work is ahead of schedule, on time, or behind schedule in relation with updated progress schedule; determine how behind-schedule Work will be expedited, and secure commitments from entities involved in doing so; discuss whether schedule revisions are required to ensure that current Work and subsequent Work will be completed within Contract Time; and review everything of significance which could affect the progress of the Work.

- 10.2 Construction Manager shall prepare and submit at each progress meeting an updated schedule indicating Work completed to date and any needed revisions.
- 10.3 With the express purpose of expediting construction and providing the opportunity for cooperation of affected parties, progress meetings will be held and attended by representatives of:
- (1) The Owner's Project Manager
 - (2) The Consultant.
 - (3) Construction Manager.
 - (4) Subcontractors as requested.
 - (5) Others requested to attend (as deemed necessary by CPMD).
 - (6) Physical Plant Division Representative.
- 10.4 A location near the site will be designated where such progress meetings will be held. Participants will be notified of the dates and times of the meetings by the Consultant.

ARTICLE 11 CRITICAL PATH METHOD (CPM) SCHEDULE

- 11.1 Construction Manager shall prepare Critical Path Method (CPM) type schedules in accordance with General Conditions Article 32 with separate divisions for each major portion of the Work or operation. The schedules

submitted for this Project shall be prepared using Primavera P6 scheduling software. If approved by the University, and at the sole discretion of the University, schedules submitted using earlier versions of Primavera scheduling software (Primavera SureTrak or Primavera P3) may be converted to Primavera P6 format by the University for review purposes. However, the University will not be responsible for any inaccuracies that may result from such conversions.

11.2

11.1.1 CPM schedules shall be based on generally accepted good practices for the development of construction schedules including limited use of long activity durations, long total float values or relationships based on leads or lags. Schedules shall include all activities necessary for performance of the work showing logic (sequences, dependencies, etc.) and duration of each activity. The schedules shall provide information for all elements of the Work in sufficient detail to accurately demonstrate the relative importance of each activity to the successful completion of the Project including but not necessarily limited to the following.

- a) Activities to be performed by the University or the Design Team.
- b) Activities describing time sensitive submittals and submittal processing.
- c) Activities describing fabrication and delivery of key materials or equipment.
- d) Activities to identify equipment start-up and testing, system commissioning, and Owner training.
- e) Activities to identify Owner Furnished /Contractor Installed and Owner Furnished / Owner Installed material or equipment.
- f) Activities to denote all required inspections by the Owner or Design Team, and inspections by state or local agencies including receipt of necessary Certificate(s) of Occupancy.
- g) Activities to identify all dates and durations for major utility outages requiring coordination with the Owner and the Owner's operations.
- h) Activities to identify all contractually mandated constraints. Non-contractual constraints shall not be included in the Initial or Final Baseline schedules without explanation. Non-contractual constraints are for the convenience of the Construction Manager, shall not be a basis for delay claims, and may be temporarily removed by the University when schedules and updates are reviewed.
- i) Software coding of each activity to identify the applicable Phase; area and/or sub area where the work occurs; the trade subcontractor or party responsible for completion of the activity; whether the activity is a design activity, a bidding or procurement activity, a submittal activity, or a construction activity; and whether the activity is potentially weather dependent.
- j) The University may, at its sole discretion, also require that each activity be coded to indicate the section of the Technical Specifications that applies to the work.

11.1.2 Schedules shall include divisions for Work to be accomplished remote from the central construction site, (for example, modular or prefabricated units to be constructed off-site, or utilities to the site from outside the construction site such as chilled water, steam, electrical, communications, and fire service). Such Work shall be scheduled so that disruption resulting from construction will be minimized. Start dates and completion dates for utility construction must be maintained and completed in the shortest reasonable time.

11.2 An Initial Baseline Schedules shall be submitted to the Consultant and to the Owner within thirty (30) calendar days after award of the first bid Package or trade contract, and shall include detailed information regarding Work to be performed during the first ninety (90) days of the Project as well as milestone dates based on hammock or Level of Effort type activities that identify all major elements of the remainder of the Work. Any necessary revisions to the Initial Baseline Schedule shall be completed prior to submittal of the Final Baseline Schedule.

11.3 The Final Critical Path Baseline Schedule shall be submitted to the Consultant and to the Owner within seventy five (75) calendar days after award of the first bid Package or trade contract, shall be consistent with the information contained in the Initial Baseline Schedule prepared in accordance with Article 11.2 above, shall be a complete and comprehensive description of the Construction Manager's plan to complete the Work in accordance with the Contract, shall include all activities necessary to complete the Work, and shall show the complete sequence of construction by activity, with dates for beginning and completion of each element of construction as well as an indication of whether the activity might reasonably be delayed or impacted by bad weather. Sub-schedules shall be provided as may be necessary to define critical portions of the entire schedule.

11.3.1 If the Project is to be constructed in multiple phases or using multiple Bid Packages, the date for the start of work on each phase of the Project shall be the date on which the University approves the award of the first Trade Contract for work in that phase or Bid Package.

11.3.2 A separate schedule including decision dates for selection of finishes and delivery dates for Owner furnished items, if any, shall be provided showing submittal dates for Shop Drawings, product data, and material samples, as appropriate.

