

FINANCE AND ADMINISTRATION CABINET
Executive Branch Ethics Commission
(Amended at ARRS Committee)

9 KAR 1:070. Standards of ethical conduct for transition team members and disclosure form.

RELATES TO: KRS ~~6.801 – 6.829~~, 11A.010, 11A.047, ~~11A.060, 11A.110, 11A.201 – 11A.246~~

STATUTORY AUTHORITY: KRS 11A.047, ~~11A.110(3), (4)~~.

NECESSITY, FUNCTION, AND CONFORMITY: KRS 11A.110(3) and (4) require the Executive Branch Ethics Commission to promulgate administrative regulations to implement KRS Chapter 11A and to ~~establish~~*prescribe* forms for statements required by this chapter. KRS 11A.047(3), (4), and (7) require the commission to establish standards of ethical conduct for transition team members, ~~prescribe a form for the newly elected official to designate transition team leaders,~~ *prescribe a form for the transition team leaders to list the members of the transition team, and prescribe a disclosure form for the transition team members to submit to the commission.* This administrative regulation establishes the standards of ethical conduct for transition team members *and related forms* ~~prescribes the three forms, and incorporates them by reference~~.

Section 1. Definitions.

- (1) "Agency" is defined by KRS 11A.047(1)(a).
- (2) "Commission" means the Executive Branch Ethics Commission as established by KRS 11A.060.
- (3) "Engage" is defined by KRS 6.611(13) and 11A.201(4).
- (4) "Executive agency decision" is defined by KRS 11A.201(8).
- (5) "Executive agency lobbying activity" is defined by KRS 11A.201(10).
- (6) "Financial Impact" means to have an effect on the financial position of a person or business whether or not the impact is positive or negative.
- (7) "~~Gift~~*Gifts*" is defined by KRS 11A.010(5).
- (8) "Legislative matter" is defined by KRS 6.611(25).
- (9) "Lobby" is defined by KRS 6.611(27).
- (10) "Newly elected official" means a person elected to an office listed in KRS 11A.010(9)(a) to (g) who has not yet been sworn into office.
- (11) "~~Nonpublic~~*Non-public*" information" is defined by KRS 11A.047(1)(b).
- (12) "Regular election" is defined by KRS 446.010(37).
- (13) "Transition team" is defined by KRS 11A.047(1)(c).
- (14) "Transition team lead" means a person designated by the newly elected official to manage the transition team on behalf of the newly elected official.
- (15) "Transition team member" is defined by KRS 11A.047(1)(d).
- (16) "Transition team end date" is the date of inauguration for the newly elected gubernatorial official or the swearing-in date for all other newly elected officials.

Section 2. ~~Transition Team Member List.~~ *Newly Elected Official Disclosure of Transition Team Lead.*

- (1) The commission shall notify the newly elected official of all disclosure requirements within five (5) business days of the date of the regular election.
- (2) If the newly elected official decides to create a transition team as ~~established~~*provided* in KRS 11A.047(2), then the newly elected official shall *designate a transition team lead* ~~file with the commission a Transition Team Lead Designation form EBEC-301~~, as required by KRS 11A.047(4) ~~within ten (10) business days of the date of the regular election~~.

~~(3) [The newly elected official shall ensure that the Transition Team Lead Designation form EBEC-301 that is filed with the commission is current and notifies the commission by filing an amended Transition Team Lead Designation form EBEC-301 within five (5) business days of any additions to or departures from the transition team leadership until the transition team end date.]~~

~~[Section 3.] [Transition Team Member Lists.]~~

~~[(1)] [The commission shall notify each transition team lead of all disclosure requirements within fourteen (14) business day of the date of the regular election.]~~

~~[(2)]~~ The transition team lead shall file with the commission a Transition Team Members List form EBEC-302, as required by KRS 11A.047(4), within twenty (20) business days of the date of the regular election.

~~(4) [(3)]~~ The transition team lead shall:

~~(a)~~ Ensure that the Transition Team Members List form EBEC-302 that is filed with the commission is current; and

~~(b) [shall]~~ Notify the commission by filing an amended Transition Team Members List form EBEC-302 within five (5) days of any additions to or departures from the transition team until the transition team end date.

Section 3. ~~[Section 4.]~~ Transition Team Member Disclosure.

