

# The AI Moment: Responsible AI at Microsoft

Ryan Harkins  
Senior Director, Public Policy  
U.S. Government Affairs



[www.microsoft.com/ai](http://www.microsoft.com/ai)



AI isn't just another piece of technology. It could be one of the **most fundamental** pieces of technology the human race has ever created.

Satya Nadella

So what has changed?  
Why all the buzz?

# The New York Times

THE SHIFT

## *The Brilliance and Weirdness of ChatGPT*

A new chatbot from OpenAI is inspiring awe, fear, stunts and attempts to circumvent its guardrails.



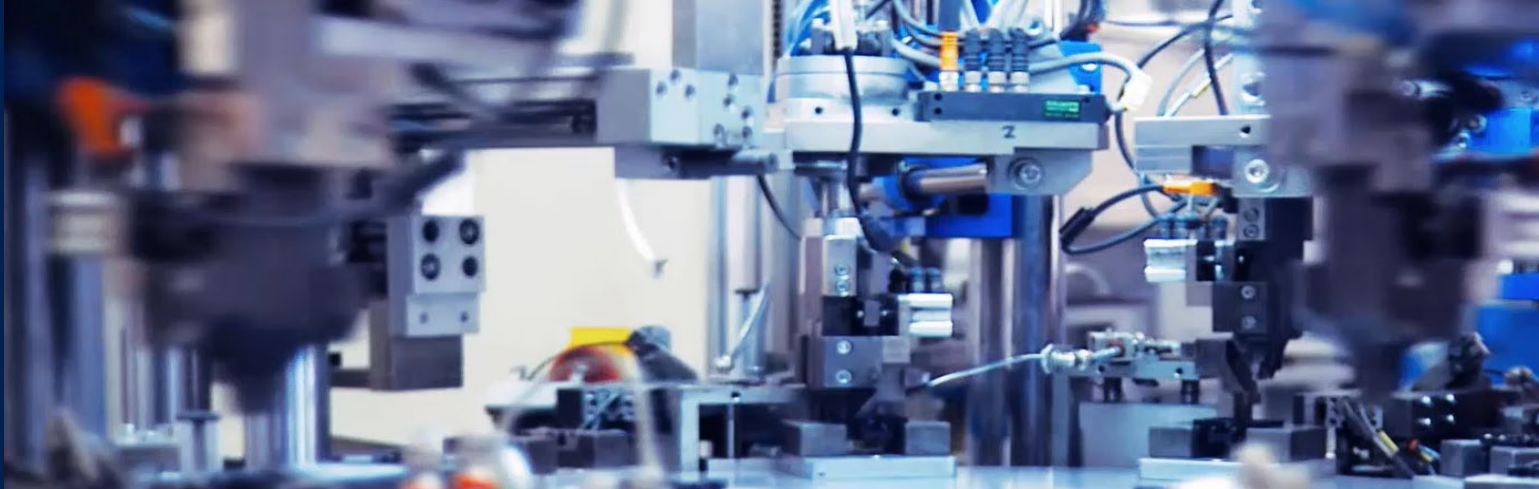
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422







# What is AI?





# Machine Learning





cost of data collection and storage  
have decreased over time



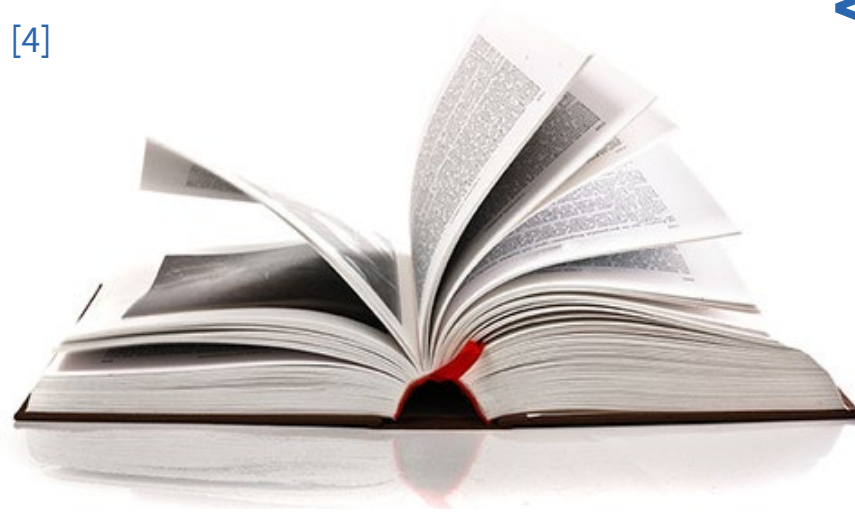
# Cost of storing every book ever written

