

CAPITAL PLANNING ADVISORY BOARD

**2020-2026
Agency Capital Plans**

Staff Analysis and Comments

The Capital Planning Instructions require that each agency submit a plan to the Capital Planning Advisory Board (CPAB). Below is summary information on Executive Branch agency plans that are not on the agenda for individual review at a CPAB meeting. These agencies do not have general fund capital projects planned for the first biennium of the planning period, or have projects funded solely with restricted fund sources. Restricted funds (RF) are moneys collected by state agencies that are restricted by statute or budget bill for expenditure toward general or specific use by an agency. Restricted sources include regulatory or occupational licenses and fees, tuition, service charges, sales of goods or products, donations or grants from non-state sources, and expendable receipts and earnings of trust type programs.

Auditor of Public Accounts

The Auditor of Public Accounts includes the Office of Planning and Management, the Office of Legal and Records Services, the Office of Technology and Special Audits, and the Office of Financial Audits. The Auditor’s office is located at 209 Saint Clair Street in Frankfort. No proposed projects or space needs are reported.

Kentucky Communications Network Authority (KCNA)

KCNA and its board manages and oversees the KentuckyWired network, the commonwealth's open-access broadband network. KCNA is part of the General Government Cabinet and is administratively attached to the Office of the Governor. Office space is located in downtown Frankfort. For the current planning period, KCNA reports no capital needs.

Kentucky Department of Agriculture

The Department of Agriculture is charged with wide-ranging regulatory functions regarding the development and promotion of the agriculture and horticulture industries. The department has four offices: Agricultural Marketing and Product Promotion, Consumer and Environmental Protection, State Veterinarian, and Strategic Planning and Administration. The department has four physical locations at Corporate Drive in Frankfort and one at the Kentucky Dam Village airport. For the current planning period, the department reports two restricted fund IT projects.

2020-2022	AGR Inspection and Licensing Project.....	\$2,118,000
2022-2024	AGR Inspection and Licensing Project.....	1,130,600
	Grand Total.....	\$3,248,600

Kentucky Higher Education Assistance Authority (KHEAA)

KHEAA is the state’s agency for improving access to higher education by providing postsecondary planning and financial aid information; providing grant scholarship and work-study benefits to eligible students; administering educational savings and prepaid tuition programs; and providing fee-based services to partners in the delivery of financial aid to students. The agency occupies the state-owned KHEAA Office Building in Frankfort. For the 2020-2026 planning period, KHEAA reports one item of equipment for the second biennium:

2022-2024	Mobile Outreach Vehicle (RF)	\$400,000
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Kentucky Higher Education Student Loan Corporation (KHESLC)

KHESLC’s mission is to promote Kentucky’s higher education opportunities by providing loan programs and related services. KHESLC is authorized to finance loans for students attending eligible postsecondary schools, service and collect education loans, and issue bonds and notes. KHESLC is housed in leased space in Louisville. No projects are proposed in the current planning period.

Kentucky Housing Corporation (KHC)

KHC issues tax-exempt mortgage revenue bonds to provide safe, decent, affordable housing opportunities for very low, low, and moderate-income Kentuckians. KHC reports no proposed projects or space needs for the current planning period.

Kentucky Lottery Corporation (KLC)

The mission of the KLC is to produce the maximum net revenues for the commonwealth by offering the best possible lottery games to its citizens, which are consistent with good public policy and social responsibility, and to operate within a framework of public accountability. The KLC corporate headquarters are in a state-owned facility in Louisville. All KLC capital projects are funded from lottery proceeds. The following needs are proposed in KLC’s 2020-2026 capital plan:

2020-2022	Data Processing, Telecom, and Related Equipment.....	\$1,000,000
2022-2024	Data Processing, Telecom, and Related Equipment.....	1,000,000
2024-2026	Data Processing, Telecom, and Related Equipment.....	1,000,000
	IBM iSeries System Upgrades	1,200,000
	Grand Total	\$4,200,000

Kentucky Office of Homeland Security

The Kentucky Office of Homeland Security (KOHS) is attached to the Governor’s Office and is located in facilities provided by the Transportation Cabinet. The focus of KOHS, among other things, is counterterrorism. No proposed projects or space needs are reported.

Kentucky Retirement Systems

Kentucky Retirement Systems (KRS) is responsible for the investment of funds and administration of benefits for three statewide pension funds covering both state and local government employees. For the current planning period, KRS reports no capital needs.