(1) Prior to beginning service on a transition team, every transition team member shall file with the commission, as required by KRS 11A.047(7), a Transition Team Member Disclosure Statement form EBEC-303.

(2) The transition team member shall update the Transition Team Member Disclosure Statement form EBEC-303 filed with the commission within five (5) business days of any employment, business interest, or transition team assignment changes that would have a material effect on their originally filed Disclosure Statement form EBEC-303 until the transition team end date.

(3) The transition team member shall update the Transition Team Member Disclosure Statement form EBEC-303 filed with the commission within five (5) business days of the acceptance of any ~~gift[gifts]~~ over ~~twenty-five (25) dollars[\$25]~~ or acceptance of future employment as required by KRS 11A.047(7)(f) until the transition team end date.

(4) The transition team member shall update the Transition Team Member Disclosure Statement form EBEC-303 filed with the commission within five (5) business days of any new recusals required pursuant to KRS 11A.047(7)(h) until the transition team end date.

(5) The commission shall notify any transition team member who fails to file the Transition Team Member Disclosure Statement form EBEC-303 within ten (10) business days after beginning their initial service.

(6) If within ten (10) business days of receiving notice from the commission, the transition team member has failed to file the required Transition Team Member Disclosure Statement form EBEC-303, the commission ~~shall[will]~~ send notice to the newly elected official and transition team leads that the transition team member should be removed from the transition team and discontinue all activities on behalf of the transition team until the form is filed.

Section 4. ~~[Section 5.]~~ Standards of Ethical Conduct for Transition Teams.

(1) All transition team members shall:

(a) Comply with KRS 11A.047(5) and (6);

(b) Document in writing all state agencies where they may be granted access to nonpublic information obtained for purposes of the transition process;

(c) Recuse from any assignment with which they have a financial interest as ~~established[defined]~~ by KRS 11A.047(6)(a) through (g); and

- (d) Ensure that their disclosures ~~which are~~ on file with the commission are accurate and current until the transition team end date.
- (2) ~~A recusal shall~~ ~~Recusals must~~ be documented on the Transition Team Member Disclosure Statement form EBEC-303 filed with the commission prior to beginning service on the transition team.
- (3) ~~A recusal after a member begins~~ ~~Recusals that arise after beginning~~ service on the transition team ~~shall~~~~must~~ be documented by filing an updated Transition Team Member Disclosure Statement form EBEC-303 with the commission until the transition team end date.

Section 5. ~~Section 6.~~ Current and Former Registered Lobbyists. All transition team members who are registered lobbyists under KRS 6.801 ~~through~~~~to~~ 6.829 and KRS 11A.201 ~~through~~~~to~~ 11A.246 or are former lobbyists who were registered under KRS 6.801 ~~through~~~~to~~ 6.829 and KRS 11A.201 ~~through~~~~to~~ 11A.246 during the twelve (12) month period prior to becoming a transition team member shall:

- (1) Disclose his or her current or previous registration as a lobbyist during the twelve (12) months prior to becoming a transition team member on the Transition Team Member Disclosure Statement form EBEC-303 filed with the commission;
- (2) Recuse from involvement in a decision-making capacity on the transition team from any executive ~~agency~~~~branch~~ decision or legislative matter that would have a financial impact on his or her executive agency lobbying activities or legislative lobbying engaged in during the previous twelve (12) months;
- (3) Not use or reveal any nonpublic information he or she receives in his or her tenure as a transition team member in any current or future executive agency lobbying activity or legislative lobbying; and
- (4) Not receive nonpublic information regarding matters that financially impact his or her clients for whom he or she was engaged to lobby.

Section 6. ~~Section 7.~~ Submission. The forms required by this administrative regulation, which are filed with the commission, shall be submitted as follows:

- (1) By hard copy via hand-delivery or U.S. Mail to the commission's address: Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 104, Frankfort, Kentucky 40601;
- (2) Electronically by facsimile to (502) 696-5091; or
- (3) Electronically by electronic mail to ethicsfiler@ky.gov.

Section 7. ~~Section 8.~~ Incorporation by Reference.

