

Andy Beshear  
Governor

Ray Perry  
Deputy Secretary



Kerry B. Harvey  
Secretary

Allyson Taylor  
Commissioner

November 30, 2020

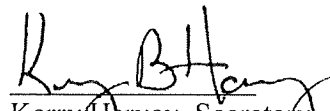
via email to [RegsCompiler@lrc.ky.gov](mailto:RegsCompiler@lrc.ky.gov)  
Senator John Schickel, Co-Chair  
Representative Adam Koenig, Co-Chair  
Interim Joint Committee on Licensing, Occupations, and Administrative Regulations  
c/o Emily Caudill, Regulations Compiler  
Legislative Research Commission  
029, Capitol Annex  
Frankfort KY 40601

Re: 804 KAR 4:415. Direct shipper license.

Dear Co-Chairs Schickel and Koenig:

After discussions with Legislative Research Commission staff of the issues raised by 804 KAR 4:415, the Department of Alcoholic Beverage Control proposes the attached amendment to 804 KAR 4:415.

Sincerely,

  
Kerry Harvey, Secretary  
Public Protection Cabinet

  
Allyson Taylor, Commissioner  
Department of Alcoholic Beverage Control

Department of Alcoholic Beverage Control | Mayo-Underwood Building | 500 Mero Street, 2NE33 |  
Frankfort, Kentucky 40601 Telephone: (502) 564-4850 | Fax: (502) 564-1442

TEAM  
KENTUCKY

**Staff-suggested Amendment**

**Version 11/24/2020  
PUBLIC PROTECTION CABINET  
Department of Alcoholic Beverage Control**

**804 KAR 4:415. Direct shipper license.**

**Page 5**

**Section 8**

**Line 3**

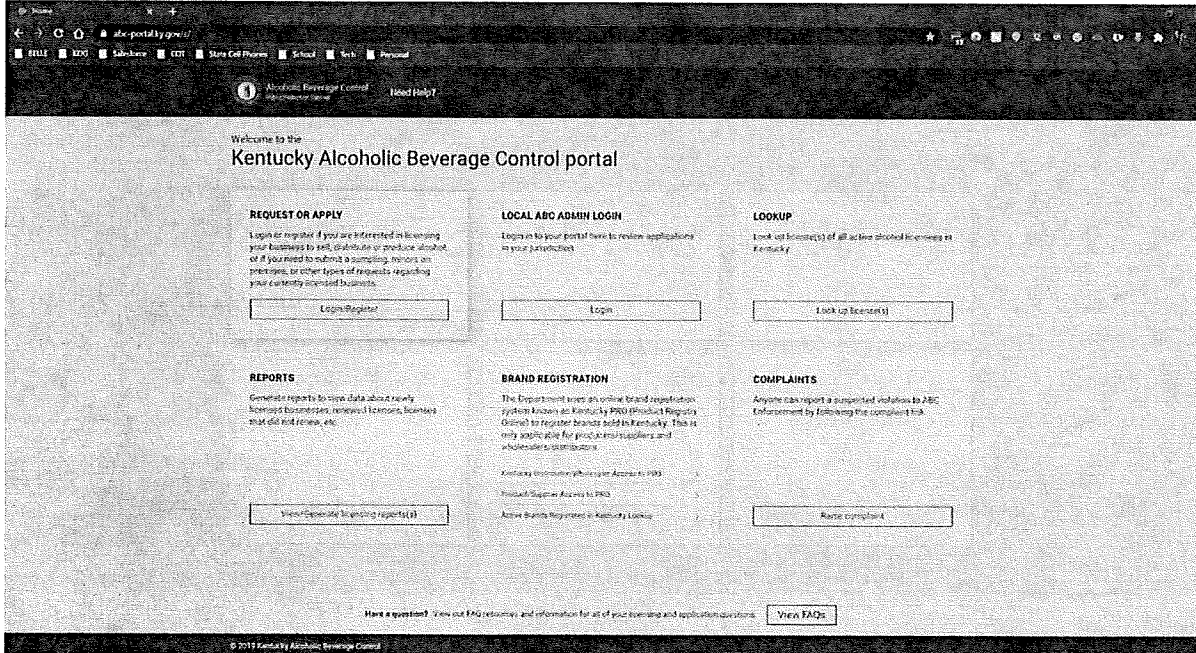
After "with KRS 244.050.", return and insert the following:

Section 9. Incorporation by Reference. (1) "Online Direct Shipper License Application", December 2020, is incorporated by reference.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at Department of Alcoholic Beverage Control, 500 Mero Street, 2 NE 33, Frankfort, KY 40601, Monday through Friday, 8:00 a.m. to 4:30 p.m.