Kentucky River Authority (KRA)

KRA manages the Kentucky River drainage basin which covers 18 percent of the state, encompassing all or portions of 41 counties with a total population of 780,000. The following projects below are proposed in the agency’s 2020-2026 capital plan. No general fund projects are proposed for the first biennium.

2020-2022	Design Lock 5 (RF)	\$1,062,000
	Locks 2 and 3 Upper Guide Wall Repairs (RF).....	4,131,000
	Total	\$5,193,000
2022-2024	Design and Repair Dam 6 (AB).....	\$2,831,000
	Design and Repair Dam 7 (AB).....	4,204,000
	Design and Repair Dams 11 and 14 (AB).....	3,378,000
	Repair Lock 5 (RF).....	2,477,000
	Total	\$12,890,000
2024-2026	Design and Repair Dams 12 (AB)	\$3,866,000
	Design and Repair Dams 13 (AB)	4,466,000
	Design and Repair Dams 1 and 2 (RF).....	1,137,000
	Total	\$9,469,000
	Grand Total.....	\$27,552,000

Kentucky Teachers’ Retirement System (KTRS)

KTRS provides a broad range of retirement, death and survivor, medical insurance, and disability benefits. KTRS has approximately 72,000 active members and more than 51,500 retired members and beneficiaries currently receiving benefits. For the current planning period, KTRS reports two restricted fund projects:

2020-2022	Pension Management System Modifications.....	\$5,000,000
2022-2024	KTRS Office Building	8,300,000
	Grand Total	\$13,300,000

Labor Cabinet

The Labor Cabinet administers the workers’ compensation program and is responsible for promoting an equitable relationship between management and labor. The cabinet consists of the Office of the Secretary, the Department of Workplace Standards, and the Department of Workers’ Claims. For the current planning period, the cabinet reports no capital needs.

Legislative Cabinet

The legislative branch is responsible for the creation of Kentucky state laws. All space occupied by legislative staff is leased - either from another state agency or the private sector. All facilities management and maintenance functions are the responsibility of the entity from whom space is being leased. For the current planning period, the cabinet reports no capital needs.

Occupational and Professional Boards and Commissions

The occupational and professional boards and commissions, which are not administered through the Public Protection Cabinet's Office of Occupations and Professions, submit individual capital plans. No proposed projects or space needs are reported for any of the boards or commissions that report directly to CPAB.

Office of State Budget Director

The State Budget Director reports directly to the Governor. Some of the office's functions include preparation, administration, and evaluation of the executive budget; executive policy implementation and compliance; and evaluation of statewide management and administrative procedures and practices. For the current planning period, the office reports no capital needs.

Office of the Attorney General

The Office of the Attorney General performs a range of legal, investigative, and administrative duties in its role as the state's constitutional chief law enforcement officer. No proposed capital needs are reported.

Office of the Governor

The Office of the Governor includes the Lieutenant Governor and the Military Affairs Commission. The Governor's Office relies on the Finance and Administration Cabinet to manage the office space that it occupies. No proposed capital needs are reported.

Office of the Secretary of State

The Office of the Secretary of State is the constitutional officer entrusted with filing, maintaining, and preserving the important documents and records of the commonwealth. No proposed projects for the current planning period are reported.

Office of the State Treasurer

The Office of the State Treasurer is the central administrative state agency responsible for receipt and custody of all revenues collected by state government and for writing all checks and disbursement of state funds. The agency reports the following equipment needs for the 2020-2022 biennium: Xerox check printers and two fold sealers (\$200,000).

Personnel Cabinet

The Personnel Cabinet provides human resources management for Kentucky State Government and is largely consolidated in the State Office Building in Frankfort. No proposed projects are reported for the Personnel Cabinet.

Public Protection Cabinet

The cabinet is charged with the supervision and regulation of industries. The cabinet has six departments: Alcoholic Beverage Control; Financial Institutions; Housing, Buildings and Construction; Insurance; Charitable Gaming; and Professional Licensing. The cabinet reports no proposed capital projects for the current planning period.

Registry of Election Finance and State Board of Elections

The Registry of Election Finance is charged with the administration of Kentucky's campaign finance laws. The State Board of Elections administers the election laws of the state and supervises the registration and purgation of voters within the state. The two agencies are housed together in leased space at 140 Walnut Street in Frankfort. They report no proposed projects or space needs for the planning period.

Unified Prosecutorial System

The Unified Prosecutorial System is comprised of 57 commonwealth's attorneys responsible for the prosecution of felony cases in Circuit Court and 120 county attorneys responsible for the prosecution of criminal cases in District Court. No proposed projects for the current planning period are reported.