1987

> US 1 billion <sup>[4]</sup>

2023

< US \$1,000



130 million books <sup>[5]</sup>

[4] A history of storage cost, Matthew Komorowski, 2009

[5] There Are 130 Million Books in the World, How Many Have You Read?, 2009 by WALLACE YOVETICH





Massive processing power



"All the  
That's F

# Russian Is Turned Into English By a Fast Electronic Translator

By ROBERT K. PLUMB

A public demonstration of what is believed to be the first successful use of a machine to translate meaningful texts from one language to another took place here yesterday afternoon.

This may be the culmination of centuries of search by scholars for "a mechanical translator." So far the system has a vocabulary of only 250 words. But there are no foreseeable limits to the number of words that the device can store or the number of languages it can be directed to translate.

Scholars and scientists who worked on it believe that within a few years the system may greatly increase communication, particularly in technical subjects, by making translation quick, accurate and easy.

The demonstration was at the headquarters of the International Business Machines Corporation, 590 Madison Avenue. It is the result of cooperative research by

scientists of the corporation and scholars of the Georgetown University Institute of Languages and Linguistics in Washington.

The "mechanical" part of the translation system, which is mostly electronic, is a standard commercial model of the largest International Business Machines "stock" computer. This device, called the IBM Type 701 Electronic Data Processing Machine, was put on the market last April. Since then twelve of the machines have been sold to commercial, military and university computation laboratories.

The "literary" part of the system is a mechanical model of language devised at Georgetown by Prof. Leon Destert and Dr. Paul Garvin. The corporation's share in the project was conducted by Dr. Cuthbert C. Hurd, director of its Division of Applied Science.

In the demonstration, a girl

Continued on Page 5, Column 1

## Ambassador Cuts Visit to U. S. by Week to Report Events —Vatican Backs Pella

looked to a long-range solution of the city-state fiscal problem and could not in all probability, bear any fruit in time to help the city prepare its 1954-55 budget.

"I said almost a year ago," the Mayor declared, "that I was tired of the city going to Albany for

President said, is "akin to treason" and should be dealt with as such. Any person convicted of conspiring armed overthrow of Government, he proposed, should be "treated as having, by such act, renounced his allegiance to the United States and forfeited

## Calculator Takes on a New Job: Language Translation



An electronic calculator produced by the International Business Machines Corporation was demonstrated yesterday in a new role: translating Russian phrases into English. The device has a "vocabulary" of 250 Russian words and can be adapted to other languages. Miss Marilyn Polle "types" Russian phrases on I. B. M. punch cards that are fed into the machine.

MAHYEETVO	USLV4	OPPEBEBEYI	AYETSYA	HALOREVYHOSYVU
THE QUALITY	OF	COAL	IS DETERMINED	BY
CALORY CONTENT				

Above, specimen punch card and below a strip with translation, typed almost simultaneously

## LANGUAGE DEVICE TRANSLATES FAST

Continued From Page 1

operator typed out on a keyboard the following Russian text in

they determined the rules of syntax required for a meaningful statement and reduced them to six instructions for the data processing calculator. These instructions are introduced into the calculator's short term electrostatic "memory" with punch cards. The cards tell the machine how to cope with syntax in translating, for instance,

## CITY EDITION

and cold today. Increasing business and cold tomorrow. Temperature Range Today—Max., 35; Min., 22. Temperatures Yesterday—Max., 36; Min., 25. U. S. Weather Bureau Report, Page 41

FIVE CENTS

# ENDING, PERITY, ERSIVES

## MESSAGE ON UNION

### Eisenhower Proposes Amendment to Give 18-Year-Olds Vote

Text of State of Union Message is printed on Page 10.

