


## BLOCK GRANT PROGRAM STATUS REPORT

January 1, 2019 – June 30, 2019

Department: Community Based Services

Block Grant: Community Services Block Grant (CSBG)

This report is submitted in compliance with KRS 45.357. This report on achievements may be compared to the Block Grant application currently on file with LRC.

Block Grant Manager:  7/26/2019  
 Eric T. Clark Date  
 Commissioner

1. FINANCES	Federal Funds	General Funds	Trust/Local Match	Total
Annual Budget	\$12,056,906.00	\$104,268.00	\$0.00	\$12,161,174.00
Actual Expenditures	\$6,166,344.85	\$18,574.24	\$0.00	\$6,184,919.09
Encumbrances	\$0.00	\$0.00	\$0.00	\$0.00
Available Balance	\$0.00	\$11,427.00	\$0.00	\$11,427.00

### 2. RESULTS BUDGETED/ACHIEVED

OBJECTIVES	ACHIEVEMENTS
1. Provide, through contracts with 23 local Community Action Agencies (CAAs), needed services to clients whose income meets poverty guidelines on a statewide basis.	1. DCBS implemented contracts with all 23 CAAs, serving 120 counties of the Commonwealth, for provision of appropriate required services based on their approved plans and budgets. Some agencies had carryforward monies remaining from the previous SFY contract period that was put on their current SFY contract during the time period in question.
2. Conduct an annual fiscal audit under auspices of the Cabinet for Health and Family Services with actual audits being conducted by certified public accountant firms and approved by DCBS-Division of Administration and Financial Management (DAFM).	2. DAFM maintains contractual arrangements for personnel responsible for these duties.
3. Monitor CAAs for compliance with Standards of Performance as promulgated in Kentucky Administrative Regulation for Community Action Agencies and in accordance with plan and budget instructions and federal and state statutes.	3. For the period of January 1, 2019, through June 30, 2019, nineteen (19) CAAs were monitored. Fourteen (14) of the agencies monitored had no findings for non-compliance. Five (5) of the agencies monitored had findings, with three (3) of the agencies having accepted Corrective Action Plans and two (2) pending.
4. Monitor CAAs for compliance with the Organizational Standards as determined by the Office of Community	4. The DCBS Division of Family Support and Community Action Kentucky perform onsite

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<p>Services and promulgated in the Kentucky Administrative Regulation in accordance with state and federal statutes.</p>	<p>Organizational Standard monitoring for each of the 23 local CAAs each fiscal year. Nine (9) of twenty-three (23) agencies were monitored from January 1, 2019 – June 30, 2019, completing all CAA monitoring for SFY19. Twenty-two (22) of twenty-three (23) CAAs met 90% or more CSBG Organizational Standards for SFY19, a 30% improvement from SFY18.</p>
<p>5. Obtain contract service reports to use for training and technical assistance.</p>	<p>5. Service and fiscal reports from each CAA are reviewed on a quarterly basis year-to-date. Information is maintained on the DCBS data system and reported as required to federal and state officials.</p>
<p>6. Provide training and technical assistance based on input from all contract agencies.</p>	<p>6. CAK and DCBS provide training and technical assistance through different venues, including work sessions, annual conference, and regional trainings. Trainers or consultants with expertise in their fields are often selected to facilitate these sessions.</p> <p>CAK, in collaboration with DCBS, held the 2019 CSBG Spring Work Session on March 20-21, 2019. All twenty-three CAAs were represented. This training was centered around Theory of Change, Annual Report – Module 2, Module 3 (Community Level) and Module 4 (Family and Individual Level).</p> <p>CAK, in collaboration with DCBS, held the 2019 CAK Annual Conference on June 4 – June 6, 2019. All twenty-three CAAs were represented. The training topics included: CASTiNET 3.0 (statewide software); Case Management and Social Determinants of Health; Meet and Greet with KHC, DCBS/CHFS, DAIL and VA; Hindsight is 2020: Census Preparations in Kentucky; We are Building Our Own Workforce – And You Can Too; Putting the Strategy in Your Strategic Plan; Kentucky Department of Public Health; Community Collaborations to Support the Social Safety Net: Transportation Initiatives for Healthier Communities; Adult Education, Office of Adult Education; Preschool Development Grant, Office of Early Childhood; Using the Cloud to Manage IT; Identifying, Assessing and Managing Agency Risk and Implementing IT Policies at your CAA.</p> <p>CAK staff conducted one-on-one trainings for several CAAs from January through June. Training topics included: ROMA, National Performance Indicators (NPIs) and services, CSBG Plan and Budget Proposals, CASTiNET (statewide software), etc.</p>

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### 3. AUTHORIZED CHANGES (from the Block Grant Plan in Finances and/or Objectives)

In response to an issue of non-compliance during a monitoring review from the Office of Community Services (OCS), DCBS has modified its SFY20 Multi-provider Contract with CSBG eligible entities to establish a policy and procedure for timely action by eligible entities to fill vacancies within their tripartite boards. The contract modification was accepted by OCS, closing the finding.

### 4. EVALUATION OF RESULTS

Using a compliance monitoring instrument based on state statutes, contractual requirements, minimum program and management standards, DCBS performed contract monitoring for 19 of the 23 CAAs from of January 1, 2019 through June 30, 2019. Five of the agencies monitored had findings with three corrective Action Plans issued; three have been accepted and two are pending.

DAFM based the Federal award number on what was given in FFY19. The expenditures requested represent January through June, 2019,

The CSBG Annual Report, Module I was submitted in the Online Data Collection (OLDC), reviewed and accepted by OCS on June 3, 2019, with no findings. Modules II-IV are pending.

Implementation of uniform service definitions and a client service report/evaluation document have enabled Kentucky to compile service statistics consistent with both federal and state laws. Use of the data, as compiled by each of the CAAs on a monthly basis, enables the individual agencies to better evaluate their services and develop plans for service delivery.

### 5. ALTERNATIVES FOR IMPROVED SERVICE DELIVERY

Improved service delivery is continually sought primarily through ongoing training and technical assistance provided and planned for CAA staff. Contract requirements include a mandate for local CAA coordination with DCBS and other area service providers in order to avoid duplication of services.

Additionally, CAA Boards are responsible for an ongoing process to evaluate local needs and assure that needs are met.

