

## BOARDS AND COMMISSIONS

### Board of Social Work

#### (Amended After Comments)

#### 201 KAR 23:075. Continuing education for renewal.

RELATES TO: KRS 335.070(3)~~[335.130(4)]~~

STATUTORY AUTHORITY: KRS 335.070(3), (6), 335.130(4)

CERTIFICATION STATEMENT: This is to certify that this administrative regulation complies with the requirements of 2025 RS HB 6, Section 8.

NECESSITY, FUNCTION, AND CONFORMITY: KRS 335.070(3) authorizes the board to promulgate administrative regulations pursuant to KRS Chapter 13A to carry out the provisions of KRS 335.010 to 335.160 and KRS 335.990. KRS 335.070(6) and KRS 335.130(4) allow the board to require continuing education as a condition of license renewal. This administrative regulation describes the requirements for continuing education for renewal and prescribes methods and standards for the board to approve continuing education courses.

#### Section 1. Definitions.

(1) "Academic course" means a course offered by an accredited board-approved postsecondary institution that is~~[" means a graduate level social work course"]~~:

(a) Designated by a social work title or content; or

(b) A graduate level academic course relevant to social work.

(2) "Approved" means recognized by the Kentucky Board of Social Work.

(3) "Continuing education hour" and "instruction" means fifty (50) continuous clock minutes of participation in continuing education programs.

(4) "Extension" means granting additional time for a licensee to complete the required continuing education hours for renewal.

(5) "In-Person Learning" means courses or programs attended in person before a live presenter.

(6) "Technology-Mediated Learning" means courses or programs delivered through electronic media or technology, including:

(a) Distance learning programs;

(b) Online or web-based platforms;

(c) Teleconferencing or virtual seminars;

(d) Self-paced online or self-study courses, provided mechanisms to assess comprehension, engagement, and completion are included, such as real-time quizzes or post-tests; or

(e) Other technology-assisted learning methods approved by the board.

(7) "Program" means an organized educational experience, which is:

(a) Planned and evaluated to meet behavioral objectives; and

(b) Presented in one (1) session or a series.

(8) ~~[(5)]~~ "Provider" means a person or an organization approved by the Kentucky Board of Social Work to provide a single continuing education program~~[over the course of one (1) year]~~.

(9) ~~[(6)]~~ "Relevant" means having content applicable to the practice of social work.

(10) ~~[(7)]~~ "Sponsor" means a person or an organization approved by the Kentucky Board of Social Work to provide ~~a [more than one (1)]~~ continuing education program or programs over the course of one (1) year from the date of approval.

(11) ~~[(8)]~~ "Training program in suicide assessment, treatment, and management" means an empirically supported training program approved by the board that is at least six (6)

hours in length and contains suicide assessment including screening and referral, suicide treatment, and suicide management as required by KRS 210.366.

(12) "Undue hardship" means a verifiable condition that imposes a significant and demonstrable barrier to compliance, such as, severe financial hardship, serious health issues, or other exceptional circumstances as substantiated by appropriate documentation.

(13) "Waiver" means a formal exception that releases a licensee from having to complete the specified continuing education requirements.

## Section 2. Accrual and Computation of Continuing Education Hours for Renewal.

(1) Each certified social worker and licensed clinical social worker shall complete a minimum of thirty (30) continuing education hours during the three (3) year period for renewal, which shall be completed in person or through technology-mediated learning~~[before a live presenter or through home or self-study, courses delivered through electronic media or technology including distance learning, online, or teleconference courses].~~

(2) Each licensed social worker shall complete a minimum of fifteen (15) continuing education hours during the three (3) year period for renewal, which shall be completed in person or through technology-mediated learning~~[before a live presenter or through home or self-study, courses delivered through electronic media or technology including distance learning, online, or teleconference courses].~~

(3) All continuing education hours shall be relevant to the licensee's level of licensure.

(4) Kentucky Code of Ethical Conduct. Each renewal period, as part of the required continuing education hours, each licensee shall complete a board approved minimum three (3) hour course on the Kentucky Code of Ethical Conduct established in 201 KAR 23:080, which shall be taken in person or through technology-mediated learning~~[before a live presenter or through courses delivered through electronic media or technology including distance learning, online, or teleconference courses].~~

(a) The required course shall utilize 201 KAR 23:080 in whole or in part for the course and shall be a minimum of three (3) instruction hours.