- (1) The following material is incorporated by reference:
- (a) ~~"Transition Team Lead Designation" EBEC-301 (Rev. 01/2022);~~
~~(b)~~ "Transition Team Members List" EBEC-302 (Rev. 01/2022); and
~~(c)~~ "Transition Team Member Disclosure Statement" EBEC-303 (Rev. 01/2022).
- (2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 104, Frankfort, Kentucky 40601, Monday through Friday, 8 a.m. to 4:30 p.m. The material incorporated by reference is also available on the commission's website at <https://ethics.ky.gov/Pages/default.aspx>.

FILED WITH LRC: May 10, 2022

CONTACT PERSON: Steven T. Pulliam, General Counsel, Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 104, Frankfort, Kentucky 40601, phone (502) 564-7954, fax (502) 695-5939, email EthicsFiler@ky.gov.

COMMONWEALTH OF KENTUCKY
 EXECUTIVE BRANCH ETHICS COMMISSION
 1025 Capital Center Drive, Suite 104, Frankfort, KY 40601
 PHONE: 502-564-7954, FACSIMILE: (502) 696-5091, or EMAIL: ethicsfiler@ky.gov

TRANSITION TEAM LEAD DESIGNATION

BY AUTHORITY OF KRS 11A.047 AND 9 KAR 1:070, THE NEWLY ELECTED OFFICIAL MUST Designate a team lead by filing this form with the Executive Branch Ethics Commission:

1. Within ten (10) business days of the date of the regular election; and
2. Within five (5) business days of any additions to or departures from the transition team leadership until the transition team end date. See 9 KAR 1:070, Section 1(16).
3. The Newly Elected Official may submit multiple forms if designating more than one Transition Team Lead.

1. NEWLY ELECTED OFFICIAL NAME

| | | |
|------|-------|------------------|
| Last | First | Middle or Maiden |
|------|-------|------------------|

2. BUSINESS CONTACT INFORMATION
 Business Street Address:

| | | |
|-------|--------|--------|
| City: | State: | Zip: - |
|-------|--------|--------|

| | |
|-----------------------|--------------------------|
| Business Phone: () - | Business E-mail Address: |
|-----------------------|--------------------------|

| | |
|------------------------------|------------------------------------|
| Business Mobile Phone: () - | Alternate Number (optional): () - |
|------------------------------|------------------------------------|

3. PERSONAL CONTACT INFORMATION
 [This information is protected from disclosure under the Open Records Act pursuant to KRS 61.878(1)(a)]
 Residential Street Address:

| | | |
|-------|--------|--------|
| City: | State: | Zip: - |
|-------|--------|--------|

| | |
|--------------|-----------------|
| Phone: () - | E-mail Address: |
|--------------|-----------------|

| | |
|---------------------|------------------------------------|
| Mobile Phone: () - | Alternate Number (optional): () - |
|---------------------|------------------------------------|

4. CONSTITUTIONAL OFFICE (Check the appropriate box)

| | |
|--|--|
| <input type="checkbox"/> Agriculture Commissioner <input type="checkbox"/> Attorney General <input type="checkbox"/> Auditor of Public Accounts <input type="checkbox"/> Governor | <input type="checkbox"/> Lt. Governor <input type="checkbox"/> Secretary of State <input type="checkbox"/> State Treasurer |
|--|--|

5. DESIGNATED TRANSITION TEAM LEAD
 (use additional pages if designating more than one Transition Team Lead)

| | | |
|------|-------|------------------|
| Last | First | Middle or Maiden |
|------|-------|------------------|

6. DESIGNATE THE AGENCY AND/OR SUBJECT MATTER THAT THE TRANSITION TEAM LEAD WILL BE OVERSEEING:

7. TRANSITION TEAM LEAD BUSINESS CONTACT INFORMATION

Business Street Address:

City: State: Zip: -

Business Home Phone: () - Business E-mail Address:

Mobile Phone: () - Alternate Number (optional): () -

8. TRANSITION TEAM LEAD PERSONAL CONTACT INFORMATION

[This information is protected from disclosure under the Open Records Act pursuant to KRS 61.878(1)(a)]

Residential Street Address:

City: State: Zip: -

Home Phone: () - E-mail Address:

Mobile Phone: () - Alternate Number (optional): () -

COMPLETED BY:

Signature _____

Date:

TRANSITION TEAM LEAD DESIGNATIONS SHALL BE AVAILABLE FOR PUBLIC REVIEW PURSUANT TO KRS 61.870, ET. SEQ., EXCEPT FOR INFORMATION THAT IS PERSONAL PURSUANT TO KRS 61.878(1)(A).

