



The Department of Information and Technology Services  
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## CIRCUIT CRIMINAL CASES WITH SHOCK PROBATION CHARGES DISPOSED FISCAL YEARS 2023-2025 STATEWIDE

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By

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### Statistics Request Overall Description

On August 18, 2025, Research and Statistics received a request seeking information for cases in which shock probation is requested, including how often shock probation is granted and the types of charges where shock probation is most commonly used.

While not representing traditional charges, shock probation is entered in KYCourts – the suite of case management systems used by Circuit Court Clerks for data entry representing the court record – on the same screen and using the same data elements as are used for criminal charges, including UOR Codes, Charge Disposition Type Codes, and Charge Disposition Dates. Shock Probation is represented on the charge screen using the following UOR Codes:

UOR	KRS	Description
0026090	439.265	SHOCK PROBATION IN FELONY CONVICTIONS
0026100	439.267	SHOCK PROBATION IN MISDEMEANOR CONVICTIONS

This is not the only method available in KYCourts for the entry of data related to shock probation, but it is the only data included in this report.

CourtNet, the database that provides a summary of cases statewide, was queried for charges of these UOR Codes disposed from 7/1/2022 through 6/30/2025 in Circuit Criminal (CR) cases statewide. Any other charges in the same cases were also queried, regardless of these charges' Charge Disposition Dates. This query identified the original criminal charges within these cases. Among these charges, original convictions within these cases were identified as any typical criminal charges including a Charge Disposition of *Guilty* (G), *Guilty – Multiple* (GM), or *Guilty – Sealed Sentence* (GSS). These represent convicted charges in cases including shock probation. It should be noted, however, that there is no direct connection between the charge screen entry in KYCourts of shock probation and any specific convicted charge other than sharing the same parent case.

### Statistical Reports (25\_RS3122)

25\_RS3122 provides a statistical summary of shock probation charges disposed fiscal years (FY) 23-25 within Circuit Criminal (CR) cases statewide and the original convictions within the same cases including these shock probation charges.

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### Statistical Reports (25\_RS3122)

**Page 1:** Presents shock probation charges disposed FY 23-25 in Circuit Criminal cases statewide. A bar graph displays counts of shock probation charges disposed FY 23-25 in Circuit Criminal cases statewide by FY with the charge disposition category represented by color. A cross-tabulation provides counts of shock probation charges disposed FY 23-25 in Circuit Criminal cases statewide by FY and charge disposition category. Counts are provided for shock probation charges disposed during each FY with a distinct count of cases also provided.

**Page 2:** Presents shock probation charges disposed FY 23-25 in Circuit Criminal cases statewide differentiating felony conviction and misdemeanor conviction shock probation using UOR Code. Shock probation charges are listed by the specific shock probation UOR code and description with counts of shock probation charges disposed during the timeframe and a distinct count of cases, cross-tabulated by the charge disposition category.

**Page 3:** Provides counts of the distinct cases including at least one shock probation charge disposed FY 23-25. Counts are grouped by the penalty level and class of the most serious convicted within each case, cross-tabulated by designations of whether the case includes charges currently considered violent under KRS 439.3401<sup>1</sup>, and whether any of the case's charges of shock probation were disposed as *Granted/Enhanced*.

KRS 439.3401 was used to classify cases based on each case's convicted charges according to the logic below, wherein each case is classified according to the first criterion satisfied in the order listed:

Violent	The case includes a conviction of at least one charge explicitly enumerated in (KRS 439.3401(1)) to classify an individual to be a violent offender.
Possible Violent Charge	The case does not include any convicted charges explicitly classifying an individual as a violent offender but includes at least one conviction of a charge that <i>may</i> be considered a violent offense (KRS 439.3401(2)) dependent upon whether the victim suffered death or serious physical injury or whether a person other than a participant in the crime was present in the building during the commission of burglary in the first degree.
No Violent Charge	The case includes no convicted charges of the enumerated offenses listed in KRS 439.3401(1) <i>nor</i> any of the offenses that <i>may</i> be considered violent if the victim suffered death or serious physical injury or if a person, other than a participant in the crime, was present in the building during the commission of burglary in the first degree.

#### Statistical Analysis Considerations:

- These statistics represent a snapshot in time as of the date the data was queried 9/8/25.

