

**CAPITAL PLANNING ADVISORY BOARD**

**2022-2028  
Agency Capital Plans**

**Staff Analysis and Comments**

The Capital Planning Instructions require that each agency submit a plan to the Capital Planning Advisory Board (CPAB). Below is summary information on Executive Branch agency plans that are not on the agenda for individual review at a CPAB meeting. These agencies do not have general fund capital projects planned for the first biennium of the planning period, or have projects funded solely with restricted fund sources. Restricted funds (RF) are moneys collected by state agencies that are restricted by statute or budget bill for expenditure toward general or specific use by an agency. Restricted sources include regulatory or occupational licenses and fees, tuition, service charges, sales of goods or products, donations or grants from non-state sources, and expendable receipts and earnings of trust-type programs.

**Auditor of Public Accounts**

The Auditor of Public Accounts includes the Office of Planning and Management, the Office of Legal and Records Services, the Office of Technology and Special Audits, and the Office of Financial Audits. The Auditor’s office is located at 209 Saint Clair Street in Frankfort. No proposed projects or space needs are reported.

**Kentucky Department of Agriculture**

The Department of Agriculture is charged with wide-ranging regulatory functions regarding the development and promotion of the agriculture and horticulture industries. The department has four offices: Agricultural Marketing and Product Promotion, Consumer and Environmental Protection, State Veterinarian, and the Office of Administrative Services. For the current planning period, the department reports no capital needs.

**Kentucky Higher Education Assistance Authority (KHEAA)**

KHEAA is the state’s agency for improving access to higher education by providing postsecondary planning and financial aid information; providing grant, scholarship, and work-study benefits; administering educational savings and prepaid tuition programs; and providing fee-based services to partners in the delivery of financial aid to students. The agency occupies the state-owned KHEAA Office Building in Frankfort. For the current planning period, KHEAA reports the need for one item of equipment:

2024-2026 Mobile Outreach Vehicle (RF)..... \$450,000

**Kentucky Higher Education Student Loan Corporation (KHESLC)**

KHESLC’s mission is to promote Kentucky’s higher education opportunities by providing loan programs and related services. KHESLC is authorized to finance loans for students attending eligible postsecondary schools, service and collect education loans, and issue bonds and notes. KHESLC is housed in leased space in Louisville. No projects are proposed in the current planning period.

**Kentucky Housing Corporation (KHC)**

KHC issues tax-exempt mortgage revenue bonds to provide safe, decent, affordable housing opportunities for very low, low, and moderate-income Kentuckians. KHC reports no proposed projects or space needs for the current planning period.

**Kentucky Lottery Corporation (KLC)**

The mission of the KLC is to produce the maximum net revenues by offering the best possible lottery games, which are consistent with good public policy and social responsibility. The KLC corporate headquarters is housed in a state-owned facility in Louisville. Leased space in Louisville was acquired in August 2020 for business continuity purposes. All KLC capital projects are funded from lottery proceeds. KLC reports the need for one item of equipment:

2024-2026 IBM iSeries System Upgrades ..... \$800,000

**Kentucky Office of Homeland Security**

The Kentucky Office of Homeland Security (KOHS) is attached to the Governor’s Office and is located in facilities provided by the Transportation Cabinet. The focus of KOHS, among other things, is counterterrorism. No proposed projects or space needs are reported.

**Kentucky Public Pensions Authority (formerly Kentucky Retirement Systems)**

The Public Pensions Authority is responsible for the investment of funds and administration of benefits for three statewide pension funds covering both state and local government employees. For the current planning period, the agency reports no capital needs.

**Kentucky River Authority (KRA)**

Programs of KRA are directed to the development of the water resources of the Kentucky River basin and its surrounding drainage basin to assure adequate water supply, acceptable water quality, and recreational opportunities for Kentucky River basin residents and others utilizing these resources. The following projects below are proposed in the agency’s current capital plan. No general fund projects are proposed. The KRA utilizes restricted funds (RF) and agency bonds (AB) to fund its capital needs.