WITHIN TEN (10) BUSINESS DAYS OF THE REGULAR ELECTION SUBMIT BY:

ELECTRONIC MAIL: EthicsFiler@ky.gov

FAX: (502) 696-5091

IN PERSON or by U.S. MAIL:

Executive Branch Ethics Commission

1025 Capital Center Drive, Suite 104

Frankfort, KY 40601

TRANSITION TEAM

COMMONWEALTH OF KENTUCKY
 EXECUTIVE BRANCH ETHICS COMMISSION
 1025 Capital Center Drive, Suite 104, Frankfort, KY 40601
 PHONE: 502-564-7954, FACSIMILE: (502) 696-5091, or EMAIL: ethicsfiler@ky.gov

TRANSITION TEAM MEMBER LIST

BY AUTHORITY OF KRS 11A.047 AND 9 KAR 1:070, THE TRANSITION TEAM LEAD MUST
 File a list with the Executive Branch Ethics Commission of all members of the Transition Team

1. Within twenty (20) business days of the date of the regular election; and
2. Within five (5) business days of any additions to or departures from the Transition Team until the transition team end date. See 9 KAR 1:070, Section 1(16).

1. TRANSITION TEAM LEAD NAME SUBMITTING THIS FORM

| | | |
|------|-------|------------------|
| Last | First | Middle or Maiden |
|------|-------|------------------|

2. NEWLY ELECTED OFFICIAL

Check the appropriate box for the Newly Elected Official's Transition Team for which you are participating as a Team Lead:

- | | | | |
|--------------------------|----------------------------|--------------------------|--------------------|
| <input type="checkbox"/> | Agriculture Commissioner | <input type="checkbox"/> | Lt. Governor |
| <input type="checkbox"/> | Attorney General | <input type="checkbox"/> | Secretary of State |
| <input type="checkbox"/> | Auditor of Public Accounts | <input type="checkbox"/> | State Treasurer |
| <input type="checkbox"/> | Governor | | |

4. DESCRIPTION OF HOW TRANSITION TEAM MEMBERS WILL COMPLY WITH THE PROVISIONS CONTAINED IN KRS 11A.047 AND 9 KAR 1:070. (Use additional pages as necessary)

TRANSITION TEAM

| 5. TRANSITION TEAM MEMBERS (Use additional pages as necessary) | | ASSIGNMENT |
|--|---|--|
| FIRST & LAST NAME | BUSINESS ADDRESS, PHONE, MOBILE PHONE & EMAIL | Designate agency name, subject matter assignment, whether the team member will be in a decision-making role or an administrative only role, and whether they will be granted access to non-public information. |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |
| | | Agency Assignment: Subject Matters: <input type="checkbox"/> Decision Making OR <input type="checkbox"/> Admin Only <input type="checkbox"/> Access to Non-Public Information |

TRANSITION TEAM

| | |
|--|--------------------|
| <p>COMPLETED BY:</p> <p>Signature _____</p> | <p>Date: _____</p> |
|--|--------------------|

TRANSITION TEAM MEMBER LISTS SHALL BE AVAILABLE FOR PUBLIC REVIEW
PURSUANT TO KRS 61.870, ET. SEQ.

WITHIN TWENTY (20) DAYS OF THE DATE OF THE REGULAR ELECTION SUBMIT TO:

ELECTRONIC MAIL: EthicsFiler@ky.gov

FAX: (502) 696-5091

IN PERSON or by U.S. MAIL:

Executive Branch Ethics Commission

1025 Capital Center Drive, Suite 104

Frankfort, KY 40601

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TRANSITION TEAM MEMBER DISCLOSURE STATEMENT

AS REQUIRED BY KRS 11A.047 AND 9 KAR 1:070, EACH TRANSITION TEAM MEMBER MUST complete this form:

1. Prior to beginning service on a transition team; and
2. Update this form as provided below within five (5) business days of a material change in circumstance until the transition team end date. See 9 KAR 1:070, Section 1(16).