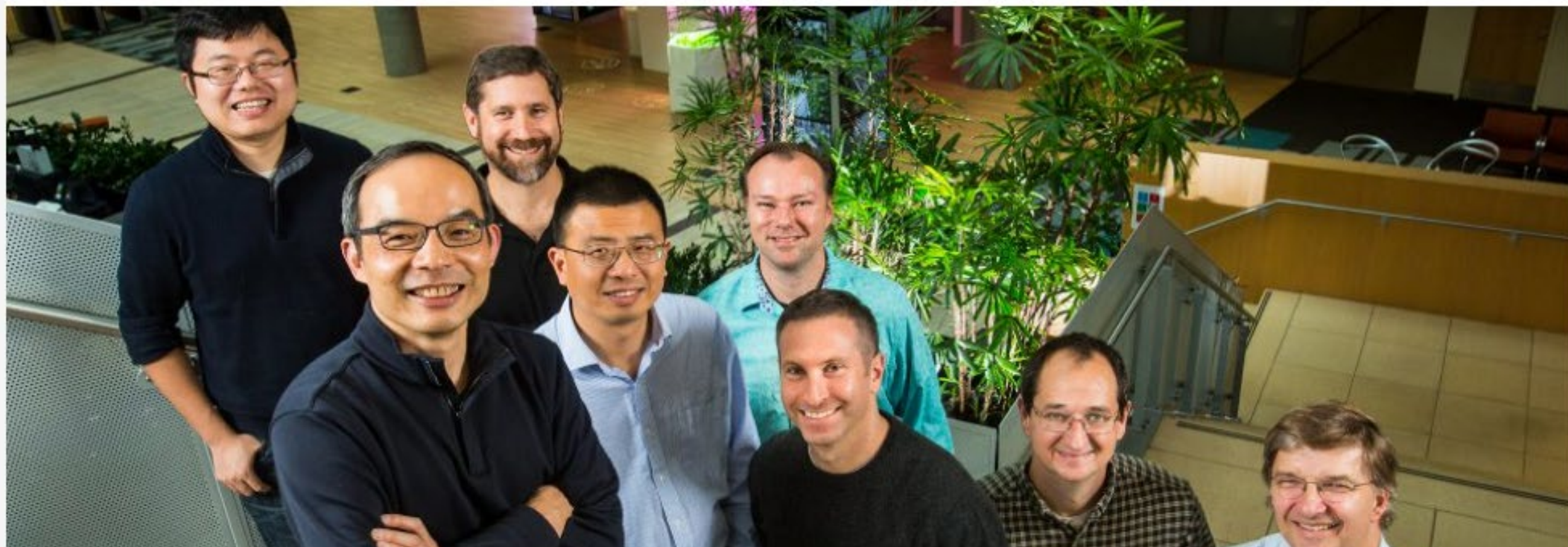
By JAMES RESTON  
Special to THE NEW YORK TIMES.

WASHINGTON, Jan. 7.—President Eisenhower, in his second State of the Union Message, today asked the almost evenly divided Eighty-third Congress to moderate but sustain the foreign and welfare policies of the New Deal era.

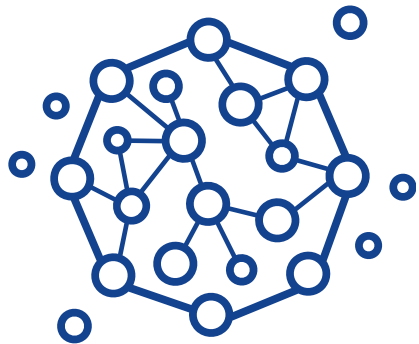
He proposed to cut the military budget, to cut taxes in relation to Government expenditures, and to reduce the guarantees to farmers very slowly. The cuts, however, were designed to reconcile security and solvency without jeopardizing the collective security or prosperity policies of the past.

# Historic Achievement: Microsoft researchers reach human parity in conversational speech recognition

October 18, 2016 | Allison Linn







What is a Large Language model?

# What is Language model?

"I woke up this morning and there was a beautiful  
blue \_\_\_\_\_"  
(Sky, bird, butterfly, flower)



# What is Language model?

"I woke up this morning and there was a beautiful blue \_\_\_\_\_"  
(Sky, bird, butterfly, flower)

ChatGPT is an example of a Large Language Model

# What is a Language model?

"I woke up this morning and there was a beautiful blue \_\_\_\_\_"  
(Sky, bird, butterfly, flower)

ChatGPT is an example of a Large Language Model

What these models do is Math



# Models can be very powerful

## GPT 4 BAR Exam results

GPT-4 scored **297** on the bar exam in an experiment conducted by two law professors and two employees of legal technology company Casetext.