## Applicant/Licensee Application Submission

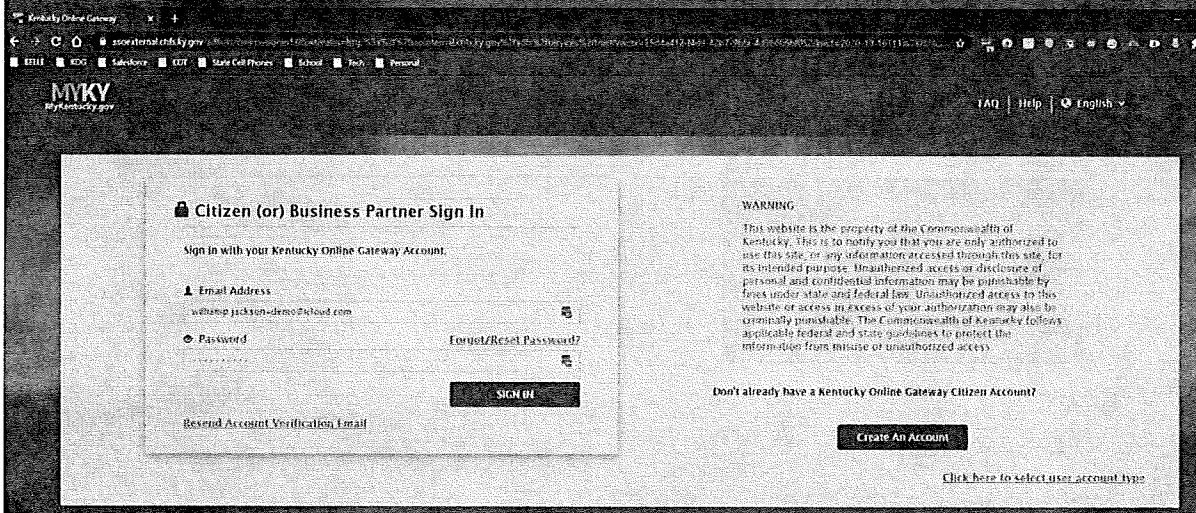
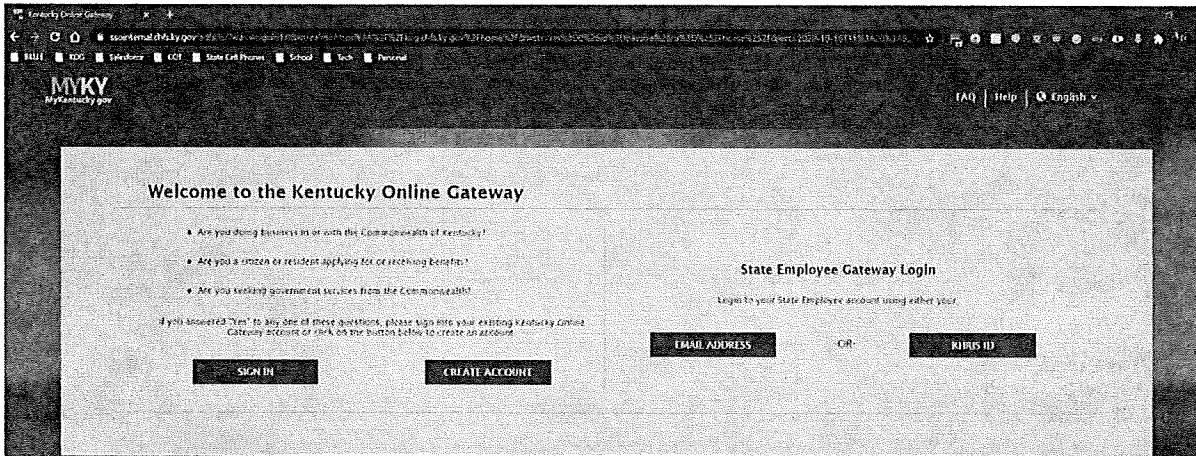
Navigate to <https://abc-portal.ky.gov/s/>