(b) All courses shall provide a framework for ethical decision-making and provide a copy of 201 KAR 23:080.

(c) The required course may focus on one or more of the following topics:

1. Maintaining Professional Boundaries: Recognizing and establishing boundaries to ensure professional integrity.
2. Dual Relationships and Conflicts of Interest: Identifying and managing situations where personal and professional roles may overlap.
3. Self-Disclosure: Understanding when, how, and if sharing personal information is appropriate.
4. Client Engagement and Welfare: Prioritizing the client's best interests while fostering ethical and effective relationships.
5. Confidentiality in Non-Clinical Practice: Ethical considerations for maintaining privacy in diverse social work settings.
6. Mandatory Reporting, Duty to Warn, Subpoenas, and Court Orders: Balancing confidentiality with legal obligations and ethical considerations.
7. Documentation and Record Keeping: Ensuring ethical accuracy, transparency, and confidentiality in professional records.
8. Ethical Use of Technology in Social Work: Navigating telehealth, social media, and digital tools ethically.
9. Ethical Decision-Making Models: Applying structured approaches to resolve ethical dilemmas effectively.
10. Ethics in addressing implicit bias and promoting equity in social work practice.
11. Practicing across state lines; or,

12. Other board approved topics related to 201 KAR 23:080.

(5) ~~[(Clinical Social Work Supervision.)]~~ Each renewal period, as part of the required continuing education hours, each licensed clinical social worker who is a board approved supervisor pursuant to 201 KAR 23:070, Section 4(1)~~d[(c)2.]~~, shall complete a three (3) hour, board approved clinical social work supervision course, which shall be taken in person or through technology-mediated learning~~[before a live presenter].~~

(6) Training Program in Suicide Assessment, Treatment, and Management. Every six (6) years, as part of the required continuing education hours, each licensee shall complete a minimum of six (6) hours of continuing education in a board approved training program in suicide assessment, treatment, and management as required by KRS 210.366(2).

(a) The training program in suicide assessment, treatment, and management shall be approved by the board, by a pre-approved provider or sponsor identified in Section 3(1) of this administrative regulation, or by one (1) of the following boards:

1. Kentucky Board of Licensure of Marriage and Family Therapists;
2. Kentucky Board of Licensed Professional Counselors;
3. Kentucky Board of Licensure for Pastoral Counselors;
4. Kentucky Board of Alcohol and Drug Counselors;
5. Kentucky Board of Examiners of Psychology; or
6. Kentucky Board of Licensure for Occupational Therapy.

(b) Exemptions. A licensee shall be exempted from completion of the training program in suicide assessment, treatment, and management if he or she:

1. Teaches or taught a graduate-level counseling course in suicide assessment, treatment, and management at least once during the six (6) year period; or
2. Teaches or taught a six (6) hour continuing education course in suicide assessment, treatment, and management at least once during the six (6) year period.

(7) Domestic Violence and Elder Abuse, Neglect, and Exploitation. During the three (3) year renewal period following initial licensure, as part of the required continuing education hours, each licensee shall complete a three (3) hour course in domestic violence, and elder abuse, neglect, and exploitation as defined by KRS 194A.540.

(8) Pediatric Abusive Head Trauma. At least one (1) time every six (6) years, as part of the required continuing education hours, each licensee shall complete one and one-half (1.5) hours of continuing education covering the recognition and prevention of pediatric abusive head trauma as defined in KRS 620.020.

(9) Telehealth. Social workers shall comply with the telehealth training requirements set forth in ~~As required by~~ KRS 335.158, including completion of ~~after July 1, 2025, all licensed social workers shall complete~~ a board-approved two-hour training course on the use of telehealth to provide social work services as ~~every six (6) years a~~ part of the required continuing education for license renewal.

(10) Academic Credit Equivalency. Academic credit equivalency for continuing education hours shall be based on one (1) credit hour equals fifteen (15) continuing education hours.

Section 3. Methods of Acquiring Continuing Education Hours. Continuing education hours for renewal shall be directly related to the professional growth and development of the licensee. The hours may be earned by completing any of the continuing education programs listed in this section.