That places GPT-4 in the 90th percentile of actual test takers and is enough to be admitted to practice law in most states

“Large language models can meet the standard applied to human lawyers in nearly all jurisdictions in the United States by tackling complex tasks requiring deep legal knowledge, reading comprehension, and writing ability”

## GPT-4 Passes the Bar Exam

35 Pages • Posted: 15 Mar 2023

[Daniel Martin Katz](#)

Illinois Tech - Chicago Kent College of Law; Bucerius Center for Legal Technology & Data Science; Stanford CodeX - The Center for Legal Informatics; 273 Ventures

[Michael James Bommarito](#)

273 Ventures; Licensio, LLC; Stanford Center for Legal Informatics; Michigan State College of Law; Bommarito Consulting, LLC

[Shang Gao](#)

Casetext

[Pablo Arredondo](#)

Casetext; Stanford CodeX

Date Written: March 15, 2023

### Abstract

In this paper, we experimentally evaluate the zero-shot performance of a preliminary version of GPT-4 against prior generations of GPT on the entire Uniform Bar Examination (UBE), including not only the multiple-choice Multistate Bar Examination (MBE), but also the open-ended Multistate Essay Exam (MEE) and Multistate Performance Test (MPT) components. On the MBE, GPT-4 significantly outperforms both human

Design AI to **augment**  
**human abilities**





# Generative AI offers advancements over traditional AI models



## Content generation

Creating a human-like output, including textual, visual, or multimedia content, based on input data or natural language prompts

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## Summarization

Extracting key themes and insights from a longer piece of text, including answering natural language queries

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## Semantic search

Going beyond traditional keyword matching by understanding the meaning behind a query and retrieving relevant search results that are semantically related to the user's intent

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## Code generation

Generating code based on a prompt, translating code from one language to another, or reviewing and improving existing code



# Microsoft and OpenAI partnership



Ensure that artificial general intelligence (AGI) benefits humanity



Empower every person and organization on the planet to achieve more

## Azure OpenAI Service – As of November 15, 2023





# Copilot and Azure OpenAI Service



## Microsoft Copilot

First-party conversational generative AI assistants embedded across Microsoft's products and applications. Copilot draws on the power of Azure OpenAI Services to work with natural language, providing an AI companion that works everywhere you do and intelligently adapts to your needs.






## Azure OpenAI Service

Cognitive service that provides access to powerful OpenAI language models to enable customers to achieve tasks including but not limited to content generation, summarization, image understanding, semantic search, and natural language to code translation.

New York City  
is redefining  
the way small  
businesses  
get answers!

## MyCity Chatbot <sup>Beta</sup>

The MyCity Chatbot uses information published by the NYC Department of Small Business Services to respond to you. Please verify the MyCity Chatbot's answers with the links it provides you, and do not rely on its responses as a substitute for professional advice. Please do not provide sensitive information to the MyCity Chatbot.

 Examples	 Capabilities	 Limitations
<p>"How do I avoid noise violations and complaints for my construction company?" →</p>	<p>Trained to provide you official NYC Business information.</p>	<p>May occasionally produce incorrect, harmful or biased content.</p>
<p>"I'd like to start a new cafe and bakery in Manhattan." →</p>	<p>Will not use the contents of your chat history to learn new information.</p>	<p>Limited knowledge of the world beyond NYC Business topics.</p>
<p>"How do I apply for the MWBE program?" →</p>	<p>Only responds to English questions for now.</p>	<p>Trained to decline inappropriate requests.</p>



# Enabling constituents and the city to move at the speed of business

“

Government should feel friendly, not frustrating.

– Mayor Eric Adams

”

“

This is not technology for the sake of technology. This is technology for the sake of making the lives of New Yorkers easier.

– Matthew Fraser,  
*Chief Technology Officer*

”

“

Thanks to the chatbot, your new executive assistant, you will never have to waste time ever again going from page to page looking for the information you want and need. No more googling, no more going to multiple sites and no more broken links. It doesn't put you on hold and because it's in the city that never sleeps it's available 24/7.