2022-2024	Design Lock 5 (RF) .....	\$1,085,000
	Locks 2 and 3 Upper Guide Wall Repairs (RF).....	4,131,000
	Repair Lock 5 (RF).....	2,589,000
	Total .....	\$7,805,000
2024-2026	Design and Repair Dam 6 (RF).....	\$3,026,000
	Design and Repair Dam 7 (RF).....	4,494,000
	Design Dams 11 and 14 (RF) .....	500,000
	Total .....	\$8,020,000
2026-2028	Design and Repair Dams 12 (AB) .....	\$4,322,000
	Design and Repair Dams 13 (AB) .....	5,105,000
	Design and Repair Dams 1 and 2 (RF).....	1,328,000
	Repair Dams 11 and 14 (AB).....	3,485,000
	Total .....	\$14,240,000
	Grand Total .....	\$30,065,000

**Kentucky Teachers’ Retirement System (KTRS)**

KTRS was established to provide retirement, death and survivor, and disability benefits, and medical insurance. The membership is comprised of all persons occupying positions in the public elementary or secondary schools for which certification is required. Also, faculty members of regional universities, professional staff of the Department of Education and the Education and Workforce Development Cabinet, and faculty of the Kentucky School for the Deaf, the Kentucky School for the Blind, and state and area vocational schools are members. KTRS has approximately 73,200 active members and 56,600 retired members and beneficiaries currently receiving benefits. For the current planning period, KTRS reports one restricted fund project:

2024-2026 KTRS Office Building.....	\$8,300,000
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**Labor Cabinet**

The Labor Cabinet administers the workers’ compensation program and is responsible for promoting an equitable relationship between management and labor. The cabinet consists of the Office of the Secretary, the Department of Workplace Standards, and the Department of Workers’ Claims. For the current planning period, the cabinet reports no capital needs.

**Legislative Cabinet**

The legislative branch is responsible for the creation of Kentucky state laws. All space occupied by legislative staff is leased - either from another state agency or the private sector. All facilities management and maintenance functions are the responsibility of the entity from whom space is being leased. For the current planning period, the cabinet reports no capital needs.

**Occupational and Professional Boards and Commissions**

The occupational and professional boards and commissions, which are not administered through the Public Protection Cabinet's Office of Occupations and Professions, submit individual capital plans. No proposed projects or space needs are reported for any of the boards or commissions that report directly to CPAB.

**Office of State Budget Director**

The State Budget Director reports directly to the Governor. Some of the office's functions include preparation, administration, and evaluation of the executive budget, executive policy implementation and compliance, and evaluation of statewide management and administrative procedures and practices. For the current planning period, the office reports no capital needs.

**Office of the Attorney General**

The Office of the Attorney General performs a range of legal, investigative, and administrative duties in its role as the state's constitutional chief law enforcement officer. No proposed capital needs are reported.

**Office of the Governor**

The Governor serves as the Chief Administrator of the Commonwealth and, in addition to other powers and duties, acts as Commander in Chief of all state military forces. As Chief Administrator, the Governor ensures that state government provides the needed services to the citizens of the Commonwealth as efficiently as possible. All facilities are maintained by the Department for Facilities and Support Services, and all technology efforts are supported by the Commonwealth Office of Technology. No proposed capital needs are reported.

**Office of the Secretary of State**

The Office of the Secretary of State is the constitutional officer entrusted with filing, maintaining, and preserving the important documents and records of the Commonwealth. No proposed projects for the current planning period are reported.

**Office of the State Treasurer**

The Office of the State Treasurer is the central administrative state agency responsible for receipt and custody of all revenues collected by state government and for writing all checks and disbursing state funds. The agency reports the following equipment needs for the 2022-2024 biennium: Xerox check printer (\$132,000).

**Personnel Cabinet**

The Personnel Cabinet provides human resources management for Kentucky State Government and is largely consolidated in the State Office Building in Frankfort. No proposed projects are reported for the Personnel Cabinet.

**Public Protection Cabinet**

The cabinet is charged with the supervision and regulation of industries. The cabinet has six departments: Alcoholic Beverage Control; Financial Institutions; Housing, Buildings and Construction; Insurance; Charitable Gaming; and Professional Licensing. The cabinet reports no proposed capital projects for the current planning period.

**Registry of Election Finance and State Board of Elections**

The Registry of Election Finance is charged with the administration of Kentucky's campaign finance laws. The State Board of Elections administers the election laws of the state and supervises the registration and purgation of voters within the state. The two agencies are housed together in leased space at 140 Walnut Street in Frankfort. They report no proposed projects or space needs for the planning period.