- 11.3.3 A separate schedule shall be provided identifying dates and durations for major utility outages requiring coordination with the Owner and the Owner's operations.
- 11.3.4 Activities, including Outages, which require action by or which are the responsibility of, the Owner or the Consultant under the terms of the Contract shall be properly indicated, and the responsible party shall be identified in the CPM schedule.
- 11.4 The Consultant will review schedules only for compliance with the intent of the Contract Documents. Such review shall not relieve the Construction Manager of any responsibility for compliance with the provisions of the Contract nor shall such review or any review comments constitute an amendment or modification of the Contract requirements. The Construction Manager shall be solely responsible for the means and methods to be employed to assure construction proceeds in accordance with the submitted schedule and for identifying all necessary activities, establishing activity sequencing and assigning activity durations and relationships to assure that the CPM schedule is an accurate and comprehensive description of the plan for the Work.
- 11.5 Up-dated progress schedules shall be submitted to the Consultant and to the Owner concurrently with each Application for Payment to indicate progress on each remaining activity as of the last working day prior to the date of the submittal and the projected completion date of each activity. Updated CPM schedules shall show the accumulated percentage of completion of each activity, and total percentage of Work completed, as of the data date of the update. Each submittal of an update to the schedule shall include a narrative report that identifies and explains activities modified since the previous submittal, major changes in scope and other identifiable changes, problem areas, anticipated delays and impact on the schedule, and shall describe corrective action taken or proposed, and its effect.
- 11.7 Copies of updated CPM schedules are to be provided to the job site file and, as appropriate, to subcontractors, suppliers, and other concerned entities, including separate contractors. Recipients are to be instructed to promptly report, in writing, any problems anticipated in meeting the projected dates shown in the schedules.
- 11.8 The processing of all progress payments is contingent upon the submission of an updated CPM schedule. Only payment for bonds and limited Construction Manager mobilization costs will be approved for processing

prior to receipt of the Initial and Final Baseline schedules

- 11.9 The processing of all change orders requesting a time extension to the contract is subject to the terms of Article 21 of the General Conditions to this Contract and is contingent upon the submission of a CPM schedule showing that the change order does indeed impact the contractually required completion dates for the Work. Time extensions for Change Orders that do not impact the contractually required completion dates for the Work will not be considered.
- 11.10 All time extensions shall be negotiated and made full, equitable and final, and incorporated in a revised CPM schedule at the time of Change Order issuance. No reservation of rights shall be allowed.
- 11.11 Float available in the schedule at any time shall not be considered for the exclusive use of either party to the contract, but will be a resource available to both the Owner and the Construction Manager. No time extensions will be granted for a delay unless the delay impacts the Project critical path as shown in the updated Project schedule most recently submitted to the Owner prior to the event, consumes all available float or contingency time, and extends the Work beyond the then current Contract completion date(s).

ARTICLE 12 WALK-THROUGH

- 12.1 After the "Work Order" is issued but before Work by the Construction Manager is started, a walk-through of the area is required to document the condition of the space, surfaces, or equipment. It is the responsibility of the Construction Manager to schedule the walk-through with the Owner's Project Manager, the Consultant, and other interested parties.
- 12.2 During the walk-through, Construction Manager shall identify all damaged surfaces or other defective items that exist prior to construction.
- 12.3 The walk-through shall be attended by Owner's Project Manager, a Representative of the user of the facility, the Construction Manager and the Consultant
- 12.4 Written documentation of the walk-through is to be provided by the Consultant with copies distributed to all parties. Polaroid type color photographs are to be provided and labeled by Construction Manager and one (1) copy of such photographs are to be given to Consultant. (Digital photos in a .jpg format are

acceptable if submitted on digital media storage) All parties attending the walk-through agree on the list of damages.

ARTICLE 13 OWNER'S CONSTRUCTION REPRESENTATIVE

- 13.1 The Owner may have full time personnel or representatives on this job. If so, the Construction Manager is to provide, at no additional cost to the Owner, an office for the duration of the Project specifically for the use of Owner personnel. The office should be furnished with all required utilities, including HVAC.

ARTICLE 14 FIELD OFFICE

- 14.1 Construction Manager shall make his own provision for field office for his own personnel and for incidental use by their Subcontractors. Quantity and location are subject to approval of the Consultant and the Owner's Project Manager.
- 14.2 Construction Manager is not required to provide a field office for use by the Owner or Consultant.

ARTICLE 15 TELEPHONE SERVICE

15.1 Construction Manager shall arrange through WKU IT or ATT for installation of on-site phone, internet and other communications services. Telephone service during the length of construction shall be paid for by the Construction Manager.