– Kevin Kim,  
*Commissioner of the Dept. of Small Business Services*

”

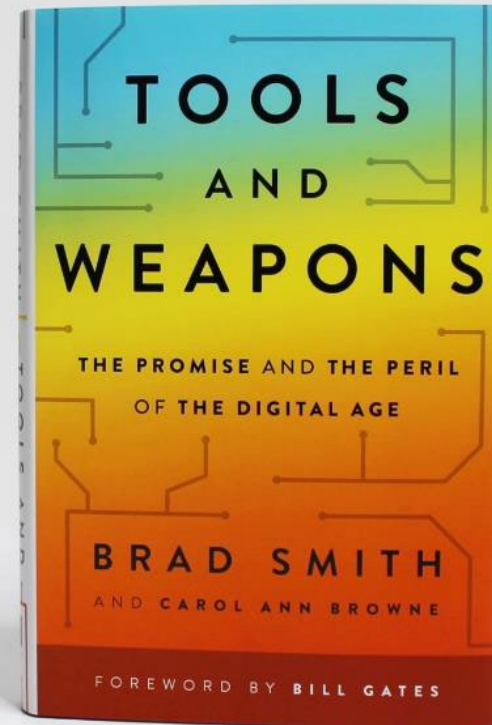


# Why Responsible AI?

“When your technology changes the world, you bear a responsibility to help address the world that you have helped create.”