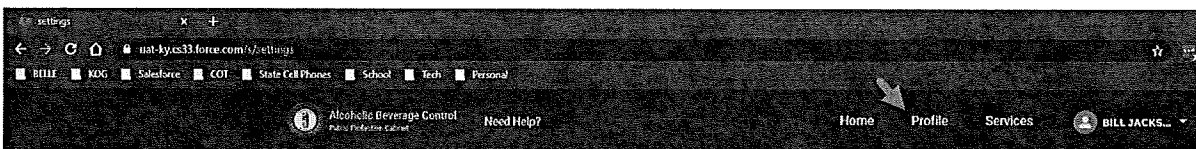
Under the Request or Apply tile select "Login/Register".



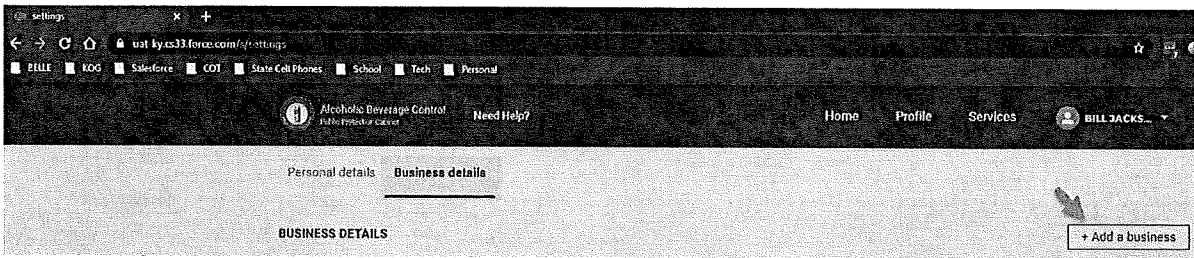
Log in to Kentucky Online Gateway as a Business User



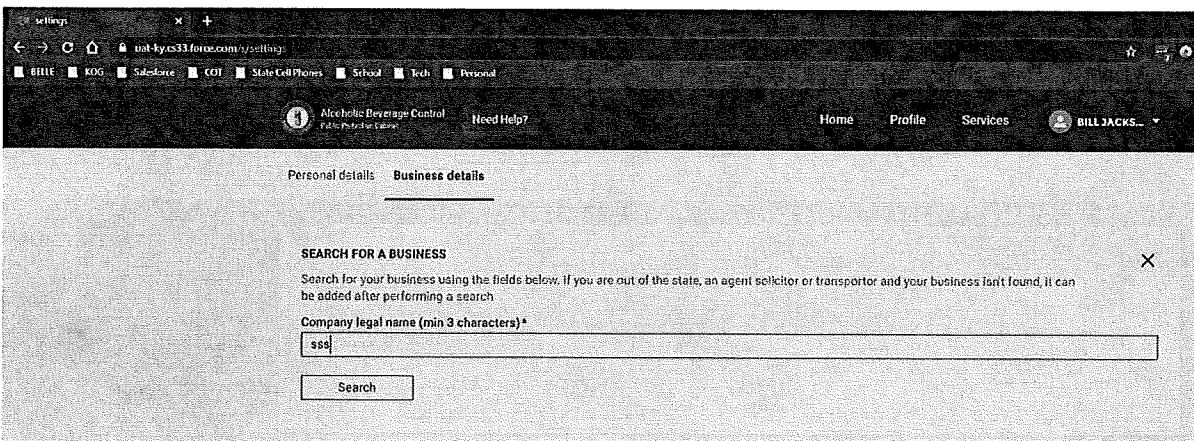
After successful login and select "Profile"



Go to Business Details tab and click "+Add a Business"



Search for the Business. As this is a new out of state business the search will not have a result. (Out of state businesses are not required to register with SOS)



Click on "New Out of state Manufacturer" radio button and then click "Add your Business Now"

Alcoholic Beverage Control  
Public Protection Cabinet

Home Profile Services BILL JACKS...