<sup>1</sup> Effective July 15, 2004, UOR codes in CourtNet were configured to account for legislative changes. These changes to the UOR table were designated as *Violent*, *Possibly Violent*, or *Not Violent* for reporting purposes only. These offense codes do not take into consideration any subsequent court order or judgment designating a violent offense. The *Possibly Violent* offenses only consider whether the elements of the offense include the conditions listed in KRS 439.3401(2). See [KRS 439.3401](#). All charges presented use this configuration regardless of the year reported.

## CIRCUIT CRIMINAL CASES WITH SHOCK PROBATION CHARGES DISPOSED FISCAL YEARS 2023-2025 STATEWIDE

### Statistical Reports (25\_RS3122)

- A case may contain more than one of the specified charges with differing dispositions and disposition years within each case, causing it to appear in a separate row and/or column. Each subtotal displays the quantity of distinct cases and charges for its grouping.
- Counts of cases do not necessarily represent counts of unique individuals.
- Shock Probation are not true charges but are entered using the charge screen in the CMS and are referred to as "Charges" in this report for that reason.
- This charge screen entry is not the only method available in KYCourts for entering data related to shock probation. Other available methods are not included in this report.
- Offenses flagged as Violent per 439.3401 use the current definition following HB5 of the 2024 General Assembly in this report. However, the majority of the convictions in this report occurred before this law went into effect and the convicted offenses may not have been considered violent under this law at the time of conviction.
- The report excludes cases partially expunged – cases in which one or more charges but not all charges within the case have been expunged. This is because the UOR Code identifying the original offense is lost during this expungement process.

### Data Variables Requested

Database	Data Variable	Description
<b>CourtNet</b>	Fiscal Year	The fiscal year is July 1 through June 30.
	Jurisdiction	The jurisdiction in which each case exists. This report includes Circuit and District Court jurisdictions.
	Charge Disposition Date	The date in which each charge was disposed. Different charges within the same case may have separate disposition dates. This report is limited to charges disposed from FY 2023 - FY 2025.
	Shock Probation Disposition Category	<p>Charge disposition codes are grouped into the following categories. This includes disposition types not typically associated with the KYCourts entry of shock probation charges, but which have been found in the cases included in this report.</p> <p><b>Denied/Withdrawn/Dismissed</b></p> <ul style="list-style-type: none"> <li>• DEN – Denied</li> <li>• WD - Withdrawn</li> <li>• CAD – Dismissal by motion of Prosecutor</li> <li>• DD – Dismissed-Diverted</li> <li>• DIS – Dismissed</li> <li>• DWP – Dismissed with Prejudice</li> <li>• SAV – Set Aside/Voided</li> <li>• VAC – Vacated Judgment</li> <li>• VEFC – Vacated Expunged Felony Conviction</li> <li>• VSC – Voided Sealed Conviction</li> </ul> <p><b>Granted Enhanced</b></p>

## CIRCUIT CRIMINAL CASES WITH SHOCK PROBATION CHARGES DISPOSED FISCAL YEARS 2023-2025 STATEWIDE

Data Variables Requested		
		<ul style="list-style-type: none"> <li>• GNT - Granted</li> <li>• G – Guilty</li> </ul> <b>FTA/FTV/AP</b> <ul style="list-style-type: none"> <li>• FTV - Fugitive</li> </ul> <b>Amended</b> <ul style="list-style-type: none"> <li>• AMEND – Amended</li> </ul> <b>Other</b> <ul style="list-style-type: none"> <li>• OTH – Other</li> <li>• DVD – Domestic Violence Denied</li> <li>• FDEP – Finding of Dependency</li> <li>• REM – Remanded</li> <li>• REV – Reversed</li> <li>• SDEP – Stipulate Dependency</li> <li>• TRFD – Drug Court Transfer</li> </ul>
	Case Number Type	A one- or two-digit code within the case number broadly identifying the type of case. This report includes Circuit Criminal cases only – Case Number Type <i>CR</i> .
	Shock Granted	A grouping variable used in this report to identify cases in which at least one Shock Probation Charge was disposed as <i>Granted/Enhanced</i> .
	Case Has Violent Conviction (439.3401 after 24RS HB5)	<p>A grouping variable used in this report to identify cases in terms of the presence of convictions that would flag or would possibly flag the defendant as a violent offender under the current definition enumerated in KRS 439.3401.</p> <ul style="list-style-type: none"> <li>• <b>Violent Charge:</b> The case includes a conviction of at least one charge explicitly enumerated in (KRS 439.3401(1)) to classify an individual to be a violent offender.</li> <li>• <b>Possible Violent Charge:</b> The case does not include any convicted charges explicitly classifying an individual as a violent offender, but includes at least one conviction of a charge that may be considered a violent offense (KRS 439.3401(2)) dependent upon whether the victim suffered death or serious physical injury or whether a person other than a participant in the crime was present in the building during the commission of burglary in the first degree.</li> <li>• <b>No Violent Charge:</b> The case includes no convicted charges of the enumerated offenses listed in KRS 439.3401(1) nor any of the offenses that may be considered violent if the victim suffered death or serious physical injury or if a person, other than a participant in the crime, was present in the building during the commission of burglary in the first degree.</li> </ul>
	UOR Code	A numeric label for a specific offense charge used in a Uniform Offense Report. The first digit of the UOR code works as follows:

