Kentucky Board of Nursing
Advanced Practice Registered Nurse Disciplinary Process

Jessica Estes, Executive Director
Pam Hagan, Deputy Executive Director
Nathan Goldman, General Counsel
Morgan Ransdell, Staff Attorney Supervisor
Ann Tino, Investigations Supervisor
Advanced Practice Registered Nurse is the legal licensure title for APRNs
- Nurse Practitioners (APRN–NP)
- Certified Nurse Midwives (APRN–CNM)
- Certified Registered Nurse Anesthetist (APRN–CRNA)
- Clinical Nurse Specialists (APRN CNS)

All hold a masters or doctoral degree
- MSN, PhD, DNP
- All hold National Certifications
Independent Providers
- Obtained Prescriptive Authority in 1996 for non-scheduled medication with a Collaborative Agreement for Prescriptive Authority (CAPA-NS)
  - May be discontinued after 4 years of practice
- Obtained Controlled Substance Prescriptive Authority in 2006 with a Collaborative Agreement (CAPA-CS)
  - Must provide the Board with a CAPA-CS Notification form, and copy of the DEA registration prior to prescribing
APRNs may only prescribe a controlled substance as defined and limited in KRS 314.011 (8), 314.042 and 201 KAR 20:059

- Schedule II: Limited to 72 hour supply
- Schedule III: 30 days no refills
- Schedule IV and V: 30 day supply with 6 months of refills
  - Exceptions: alprazolam, clonazepam, lorazepam, diazepam, carisoprodol which are limited to a 30 day supply without refills
APRN Licensure Statistics

APRN CAPA (NS, CS) and License Statistics


CAPA-NS Entered
CAPA-CS Entered
Total Active APRNs

Kentucky
UNBRIDLED SPIRIT
KBN Investigations Procedure

1. Pre-complaint Entered
2. Complaint Mailed to Licensee
3. Investigation of Case
4. Action determined (Credentials Review Panel, Agreed Order, Consent Decree)
5. Case Closed with Settlement or Administrative Hearing
Types of APRN Discipline

- Immediate Temporary Suspension
- Unsubstantiated
- Letter of Concern
- Consent Decree
- Reprimand
- Limitation Probation
- Suspension
## APRN Disciplinary Actions 2012–2019

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Immediate Temporary Suspension</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>2</td>
<td>4</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Unsubstantiated</td>
<td>0</td>
<td>3</td>
<td>4</td>
<td>1</td>
<td>6</td>
<td>8</td>
<td>10</td>
<td>15</td>
</tr>
<tr>
<td>Letter of Concern</td>
<td>0</td>
<td>8</td>
<td>12</td>
<td>7</td>
<td>15</td>
<td>7</td>
<td>3</td>
<td>9</td>
</tr>
<tr>
<td>Consent Decree</td>
<td>1</td>
<td>2</td>
<td>8</td>
<td>9</td>
<td>38</td>
<td>20</td>
<td>24</td>
<td>7</td>
</tr>
<tr>
<td>Reprimand</td>
<td>1</td>
<td>3</td>
<td>7</td>
<td>6</td>
<td>9</td>
<td>5</td>
<td>9</td>
<td>13</td>
</tr>
<tr>
<td>Limitation/Probation</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>3</td>
<td>4</td>
<td>2</td>
<td>5</td>
<td>11</td>
</tr>
<tr>
<td>Suspension</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>3</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>2</strong></td>
<td><strong>18</strong></td>
<td><strong>35</strong></td>
<td><strong>26</strong></td>
<td><strong>75</strong></td>
<td><strong>49</strong></td>
<td><strong>57</strong></td>
<td><strong>59</strong></td>
</tr>
</tbody>
</table>

[1] Partial year  
[2] Partial year
Disciplinary Action by Type 2018

- Consent Decree: 42%
- Unsubstantiated: 18%
- Letter of Concern: 5%
- Limitation Probation: 9%
- Reprimand: 16%
- Suspension: 7%
- Immediate Temporary Suspension: 3%
Disciplinary Action by type 2019 (partial year)

- Immediate Temporary Suspension: 5%
- Unsubstantiated: 25%
- Letter of Concern: 15%
- Consent Decree: 12%
- Reprimand: 22%
- Limitation Probation: 19%
- Suspension: 2%
Questions