ARTICLE 16 CONSTRUCTION FENCE

- 16.1 Construction fencing will be designed and erected around job sites where there is a possibility of injury to employees, students or the public. Special precautions must be taken to protect the visually impaired, disabled, children and others using the University facilities. During active excavation/trenching operations, fencing shall be erected to prevent unauthorized entry into the site. All fencing shall comply with the current requirements of the International Building Code except where the following requirements are more stringent.
- 16.1.1 All job site perimeter fencing within 5 feet of a walkway, street, plot line, or public right-of-way shall be 8 feet in height. Perimeter fencing that blocks sidewalks must include signs directing pedestrians to a safe walkway or crosswalk. Signage may be attached to the fence, but may also be required to inform

pedestrians of sidewalk closures and detours prior to arriving at the closed area. Construction Manager shall provide electrical pedestrian and general lighting along the top rail of the perimeter of the construction site fence to provide a minimum illumination level of 1.5 foot candles. Pedestrian and perimeter fence lighting shall be installed in conduit, raceway, and/or pathway system properly supported to the perimeter fence. Open or flexible cabling will not be acceptable.

- 16.1.2 All job site perimeter fencing more than 5 feet from a walkway, street, plot line, or public right-of-way shall be a minimum of 6 feet in height unless International Building Code requirements are more restrictive due to the height of the structure and setback.
- 16.1.3 All fencing shall be of a woven material such as chain link or a solid type fence. Fencing shall include gates required for construction operations. Gates shall be lockable with both the Construction Manager's lock, and a lock provided by the Owner. Lock by Owner shall be keyed for the University key core. All locks to be "daisy-chained" to provide access to the Owner.
- 16.1.4 It shall be the Construction Manager's responsibility to determine the proper quality of materials and methods of installation of the fencing, with the understanding that it must be maintained in good condition, good appearance, rigid, plumb, and safe throughout the construction period. The fence does not have to be new material. The fence is to be erected on fence posts securely anchored in the ground. Provide a top bar or, with prior approval of the Owner, a wire shall be run through the top of the fence and attached to the end posts. A tension control device shall be installed as necessary. Use of sandbags, concrete weights, stakes, etc. to hold fence posts in place are not allowed. Penetrations in pavement or landscape walking surfaces may not be made without the approval of the Owner. Any damage caused by the fence installation shall be repaired in a manner satisfactory to the Owner. When fencing is to remain in place for 6 months or more a green fabric mesh must be provided for the full height and length of the fence. Fabric should be omitted for one section of fencing where blind corners occur or at pedestrian/vehicle intersections.
- 16.1.5 The Construction Manager shall be responsible for removing and replacing any fence sections and/or posts necessary for access to the site on a daily basis. The Construction Manager shall police such conditions to assure the fence and posts are reset in a timely manner and are specifically in place at the close of the working day.
- 16.1.6 If the Construction Manager fails to comply with the requirements of this Article

16, the Owner may proceed to have the work done and the Construction Manager shall be charged for the cost of the Work done by unilateral deductive change order.

16.1.7 Plastic construction fencing is not acceptable as a perimeter protection fence.

ARTICLE 17 PROJECT SIGN

- 17.1 The Construction Manager shall furnish, install and maintain a Project sign during this Project. This sign shall be 4' x 8' x 3/4" exterior grade plywood mounted on 4" x 4" posts. Design shall be as provided by the Owner at a later date and shall include the name of the Owner, Project, Consultant, and Construction Manager.
- 17.2 No signs, except those attached to vehicles or equipment, may be displayed without permission from the Consultant and the Owner's Project Manager. No political signs will be permitted.

ARTICLE 18 PARKING

- 18.1 Western Kentucky University will make available for purchase by the Construction Manager of up to four (4) parking permits. The category of parking permit and location of parking is determined by the Director, Parking and Transportation Services, or a designee. Parking permits may be purchased by the Construction Manager to be used by the Construction Manager and/or the Construction Manager's subcontractors and employees during the construction period. The cost of each permit is based on the pro-rata annual cost and may be purchased from WKU Parking and Transportation. Necessary documents required to purchase the passes will be available at the Pre-Construction Conference.
- 18.2 The Director, Parking and Transportation Services, or a designee will determine if parking is available for employees of the Construction Manager and subcontractors elsewhere on Campus. The Construction Manager will be given thirty (30) days' notice should conditions change that will affect parking at the designated parking area and it is necessary to relocate parking or terminate parking privileges. If parking is available, permits may be purchased from WKU Parking and Transportation.

ARTICLE 19 SANITARY FACILITIES

19.1 At the beginning of the Project, before any Work is started, the Construction Manager shall furnish, install and maintain ample sanitary facilities for the workforce. Permanent toilets in the existing building shall not be used during construction of the Project. Drinking water shall be provided from an approved safe source, piped or transported as to be kept clean and fresh and served from single service containers or satisfactory types of sanitary drinking stands or fountains. All such facilities and services shall be furnished in strict accordance with existing governing health regulations.

ARTICLE 20 RULES OF MEASUREMENT

- 20.1 Rules of Measurement shall be established by the Consultant in the field. Actual measurement shall be taken in the field. These amounts shall become binding upon the Construction Manager and be adjusted as before mentioned.
- 20.2 The Construction Manager shall pay for and coordinate through the Consultant and/or the Owner's Project Manager all associated Work by utility companies including relocation of utility poles, installation of new street lights, relocation of overhead or underground lines, and any other Work called for on the Plans and in the Specifications.