## CIRCUIT CRIMINAL CASES WITH SHOCK PROBATION CHARGES DISPOSED FISCAL YEARS 2023-2025 STATEWIDE

Data Variables Requested		
		<ul style="list-style-type: none"> <li>0: an offense defined by a state statute</li> <li>8: an offense defined by a city ordinance</li> <li>9: an offense defined by a local ordinance or a code used by KCOJ for administrative purposes, e.g. 990240: "No Record Exists".</li> </ul> <p>The second through sixth digits identify the specific offense. A UOR code on a citation typically begins with the second digit as encoded here. The seventh digit ranges from 0 through 6 and is used to indicate inchoate variants of the underlying offense, which may alter the penalty.</p> <ul style="list-style-type: none"> <li>0: The base offense is charged with no inchoate variant.</li> <li>1: Attempt</li> <li>2: Solicitation</li> <li>3: Conspiracy</li> <li>4: Facilitation</li> <li>5: Complicity</li> <li>6: Enhanced</li> </ul>
	Offense Description	<p>The label associated with a given UOR code. A brief verbal summary of the statutory offense which is the basis for the type of criminal offense with which the defendant has been charged. For Example, UOR Code "0008050" represents the underlying statutory offense of KRS 508.080(1)(B) or, in brief English, "BOMB THREATS". UOR Code "0008051" represents an attempt of this offense, and the UOR Description is "ATT BOMB THREATS".</p> <p>A UOR Description beginning with "*OBS*" indicates that the code was made obsolete by the amendment or repeal of the related statute. Records of obsolete codes are retained so as to identify the appropriate penalty level for an offense charged prior to such amendment or repeal.</p>
	Charges	A count of separate charges of the indicated offenses. A single case may have one or more charges.
	Cases	The total number of distinct cases including at least one applicable charge.

### Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)

#### RESEARCH AND STATISTICS DISCLAIMER

Information received from KYCourts/CourtNet is subject to change(s), reprogramming, modification(s) of format and availability at the direction of the Administrative Office of the Courts (AOC), and may not at any particular moment reflect the true status of court cases due to ordinary limitation(s), delay(s) or error(s) in the system's operation. The KYCourts/CourtNet database is not a real-time system. All datasets are a snapshot of case data at the time a query is run. Case counts are not counts of individuals as some persons may have multiple cases.

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## CIRCUIT CRIMINAL CASES WITH SHOCK PROBATION CHARGES DISPOSED FISCAL YEARS 2023-2025 STATEWIDE

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### Disclaimer Associated with KCOJ/AOC Database(s) and Element(s)

The AOC disclaims any warranties as to the validity of the information obtained from KYCourts/CourtNet. The recipient is solely responsible for verifying information received from KYCourts/CourtNet through the cross-referencing of official court records. The AOC shall not be liable to the recipient, or to any third party using the system or information obtained therefrom, for any damages whatsoever arising out of the use of KYCourts/CourtNet.

#### **RESEARCH AND STATISTICS UOR CODE DISCLAIMER**

The Kentucky State Police (KSP) assigns the Kentucky Uniform Crime Reporting Codes (UOR Codes) to criminal offenses in the Commonwealth of Kentucky. The KSP official list of UOR Codes by KRS number and descriptor can be obtained at the KSP's website at [www.kentuckystatepolice.org](http://www.kentuckystatepolice.org).

The AOC assigns and maintains codes that are used within the Court of Justice Case Management System for statistical and reporting purposes. These codes are used to identify local and city ordinances, as well as codes for general administrative purposes. The AOC official list of UOR Codes with KRS number and descriptor can be obtained at the Court of Justice Website at <https://kycourts.gov/AOC/Information-and-Technology/Analytics/Pages/default.aspx>. The AOC UOR Code Listing is for informational purposes only and the information is subject to change, reprogramming, modifications of format, and availability at the direction of the Administrative Office of the Courts (AOC).

