

Derrick Ramsey
Secretary
Education and Workforce
Development Cabinet

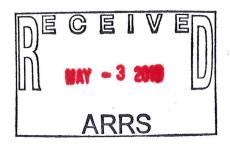
# Wayne D. Lewis, Ph.D. Commissioner of Education

#### KENTUCKY DEPARTMENT OF EDUCATION

300 Sower Blvd., 5th Floor • Frankfort, Kentucky 40601 Phone: (502) 564-4606 • www.education.ky.gov

May 3, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601



Re: 16 KAR 3:011. Repeal of 16 KAR 3:010, 16 KAR 3:020, 16 KAR 3:030, 16 KAR 3:040, and 16 KAR 3:050.

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 16 KAR 3:011, the Education Professional Standards Board proposes the attached amendment to 16 KAR 3:011.

Sincerely.

Cassie Trueblood, Policy Advisor and Counsel Education Professional Standards Board



# **Staff-suggested Amendment**

# Version 4/16/2019 2:12 p.m. EDUCATION AND WORKFORCE DEVELOPMENT CABINET Education Professional Standards Board

16 KAR 3:011. Repeal of 16 KAR 3:010, 16 KAR 3:020, 16 KAR 3:030, 16 KAR 3:040, and 16 KAR 3:050.

Page 1
Title
Lines 4 and 5

After "16 KAR 3:040, and 16 KAR 3:050.", return and insert the following:

RELATES TO: KRS 13A.310

STATUTORY AUTHORITY: KRS 161.020

Matthew G. Bevin Governor



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Derrick Ramsey
Secretary
Education and Workforce
Development Cabinet

Wayne D. Lewis, Ph.D. Commissioner of Education

#### KENTUCKY DEPARTMENT OF EDUCATION

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May 3, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601



Re: 16 KAR 3:090. Certifications for Advanced Educational Leaders

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 16 KAR 3:090, the Education Professional Standards Board proposes the attached amendment to 16 KAR 3:090.

Sincerely.

Cassie Trueblood, Policy Advisor and Counsel Education Professional Standards Board



### **Staff-suggested Amendment**

# Version 4/29/2019 2:46 p.m. EDUCATION AND WORKFORCE DEVELOPMENT CABINET Education Professional Standards Board

#### 16 KAR 3:090. Certifications for Advanced Educational Leaders.

```
Page 1
Title Line
Line 4
        After "Advanced Educational Leaders", insert ".".
Page 4
Section 5(1)
Line 8
        After "requirements of Section 2", insert "of this administrative regulation".
Page 4
Section 5(1)(a)
Lines 11 and 12
       After "paragraph (b) of this subsection", insert ",".
       After "position of school principal", delete ",".
Page 5
Section 5(3)(a)
Line 7
       After "central office settings;", delete "and".
Page 5
Section 5(5)(a)
Line 16
       After "program of preparation", delete ",".
       After "required by Section 2", insert "of this administrative regulation".
       Delete ",".
Page 5
Section 5(5)(b)
Line 18
```

After "requirements in Section 3", insert "of this administrative regulation".

```
Page 6
Section 6
Lines 6 and 9
```

After "Instruction shall be issued", insert ",".

After "accordance with Section 4", insert "of this administrative regulation".

After "KAR 5:010 and Section 2", insert "of this administrative regulation".

### Page 6

Section 7(1)

Lines 12, 13, and 15

After "Services shall be issued", insert ",".

After "accordance with Section 4", insert "of this administrative regulation".

After "approved program of preparation", insert ",".

After "KAR 5:010 and Section 2", insert "of this administrative regulation".

#### Page 7

Section 8(1)

Lines 9, 10, and 12

After "Education shall be issued", insert ",".

After "accordance with Section 4", insert "of this administrative regulation".

After "approved program of preparation", insert ".".

After "KAR 5:010 and Section 2", insert "of this administrative regulation".

### Page 7

Section 8(2)

Line 16

After "requirements of Section 2(1)(a)", insert "of this administrative regulation".

#### Page 7

Section 8(3)

Line 18

After "of Section 2(1)(a)", insert "of this administrative regulation".

```
Page 8
Section 8(5)
Line 6
After "superintendent", insert ""."
After "board of education", insert ".".
```

## Page 8 Section 9(1)

Line 18

After "requirements of Section 2", insert "of this administrative regulation".

## Page 10 Section 9(3)(c)

Line 4

After "identified in Section 2", insert "of this administrative regulation". Delete ",".

# Page 11 Section 9(4)(c)

Line 1

After "requirements in Section 3", insert "of this administrative regulation".

## Material Incorporated by Reference Forms CA-1 and CA-2 Edition Dates

In the top right corner insert the new edition date of "10/18". Delete "06-17".

Matthew G. Bevin Governor



Agency Am.

Derrick Ramsey Secretary Education and Workforce Development Cabinet

# Wayne D. Lewis, Ph.D. Commissioner of Education

#### KENTUCKY DEPARTMENT OF EDUCATION

300 Sower Blvd., 5th Floor • Frankfort, Kentucky 40601 Phone: (502) 564-4606 • www.education.ky.gov

May 3, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601



Re: 16 KAR 3:090. Certifications for Advanced Educational Leaders

Dear Co-Chairs:

The Education Professional Standards Board proposes the attached agency amendment to 16 KAR 3:090.

Sincerely

Cassie Trueblood, Policy Advisor and Counsel Education Professional Standards Board

#### **Agency Amendment**

# Version 4/19/2019 9:48 a.m. EDUCATION AND WORKFORCE DEVELOPMENT CABINET Education Professional Standards Board

16 KAR 3:090. Certifications for Advanced Educational Leaders.

Page 2 Section 3(1) Line 15

After "Advanced Educational Leaders. (1)", insert the following:

Until December 1, 2020, an applicant for advanced educational leadership-school principal, or a career and technical school principal, shall complete the following tests and attain the minimum score specified for each test:

- (a) School Leaders Licensure Assessment (6011) 160; and
- (b) The Kentucky Specialty Test of Instructional and Administrative Practices (1015) -158.
- (2) After December 1, 2020, all applicants for certification for advanced educational leadership, or a career and technical school principal, shall attain the specified minimum score on the School Leaders Licensure Assessment (6990). An applicant for advanced educational leadership-school principal, or a career and technical school principal, shall also be required to take the Kentucky Specialty Test of Instructional and Administrative Practices (1015) and attain the minimum score of 158.
- (3)The Education Professional Standards Board shall determine the specified minimum score for the School Leaders Licensure Assessment (6990).
- (4) The Education Professional Standards Board shall specify the passing score for Advanced Educational Leaders on the School Leaders Licensure Assessment (6990). The order shall be posted to the website for the Education Professional Standards Board.