Personal details **Business details**

**SEARCH FOR A BUSINESS**

Search for your business using the fields below. If you are out of the state, an agent solicitor or transporter and your business isn't found, it can be added after performing a search.

Company legal name (min 3 characters) \*

SSS

Search

Search Results

S.NO.	COMPANY NAME	ADDRESS	CITY, STATE, ZIP	ADD BUSINESS
1	SSS TOTAL FUNDING GROUP INC.	1525 NW 167TH ST. STE 165	MIAMI GARDENS, Florida - 33169	Select
2	SSS Wines	151 Industry Rd	Georgetown, Kentucky - 40324	Select
3	VEER SSS Inc			Select

**CAN'T FIND YOUR BUSINESS ABOVE? IF YOU ARE OUT OF STATE OR SOLE PROPRIETOR PLEASE ADD YOUR BUSINESS BELOW.**

New Special Agent / Solicitor  New transporter  New out of state supplier  New sole proprietor  New Out of state manufacturer

**Add your business now**

Can't find your business? Submit a request for administrative review Add via SOS ID

Enter all the required business details and select "+ Add"

settings | uat.kycs33.force.com/settings | BATTLE | KOG | Salesforce | COI | State Call Phones | School | Tech | Personal

Alcohol Beverage Control  
Public Access Center | Need Help? | Home | Profile | Services | BILL JACKS...

Personal details | **Business details**

Company legal name \*  
New Out of state (Out of State Site) Manufacturer, LLC

SELECT BUSINESS COUNTRY  
• USA Other  
Find corporate business address  
215 Michig

Search by a location name or address to automatically populate the address fields below (optional)

Street Address \* | Street address 2  
215 E Michigan Ave

City \* | State \*  
Kalamazoo | Michigan

Zip \* | Country \*  
49007 | United States

FEIN \*  
111111111

Licensee contact  
Licensee contact first name \* | Licensee contact last name \*  
Test | Licensee1

Licensee contact phone \* | Licensee contact email \*  
(555) 555-5555 | test@gmail.com

+ Add | Cancel

Now click on "+ Add a Site" under the Business that was just created

TEST NEW OFS BUSINESS ✓  
45454 Steer Horn Neck Rd, Hollywood, Maryland - 20636

Business sites

**STOP** Please add your sites of business operation here before you can apply. [+ Add a site](#)

Owner/Officer Information

**STOP** Complete the following for the business proprietor, partner(s) and all the persons having interest in the business to be licensed. List all the owners, officers, directors, partners, managing members, members and shareholders. If privately-held, show 100% of ownership. If publicly-traded, list the three highest ranking officers and any natural person who owns ten (10) percent or more. If non-profit, list the highest ranking director or officer. [Add](#)