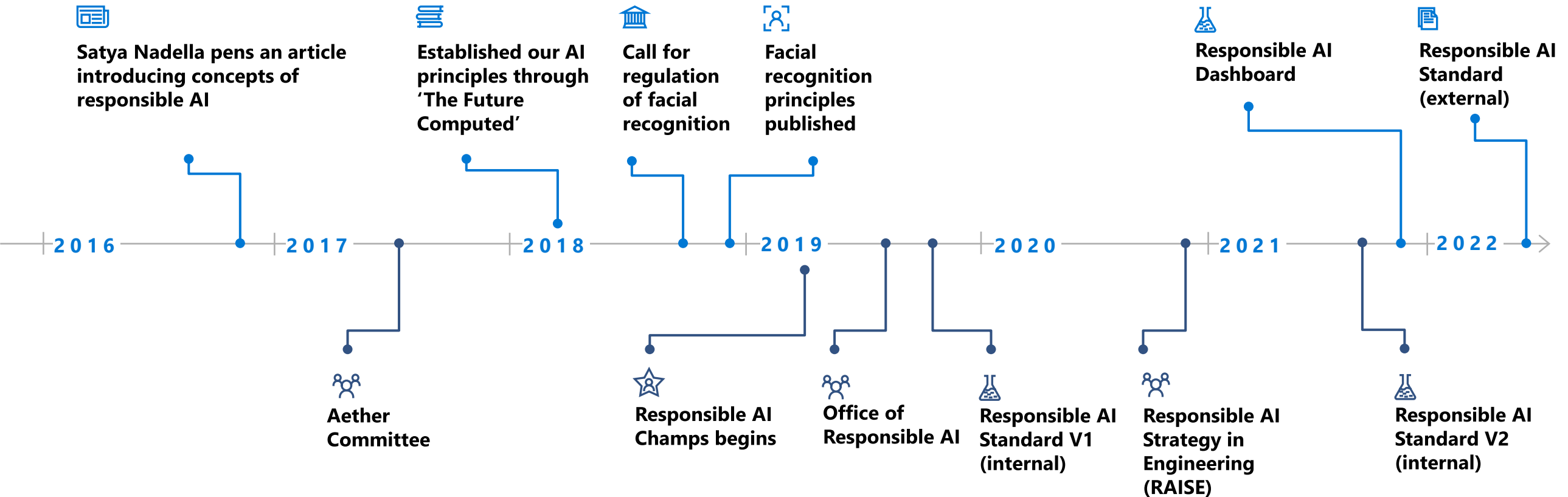
*Brad Smith*

*President and Vice Chair, Microsoft Corp.*





# Responsible AI at Microsoft





# Microsoft's AI Principles



Fairness



Reliability  
& Safety



Privacy &  
Security



Inclusiveness



Transparency



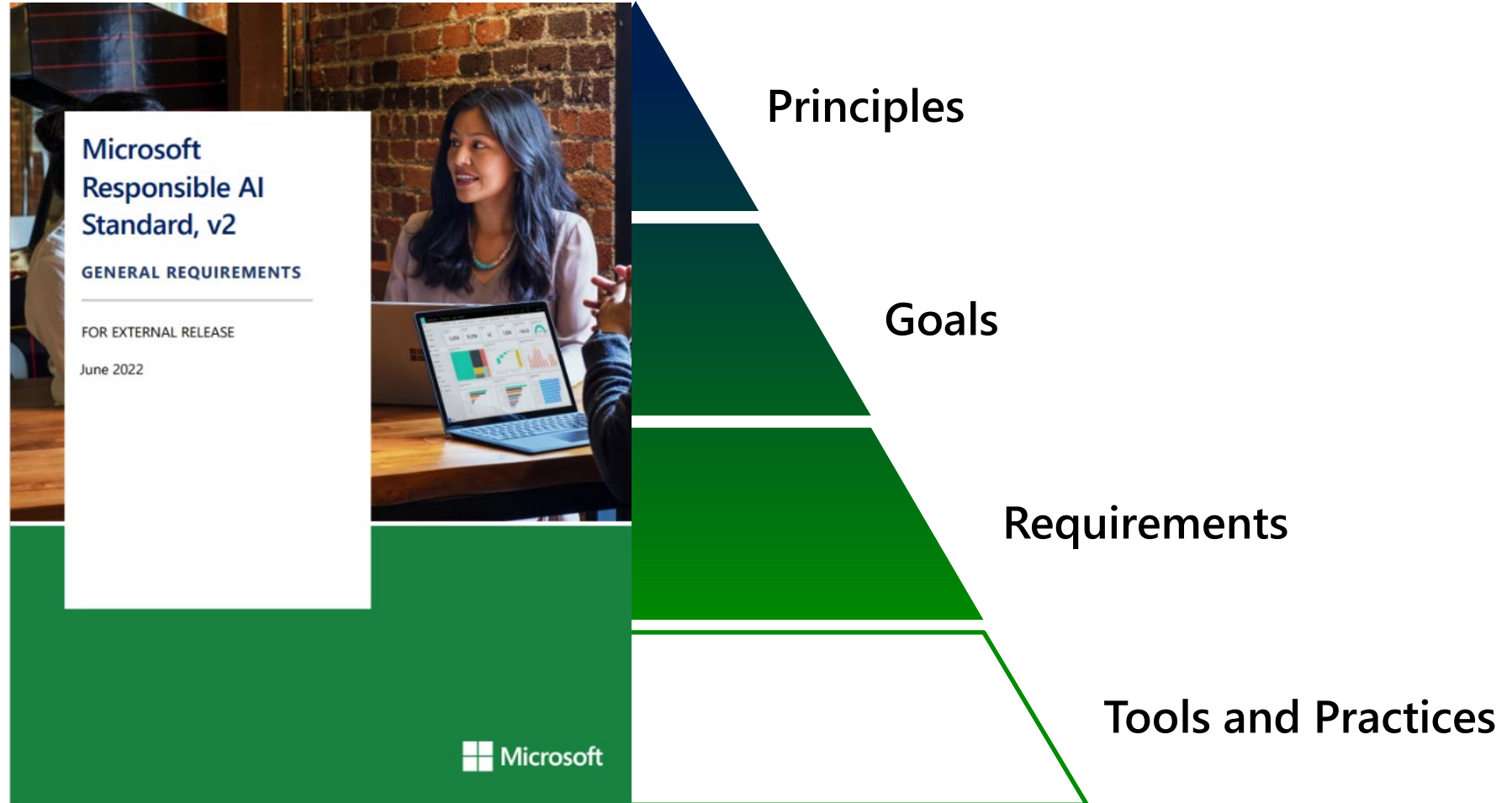
Accountability



# Our ecosystem



# Microsoft's Responsible AI Standard

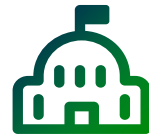




# A five-point blueprint for public governance of AI



## Governing AI: A Blueprint for the Future



Implement and build upon new govt-led **AI Safety Frameworks**



Require effective **safety brakes** for AI systems that control **critical infrastructure**