- (5) Until December 1, 2020, an applicant for advanced educational leadership-school principal, or a career and technical school principal, may substitute a passing score on the School Leaders Licensure Assessment (6990) for the requirement of paragraph (1)(a) of this section.
- (6) An applicant for certification for advanced educational leadership, or a career and technical school principal, shall only be required to pass the School Leaders Licensure Assessment once.

  The applicant shall not be required to pass the assessment if they pursue additional certification for advanced educational leadership or career and technical school principal.
- (7) The successful completion of the School Leaders Licensure Assessment shall not be required for an applicant who has:
- (a) Two (2) years of experience as a certified advanced educational leader in another state; and
  (b) Successfully completed a nationally administered test in the area of educational leadership and administration.
- (8) An applicant shall take the required assessments on a date established by the Educational Testing Service. An applicant shall authorize that test results be forwarded to the Education Professional Standards Board by the Educational Testing Service.
- (9) An applicant shall seek information regarding the dates and location of the test and make application for the appropriate examination prior to the deadline established and sufficiently in advance of anticipated employment to permit test results to be received by the Education Professional Standards Board and processed in the normal certification cycle.
  - (10) An applicant shall pay all fees assessed by the Educational Testing Service.
- (11) An applicant who fails to achieve a minimum score on a required test as specified in this section shall be permitted to retake the test during a regularly scheduled test administration.

- (12) A temporary certificate issued in accordance with KRS 161.027(6)(a) shall not be extended for an applicant who does not successfully complete the assessments within the year.
- (13) For an applicant applying for a certificate under KRS 161.027(6)(b), the school superintendent of the employing district shall submit a request that shall include an affirmation that the applicant pool consisted of three (3) or less applicants who met the requirements for selecting a principal.
- (14) The temporary certificate issued in accordance with KRS 161.027(6)(b) shall not be extended beyond the one (1) year period.
- (15) On an annual or biennial basis, the Education Professional Standards Board shall collect and analyze data provided by the Educational Testing Service through score and institution reports which permit evaluation of the examination prerequisites covered by this administrative regulation.

#### Delete the following:

"An applicant for certification for advanced educational leadership, or a career and technical school principal, shall attain the specified minimum score on the School Leadership Licensure Assessment.

- (2) The Education Professional Standards Board shall determine the specified minimum score for the School Leadership Licensure Assessment.
- (3) The Education Professional Standards Board shall specify the passing score for Advanced Educational Leaders on the School Leadership Licensure Assessment. The order shall be posted to the website for the Education Professional Standards Board.

(4) An applicant for certification for advanced educational leadership, or a career and technical school principal, shall only be required to pass the School Leadership and Licensure Assessment once. The applicant shall not be required to pass the assessment if they pursue additional certification for advanced educational leadership or career and technical school principal."

# MATTHEW G. BEVIN GOVERNOR



501 HIGH STREET, 3RD FLOOR FRANKFORT, KENTUCKY 40601 PHONE: (502) 564-7430 FAX (502) 564-7603 HTTPS://PERSONNEL.KY.GOV

# THOMAS B. STEPHENS SECRETARY



May 1, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601

Re: 101 KAR 2:034. Classified compensation administrative regulations.

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 101 KAR 2:034, the Personnel Cabinet proposes the attached amendment to 101 KAR 2:034.

Sincerely,

Thomas B Stephens

Secretary '

Attachment

# **Staff-suggested Amendment**

# Final version 4/30/2019 8:40 a.m. PERSONNEL CABINET

101 KAR 2:034. Classified compensation administrative regulations.

Page 2 Section 2(2)(b) Line 21

After "is reinstated, reemployed", insert "".

Page 17 Section 9(5)(e) Line 12

After "special duty, promotion", insert ",".

# MATTHEW G. BEVIN GOVERNOR



501 High Street, 3rd Floor Frankfort, Kentucky 40601 Phone: (502) 564-7430 Fax (502) 564-7603 https://personnel.ky.gov

# THOMAS B. STEPHENS SECRETARY



May 1, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601

Re: 101 KAR 3:045. Compensation plan and pay incentives for unclassified service.

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 101 KAR 3:045, the Personnel Cabinet proposes the attached amendment to 101 KAR 3:045.

Sincerely,

Thomas B. Stephens

Secretary

Attachment

### **Staff-suggested Amendment**

# Final version 4/30/2019 8:41 a.m. PERSONNEL CABINET

101 KAR 3:045. Compensation plan and pay incentives for unclassified service.

# Page 1 STATUTORY AUTHORITY

Line 5

After "KRS 18A.030(2)," insert "18A.110(2),".

After "18A.155(1)(b), (e),", delete "18A.110(2),".

# Page 1 NECESSITY, FUNCTION, AND CONFORMITY Line 8

After "(k), (p), (t)", insert ",".

Page 8

Section 5(5)

**Lines 11 and 12** 

After "qualifying conditions established", insert the following:

in subsection (5) of this Section

Delete "by this section".

Page 11

Section 8(1)(c)

Line 2

After "special duty, promotion", insert ".".

Page 11

Section 8(2)(c)

**Lines 15 and 16** 

After "transfer, promotion", insert ".".



# TEACHERS' RETIREMENT SYSTEM

of the State of Kentucky

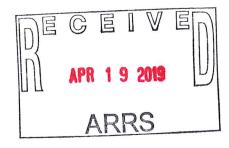
GARY L. HARBIN, CPA Executive Secretary

ROBERT B. BARNES, JD

Deputy Executive Secretary
Operations and General Counsel

J. ERIC WAMPLER, JD
Deputy Executive Secretary
Finance and Administration

April 19, 2019



Suggested Am

Senator Stephen West, Co-Chair Representative David Hale, C0-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capital Annex Frankfort, Kentucky 40601

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 102 KAR 1:060, Teachers' Retirement System of the State of Kentucky proposes the attached suggested amendments to 102 KAR 1:060.

Sincerely,

Robert B. Barnes

Deputy Executive Secretary Operations

& General Counsel

Teachers' Retirement System

Beau Barnes

479 Versailles Road

Frankfort, Kentucky 40601

#### Staff-suggested Amendment

# Version 4/18/2019 11:39 a.m. FINANCE AND ADMINISTRATION CABINET Teachers' Retirement System

102 KAR 1:060. Refunds.

Page 1 Agency Title Line 2

. Delete "Kentucky".

Page 1 RELATES TO paragraph Line 5

After "KRS 161.470,", insert "161.520,".

After "161.700", delete ", 161.520".

Page 1
STATUTORY AUTHORITY paragraph
Line 6

After "KRS 161.310", insert ", 161.470, 161.520".

Page 1
NECESSITY, FUNCTION, AND CONFORMITY paragraph
Line 13

After "surviving spouse, designated beneficiary", insert ",".

Page 2 Section 4(5) Lines 19 and 20

After "employers, Section B", delete "only".

After "may be duplicated", delete ",".