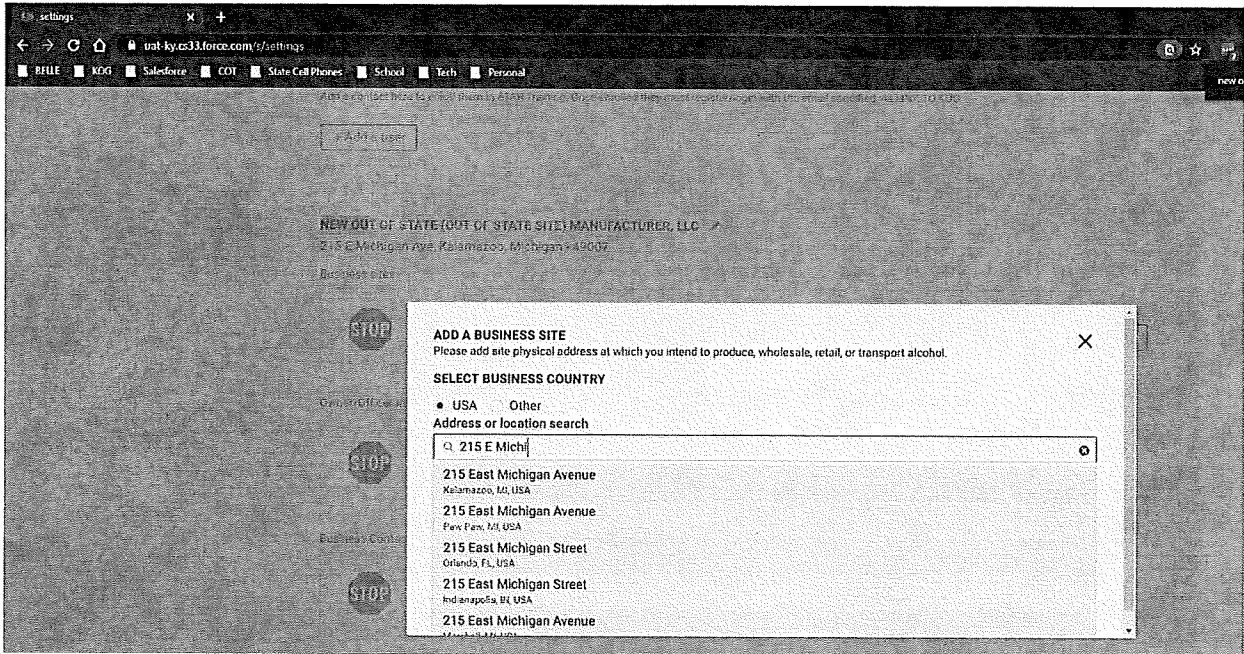
Business Contacts

**STOP** Add any specific contacts that would be designated for the licensing or annual renewal process [Add](#)

STAR Server Training  
Add a contact here to enroll them in STAR Training. Once enrolled they must register/login with the email specified via LINK TO KOG [+ Add a user](#)



Search for the Site Address (Out of state address) and enter all required information to add a site.





## ADD A BUSINESS SITE

Please add site physical address at which you intend to produce, wholesale, retail, or transport alcohol.



### SELECT BUSINESS COUNTRY

USA  Other

Address or location search

Q 215 E Michi



Search by a location name or address to automatically populate the address fields below (optional)

DBA/Business name \*

Test Shipper DBA

Selected address

215 E Michigan Ave, Kalamazoo, Michigan, United States

Site contact information

Site contact first name \*

Site

Site contact last name \*

Contact1

Site contact phone \*

(444) 444-4444

Site contact email \*

jfdksjf@kkks.com

Secondary phone

Secondary email

Site premises phone

Site premises phone extension

Site business information

Business type: \*

Shipper

Site real estate

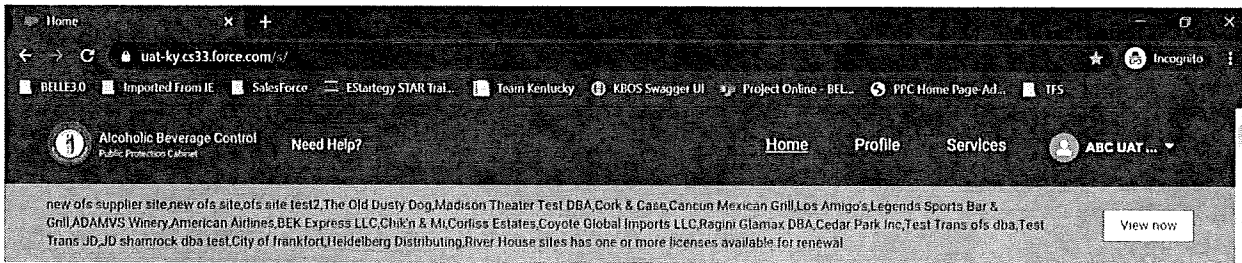
Is the Licensee the real estate owner? \*