ARTICLE 21 ALLOWANCES

- 21.1 As stated in the General Conditions to the Contract, the Construction Manager shall have included in the Contract Amount all costs necessary to complete the Work. Costs based on "allowances" shall be permitted only for objectively quantifiable items and only with the prior written approval of the Owner. No allowances shall have been included in the calculation of the Construction Manager's fixed fee quotation in par. 8.0 of the RFP.
- 21.2 Costs based on allowances may be included in Subcontract bid packages only with the prior written approval of the Owner, and only for objectively quantifiable material items.
- 21.3 Any allowance amounts included in a Subcontract bid package, but not expended for the approved task during the course of the work of that Subcontract, shall be deducted from the Construction Manager's contract by Change Order. Any additional amounts necessary to pay for additional cost of an allowance in a Subcontract bid package shall be funded from the Construction Contingency Fund.

- 21.4 The Western Kentucky University has entered into a price contract agreement with SimplexGrinnell for procurement of fire alarm and security systems. SimplexGrinnell will provide an allowance for this project which may include Fire Alarm Equipment and Security Equipment, including all required cable/wire, labor to install cable and wire and terminations of SimplexGrinnell supplied devices and panels. SimplexGrinnell will be a sub-contractor under a trade contract.
- 21.4.1 The Construction Manager shall include an allowance of (to be determined) for the work by SimplexGrinnell in the appropriate trade contractor's scope of work.
- 21.4.2 The electrical contractor is to provide and install conduits and back boxes/junction boxes. All conduits will include a pull string. SimplexGrinnell will furnish and install all fire alarm and security equipment and wiring. An allowance amount will be provided by JCI, in coordination with the MEP sub-consultant based on the unit price contract between the University and JCI.

ARTICLE 22 CONSTRUCTION CONTINGENCY FUNDS

- 22.1 The Owner shall include an amount in the Project construction budget not to exceed one percent (1%) of the total cost of the construction, including the Construction Manager's fixed fee, as a Construction Contingency Fund. The following are general / typical categories of changes to the Work that may, with the Owner's prior written specific approval, be funded from this source:
- 22.1.1 Reasonable errors & omissions in the Construction Manager's bidding and scoping processes;
- 22.1.2 Reasonable costs associated with schedule recovery that is not a direct result of the construction managers or a trade contractor's failure to perform;
- 22.1.3. Any costs or expenses incurred by the Construction Manager, for provision of management services necessary to complete the Project in an expeditious and economical manner consistent with the Contract for Construction and the best interests of Owner, that were not included in the Construction Manager's General Conditions Cost as submitted in the original fee proposal
- 22.1.4 Amounts necessary to fund cost overruns in approved allowance items within Subcontract bid packages, as described in Article 21.3, above.

ARTICLE 23 SEQUENCE OF CONSTRUCTION

- 23.1 (Not Used)
- 23.2 All materials and equipment are to be brought into the project site from the approved staging location and are not to be brought through the existing buildings or loading docks. Any and **all** exceptions shall be approved by, and closely coordinated with, the Owner's Project Manager in advance of scheduling or performing the work.
- 23.2.1 The Construction Manager shall coordinate any road and sidewalk closings, utility disruptions, etc. which will affect the use of the existing building(s) with the Owner's Project Manager prior to commencing that Work.
- 23.3 The adjacent buildings and public areas will remain in use and the Owner shall have access to the existing building(s) throughout the duration of the Project. The Construction Manager shall coordinate construction activity to assure the safety of those who must cross the Project site and shall provide and maintain the necessary barriers and accommodations for a completely safe route of accessibility. The Construction Manager is to insure that all exits provide for free and unobstructed egress. If exits must be blocked, then prior arrangements must be made with the Owner's Project Manager.
- 23.4 The Construction Manager shall cooperate with the Owner in minimizing inconvenience to, or interference with normal use of existing buildings and grounds by staff, students, other Contractors, or the public. Construction Manager shall conduct operations to prevent damage to adjacent building structures and other facilities and in such a manner to protect the safety of building's occupants.
- 23.5 Special effort shall be made by the Construction Manager to prevent any employee from entering existing buildings for reasons except construction business. In particular, use of toilets, drinking fountains, vending machines, etc. is strictly prohibited.

ARTICLE 24 CRANE & MATERIAL HOIST OPERATIONS

- 24.1 Construction Manager shall provide appropriate barriers around crane and material hoist to protect pedestrian and vehicular traffic around operating area. When crane is operating or moving, flag men provided by Construction Manager shall be utilized to prevent pedestrian and vehicular traffic from crossing pathway of crane lift. Construction Manager's flag men shall coordinate these activities with the appropriate security

personnel.

- 24.2 Crane and material hoist shall be safely secured and inaccessible during non-operating hours. Construction Manager shall coordinate operation or erection of a crane or material hoist in the vicinity of the Medical Center with Medical Center Aeromedical Operations (Med-evac helicopter).
- 24.3 Any damage to trees, shrubs or plant material at the placement of crane or material hoist shall be repaired by tree surgery or replaced as directed by Consultant.