Page 5 Section 4(17)(a) Line 10

After "KRS 161.520(2)(b);", delete "or".

```
Page 5
```

Section 4(21)

Line 23

After "options set forth in", insert "subsection (8) of this Section". Delete "Section 4(8) of this administrative regulation".

## Page 6

Section 4(22)

Line 3

After "processed pursuant to", insert the following:

subsections (13), (14), and (15) of this Section

Delete the following:

Section 4(13), (14), and (15) of this administrative regulation

#### Page 6

Section 5(1)(e)

Line 15

After "Balance", 2016;", delete "and".

### Page 6

Section 5(1)(g)

Line 18

After "January, 2019;", insert "and".



# TEACHERS' RETIREMENT SYSTEM

Agency Am

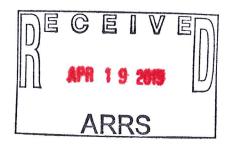
of the State of Kentucky

#### GARY L. HARBIN, CPA Executive Secretary

ROBERT B. BARNES, JD
Deputy Executive Secretary
Operations and General Counsel

J. ERIC WAMPLER, JD
Deputy Executive Secretary
Finance and Administration

April 19, 2019



Senator Stephen West, Co-Chair Representative David Hale, C0-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capital Annex Frankfort, Kentucky 40601

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 102 KAR 1:060, Teachers' Retirement System of the State of Kentucky proposes the attached suggested amendments to 102 KAR 1:060.

Sincerely,

Robert B. Barnes

Deputy Executive Secretary Operations

& General Counsel

Teachers' Retirement System

479 Versailles Road

Frankfort, Kentucky 40601

### **Agency Amendment**

# Version 4/18/2019 10:10 a.m. FINANCE AND ADMINISTRATION CABINET Teachers' Retirement System

102 KAR 1:060. Refunds.

Page 6 Section 4(23) Line 4

After "(23)", delete the following:

"TRS shall not process a refund to a deceased member's estate earlier than ninety (90) days following the death of the member. (24)"



# State Board of Accountancy

332 W. Broadway, Suite 310 Louisville, KY 40202 Phone: (502) 595-3037 Fax: (502) 595-4500 cpa.ky.gov

Richard C. Carroll
Executive Director

May 7, 2019

Ms. Emily Caudill, Regulations Compiler Legislative Research Commission 029, Capitol Annex 702 Capitol Avenue Frankfort, Kentucky 40601



Dear Regulations Compiler:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 201 KAR 1:290, 201 KAR 1:300 and 201 KAR 1:310 the State Board of Accountancy proposes the attached Suggested Amendments to 201 KAR 1:290, 201 KAR 1:300, and 201 KAR 1:310. Twenty copies of each suggested amendment is enclosed.

Sincerely,

Richard C. Carroll Executive Director

Enclosure

# Suggested Amendment GENERAL GOVERNMENT CABINET Kentucky State Board of Accountancy

#### 201 KAR 1:290. Standards of Practice.

Pages 1 and 2 NECESSITY, FUNCTION, AND CONFORMITY Line 14

After "of 1996" insert a comma.

Line 15

After "Part 200", and before "require", insert a comma.

Line 21

After "2002" insert a comma.

Line 1

After "98", insert a comma.

Page 2

Section 1(2)

Line 13

After "standards", insert "(GAAS)". After "Accountants", insert "(AICPA)".

Page 3

Section 1(7)

Line 8

After "accounting principles", insert "(GAAP)".

Page 3

Section 1(10)

Line 15

After "Office", delete the closing quotation marks.

Page 3

Section 1(13)

Line 19

After "KRS 325.220(6)", insert a period.

Page 4

Section 2

Line 6

After "with", insert "29 U.S.C. 18 and".

# Page 4 Section 2(1) Line 7

After "(1)", insert "The".

After "Standards", insert the following:

as reflected in the following documents:

- (a) General Principles for Engagements Performed in Accordance with Statements
- on Standards for Accounting and Review Services;
- (b) Review of Financial Statements, SSARS 21 and 23;
- (c) Review of Financial Statements, SSARS 21, 23, and 24;
- (d) Compilation Engagements;
- (e) General Principles for Engagements Performed in Accordance with Statements on Standards for Accounting and Review Services, SSARS 21 and 23;
- (f) General Principles for Engagements Performed in Accordance with Statements on Standards for Accounting and Review Services, SSARS 21, 23, and 24;
- (g) Preparation of Financial Statements;
- (h) Statements on Standards for Valuation Services;
- (i) Review of Financial Statements: Accounting and Review Services;
- (j) Special Considerations-International Reporting Issues; and
- (k) Review of Financial Statements: Accounting and Review Services Interpretation of Section 90

## Page 4 Section 2(3) Line 9

After "Board;", insert "and".

#### Page 4

Section 2(4) and Section 2(5)

Line 11-12

After "www.ifac.org", delete the following:

; and

(5) The Employee Retirement Security Act of 1974, 29 U.S.C. 18

#### Page 5

Section 4(3)

Line 12

After "specified in", lowercase the first letter of "Subsection".

#### Line 13

After "Standards", insert the following:

and that includes the documents listed in Section 2(1)(a) through (k) of this administrative regulation

Page 5 Section 4(4) Line 15

After "Accountants", capitalize the first letter of "web".

Page 5 Section 4(4)(a) Line 16

After "standards in", lowercase the first letter of "Subsection".

Page 6 Section 6(1)(a) Line 1

After "December 2015 Edition;", insert the following:

- 1. "General Principles for Engagements Performed in Accordance with Statements on Standards for Accounting and Review Services", December 15, 2015;
- 2. "Review of Financial Statements, SSARS 21 and 23", December 15, 2015;
- 3. "Review of Financial Statements, SSARS 21, 23, and 24", December 15, 2015;
- 4. "Compilation Engagements", December 15, 2015;
- 5. "General Principles for Engagements Performed in Accordance with Statements on Standards for Accounting and Review Services, SSARS 21 and 23", December 15, 2015;
- <u>6. "General Principles for Engagements Performed in Accordance with Statements on Standards for Accounting and Review Services, SSARS 21, 23, and 24", December 15, 2015;</u>
- 7. "Preparation of Financial Statements", December 15, 2015;
- 8. "Statements on Standards for Valuation Services", June 2007;
- 9. "Review of Financial Statements: Accounting and Review Services", February 2016;
- 10. "Special Considerations-International Reporting Issues", June 15, 2019; and
- 11. "Review of Financial Statements: Accounting and Review Services Interpretation of Section 90", February 2016.