Yes  No

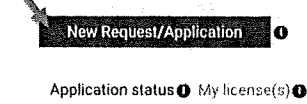
Save

Cancel

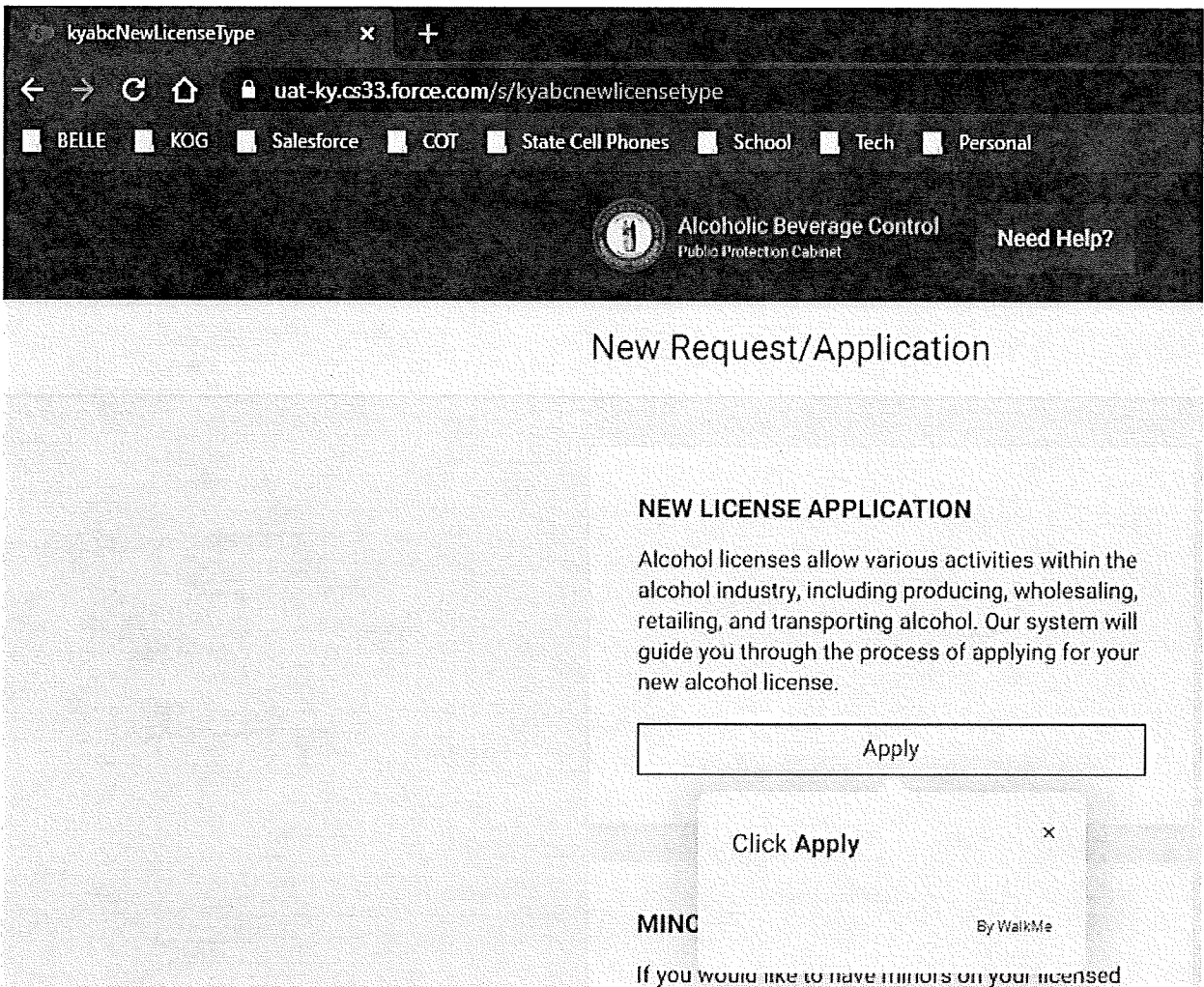
Go to Home and click on "New Request/Application"



Welcome to the Kentucky Alcoholic Beverage license portal!



Select "Apply" from the New License Application tile.



Follow the pages. In Business details page select the above created out of state business and site.

**INSTRUCTIONS** X

**General Requirements**

- You must be at least 21 years old to apply.
- You must be a U.S. citizen or a corporation, limited liability company, limited partnership, or other legally recognized business entity.