Develop a broad **legal and regulatory framework** based on AI's tech architecture



Promote **transparency** and ensure **academic and nonprofit access** to AI

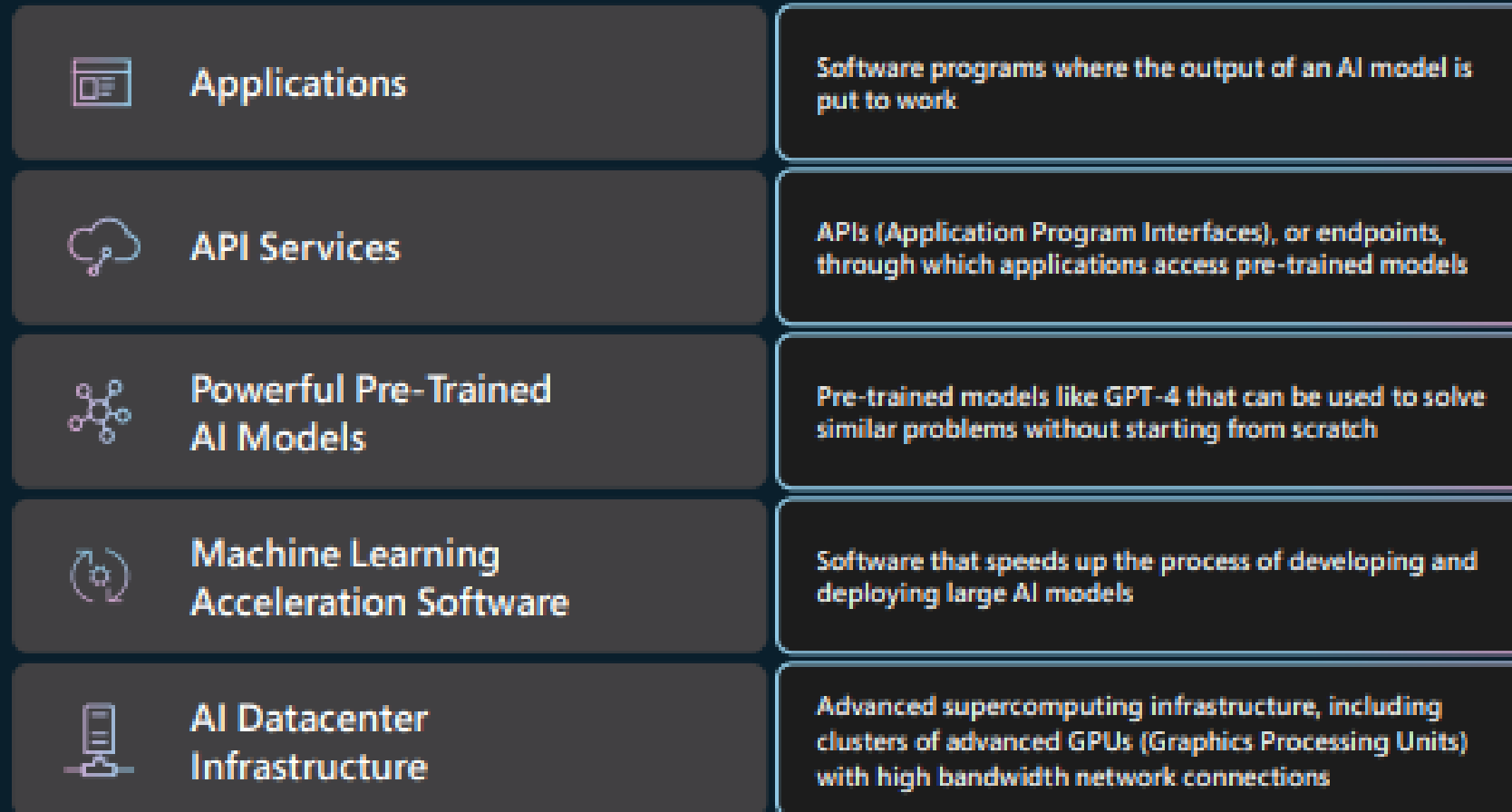


Pursue new **public-private partnerships** to use AI as an effective tool to address the inevitable **societal challenges** that come with new tech