ANSWER EVERY QUESTION OR YOUR FORM WILL NOT BE ACCEPTED.

| | | |
|--|--|------------------|
| 1. TRANSITION TEAM MEMBER NAME | | |
| Last | First | Middle or Maiden |
| 2. PERSONAL CONTACT INFORMATION | | |
| [This information is protected from disclosure under the Open Records Act pursuant to KRS 61.878(1)(a)] | | |
| Home Street Address: | | |
| City: | State: | Zip: - |
| Home Phone: () - | Personal E-mail Address: | |
| Mobile Phone: () - | Alternate Number: () - | |
| 3. NEWLY ELECTED OFFICIAL FOR WHICH YOU ARE SERVING | | |
| Check the appropriate box for the Newly Elected Official's Transition Team for which you are participating as a member: | | |
| <input type="checkbox"/> Agriculture Commissioner <input type="checkbox"/> Attorney General <input type="checkbox"/> Auditor of Public Accounts <input type="checkbox"/> Governor | <input type="checkbox"/> Lt. Governor <input type="checkbox"/> Secretary of State <input type="checkbox"/> State Treasurer | |
| 4. CURRENT EMPLOYER | | |
| Title of Position: | | Start Date: |
| Business Name: | | |
| Supervisor, if applicable: | | |
| Business Street Address: | | |
| City: | State: | Zip: - |
| Work Phone: () - Ext. | Work E-mail address: | |
| 5. OTHER EMPLOYMENT (including self-employment). NONE <input type="checkbox"/> | | |
| 6. MARITAL STATUS: <input type="checkbox"/> Single <input type="checkbox"/> Married NONE <input type="checkbox"/> | | |
| Last: | First: | Middle: |

7. SPOUSE'S EMPLOYMENT

Title of Position:

NONE

Employer:

Work Address:

City:

State:

Zip:

-

Work Phone:

() -

Work E-mail address:

8. OTHER EMPLOYERS OF SPOUSE (including self-employment)NONE **9. BUSINESSES**

List any business in which a you or your spouse is a board member, an officer, or an owner of five percent (5%) or more of the business during the twelve (12) month period prior to becoming a transition team member:

NONE **10. SOURCES OF FUNDS**

List any non-state sources of funds received for your services related to Transition Team duties:

NONE **11. POSITIONS OUTSIDE STATE SERVICE**

List all positions you have held outside of state government for the twelve (12) month period prior to becoming a Transition Team Member, including both paid and unpaid positions, if not already listed above: NONE

12. STATE CONTRACTS

List any contracts that you or your spouse has sought or received with state government during the twelve (12) month period prior to becoming a Transition Team Member:

NONE **13. GIFTS OR OFFERS OF EMPLOYMENT**

Have you or your spouse accepted any gift or payment exceeding twenty-five dollars (\$25) or have you accepted offers of future employment from any party interested in seeking influence in state government during the twelve (12) month period prior to becoming a Transition Team Member, or during service as a Transition Team Member? YES NO

CAUTION: You shall update the Transition Team Disclosure Statement form EBEC-303 filed with the commission if receive any gifts or payment exceeding twenty-five dollars (\$25) or have accepted an offer of future employment from any party interested in seeking influence in state government within five (5) days of the gift, payment, or offer of employment until the transition team end date.

14. TRANSITION TEAM ROLE

Provide a description of your role in the transition, including a list of any policy issues on which you are expected to work and a list of agencies with which you are expected to interact while serving on the Transition Team:

CAUTION: You shall update the Transition Team Disclosure Statement form EBEC-303 filed with the commission if any transition team assignment changes within five (5) business days of the change until the transition team end date.

15. RECUSALS

Will there be any issues from which you will be recused while serving as a Transition Team Member?

YES NO

Provide a list of all issues from which you will be recused involving a conflict of interest between your personal or private interests and duties as a Transition Team Member (only include issues to which you could be exposed based on your transition team assignment that would require recusal):

CAUTION: You shall update the Transition Team Disclosure Statement form EBEC-303 filed with the commission if any new recusal requirement arises within five (5) business days of the recusal until the transition team end date.