A query for data based on specified charges will include each UOR code specified and possible supplemental codes. Supplemental codes (or "ASCF" codes) to any UOR code include: 1) attempt, 2) solicitation, 3) conspiracy, 4) facilitation, 5) complicity, and 6) enhancement. In total, UOR codes contain seven digits. The first six digits are specific to that charge. The seventh digit indicates if there is a supplemental code. A "0" as the seventh digit means that is the primary UOR code and it does not have a supplemental code. If there are supplemental codes, the "0" will change to a 1, 2, 3, 4, 5, or 6 (the numbering corresponds to the list above). An enhancement does not exist on all UOR codes. When a supplemental code does not appear within a dataset, charge(s) did not occur during this period. The presence of "\*obs" within the description of a UOR code indicates an obsolete UOR code. However, the code is still present within the KYCourts/CourtNet database and may still be utilized. Not every UOR code included in the query will have charges associated with it in each calendar year examined. Some cases may contain multiple charges; therefore, charge counts may exceed case counts. The number of cases cannot be inferred as the number of individuals as multiple cases may have the same defendant.

The AOC disclaims and makes no warranty, express or implied, nor assumes any legal liability or responsibility, for the validity, accuracy, correctness, or completeness of any information that is available and/or obtained through this listing. The recipient is solely responsible for verifying the accuracy of the information received from the official owner of the UOR Codes, the KSP. Furthermore, the AOC shall not be liable to the recipient, the recipient's heirs and assigns, next of kin or to any third party, for any and all claims, demands, losses or damages arising from the usage of this list and/or information contained therein.

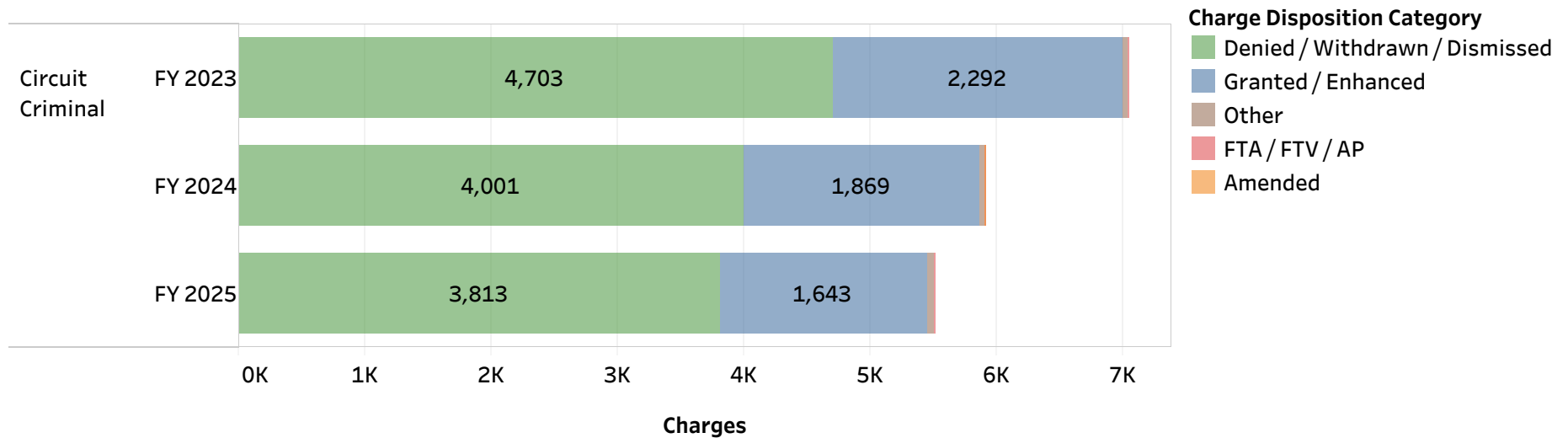


ADMINISTRATIVE OFFICE OF THE COURTS  
Research and Statistics

25\_RS3122

Circuit Criminal Cases with Shock Probation Charges Disposed July 1, 2022 - June 30, 2025 STATEWIDE

Shock Probation Dispositions



		Denied / Withdrawn / Dismissed		Granted / Enhanced		Other		FTA / FTV / AP		Amended		Grand Total	
		Charges	Cases	Charges	Cases	Charges	Cases	Charges	Cases	Charges	Cases	Charges	Cases
Circuit Criminal	FY 2023	4,703	3,787	2,292	2,254	37	37	1	1			7,033	5,869
	FY 2024	4,001	3,259	1,869	1,831	40	39	1	1	2	2	5,913	4,952
	FY 2025	3,813	3,096	1,643	1,619	51	49	4	4			5,511	4,641
	Total	12,517	9,706	5,804	5,475	128	125	6	6	2	2	18,457	14,598

Statistical Analysis Considerations

\* Data provided from the CourtNet Database.