(1) Preapproved programs not requiring board review and approval. Except for courses on the Kentucky Code of Ethical Conduct under Section 2(4) of this administrative regulation, and courses on clinical social work supervision under 201 KAR 23:070, Section 4(1)(c)2., which require separate review and approval by the board, an educational program from any of the following providers shall be deemed to be relevant

to the practice of social work and shall be approved without further review by the board if it is:

- (a) Sponsored or approved by:
    1. The Association of Social Work Boards (ASWB);
    2. The National Association of Social Workers (NASW) or any of its affiliated state chapters;
    3. The National Association of Black Social Workers (NABSW) or any of its affiliated state chapters;
    4. The North American Association of Christians in Social Work or any of its affiliated state chapters; or
    5. The Clinical Social Work Association or any of its affiliated state chapters;
  - (b) Sponsored by:
    1. The American Psychological Association or any of its affiliated state chapters;
    2. The American Counseling Association or any of its affiliated state chapters;
    3. The National Board for Certified Counselors or any of its affiliated state chapters;
    4. The American Psychiatric Association or any of its affiliated state chapters; or
    5. A college, school, department, or program of social work in Kentucky, which is accredited by the Council on Social Work Education (CSWE); or
  - (c) An academic course offered by an accredited postsecondary institution directly related to social work, counseling, or psychology.
- (2) Programs requiring board review and approval.
- (a) A program that is not provided or sponsored by a preapproved provider or sponsor identified in subsection (1) of this section shall be reviewed by the board and approved for continuing education credit if the board determines that it is:
    1. Relevant to the practice of social work; and
    2. Contributes to the continuing professional competency of licensees.
  - (b) The board may approve various methods in which a continuing education program is presented including:
    1. Technology-mediated learning [~~Home or self study, courses delivered through electronic media or technology including distance learning, online, or teleconference courses~~]; and
    2. In-service training, conferences, or workshops provided by other organizations, educational institutions, or other service providers [~~approved by the board~~].
  - (c) Board approval for technology-mediated learning [~~home or self study courses, courses delivered through electronic media or technology including distance learning, online, or teleconference courses~~] shall be obtained each year unless the continuing education program does not require board approval under subsection (1) of this section.
- (3) Relevant programs or academic courses presented by the licensee. A licensee who presents relevant programs or academic courses shall earn full continuing education credit for each contact hour of instruction, not to exceed one-half (1/2) of the continuing education renewal requirements. Credit shall not be issued for repeated instruction of the same course content or program within the same renewal period unless substantially revised or updated versions of a course or program can be clearly documented and approved by the board.
- (4) Relevant articles authored by the licensee. A licensee who is an author of a relevant article, which is published in a professionally recognized or juried publication, shall earn seven and a half (7 1/2) hours of the continuing education requirements for renewal if a certified social worker or fifteen (15) hours of the continuing education requirements for renewal if a licensed social worker or licensed clinical social worker, if the article was published within one (1) year immediately preceding his or her renewal date.

(5) The following continuing education courses shall be submitted to the board for approval and shall not be automatically preapproved under subsection (1) of this section:

(a) Kentucky Code of Ethical Conduct required by Section 2(4) of this administrative regulation; and

(b) Clinical social work supervision for board-approved supervisors required by 201 KAR 23:070, Section 4(1)(c)2.

(6) A licensee or board member may earn continuing education hours for renewal by attending a meeting of the board, in person, at the rate of one (1) hour of continuing education per board meeting up to a maximum of six (hours) per three (3) year renewal period.

#### Section 4. Procedures for Approval and Renewal of Continuing Education Providers and Programs.

(1) Provider Approval. A provider seeking approval of a continuing education program shall apply to the board no less than thirty (30) days in advance of the commencement of the program, and provide the information required by subsection (3) of this section.

(2) The board shall approve a continuing education program if it determines that the program being presented:

(a) Is relevant to the practice of social work;

(b) Contributes to the continuing professional competency of a licensee; and

(c) Has competent instructors with appropriate academic training, professional license or certification, or professionally recognized experience.