16. REGISTERED LOBBYISTS - IF NO TO BOTH A. AND B., PLEASE SKIP TO 19.

A. Are you a registered lobbyist with the Legislative Ethics Commission under KRS 6.801 to 6.829?

YES NO If YES, what is your Legislative Ethics Lobbyist Number: _____

B. Are you a registered Executive Agency Lobbyist, Employer of an Executive Agency Lobbyist, or Real Party in Interest under KRS 11A.201 to 11A.246?

YES NO If YES, what is your Legislative Ethics Lobbyist Number: _____

17. FORMER REGISTERED LOBBYISTS - IF NO TO BOTH A. AND B., PLEASE SKIP TO 19.

A. During the past twelve (12) months, have you been a registered lobbyist with the Legislative Ethics Commission under KRS 6.801 to 6.829?

YES NO If so, what was your Legislative Ethics Lobbyist Number: _____

B. During the past twelve (12) months, have you been a registered Executive Agency Lobbyist, Employer of an Executive Agency Lobbyist, or Real Party in Interest under KRS 11A.201 to 11A.246?

YES NO If so, what was your Legislative Ethics Lobbyists Number: _____

18. REGISTERED LOBBYIST REQUIRED AFFIRMATIONS – ONLY COMPLETE IF YOU ANSWERED “YES” TO QUESTIONS 16 OR 17

A. I agree to recuse from involvement on the Transition Team from any executive branch decision (defined by KRS 11A.201(8)) or legislative matter (defined by KRS 6.611(25)) that is related to my executive agency lobbying activities (defined by KRS 11A.201(10)) or legislative lobbying (defined by KRS 6.611(27)) that I engaged (defined by KRS 6.611(13) and KRS 11A.201(8)) in during the previous twelve (12) months:
_____ (insert printed name)

B. I agree to not use or reveal any nonpublic information I receive in my tenure as a Transition Team Member in any current or future lobbying activity: _____ (insert printed name)

C. I agree to not receive nonpublic information regarding matters that financially impact my clients for whom I was engaged to lobby on behalf: _____ (insert printed name)

19. TRANSITION TEAM MEMBER AFFIRMATIONS (KRS 11A.047(7))

I AFFIRM THAT I, _____ (insert printed name), DO NOT HAVE A FINANCIAL CONFLICT OF INTEREST THAT PRECLUDES ME FROM WORKING ON SPECIFIED ISSUES TO WHICH I HAVE BEEN ASSIGNED.

I AFFIRM THAT I, _____ (insert printed name), AND MY SPOUSE, _____ (insert printed name), WILL NOT SEEK A CONTRACT WITH A STATE AGENCY FOR WHICH I OR MY SPOUSE RECEIVED NONPUBLIC INFORMATION DURING THE TRANSITION FOR THE TENURE OF THE ADMINISTRATION.

I, _____ (insert printed name), AFFIRM THAT I WILL FILE AN UPDATED FORM IF THERE ARE ANY MATERIAL CHANGES REGARDING EMPLOYMENT, BUSINESS INTERESTS, TRANSITION TEAM ASSIGNMENTS, OR I ACCEPT A GIFT AS PROVIDED IN KRS 11A.047(7)(F), OR OFFER OF EMPLOYMENT AS PROVIDED IN KRS 11A.047(7)(F), OR AM REQUIRED TO RECUSE MYSELF FROM ANY NEW MATTERS NOT PREVIOUSLY DISCLOSED WITHIN FIVE (5) BUSINESS DAYS OF THE CHANGE OR EVENT UNTIL THE TRANSITION TEAM END DATE.

I, _____ (insert printed name), AFFIRM THAT THE INFORMATION REPORTED IN THIS DISLCOSURE STATEMENT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE.

Signature _____

Typed or printed name _____

Date: _____

TRANSITION TEAM LEAD DESIGNATIONS SHALL BE AVAILABLE FOR PUBLIC REVIEW PURSUANT TO KRS 61.870, ET. SEQ., EXCEPT FOR INFORMATION THAT IS PERSONAL PURSUANT TO KRS 61.878(1)(A).

When you have answered every question, PRINT the Disclosure, SIGN it, and SUBMIT it to:

ELECTRONIC MAIL: EthicsFiler@ky.gov

FAX: (502) 696-5091

IN PERSON or by U.S. MAIL:

Executive Branch Ethics Commission

1025 Capital Center Drive, Suite 104

Frankfort, KY 40601