**Local Approval Process**

- There may be local requirements and fees. Local approval of an application is generally required before a state license will be issued (some exceptions apply). Applications to the state and local authorities can be submitted during the same time frame. Visit <http://abc.ky.gov/> to find the local ABC administrator in your area.

**Applications**

- Advertise the intent to apply for an ABC license(s) one time in the newspaper of record where the business is located. (See a sample newspaper ad below). Upload the newspaper advertisement to your online application, with the date clearly visible.
- Administrators retain the discretion to require background checks at any time.
- Upload a copy of the organization documents from the KY Secretary of State's Office if you are applying as a corporation, limited liability company, limited partnership, or other legally recognized business entity.
- Upload a copy of the deed, lease or other legal document indicating ownership, control or permission to operate on the premises.
- Additional documents may be required depending on your license type, which the system will prompt you to upload as needed.
- Contact the Kentucky Revenue Cabinet at (502) 564-3306 to get the Kentucky required tax numbers for the business.
- Contact the Kentucky Business OneStop at (502) 564-5053 to get the Kentucky CBI number for the business.

**NO CASH OR PAPER CHECK PAYMENTS ACCEPTED – ALL PAYMENTS MUST BE MADE ONLINE VIA CREDIT, DEBIT OR ACH PAYMENT.**

**Example of Newspaper Advertisement:**

Continue with Application

KY\_NewApplication

uat-ky.cs33.force.com/ky-newapplication

BEILLE KOG Salesforce COT State Cell Phones School Tech Personal

Alcoholic Beverage Control Need Help?

Services BILL JACKS...

**New Application** View our help and FAQs

Fill out the details below then click Next  
Fields marked with \* are required.  
By 1/24/2024

- Personal Details
- Business Details
- Selected Licenses
- Affidavit
- Documents
- Payment
- Submission & Status

**PERSONAL DETAILS**

First name \* Middle name Last name \*

Bill Jackson

**SELECT BUSINESS COUNTRY**

USA Other

Address or location search

Search by a location name or address to automatically populate the address fields below (optional)

Street address \* Street address 2

2151 Meeting St

City \* State \*

Lexington Kentucky

Zip \* Country \*

40509 United States

Phone number \* Email address \*

(502) 682-1959 willamp.jackson+demo@icloud.com

Next

KY\_NewApplication

uat-ky.cs33.force.com/ky-newapplication

BEILLE KOG Salesforce COT State Cell Phones School Tech Personal

Alcoholic Beverage Control Need Help?

Services BILL JACKS...

**New Application** View our help and FAQs

Fill out the details below then click Next  
Fields marked with \* are required.  
By 1/24/2024

Save and Exit Exit

- Personal Details
- Business Details
- Selected Licenses
- Affidavit
- Documents
- Payment
- Submission & Status

**BUSINESS SELECTION**

Choose from your saved businesses Choose a site

New Out of state (Out of State Site) Manufacturer, LLC Test Shipper DBA (Site Id - 3333676)

**DON'T SEE YOUR BUSINESS OR SITE?**

Add the business or site now

**SITE DETAILS**

The site details below are pulled from your profile. If it needs to be modified please visit the Business details page before proceeding with your application.

Site name \*

Test Shipper DBA

Shipping Country

United States

Shipping Street

215 E Michigan Ave

Shipping City Shipping State/Province Code

Kalamazoo Michigan

EV New Application

prodcopy.lylicenemgmt.cs33.force.com

BILLIE
  KDG
  Salesforce
  COT
  State Cell Phones
  School
  Personal
  Tech

Personal Details  
 Business Details  
 3 Selected Licenses  
 4 Affidavit  
 5 Documents  
 6 Payment  
 7 Submission & Status