(3) The board may approve a provider of a continuing education program for one (1) year if the provider:

(a) Files a completed Provider ~~for Sponsor~~ Application for Continuing Education Approval, which includes:

1. A published program outline that includes an explanation of the program objectives;

2. The names and qualifications of the instructors presented in the form of resumes or curriculum vitas;

3. A copy of the evaluation sheet by which the licensee can assess and comment on the program;

4. A copy of the program agenda stating the number of continuing education credit hours, including all breaks;

5. The number of continuing education credit hours requested;

6. A copy of the official certificate of completion or attendance from the provider; and

7. A statement whether the provider is requesting approval to meet the requirements of the following courses:

a. Kentucky Code of Ethical Conduct required by Section 2(4) of this administrative regulation; or

b. Clinical social work supervision for board-approved supervisors required by 201 KAR 23:070, Section 4(1)(c)2.; and

(b) Pays an application fee, payable to the Kentucky State Treasurer, of:

1. \$100 for each one (1) day program of eight (8) hours or less; and

2. \$100 for each additional one (1) day program of eight (8) hours or less.

(4) If the provider is requesting approval to meet the requirements of the Kentucky Code of Ethical Conduct course required for renewal by Section 2(4) of this administrative regulation, a minimum of one (1) presenter or instructor for the course shall:

(a) Hold a degree in social work;

(b) Hold an active license to practice social work in the Commonwealth of Kentucky;

(c) Not have an unresolved, pending disciplinary action before the board; and

(d) Not be practicing social work under terms or conditions of supervision imposed by the board.

(5) If the provider is requesting approval for the clinical social work supervision course, whether the initial ~~six (6) [6-]~~hour course or the ~~three (3) [3-]~~hour renewal course required for board approved supervisors, each presenter or instructor shall meet all qualifications for board-approved supervisors as established in~~to meet the requirements of the clinical social work supervision course required for board approved supervisors by~~ 201 KAR 23:070~~[, Section 4(1)(c)2., each presenter and instructor for the course shall:]~~

~~[(a)] [Hold a degree in social work;]~~

~~[(b)] [Hold an active social work license in the Commonwealth of Kentucky;]~~

~~[(c)] [Be a board approved supervisor;]~~

~~[(d)] [Not have an unresolved, pending disciplinary action before the board; and]~~

~~[(e)] [Not be practicing social work under terms or conditions of supervision imposed by the board.]~~

(6) A provider of continuing education shall be responsible for providing documentation in the form of a certificate of attendance or completion directly to the licensee, as established in Section 7(5) of this administrative regulation.

(7) A provider of a continuing education program requiring board approval shall not advertise that a course has been approved before written board approval has been received.

(8) Provider Renewal. An approved provider shall submit a Provider or Sponsor Renewal Application for Continuing Education Approval for a subsequent one (1) year period by:

(a) Notifying the board that the original information required in this section for each program remains current; and

(b) Paying a renewal fee, payable to the Kentucky State Treasurer, of:

1. Fifty (50) dollars for each one (1) day program of eight (8) hours or less; and
2. \$100 for each additional one (1) day program of eight (8) hours or less.

#### Section 5. Procedures for Approval and Renewal of Continuing Education for Sponsors and Programs.

(1) Sponsor Approval. A sponsor seeking approval of continuing education programs shall apply to the board no less than thirty (30) days in advance of the commencement of the program, and provide the information required by subsection (3) of this section.

(2) The board shall approve a continuing education program if it determines that the program being presented meets the following criteria:

(a) Is relevant to the practice of social work;

(b) Contributes to the professional competency of the licensee; and

(c) Has competent instructors with appropriate academic training, professional licenses or certifications, or professionally recognized experience.

(3) The board may approve a sponsor of continuing education programs for one (1) year if the sponsor:

(a) Files a completed~~[Provider or]~~ Sponsor Application for Continuing Education Approval, which includes:

1. A published program outline that includes an explanation of the program objectives;
2. The names and qualifications of each presenter and instructor documented in the form of resumes or curriculum vitas;
3. A copy of the evaluation sheet by which the licensee can assess and comment on the program;
4. A copy of the program agenda stating the number of continuing education credit hours, including all breaks;
5. The number of continuing education credit hours requested;

6. A copy of the official certificate of completion from the sponsor; and
7. A statement whether the sponsor is requesting approval to meet the requirements of the following courses:

- a. Kentucky Code of Ethical Conduct required by Section 2(4) of this administrative regulation; or
- b. Clinical social work supervision for board-approved supervisors required by 201 KAR 23:070, Section 4(1)(c)2.; and

(b) Pays an initial application fee of \$250 made payable to the Kentucky State Treasurer.