ARTICLE 25 UTILITIES

25.1 When the various building systems are energized and connected to Owner's utility systems, but prior to turnover to and occupancy by the Owner, the Construction Manager is responsible to reimburse the Owner for Owner furnished utilities. These utilities include but not limited to steam, chilled water, and electricity, provided by the Owner up to the date of Substantial Completion.

25.1.1 Water is supplied by WKU.

25.1.2 Construction Manager shall furnish gas meter and Columbia Gas Company directly for service until the until the Owner's beneficial occupancy date.

25.1.3 Construction Manager shall obtain from and pay UKIT Communications and Network Systems for the use of telephone services.

25.2 UTILITY OUTAGES

25.2.1 Interruption of Utilities and Services: No utilities or services may be interrupted without full consent and prior scheduling of the Owner. Owner approval is required in writing for each disruption.

25.2.1.1 ENTIRE BUILDING OUTAGE. The Owner's Project Manager is the Construction Manager's contact with the University for requesting Utility Outages. The Owner's Project Manager will contact the proper departments and divisions within the University and receive approval from those units prior to allowing a planned outage to occur. The established standard within the University Departments and Divisions of an entire building or group of buildings shall be three weeks written notice. The written notice shall include the type of utility

to be interrupted, reason for outage, length of outage, what will be affected by the outage, and a statement of whether or not the materials are on hand to complete the Work. If a specific time is desired for the outage it should be included. The Owner's Project Manager will insure that all parties affected are contacted and that a time which is least disruptive to all parties is selected. At the appointed outage time, Work shall begin and proceed continuously with all required manpower until Work is complete at no added cost to the University. The Owner's Project Manager will then notify all affected departments or divisions.

25.2.1.2 SECTION OF A BUILDING OUTAGE. The Owner's Project Manager is the Construction Manager's contact with the University for requesting Utility Outages. The Owner's Project Manager will contact the proper departments and divisions within the University and receive approval from those units prior to allowing a planned outage to occur. The established standard within the University Departments and Divisions of a section of a building shall be a written request one week prior to outage. The written request shall include the type of utility to be interrupted, when the outage is desired, reason for outage, length of outage, and what will be affected by the outage. The Owner's Project Manager will insure that all parties affected are contacted and that a time which is least disruptive to all parties is selected. At the appointed outage time Work shall begin and proceed continuously with all required manpower until Work is complete at no added cost to the University. The Owner's Project Manager will then notify all affected departments or divisions.

ARTICLE 26 CLEANING AND TRASH REMOVAL

- 26.1 The Construction Manager shall keep clean the entire area of new construction and shall keep streets used as access to and from the site free of mud and debris.
- 26.2 All exit ways, walks, drives, grass areas, and landscaping must be kept free from debris, materials, tools and vehicles at all times. Trim weeds and grass within the site area.
- 26.3 Upon completion of the Work, Construction Manager shall thoroughly clean and re-sod grass areas damaged to match existing areas.
- 26.4 All utility markings are to be made with water based marking paint with low Volatile Organic Compounds (VOC's) and high solids.

- 26.5 Upon Completion of the project, buried utility paint markings sprayed on walks and hardscapes are to be removed by non-destructive means such as pressure washing. Do not use chemicals. If a washed area is noticeable, the entire surface must be washed and or blended to match surrounding areas.
- 26.6 The Construction Manager shall be responsible for removal from the site of all liquid waste or other waste (i.e., hazardous, toxic, etc.) that requires special handling on a daily basis.
- 26.7 Dumpsters will be provided and maintained by the Construction Manager.
- 26.8 During Work at the Project site, the Construction Manager shall clean and protect Work in progress and adjoining Work on a continuing basis. Construction Manager shall apply suitable protective covering on newly installed Work where needed to prevent damage or deterioration until the time of Substantial Completion. Construction Manager shall clean and perform maintenance on newly installed Work as frequently as necessary through remainder of construction period.
- 26.9 The Construction Manager shall be responsible for daily cleaning of spillage's and debris resulting from his and his Subcontractor's operations, (includes removal of dust and debris from wall cavities), and for providing closed, tight fitting (dustproof if required), waste receptacles to transport construction debris from the work area to the dumpster. Broom clean all floors no less than once a week. The Construction Manager shall empty such receptacles into the trash container when full or when directed to be emptied by the Consultant and/or Owner's Project Manager, but not less than weekly. The use of the Owner's waste and trash receptacles is strictly prohibited, except as otherwise provided by the Project specifications.
- 26.10 Failure to comply with the above requirements shall be cause for stopping work until the condition is corrected.

ARTICLE 27 BLASTING

27.1 There shall be no blasting under any conditions on Western Kentucky University property unless specified in these Special Conditions.

ARTICLE 28 CUTTING AND PATCHING - NEW AND EXISTING WORK

- 28.1 New Work - Cutting and patching shall be done by craftsmen skilled and experienced in the trade or craft that installed or furnished the original Work. Repairs shall be equal in quality and appearance to similar adjacent Work and shall not be obviously apparent as a patch or repair. Work that cannot be satisfactorily repaired shall be removed and replaced.
- 28.2 Existing Construction - Refer to Architectural, Mechanical, and Electrical drawings for cutting and patching. All new Work shall be connected to the existing construction in a neat and workmanlike manner, presenting a minimum of contrast between old and new Work. Do all patching of the existing construction as may be required for the new construction to be completed. Necessary patching, closing of existing openings, repairing and touching up shall be included as required for a proper, neat and workmanlike finished appearance. Any existing item that is to remain and is damaged during construction shall be replaced at the Construction Manager's expense.