Shipping Zip/Postal Code  
49017

Site contact first name \*  
Test

Site contact last name \*  
User

Site phone number \*  
(555) 555-5555

Site email address \*  
ksjfdzgj@jfy.com

**BUSINESS CONTACTS**

CONTACT NAME	DESIGNATION	OWNERSHIP	ADDRESS

**LICENSE QUESTIONS**

- Does the applicant own the premises to be licensed or have possession of it under a written agreement, such as a lease? \*  
Yes No
- Has the applicant or any person listed above ever been licensed to produce, sell, or otherwise traffic in alcoholic beverages? \*  
Yes No
- Will tobacco products, alternative nicotine products or vapor products be sold at the premises to be licensed? \*  
Yes No
- Are you purchasing, or have you recently purchased this business? \*  
Yes No
- Will gasoline or lubricating oil be sold or will motor vehicles be services or repaired at the premises to be licensed? \*  
Yes No

Back Next

In selected license page the system will default to only "Shippers" license if they are applying only for the out of state manufacturers license. (All other ways to apply will depend on other licenses types selected. See introduction.)

0-27159

Configure Products

Alcoholic Beverage Control  
Missouri Public Safety

Home Profile Services BILL JACKS...

Apply Rules Cancel Save

Select your preferred License options then click Save

Licenses

APPLICABLE LICENSES

QUANTITY	PRODUCT NAME	PRODUCT DESCRIPTION	UNIT PRICE
1	Shippers License	This fee is for the transport of a product by In-state/Out-of-State products	\$100.00

Alcoholic Beverage Control Public Provider Cabinet

Home Profile Services BILL JACKS...

Edit Quote

PRODUCT NAME	DESCRIPTION	QUANTITY	ACCOUNT TYPE	TRANSACTION TYPE	COMMITTEE FEE	Check Next
Wine	To select your business license, click the link to your left. Select "Select" to begin. This license allows the transport of alcohol by the state DDA of state products.	1	Met Beverage Distilled Spirits/Wine Non-Beverage	12	\$6.00	Available
Wholesale License		1	Met Beverage Distilled Spirits/Wine	12	\$100.00	Available

Attest to the Affidavit

Alcoholic Beverage Control Public Provider Cabinet

Home Profile Services BILL JACKS...

New Application [View our help and FAQs](#) Save and Exit Exit

- Personal Details
- Business Details
- Selected Licenses
- 4 Affidavit**
- 5 Documents
- 6 Payment
- 7 Submission & Status

**AFFIDAVIT**  
All terms and conditions text regarding the payment.

I do hereby swear or affirm, under penalty of perjury, that I am authorized to submit this application on behalf of the named applicant, and that all information and statements contained therein and any attachments are true and correct to the best of my knowledge, information, and belief. I hereby swear or affirm that if the license(s) is issued, the applicant shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.

I agree to sign and file this application by electronic means with the Department in compliance with the Kentucky Uniform Electronic Transactions Act contained in KRS 369.101 to 369.120. I further understand that the Department is accepting a typed version of my name as my original signature and that I have typed my name below with intent to sign this application.

Name \*

John Doe

Back Next



Upload necessary documents and click submit (See introduction)

The screenshot shows a web browser window with the URL `uat-kyrcs33.force.com/s/sfd/pag/2?2fapex%2F5BGO_ub%2FS%26d%3Dafu25G0001MLx4AG`. The browser's address bar and tabs are visible. The page header includes the Alcoholic Beverage Control logo and navigation links: Home, Profile, Services, and a user profile for BILL JACKS... The main content area is titled "New Application" and includes a "View our help and FAQs" link. A progress bar on the left shows steps: 1. Personal Details, 2. Business Details, 3. Selected Licenses, 4. Affidavit, 5. Documents (current step), 6. Payment, and 7. Submission & Status. The "DOCUMENTS" section contains two upload fields: "License(s) from the state of operation \*" and "Proof of Kentucky Secretary of State Registration \*". Each field has an "Upload Files" button and "Or drop files" text. At the bottom right, there are "Back" and "Next" buttons. A "Save and Exit" button is also present in the top right corner.

Depending on which way the applicant applies there may be no application fee. (See introduction)

The screenshot shows the "SUBMISSION AND STATUS" page. The header is identical to the previous screenshot. The main content area features a large "Thank you" message, a sub-message "Your submission has been received", and a note "The remaining amount to be paid: \$100". A small icon of a document with a checkmark is displayed to the left of the text. A "Go to Dashboard" button is located in the bottom right corner.