(4) If the sponsor is requesting approval to meet the requirements of the Kentucky Code of Ethical conduct course required for renewal by Section 2(4) of this administrative regulation, a minimum of one (1) presenter or instructor for the course shall:

- (a) Hold a degree in social work;
- (b) Hold an active license to practice social work in the Commonwealth of Kentucky;
- (c) Not have an unresolved, pending disciplinary action before the board; and
- (d) Not be practicing social work under terms or conditions of supervision imposed by the board.

(5) If the sponsor is requesting approval for the clinical social work supervision course, whether the initial **six (6) [6-]**hour or the **three (3)[3-]**hour renewal course required for board-approved supervisors, each presenter or instructor shall meet all qualifications for board-approved supervisors as established in~~to meet the requirements of the clinical social work supervision course required for board approved supervisors by~~ 201 KAR 23:070~~, Section 4(1)(c)2., each presenter or instructor for the course shall:~~

- ~~[(a)] [Hold a degree in social work;]~~
- ~~[(b)] [Hold an active social work license in the Commonwealth of Kentucky;]~~
- ~~[(c)] [Be a board approved supervisor;]~~
- ~~[(d)] [Not have an unresolved, pending disciplinary action before the board; and]~~
- ~~[(e)] [Not be practicing social work under terms or conditions of supervision imposed by the board].~~

(6) The board shall periodically review the programs that a sponsor has provided to determine if the sponsor continues to meet the requirements of this administrative regulation.

(7) An approved sponsor shall submit an annual report to the board of the continuing education programs offered during that calendar year and shall include copies of attendance sheets and evaluations (or evaluation summaries) for each program.

(8) A sponsor of a continuing education program shall be responsible for providing documentation in the form of a certificate of attendance directly to the licensee, **so that the licensee can comply with the requirements** as established in Section 7(5) of this administrative regulation.

(9) A sponsor of a continuing education program requiring board approval shall not advertise that the course has been approved before written board approval has been received.

(10) Sponsor Renewal. An approved sponsor shall submit a Provider or Sponsor Renewal Application for Continuing Education Approval for a subsequent one (1) year period by notifying the board that the original information required in this section for each program remains current and by paying \$150 renewal fee made payable to the Kentucky State Treasurer.

(11) A sponsor may request to add a program after being approved as a sponsor so long as the program meets the requirements of this section, and the sponsor submits:

- (a) An application as required in this section; and
- (b) Pays a fee of **twenty-five (25) dollars** ~~+\$25~~ .

Section 6. Individual Request for Board Review and Approval of Continuing Education Courses.

(1) A licensee may request an individual review of a continuing education program that was otherwise not approved if it was completed during the three (3) year renewal period if the individual licensee:

(a) Applies for individual review by submitting the Individual Application for Continuing Education Approval that includes the:

1. Certificate of completion or attendance;
2. Resume of each instructor; and
3. Program agenda indicating hours of instruction; and

(b) Pays a fee of ten (10) dollars made payable to the Kentucky State Treasurer.

(2) The board's review shall be based on the standards for continuing education established by this administrative regulation.

(3) The board's approval of a continuing education program under this section shall:

(a) Qualify as if it has been obtained from an approved provider or sponsor; and

(b) Be limited to the particular program upon which the request for individual review is based.

Section 7. Responsibilities and Reporting Requirements of Licensees. Each licensee shall be responsible for obtaining the required continuing education hours for her or his renewal. The licensee shall identify his or her own continuing education needs, seek continuing professional education activities to meet those needs, and develop ways to integrate new knowledge, skills, and attitudes. Each licensee shall:

(1) Select approved programs by which to earn continuing education hours for renewal;

(2) Submit to the board, if applicable, a request for continuing education programs requiring approval by the board as established in Section 3 of this administrative regulation;

(3) Maintain her or his records of continuing education hours;

(4) At the time of renewal, list the continuing education hours obtained during that licensure renewal period; and

(5) If requested by the board, furnish documentation of continuing education courses completed at the time of his or her renewal.

(a) In each calendar year, the board shall require up to fifteen (15) percent of all licensees to furnish documentation of the completion of continuing education hours for the current renewal period;

(b) Documentation of continuing education hours shall not otherwise be reported to the board;

(c) Documentation shall take the form of official documents including:

1. Transcripts;
2. Certificates of completion or attendance;
3. Affidavits signed by instructors; or
4. Receipts for fees paid to the provider or sponsor; and

(d) Each licensee shall retain copies of his or her documentation for a period of one (1) year following the date of his or her last renewal.