ARTICLE 29 UNRELATED PROJECTS

29.1 Unrelated construction projects may be under way in the vicinity of this Project or the site utility work during the course of the Work related to this Project. The Construction Manager for this Project must coordinate with any other contractors regarding overlapping areas. See Article 42 - Separate Contracts of the General Conditions.

ARTICLE 30 OWNER SUPPLIED MATERIALS

30.1 Owner, in an effort to expedite this Project, has pre-ordered certain long lead time items. This list will be developed as approved by the UK Project Manager and Design Team at the completion of the Construction Documents / Phase 3 of design. The following is the list of material that has been pre-ordered:

1. **NONE**

30.2 It will be the Construction Manager's responsibility to receive and off load the Pre-Ordered Material. If there is damage to the Pre-Ordered Material, then the Construction Manager is to notify the Owner's Project Manager immediately so that the Owner can seek replacement material.

ARTICLE 31 REMOVED ITEMS

31.1 The following is a list of items to be turned over to the Owner by the

Construction Manager after removal by the Construction Manager. If there are additional items listed in the drawings to be turned over to the Owner, but not listed here, it shall be construed as being listed here.

1. **NONE**

- 31.2 All items which are identified to be turned over to the Owner must be treated with the utmost of care and protected from damage during removal and transport.
- 31.3 Materials to be turned over to the Owner by the Construction Manager shall be delivered to a warehouse within a five (5) mile radius of the Project site.

ARTICLE 32 INTERIOR ENCLOSURE AND DUST ENCAPSULATION

- 32.1 Areas under construction or renovation shall be separated from occupied areas by suitable temporary enclosures furnished, erected and maintained by the Construction Manager. Temporary enclosures shall be dust and smoke tight and constructed of non-combustible materials to prohibit dirt and air borne dust from entering occupied spaces. Construction Manager to review with Consultant ways to provide ventilation for dust generated by demolition and fumes/vapors produced during installation of new materials.
- 32.2 Construction Manager is responsible for coordinating with the Owner's Project Manager any equipment to be turned off prior to erecting temporary enclosures.
- 32.3 Construction Manager shall protect all exhaust diffusers, equipment and electrical devices from the collection of dust. All areas shall be checked and cleaned prior to final acceptance of Work.
- 32.4 Dust and debris from Work operations shall be held to a minimum.
- 32.5 Construction Manager shall construct temporary dust partitions at locations and as detailed on drawings. Closures used for dust barricades shall be constructed of non-combustible materials, (metal studs and gypsum board or fire retardant plywood).
- 32.6 Construction Manager shall provide additional devices and materials as required to contain dust within Work area and protect personnel during course of Work.
- 32.7 Areas of minor renovation, consisting of the removal of doors and frames,

blocking of openings, and other limited Work shall be separated by a dust partition of fire retarded polyethylene on studs.

- 32.8 Existing corridor doors may serve as dust barriers, except if removed for refinishing. In such cases, temporary wood doors must be substituted until original doors are replaced.
- 32.9 The Construction Manager may assume existing walls which extend full height of floor shall be deemed appropriate to contain air borne dust. Cover any voids or penetrations.
- 32.10 Doors or windows in the perimeter walls surrounding the project work area shall be sealed off with protective materials in a manner to prohibit dust from escaping the work area. These shall be left in place until all work creating dust is completed. Protective materials shall consist of fire retardant wood, metal studs, gypsum board or flame resistant plastic.
- 32.11 Entry passage to Work area shall be sealed off with zippered plastic opening, or other acceptable means which allows periodic entry and closure of barricade closure.
- 32.12 Install and maintain a "sticky mat" on the floor in locations where construction crews leave the construction area and prior to entering ANY existing space in the building.
- 32.13 Install and maintain a temporary floor covering in any and all elevators being utilized for this project.

ARTICLE 33 WKU IT COMMUNICATIONS AND NETWORK SYSTEMS

33.1 The communications wiring is to be provided, installed and terminated by WKU IT. All pathways will be coordinated and approved by the WKU IT manager.

ARTICLE 34 EMERGENCY VEHICLE ACCESS

34.1 Emergency Vehicle Access must be maintained during construction. The Construction Manager shall coordinate with the local Fire and Emergency Medical Services department(s) that would respond to an emergency during the initial start up of construction to ensure a complete understanding of their requirements.

ARTICLE 35 SMOKE DETECTORS / FIRE ALARM SYSTEMS- EXISTING AND/OR NEW FACILITIES

35.1 Construction Manager shall protect all smoke detectors in Work areas to prevent false alarms. The Construction Manager will be responsible for any false alarm caused by dust created in their Work areas or dust traveling to areas beyond the Work, past inadequate protection barriers.