# Suggested Amendment GENERAL GOVERNMENT CABINET Kentucky State Board of Accountancy

# 201 KAR 1:290. Standards of Practice.

```
Pages 1 and 2
 NECESSITY, FUNCTION, AND CONFORMITY
  Line 14
         After "of 1996" insert a comma.
 Line 15
        After "Part 200", and before "require", insert a comma.
 Line 21
         After "2002" insert a comma.
 Line 1
        After "98", insert a comma.
 Page 2
 Section 1(2)
 Line 13
        After "standards", insert "(GAAS)".
        After "Accountants", insert "(AICPA)".
 Page 3
Section 1(7)
Line 8
        After "accounting principles", insert "(GAAP)".
Page 3
Section 1(10)
Line 15
       After "Office", delete the closing quotation marks.
Page 3
Section 1(13)
Line 19
       After "KRS 325.220(6)", insert a period.
Page 4
```

After "with", insert "29 U.S.C. 18 and".

Section 2 Line 6



# State Board of Accountancy

332 W. Broadway, Suite 310 Louisville, KY 40202 Phone: (502) 595-3037 Fax: (502) 595-4500 cpa.ky.gov

**Richard C. Carroll** Executive Director

May 7, 2019

Ms. Emily Caudill, Regulations Compiler Legislative Research Commission 029, Capitol Annex 702 Capitol Avenue Frankfort, Kentucky 40601



Dear Regulations Compiler:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 201 KAR 1:290, 201 KAR 1:300 and 201 KAR 1:310 the State Board of Accountancy proposes the attached Suggested Amendments to 201 KAR 1:290, 201 KAR 1:300, and 201 KAR 1:310. Twenty copies of each suggested amendment is enclosed.

Sincerely,

Richard C. Carroll
Executive Director

Enclosure

## Suggested Amendment GENERAL GOVERNMENT CABINET Kentucky State Board of Accountancy

## 201 KAR 1:300. Rules of professional conduct.

# Page 1 NECESSITY, FUNCTION, AND CONFORMITY Line 7

After "CONFORMITY:", insert the following:

KRS 325.240(2) authorizes the Kentucky State Board of Accountancy to promulgate administrative regulations for the administration of KRS Chapter 325, and to establish and maintain a high standard of integrity and dignity in the profession of public accounting.

#### Lines 8-9

After "This administrative regulation", insert "establishes". Delete "is necessary to establish".

#### **Lines 9-10**

After "Rules of Professional Conduct", insert "that".

Delete the following:

. The State Board of Accountancy decided to adopt and

#### Lines 11-16

After "Code of Professional Conduct.", delete the following:

The State Board of Accountancy may review the AICPA's interpretations of its code of professional conduct for guidance when applying the provisions of this administrative regulation.

## Page 10 Section 2 Line 3

After "Section 2.", insert the following:

<u>Each licensee and firm shall comply with the AICPA's interpretation of the rules of professional conduct in the AICPA Code of Professional Conduct adopted by the board.</u>

Section 3.



# State Board of Accountancy

332 W. Broadway, Suite 310 Louisville, KY 40202 Phone: (502) 595-3037 Fax: (502) 595-4500 cpa.ky.gov

**Richard C. Carroll** Executive Director

May 7, 2019

Ms. Emily Caudill, Regulations Compiler Legislative Research Commission 029, Capitol Annex 702 Capitol Avenue Frankfort, Kentucky 40601



Dear Regulations Compiler:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 201 KAR 1:290, 201 KAR 1:300 and 201 KAR 1:310 the State Board of Accountancy proposes the attached Suggested Amendments to 201 KAR 1:290, 201 KAR 1:300, and 201 KAR 1:310. Twenty copies of each suggested amendment is enclosed.

Sincerely,

Richard C. Carroll
Executive Director

Enclosure

## Suggested Amendment GENERAL GOVERNMENT CABINET Kentucky State Board of Accountancy

### 201 KAR 1:310. Expungement of minor violation.

#### Page 1

#### **NECESSITY, FUNCTION, AND CONFORMITY**

#### Line 7

After "CONFORMITY:", insert the following:

KRS 325.240(2) authorizes the Kentucky State Board of Accountancy to promulgate administrative regulations to administer KRS Chapter 325.

After "KRS", insert "325.340".

Delete "325.240".

After "(5)", insert "requires".

Delete "directs".

After "the", immediately following, insert "board".

#### Lines 7-8

Delete the following:

Kentucky State Board of Accountancy

#### Line 8

After "to establish", insert "procedures".

Delete "a procedure".

#### Line 9

After "This administrative regulation", insert "<u>establishes those expungement procedures</u>".

Delete "describes that procedure".

#### Page 1

#### Section 1

#### Line 10

After "means", delete "that".

After "all affected records", insert "are".

Delete "shall be", immediately following.

After "sealed and", delete "that".

#### Line 11

After "to which", insert "the records".

Delete "they".

After "refer", delete "shall be deemed".

After "never", delete "to have".

#### Page 1

#### Section 2

#### Line 12

After "properly reply", insert ", upon inquiry,".

#### Line 13

After "do not exist", delete "upon inquiry".

#### Page 1

Section 4(1)

Line 17

After "request, the board shall", insert ": (a)". Capitalize the first letter of "review".

#### Line 18

After expungement insert a semicolon. After "and", immediately following, insert "(b)". Delete "shall", immediately following. Capitalize the first letter of "provide".

#### Page 1

Section 4(2)

Line 19

After "the board shall", insert "explain". Delete "set forth".

#### Page 2

Section 5(2)

Line 1

After "hearing request shall", insert ": (a)". Capitalize the first letter of "be".

#### Line 2

After "deny the request", insert a semicolon.

Delete the comma immediately following.

After the "and" immediately following, insert "(b) Explain".

Delete "shall set forth".



### **Board of Medical Imaging and Radiation Therapy**

Matthew G. Bevin Governor

125 Holmes Street, Suite 320 Frankfort, KY 40601 502-782-5687 http://kbmirt.ky.gov/ Amy L. Adkins Board Chair

May 1, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601



Re: 201 KAR 46:010 Definitions for 201 KAR Chapter 46

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 201 KAR 46:010, the Board of Medical Imaging and Radiation Therapy proposes the attached amendment to 201 KAR 46:010.

Sincerely,

Elizabeth Morgan

Elizabeth Morgan, Executive Director Board of Medical Imaging and Radiation Therapy 125 Holmes Street, Suite 320 Frankfort, Ketnucky 40601





## **Board of Medical Imaging and Radiation Therapy**

Matthew G. Bevin Governor

125 Holmes Street, Suite 320 Frankfort, KY 40601 502-782-5687 http://kbmirt.ky.gov/ Amy L. Adkins Board Chair



May 1, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601

Re: 201 KAR 46:010 Definitions for 201 KAR Chapter 46

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 201 KAR 46:010, the Board of Medical Imaging and Radiation Therapy proposes the attached amendment to 201 KAR 46:010.

Sincerely,

Elinabeth Morgan

Elizabeth Morgan, Executive Director Board of Medical Imaging and Radiation Therapy 125 Holmes Street, Suite 320 Frankfort, Ketnucky 40601



# Suggested Amendment GENERAL GOVERNMENT CABINET Board of Medical Imaging and Radiation Therapy

## 201 KAR 46:010. Definitions for 201 KAR Chapter 46.

Page 6

Section 1(43)

Line 4

After "means", insert ": (a)".