\* A case may contain more than one of the specified charges with differing dispositions and disposition years within each case, causing it to appear in a separate row and/or column. Each subtotal displays the quantity of distinct cases and charges for its grouping.

\* Counts of cases do not necessarily represent counts of unique individuals.

\* Shock Probation charges are not true charges but are entered using the charge screen in the CMS and are referred to as "Charges" in this report for that reason.

\* This charge screen entry is not the only method available in KYCourts for entering data related to shock probation. Other available methods are not included in this report.

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**Research and Statistics**

25\_RS3122

**Circuit Criminal Cases with Shock Probation Charges Disposed July 1, 2022 - June 30 2025 STATEWIDE**

Shock Probation Dispositions

			Denied / Withdrawn / Dismissed	Granted / Enhanced	Other	FTA / FTV / AP	Amended	Grand Total
<b>Circuit Criminal</b>	0026090 SHOCK PROBATION IN FELONY CONVICTIONS	Charges	12,455	5,776	124	6	2	<b>18,363</b>
		Cases	9,653	5,447	121	6	2	<b>14,515</b>
	0026100 SHOCK PROBATION IN MISDEMEANOR CONVICTIONS	Charges	62	28	4			<b>94</b>
		Cases	59	28	4			<b>89</b>
	Total	Charges	<b>12,517</b>	<b>5,804</b>	<b>128</b>	<b>6</b>	<b>2</b>	<b>18,457</b>
		Cases	<b>9,706</b>	<b>5,475</b>	<b>125</b>	<b>6</b>	<b>2</b>	<b>14,598</b>

**Statistical Analysis Considerations**

- \* Data provided from the CourtNet Database.
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# ADMINISTRATIVE OFFICE OF THE COURTS Research and Statistics

25\_RS3122

## Circuit Criminal Cases with Shock Probation Charges Disposed July 1, 2022 - June 30, 2025 STATEWIDE

### Counts of Distinct Cases Including at Least one Shock Probation Charge Disposed FY23-25

		Shock Granted / Case Has Violent Conviction (439.3401 after 24RS HB5)								
Case Max Conviction Level	Case Max Conviction Level & Class	Case has Shock Probation Granted/Enhanced				No Shock Probation Granted/Enhanced in Case				Grand Total
		Violent Charge	Possible Violent Charge	No Violent Charge	Total	Violent Charge	Possible Violent Charge	No Violent Charge	Total	
Felony	Felony A	1			1	7		1	8	9
	Felony B	17	12	66	95	43	37	198	278	373
	Felony C	140	35	813	988	416	86	1,598	2,100	3,088
	Felony D	63	358	3,815	4,236	112	644	5,589	6,345	10,581
	Felony Unknown							11	11	11
	Total	221	405	4,694	5,320	578	767	7,397	8,742	14,062
Misdemeanor	Misdemeanor A			122	122	1		201	202	324
	Misdemeanor B			10	10			15	15	25
	Total			132	132	1		216	217	349
Violation	Violation			1	1			1	1	2
	Total			1	1			1	1	2
Grand Total		221	405	4,827	5,453	579	767	7,614	8,960	14,413

#### Statistical Analysis Considerations

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- \* Counts of cases do not necessarily represent counts of unique individuals.
- \* Counts on this page represent distinct cases only. Charge Level and Class reported reflect the penalty level and class of the most serious charge convicted in each case. Cases may include charges of higher penalty level/class not convicted as well as other charges of lower penalty level/class.
- \* Offenses flagged as Violent per 439.3401 use the current definition following 24RSHB5 in this report. However, the majority of the convictions in this report occurred before this law went into effect.
- \* The Class A Felony Conviction indicated as non-violent is a conviction of Criminal Complicity (KRS 502.020 for Murder).
- \* Shock Probation charges are not true charges but are entered using the charge screen in the CMS and are referred to as "Charges" in this report for that reason.
- \* This charge screen entry is not the only method available in KYCourts for entering data related to shock probation. Other available methods are not included in this report.

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