If there is a need for an existing or newly installed fire alarm system or parts of that system to be serviced, turned off, or disconnected, prior approval must be obtained from the Owner's Project Manager and notification given to the Campus Dispatch Office. The Construction Manager must follow the procedure outlined for Utility Outages and any documented costs charged by the responding fire department due to a false alarm shall be paid by the Construction Manager. As soon as all Work is completed notification must be given to the Owner's Project Manager and to the Campus Dispatch Office prior to reactivation of the system. Prior to Final Payment to the Construction Manager, all protected smoke detectors will be uncovered and tested.

35.2.1 When any fire alarm, detection or suppression system is impaired, a temporary system shall be provided. Construction Manager shall provide daily reports indicating the Superintendent has walked through the project at the end of each work period, to satisfy himself there are no present conditions that may result in an accidental fire. Portable fire extinguishers shall be on site during this time. The Construction Manager is responsible for inspecting and testing any temporary systems on a monthly basis.

ARTICLE 36 SURVEYS, RECORDS, and REPORTS

36.1 General: Working from lines and levels established by property survey, and as shown in relation to the Work, the Construction Manager will establish and maintain bench marks and other dependable markers to set lines and levels for Work at each area of construction and elsewhere on site as needed to properly locate each element of the entire Project. The Construction Manager shall calculate and measure from the bench marks and dependable markers required dimensions as shown (within recognized tolerances if not otherwise indicated), and shall not scale drawings to determine dimensions. Construction Manager shall advise Sub-contractors performing Work of marked lines and levels provided for their use in layout of Work.

36.2 Survey Procedures: The Construction Manager shall verify layout information

shown on drawings, as required for his own Work. As Work proceeds, surveyor shall check every major element for line, level, and plumb (as applicable), and maintain an accurate Surveyor's log or Record Book of such checks available for Construction Manager or Design Consultant's reference at reasonable times. Surveyor shall record deviations from required lines and levels, and advise Design Consultant or Construction Manager promptly upon detection of deviations exceeding indicated or recognized tolerances. The Construction Manager shall record deviations which are accepted (not corrected) on Record Drawings.

ARTICLE 37 SMOKING IS PROHIBITED

- 37.1 The use of all tobacco products is prohibited on all property that is owned, operated, leased, occupied, or controlled by the University. "Property" for purposes of this paragraph includes buildings and structures, grounds, parking structures, enclosed bridges and walkways, sidewalks, parking lots, and vehicles, as well as personal vehicles in these areas.
- 37.2 Construction Manager's employees violating this prohibition will be subject to dismissal from the Project.

ARTICLE 38 ALTERNATES

- 38.1 Alternate(s) will be accepted in the sequence of the Alternates listed on the Bid Form, and the lowest Bid Sum will be computed on the basis of the sum of the base Bid and any alternates accepted, within the budgeted amount.
- 38.2 Schedule of Alternates:

This list will be developed as approved by the WKU Project Manager and Design Team at the completion of the Construction Documents.

ARTICLE 39 FIELD CONSTRUCTED MOCK UPS

- 39.1 Exterior Finishes
- 39.1.1 After sample selection but prior to ordering exterior finish materials, Construction Manager shall accumulate enough material samples to erect sample wall panels to further verify selection made for color and textural characteristics, and to represent completed Work for qualities of appearance, materials and construction including sample masonry units (face and back-up

wythes, plus accessories), window units, roofing finish, etc. to provide a complete representation of the exterior facade for approval by the Consultant; build mock-ups to comply with the following requirements:

39.1.2 Build mock-ups well in advance of the time the finish materials will be needed for inclusion in the Work.

39.1.3 Locate mock-ups at location as reviewed and approved by the Architect and University's Project Manager, generally within 10 feet of existing building, parallel to existing face of building, and exposed to sunlight during daylight hours. Mock-Up to be reviewed twice, one in direct sunlight and one in shade to confirm color characteristics of samples.

39.1.4 Mock-ups Size(s) for the following types shall be approximately 6' long by 4' high by full thickness.

Each type of exposed Work.

39.1.5 Protect mock-ups from the elements with weather resistant membrane.

39.1.6 Retain mock-ups during construction as a standard for judging completed Work. When directed by the University's Project Manager or by the Consultant, demolish mock-ups and remove from the site.

39.2 Interior Finishes

39.2.1 After sample selection but prior to ordering interior finish materials, Construction Manager shall accumulate enough material samples to erect sample to further verify selection made for color and textural characteristics, and to represent completed Work for qualities of appearance, materials and construction; include samples of interior finishes, including paint, wood stain, vinyl wallcovering, flooring and ceiling materials to provide a complete representation for approval by the Consultant; build mock-ups to comply with the following requirements:

39.2.2 Build mock-ups well in advance of the time the finish materials will be needed for inclusion in the Work. Mock-ups may be on newly installed wall surfaces.

39.2.3 Locate mock-ups with adequate illumination for observation under intended light levels.

39.2.4 Retain mock-ups during construction as a standard for judging completed

Work. When directed by the University's Project Manager or by the Consultant, remove mock- ups from site or incorporate into the completed work.