Capitalize the first letter of "the" immediately following.

Line 5

After "injury or disease", insert a semicolon.

After "and", immediately following, insert "(b) Includes".

**Line 5-6** 

Delete "shall include".





May 10, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulations Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601

Re: 202 KAR 7:520. Allocation of block grant funding assistance for emergency medical services.

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 202 KAR 7:520, the Kentucky Board of Emergency Medical Services proposes the attached amendment to 202 KAR 7:520.

Sincerely,

Philip Dietz, Chairman

Kentucky Board of Emergency Medical Services 118 James Court, Suite 50, Lexington, KY 40505

118 James Court, Suite 50 · Lexington, KY 40505 (859) 256-3565 · Fax: (859) 256-3128 Email: KBEMS@kctcs.edu · Website: kbems.kctcs.edu

# Suggested Amendment KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM Kentucky Board of Emergency Medical Services

202 KAR 7:520. Allocation of block grant funding assistance for emergency medical services.

# Page 1 NECESSITY, FUNCTION, AND CONFORMITY Line 9

After "KRS 311A.155(3)", insert the following:

<u>requires the Kentucky Board of Emergency Medical Services to administer the</u>

<u>emergency medical services grant program, and</u>

#### **Lines 9-10**

After "authorizes the", insert "board".

Delete the following:

Kentucky Board of Emergency Medical Services

#### Line 11

After "of the emergency", insert "medical".

#### Lines 11-12

After "KRS 311A.155", insert "(1) requires".

Delete "authorizes".

After "the", immediately following, insert "board".

Delete the following:

Kentucky Board of Emergency Medical Services

#### Page 2

Section 1(3)

Line 2

After "202 KAR", insert "Chapter".

#### Page 2

Section 1(5)(b)

Line 11

After "ambulance service", insert a comma.

Page 3 and Page 4
Section 2 and Section 3
Line 20 and Line 20

After "Section 2.", delete "Section 3.".

#### Page 4

#### Section 2(1)

#### Line 22

After "Grant, KBEMS", insert a space and then "G-1". Delete "-G1".

#### Page 5

#### Section 2(1)

#### Line 1

After "Grant, KBEMS", insert a space and then "G-2". Delete "-G2".

#### Page 5

#### Section 2(3)

#### Line 7

After "quantity of", insert "those". Delete "said".

#### Page 5

### Section 2(4)

#### Line 14

After "mandated by", insert "this administrative regulation". Delete "statute".

#### Page 5

#### Section 2(5)(a)

#### Line 17

After "submit the", lowercase the first letter of "Electronic". After "Form, KBEMS", insert a space and then "G-3". Delete "-G3".

#### Page 5

#### Section 2(5)(b)

#### Line 20

After "on a KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Line 21

After "for all KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Page 6

### Section 2(8)(f)

Line 18

After "all awarded grant funds", insert the following: , except any approved carry-over funds,

#### Page 6

Section 2(8)(g)

Line 19

After "approved grant funds", insert the following: , except any approved carry-over funds,

#### Page 6

Section 2(9)

Line 23

After "Grant, KBEMS", insert a space and then "G-1". Delete "-G1".

#### Page 7

Section 2(9)

Line 3

After ". The", insert "KBEMS", and a space before "G-1".

Line 4

After "Kentucky", lowercase the first letter of "Ambulance" and "Grant".

#### Page 7

Section 2(10)

Line 7

After "Grant, KBEMS", insert a space and then "<u>G-1</u>". Delete "-G1".

#### Page 7

Section 2(10)(b)

Line 11

After "Grant, KBEMS", insert a space and then "<u>G-1</u>". Delete "-G1".

#### Page 7

Section 2(10)(f)

Line 20

After "all awarded grant funds", insert the following: , except any approved carry-over funds,

#### Page 7

Section 2(10)(g)

Line 21

After "approved grant funds", insert the following: , except any approved carry-over funds,

#### Page 8

#### Section 3(1)

#### Line 6

After "approved in the", lowercase the first letter of "Electronic".

#### Line 7

After "Grant, KBEMS", insert a space and then "G-2".

Delete "-G2".

After "submit the", lowercase the first letter of "Electronic".

#### Line 8

After "Form, KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Page 8

#### Section 3(2)

#### Line 11

After "applicable, the", insert "electronic".

After "Substitute Item", delete "Electronic".

After "Form, KBEMS", insert a space and then "G-3".

Delete "-G3".

#### Page 12

#### Section 5(2)

#### Line 5

After "Funds, KBEMS", insert a space and then "<u>G-4</u>". Delete "-G4".

#### Page 12

#### Section 5(3)

#### **Lines 9-10**

After "Funds, KBEMS", insert a space and then "<u>G-4</u>". Delete "-G4".

#### Page 13

#### Section 6(2)(b)

#### Line 7

After "approved on the", lowercase the first letter of "Electronic".

#### Line 8

After "Grant, KBEMS", insert a space and then "G-2". Delete "-G2".

#### Page 13

#### Section 6(3)

Line 10

Lowercase the first letter of "Ambulance", "Block", and "Grant".

#### Page 13

#### Section 6(6)

**Lines 16-17** 

After "Funds, KBEMS", insert a space and then "<u>G-4</u>". Delete "-G4".

#### Page 14

#### Section 6(9)

Line 6

After "approval of an", lowercase the first letter of "Electronic".

#### Lines 6-7

After "Form, KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Page 14

#### Section 6(10)

Line 9

After "An", lowercase the first letter of "Electronic". After "Form, KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Line 10

After "listed on the", lowercase the first letter of "Electronic".

#### Line 11

After "Grant, KBEMS", insert a space and then "<u>G-2</u>". Delete "-G2".

#### Page 14

#### Section 6(11)

#### Line 13

After "An", lowercase the first letter of "Electronic". After "Form, KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3".

#### Page 17

#### Section 10(1)(a)

#### Line 10

After "KBEMS", insert a space and then "<u>G-1</u>". Delete "-G1".

After the comma, insert "April 2019". Delete "9/18".

#### Page 17 Section 10(1)(b)

#### Line 11

After "KBEMS", insert a space and then "<u>G-2</u>". Delete "-G2". After the comma, insert "<u>April 2019</u>". Delete "1/29/2019".

#### Page 17

**Section 10(1)(c)** 

#### Line 13

After "KBEMS", insert a space and then "<u>G-3</u>". Delete "-G3". After the comma, insert "<u>April 2019</u>". Delete "1/29/2019".

#### Page 17 Section 10(1)(d)

#### Line 14

After "KBEMS", insert a space and then "<u>G-4</u>". Delete "-G4". After the comma, insert "<u>April 2019</u>". Delete "1/29/2019".