### 3. Develop a broad legal and regulatory framework based on the technology architecture for AI

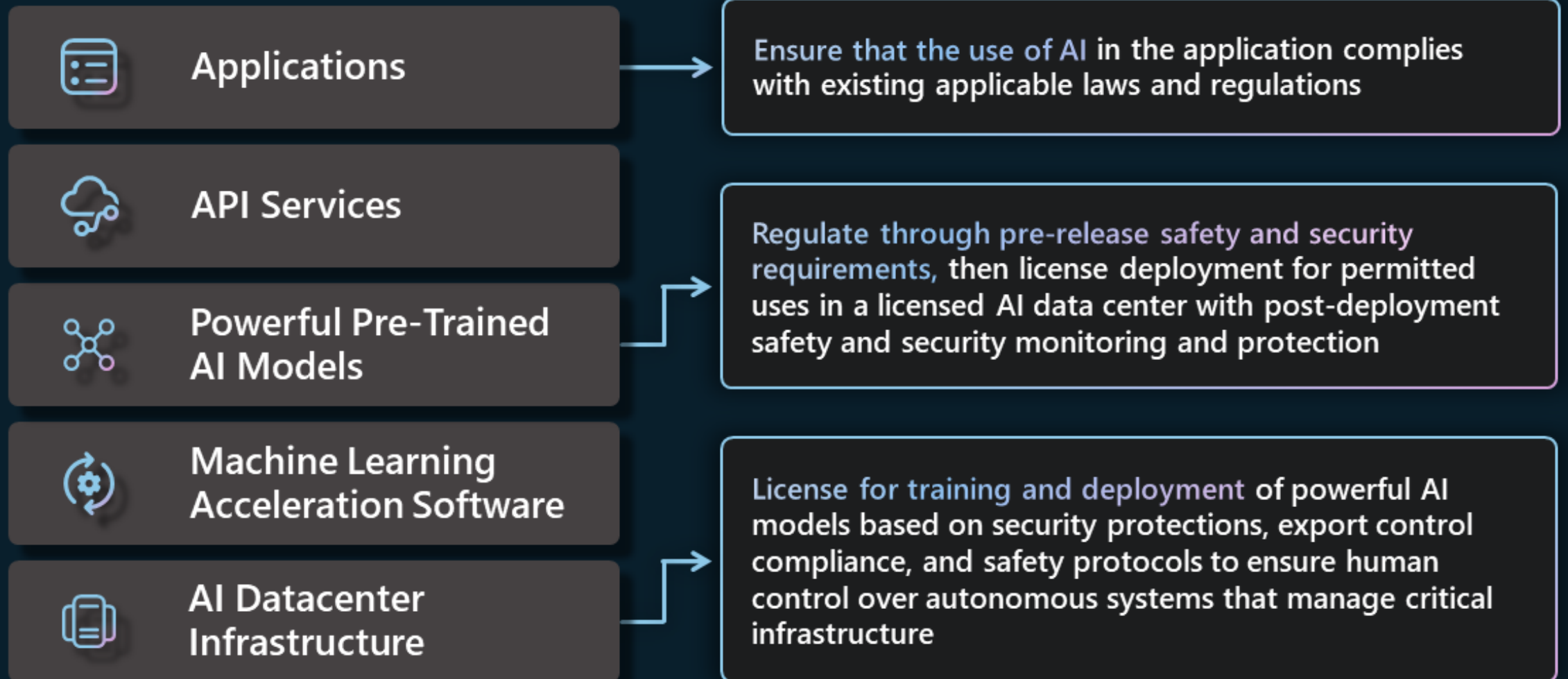


## The technology stack for AI foundation models





# A proposed AI regulatory architecture



# Ideas for State Policymakers



## Comprehensive Privacy Legislation



Consider taskforces to review **existing laws** & identify gaps re: **protections** & **AI applications**



Consider **executive order or legislation** re: government and AI (commissions; procurement & NIST AI RMF; governance; facial recognition)



Legislation to require risk assessments, address consequential automated decision systems



Legislation to address **political deepfakes**

Thank you

The image features a solid blue background. At the bottom, there is a white wireframe grid pattern that appears to be a 3D surface or a mesh, creating a sense of depth and texture. The grid lines are thin and white, contrasting with the blue background.