ARTICLE 40-Not used

ARTICLE 41 HOT WORK PERMITS

41.1 All work involving open flames or producing heat and or sparks in occupied buildings on Western Kentucky University campus will require the Construction Manager to obtain approval to perform "Hot Work" on site. This includes, but is not limited to: Brazing, Cutting, Grinding, Soldering, Thawing Pipe, Torch Applied Roofing, and Cad welding. A copy of the Hot Work Permit and the Hot Work Permit Procedure will be passed out at the Preconstruction Conference for the Construction Manager's use.

ARTICLE 42 INSURANCE

42.1 Employers' Liability Insurance. The Construction Manager shall acquire and maintain Employers' Liability insurance with at least \$500,000/\$500,000/\$500,000 limits of liability for all employees who will be working at the Project site.

42.2.1 Commercial General Liability Insurance. If the work involved requires the use of helicopters, a separate aviation liability policy with limits of liability of \$100,000,000 will be required. If cranes and rigging are involved, a separate inland marine policy with liability limits of \$20,000,000 will be required.

42.2.1.1 The limits of liability shall not be less than \$10,000,000 each occurrence combined single limits for bodily injury and property damage.

42.2.2 Comprehensive Automobile Liability Insurance. Policy limits shall not be less than \$2,000,000 for combined single limits for bodily injury and property damage for each occurrence.

42.2.3 Excess or Umbrella Liability Insurance. This policy shall have a minimum of \$10,000,000 combined single limits for bodily injury and property damage for each occurrence in excess of the applicable limits in the primary policies.

42.2.4 Workers' Compensation- Statutory Requirements (Kentucky)

ARTICLE 43 KEY ACCESS

43.1 If Construction Cores are NOT utilized, then one set of keys for access to the renovation project area will be provided to the Construction Manager/Vendor's Project Manager/Superintendent by the University's Project Manager. The Construction Manager/Vendor's holder of the key(s) assumes responsibility for the safekeeping of the key(s) and its use.

43.3 All lost or stolen keys must be reported immediately to the University's Project Manager.

ARTICLE 44 CEILING CLEARANCE

44.1 Work above ceiling: All work above an area with lay-in ceiling must be coordinated and installed so there is a minimum of 4" between the top of the ceiling grid runners and bottom of the installation. Installation shall not obstruct equipment access space or equipment removal space. Also, conduit and pipe attached to the wall must be above the 4" minimum level.

44.2 Coordination Between Trades: Request and examine all drawings and specifications pertaining to the construction before installing above ceiling work. Cooperate with all other contractors in locating piping, ductwork, conduit, openings, chases, and equipment in order to avoid conflict with any other contractor's work. Give special attention to points where ducts or piping must cross other ducts and piping, and where ducts, piping and conduit must fur into the walls and columns. Make known to other trades intended positioning of materials and intended order of work. Determine intended position of work of other trades and intended order of installation.

ARTICLE 45 METAL ANCHORS

45.1 All anchoring devices utilized to secure materials to the building shall be metal. Plastic or plastic expansion components shall not be used. This shall include all fasteners for mechanical/electrical hangers.

SAMPLE ONLY

CONSTRUCTION PHASE ON SITE STAFF MATRIX

TOTAL PROJECT STAFFING LEVEL				
POSITION	NO.	MONTHS	% OF TIME	COMMENTS
Project Executive				
Project Manager				
Superintendent				
Engineer				
Assistant Superintendent				
Safety Engineer				
Administrative Assistant				
MEP Coordinator				
Other				
Other				



EASTERN KENTUCKY UNIVERSITY

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December 13, 2022

The Honorable Jason Howell, Co-Chair
The Honorable Chris Freeland, Co-Chair
Capital Projects and Bond Oversight Committee
Legislative Research Commission,
Capitol Annex Building
700 Capitol Avenue
Frankfort, Kentucky 40601

Dear Senator Howell, Representative Freeland and members of the Capital Projects and Bond Oversight Committee,

In accordance with provisions of KRS 45.750(1)(f)(1), KRS 164A.600, the 2022 *Acts of the Kentucky General Assembly* Chapter 199, Part II, Section 4 and Sub-section I, Sub-part 4; Eastern Kentucky University (EKU), is reporting the following actions for several Asset Preservation Pool match capital projects:

Begley Building Deferred Maintenance

EKU is reporting an Asset Preservation Pool match project to address aged building system components for the Begley Building, originally constructed in 1970. The most-recent significant renovation was in the early 1980s.

These numerous, related improvements are budgeted for \$6,500,000 with \$5,652,400 in Asset Preservation Pool Funds and \$847,600 in institutional matching funds. This project was approved by the EKU Board of Regents, OSBD and CPE, per the Asset Preservation Pool Guidelines.

Please contact EKU's Executive Director for Budget and Financial Planning, Ryan D. Green, at (859) 622-6932, should you have any questions.

Sincerely,

A handwritten signature in blue ink, appearing to read "Barry Poynter".

Barry D. Poynter
Senior Vice President

c: Dr. Bryan Makinen, Eastern Kentucky University
Amy L. Scarborough, Eastern Kentucky University
Ryan D. Green, Eastern Kentucky University
Carla Wright, Office of State Budget Director
Shaun McKiernan, Council on Postsecondary Education