#### MATERIAL INCORPORATED BY REFERENCE

The agency needs to file <u>one (1) clean copy of each</u> of the following forms at the time that it files this staff suggested amendment. This includes screen shots of the forms, since these forms are all submitted electronically.

#### County Application, Kentucky Ambulance Grant, KBEMS G-1

- Updates the edition date of the electronic form to April 2019
- Updates the date to January 31 as the deadline for submission of the KBEMS G-4 Form
- Makes other technical corrections

#### Agency Application, Kentucky Ambulance Grant form, KBEMS G-2

- Updates the edition date of the electronic form to April 2019
- Updates the Form to be consistent with this administrative regulation as amended, which deleted the tiering provisions on Page 4, Lines 1-13. Page 2 of the electronic

form, previously included a tiering Section on Tier 1- Tier 3 that cited to 202 KAR 7:520, Section 2(2)(a), through 2(2)(c). These tiering provisions are deleted in the new version of the form.

#### **Ambulance Grant Substitute Item Form, KBEMS G-3 form**

- Updates the edition date of the electronic form to April 2019
- Makes technical corrections.

#### **Grant Accountability of Funds, KBEMS G-4 form**

- Updates the edition date of the electronic form to April 2019
- Updates the Form to be consistent with the administrative regulation as amended, by changing the March 15, 2019 deadline on the form to January 31.





May 10, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulations Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601

Re: 202 KAR 7:575. Fee schedules of licensed ambulance providers.

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 202 KAR 7:575, the Kentucky Board of Emergency Medical Services proposes the attached amendment to 202 KAR 7:575.

Sincerely,

Philip Dietz, Charman

Kentucky Board of Emergency Medical Services 118 James Court, Suite 50, Lexington, KY 40505

118 James Court, Suite 50 · Lexington, KY 40505 (859) 256-3565 · Fax: (859) 256-3128 Email: KBEMS@kctcs.edu · Website: kbems.kctcs.edu

## Suggested Amendment KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM Kentucky Board of Emergency Medical Services

#### 202 KAR 7:575. [Posting of] Fee schedules of licensed ambulance providers.

Page 1

TITLE

Line 4

After "202 KAR 7:575", delete "Posting of", Capitalize the first letter of "Fee".

#### Page 1

**NECESSITY, FUNCTION, AND CONFORMITY** 

Line 7

After "KRS 311A.032", insert "requires". Delete "authorizes".

Page 2

Section 1(2)(k)

Line 7

After "mile;", insert "and".

Page 2

**Section 2 and Section 2(1)** 

Line 9

After "Posting Requirements.", delete "(1)".

Page 2

Section 2(1)

Line 10

After "post fee schedules as", insert "established in KRS 311A.032(1)(a)".

#### Page 2

#### Section 2(1) and Section 2(1)(a) through (c)

Lines 10-14

Delete the following, except for the final period:

defined in Section 1:

- (a) In a conspicuous area of the main office;
- (b) Any satellite location, and;
- (c) On the company Web site, if the company hosts or otherwise maintains a Web site itself or through contract with another party

#### Page 2

Section 3(1)

Line 16

After "shall" insert "submit".

#### Page 2

Section 3(1)(a)

Line 17

After "(a)", delete "Submit".

Capitalize the first letter of "an".

#### Line 18

After "electronic", capitalize the first letter of "application".

Insert "for Initial Fee Schedule".

After "account", insert "; and".

Delete the period.

#### Page 2

Section 3(1)(b)

Line 19

After "(b)", delete "Submit".

Capitalize the first letter of "an".

#### Line 20

After "agency licensure renewal", insert the following:

with the electronic Renewal and Inspection Application for Class I, II, III, IV, VII and VIII Agencies, and the Renewal and Inspection Application for Class VI Agencies

#### Page 2

Section 3(2)

#### Line 21

After "that are modified", insert "shall".

Delete "must".

After "posted as", insert "established".

Delete "prescribed".

After "in", immediately following, insert "KRS 311A.032".

Delete "Section 2".

#### Line 22

After "and", immediately following, insert "an".

Delete "a modified fee schedule".

After "electronic", capitalize the first letter of "application".

insert the following:

for Ambulance Fee Schedule Modification shall

Delete "must".

#### Page 3

Section 4(1)

Line 1

After "Posting provisions as", insert "required by KRS 311A.032(1)(a)". Delete "prescribed in Section 2".

#### Page 3

Section 4(3)(a)

Line 10

After "\$150", delete the following:

per occurrence for failure to post or update a modified fee schedule After "as required", insert "by KRS 311A.032(2)(a) and".

Delete "under".

## Page 3 Section 5

Line 14

After "Section 5.", insert the following:

<u>Incorporation by Reference.</u> (1) The following material is incorporated by reference:

- (a) "Application for Initial Fee Schedule", April 2019;
- (b) "Application for Ambulance Fee Schedule Modification", April 2019;
- (c) "Renewal and Inspection Application for Class I, II, III, IV, and VII Agencies", April 2019; and
- (d) "Renewal and Inspection Application for Class VI Agencies", April 2019.
- (2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Kentucky Board of Emergency Medical Services, 118 James Court, Suite 50, Lexington, Kentucky 40505, Monday through Friday, 8:30 a.m. to 4:30 p.m.

#### Pages 3-4

Section 5, Section 5(1), and 5(1)(a) through (l)

Lines 14-23 and Lines 1-16

NOTE TO COMPILER: Beginning with "Unethical Conduct.", delete all of this Section in its entirety, and including all paragraphs and subparagraphs.

#### MATERIAL INCORPORATED BY REFERENCE

The agency needs to file <u>one (1) clean copy of each</u> of the following forms at the time that it files this staff suggested amendment. This includes screen shots of the forms, since these forms are all submitted electronically. All of these forms include

an April 2019 Edition Date. These forms are required by KRS 311A.032, and have been incorporated by reference as required by KRS Chapter 13A.

#### Application for Initial Fee Schedule

• This application is used to initially notify the KBEMS office of the organization's fee schedule.

#### • Application for Ambulance Fee Schedule Modification

• This application is used to notify the KBEMS office of changes to the organization's fee schedule.

#### • Renewal and Inspection Application for Class I, II, III, IV, and VII Agencies

• This application is the ground agency renewal and inspection application that the fee schedule is submitted with by the agency.

#### • Renewal and Inspection Application for Class VI Agencies

 This application is the Class VI ALS First Response agency renewal and inspection application that the fee schedule is submitted with by the agency. Matthew G. Bevin Governor



Derrick Ramsey
Secretary
Education and Workforce
Development Cabinet

### Wayne D. Lewis, Ph.D. Commissioner of Education

#### KENTUCKY DEPARTMENT OF EDUCATION

300 Sower Boulevard • Frankfort, Kentucky 40601 Phone: (502) 564-3141 • www.education.ky.gov

April 22, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601



Re: 704 KAR 3:303. Required Academic Standards

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 704 KAR 3:303, the Kentucky Board of Education proposes the attached amendment to 704 KAR 3:303.

Sincerely,

Deanna L. Durrett General Counsel

PHOWA

attachment



#### **Staff-suggested Amendment**

# Version 4/22/2019 3:12 p.m. EDUCATION AND WORKFORCE DEVELOPMENT CABINET Kentucky Board of Education Department of Education

704 KAR 3:303. Required Academic Standards.

Page 1
STATUTORY AUTHORITY
Line 7

After "156.160, 158.6453", insert ", 160.290".

Page 2 Section 2 Line 1

After "Academic Standards", delete ".".

Matthew G. Bevin Governor



Derrick Ramsey
Secretary
Education and Workforce
Development Cabinet

### Wayne D. Lewis, Ph.D. Commissioner of Education

#### KENTUCKY DEPARTMENT OF EDUCATION

300 Sower Boulevard • Frankfort, Kentucky 40601 Phone: (502) 564-3141 • www.education.ky.gov

April 22, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601



Re: 704 KAR 8:060. Required Academic Standards for Social Studies

Dear Co-Chairs:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 704 KAR 8:060, the Kentucky Board of Education proposes the attached amendment to 704 KAR 8:060.

Sincerely,

Deanna L. Durrett General Counsel

DAR mind

attachment



#### **Staff-suggested Amendment**

# Version 4/22/2019 3:13 p.m. EDUCATION AND WORKFORCE DEVELOPMENT CABINET Kentucky Board of Education Kentucky Department of Education

704 KAR 8:060. Required Academic Standards for Social Studies.

Page 1
STATUTORY AUTHORITY

Line 7

After "158.6453(2)", insert "160.290".

Page 1
NECESSITY, FUNCTION, AND CONFORMITY
Line 8

After "CONFORMITY: KRS", insert "<u>156.160</u>". Delete "156.070".

Page 1
NECESSITY, FUNCTION, AND CONFORMITY
Line 10

After "expected goals, outcomes", insert ",".

Page 1
NECESSITY, FUNCTION, AND CONFORMITY
Line 16

After "academic standards and", insert "assessments". Delete "assessment".



**Matthew G. Bevin** Governor

Jenean M. Hampton Lieutenant Governor **KENTUCKY LABOR CABINET** 

**Department of Workers' Claims** 

Office of General Counsel Workers' Claims Legal Division

657 Chamberlin Avenue Frankfort KY 40601 Telephone: (502) 564-5550 Fax: (502) 564-0681 www.labor.ky.qov/workersclaims

May 3, 2019

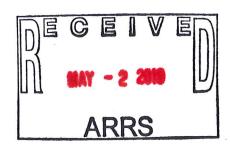
**David A. Dickerson**Acting Secretary

Robert L. Swisher Commissioner

**B. Dale Hamblin, Jr.** Assistant General Counsel

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill, Regulation Compiler Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort, KY 40601

Re: 803 KAR 25:270 Pharmaceutical Formulary



Dear Co-Chairs:

After discussion with Administrative Regulation Review Subcommittee staff of the issues raised by 803 KAR 25:270, the Department of Workers' Claims proposes the attached amendments to 803 KAR 25:270.

Sincerely,

B. Dale Hamblin, Jr., Assistant General Counsel

Workers' Claims Legal Division

**Labor Cabinet** 

657 Chamberlin Avenue

Frankfort, KY 40601

#### **Staff-suggested Amendment**

# Final Version 5/3/2019 3:17 p.m. LABOR CABINET Department of Workers' Claims Amended After Comments

#### 803 KAR 25:270. Pharmaceutical Formulary.

## Page 1 NECESSITY, FUNCTION, AND CONFORMITY Line 10

After "KRS Chapter 342", insert "and". Delete "or".

#### Page 1

Section 1(1)

Line 17

After "provided in Chapter", insert "342". Delete "42".

#### Page 2

Section 1(3)

Line 1

After "(3)", insert "<u>"Compound" or "Compounding</u>". Delete ""Compound/Compounding".

#### Page 2

Section 1(10)

Line 21

After "revoked, suspended, restricted", insert "".

#### Page 3

Section 1(14)

Line 3

After "individual, corporation, government,", delete "or".

After "governmental subdivision", insert " $_{\iota}$ ". Delete "or".

```
Page 3
Section 1(17)
Lines 9 and 10
       After "for a drug, signed", insert ",".
       Delete "or".
       After "given", insert ",".
Page 3
Section 1(19)
Line 20
       After "dose or strength," delete "and".
Page 3
Section 1(20)
Line 22
       After "(20) "Utilization Review"", insert the following:
               is defined by 803 KAR 25:190
       Delete "means utilization review as defined in 803 KAR 25:19 § (6)".
Page 4
Section 2(4)
Line 11
       After "prescriptive authority, including KRS", insert the following:
       218A.020(3), 218A.172, 314.011(8)
       Delete the following:
       218A.172, KRS 218A.020(3), KRS 314.011(8)
Page 7
Section 5(1)
Line 21
       After "for outpatient use", insert ".".
       Delete ";".
```



MATTHEW G. BEVIN GOVERNOR

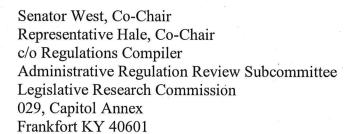
CHARLES G. SNAVELY
SECRETARY

JOHN D. SMALL COMMISSIONER

## ENERGY AND ENVIRONMENT CABINET DEPARTMENT FOR NATURAL RESOURCES

300 Sower Boulevard FRANKFORT, KENTUCKY 40601

May 9, 2019



Re: 805 KAR 3:100 - Equipment use and operation

Dear Co-Chair West and Co-Chair Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 805 KAR 3:100, the Department for Natural Resources proposes the attached amendments to 805 KAR 3:100.

MAY 1 0 2019

ARRS

Sincerely,

Michael S. Mullins Regulation Coordinator

#### **Suggested Amendment**

Final Version: 5/7/2019 1:05 PM

## ENERGY AND ENVIRONMENT CABINET Department for Natural Resources Division of Mine Safety

805 KAR 3:100. Equipment use and operation.

#### Page 1

NECESSITY, FUNCTION, AND CONFORMITY

Line 9

After "promulgate, amend, or", insert "repeal". Delete "rescind".

#### Page 3

Sections 1(11)(b)1.b. and 1(11)(b)1.b.i.

Line 9

After "b.", insert "(i)".
Delete "i.".

#### Page 3

Section 1(11)(b)1.b.i. (Should Have Been Section 1(11)(b)1.b.(i))

Line 11

After "of Mine Safety", insert the following:

in a course on the safe operation of an excavator and the course shall be Delete "as having"

Line 11

After "successfully completed", delete the remainder of clause (i), except the period.

#### Page 3

Section 1(11)(b)1.b.i. and ii. (Should Have Been Section 1(11)(b)1.b.(i) and (ii)) Lines 11 and 12

Renumber "ii." as "(ii)", respectively.

#### Page 3

Section 1(11)(b)1.b.ii. (Should Have Been Section 1(11)(b)1.b.(ii))

Line 12

After "established in subclause", insert "(i)". Delete "i.".

Line 13

After "Form 5000-23,", insert the following: incorporated by reference in 805 KAR 7:030,

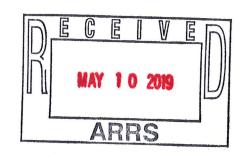


### **PUBLIC PROTECTION CABINET**

Matthew G. Bevin Governor

### Department of Insurance

P.O. Box 517
Frankfort, Kentucky 40602-0517
1-800-595-6053
<a href="http://insurance.ky.gov">http://insurance.ky.gov</a>



K. Gail Russell Secretary

Nancy G. Atkins Commissioner

May 10, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601

Re:

Department of Insurance Regulation Review

806 KAR 10:030 Surplus lines reporting and tax payment structure.

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff of the issues raised by 806 KAR 10:030, the Department of Insurance proposes the attached amendments to 806 KAR 10:030.

Sincerely,

Patrick O'Connor II, Deputy Commissioner Kentucky Department of Insurance 215 W. Main Street Frankfort, Kentucky 40601



#### SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

#### 806 KAR 10:030

#### Kentucky Surplus Lines Affidavit of Insurance Transaction

806 KAR 10:030 incorporates by reference the "Kentucky Surplus Lines Affidavit of Insurance Transactions" (05/2019), a one page electronic form to be completed by all entities required to report surplus lines transactions and pay the applicable surplus lines tax associated with such transaction. The form is accessible through the Department's eServices website. The form requires detailed information regarding the insured, the carrier, and premium amount. Additionally, the surplus lines broker or responsible party will be required to include policy specific information including the policy number, the inception date of the policy, the expiration date of the policy, and the invoice date. These dates are all utilized under 806 KAR 10:030 to determine when the affidavit must be completed, which ultimately determines the quarter in which surplus lines premium is reported and any applicable taxes are due.

				5/8/20
<b>(Y</b> Department of	Insurance			300
ndividual Information   Reports   OI Number : 542723		your account   Change your password	Logout	View Ca
Surplus Lines Affidavit				
Section 1 - Insured Informatio Name(s) of Insured	n			
Address		•		
City		State Select ▼	Zip	
Section 2 - KY Locations				
Address Line1				
Address Line2				•
City	State	Zip		
Premium	, KY			
Add Location			,	
Section 3 - General Informatio	n			
Policy Number	Inception/Effective Date	Expiration Date	Invoice Date (Required)	
Transaction Type	Policy Type	-		
Select Transaction type ▼	Select a Policy ▼			
Section 4 - Carrier Information	1			
Carrier		Pr	remium Miscellaneous Fees	Total SL/Tax Rptd
Select a Carrier		¥ )		od rannipa
Add Carrier				
(You must click "Add Carrier")				•
Section 5 - Surplus Lines Tax				
Premium	Mis	rc Fees		

Submit Affidavit



Matthew G. Bevin Governor

## Cabinet for Health and Family Services OFFICE OF LEGISLATIVE AND REGULATORY AFFAIRS

275 EAST MAIN, 5 W-A FRANKFORT, KENTUCKY 40621-0001 (502) 564-7042 (502) 564-7091FAX Adam M. Meier Secretary

James C. Musser Executive Director

May 9, 2019

Senator Stephen West, Co-Chair Representative David Hale, Co-Chair c/o Emily Caudill Administrative Regulation Review Subcommittee Legislative Research Commission 029, Capitol Annex Frankfort KY 40601



Re: 907 KAR 5:005. Health Insurance Premium Payment (HIPP) Program.

Dear Co-Chairs West and Hale:

After discussions with Administrative Regulation Review Subcommittee staff and other stakeholders of the issues raised by 907 KAR 5:005, the Department for Medicaid Services proposes the attached amendment to 907 KAR 5:005. If you have any questions, please feel free to contact Jonathan Scott, Regulatory and Legislative Advisor with the Department for Medicaid Services at (502) 564-4321 ext. 2015.

Sincerely,

Sarah A. Cooper

Legislative and Regulatory Analyst Office of Legislative and Regulatory Affairs Cabinet for Health and Family Services



#### **AGENCY AMENDMENT**

#### **CABINET FOR HEALTH AND FAMILY SERVICES Department for Medicaid Services**

907 KAR 5:005. Health Insurance Premium Payment (HIPP) Program.

Page 1 **RELATES TO** Line 6

After "400.203,", insert the following:

430.10, 26 U.S.C. 4980B, 5000(b)(1)

Delete the following:

430.110, 26 U.S.C. 500(b)(1), 4980B

Page 3

Section 2(1)(a)

Line 15

After "Completing the", insert the following:

Kentucky Health Insurance Premium Payment Program Application Delete "Healthcare Coverage Form".

Page 3

Section 2(1)(b)

Line 17

After "Submitting the", insert the following:

Kentucky Health Insurance Premium Payment Program Application Delete "Healthcare Coverage Form".

Page 7

Section 5(3)(a)

Line 16

After "within", insert "thirty (30)".

Delete "ten (10)".

Page 9

Section 6(4)(e)

Line 23

After "pursuant to", insert "KAR". After "895", delete "KAR".

Page 10

Section 8(3)

Lines 19 and 20

After "907 KAR", insert "20:020".

Delete "1:640".

Page 13 Section 15(1) Lines 4 and 5

After "(1)", insert the following:

<u>"Kentucky Health Insurance Premium Payment Program Application", KIHIPP-100, April 2019</u>

Delete the following:

The "Healthcare Coverage Form", November 2018

## COMMONWEALTH OF KENTUCKY CABINET FOR HEALTH AND FAMILY SERVICES DEPARTMENT FOR MEDICAID SERVICES

#### 907 KAR 5:005 Health Insurance Premium Payment (HIPP) Program

Summary of Material Incorporated by Reference

The "Kentucky Health Insurance Premium Payment Program Application", KIHIPP-100, April 2019, is incorporated by reference. This document is for Medicaid beneficiaries who have an offer of health insurance coverage to complete and send to the Department for Medicaid Services Third Party Liability office. The form requests information about the primary policy holder, employer, health insurance offered, and other household members who may be eligible for coverage. The amendments to this form from the November 2018 version clarify the title of the office and number of the form, update telephone and fax numbers, refer to the policy holder as the "primary policy holder" as appropriate, and make various technical amendments to page numbers.

The total number of pages incorporated by reference is six (6) pages.