Section 8. Board to Approve Continuing Education Hours; Appeal if Approval Denied. If an application for approval of continuing education hours is denied, in whole or part, the applicant may submit an appeal in writing within thirty (30) days of receipt of a denial. This decision will include a clear justification for the outcome. The appeal process does not suspend the applicant's obligation to meet the continuing education requirements. ~~[a licensee, provider, or sponsor may request the board to reconsider its decision. The request shall be in writing and shall be received by the board within thirty (30) days after the date of the board's decision denying approval of continuing education hours.]~~

Section 9. Waiver or Extensions of Continuing Education Requirements.

(1) In cases where a licensee is unable to meet the continuing education requirements due to a documented medical disability, illness, or undue hardship, the board may grant a waiver or extension of up to one calendar year. A licensee shall submit a written request including all relevant supporting evidence. The board reserves the right to request additional documentation if necessary.

(2) Waivers and extensions shall apply solely to non-statutory continuing education requirements; statutory requirements mandated by law shall remain ~~remain~~ obligatory.

~~(3) (1)~~ In individual cases involving medical disability, illness, or undue hardship as determined by the board, the board may grant waivers of the minimum continuing education requirements or extensions of time to fulfill the requirements for renewal or make the required reports of continuing education credits.

~~(4) (2)~~ A licensee may submit a written request to the board for a waiver or extension of time involving medical disability, illness, or undue hardship.

(a) If the request is based on medical disability or illness, the licensee shall include a written statement signed by a licensed physician.

(b) If the request is based upon undue hardship, the licensee shall submit a written explanation of the nature of the undue hardship.

(c) The board may request that a licensee provide additional information and verification by a third party.

~~(3) [The board may grant a waiver of the minimum continuing education requirements or an extension of time to a licensee to fulfill the continuing education requirements for renewal for a period of time not to exceed one (1) calendar year.]~~

~~(5) (4)~~ If the medical disability, illness, or undue hardship upon which a waiver or extension has been granted continues beyond the period of the waiver or extension, the licensee may reapply for the waiver or extension.

(a) If the reapplication is based on medical disability or illness, the licensee shall include a written statement signed by a licensed physician.

(b) If the reapplication is based upon undue hardship, the licensee shall submit a written explanation of the nature of the undue hardship.

(c) The board may request that a licensee provide additional information and verification by a third party to support the reapplication.

Section 10. Continuing Education Requirements for Reinstatement of Expired License.

(1) A certified social worker or a licensed clinical social worker who requests reinstatement of an expired license shall submit documentation of completion of thirty (30) hours of continuing education within the three (3) year period immediately preceding the date he or she submits the request for reinstatement to the board.

(2) A licensed social worker who requests reinstatement of an expired license shall submit documentation of completing fifteen (15) hours of continuing education within the three (3) year period immediately preceding the date he or she submits the request for reinstatement to the board.

(3) If the licensee requesting reinstatement cannot provide evidence of completion of the required hours of continuing education, the board may reinstate the license for six (6) months on the condition that the licensee obtain the required hours of continuing education for his or her level of licensure within six (6) months of the date the license is reinstated.

(a) The continuing education hours completed for reinstatement shall be in addition to the continuing education requirements for renewal established in Section 2 of this administrative regulation and shall not be used to comply with the requirements of that section.

(b) Failure to obtain the required continuing education hours within the approved six (6) month period shall result in termination of the reinstated license.

Section 11. Incorporation by Reference.

(1) The following material is incorporated by reference:

(a) "Provider or Sponsor Application for Continuing Education Approval", 4/2025~~[2/2017]~~; and

(b) ~~["Provider or Sponsor Renewal Application for Continuing Education Approval", 2/2017; and; and]~~

~~[(c)]~~ "Individual Application for Continuing Education Approval", 2/2017.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at Kentucky Board of Social Work, 125 Holmes Street, Suite 310, ~~[43-44 Fountain Place,]~~ Frankfort, Kentucky 40601, Monday through Friday, 8 a.m. to 4:30 p.m. or on the board's Web site [bsw.ky.gov](http://bsw.ky.gov).

*MARK KELLY, Executive Director*

APPROVED BY AGENCY: August 4, 2025

FILED WITH LRC: August 4, 2025 at 10:18 a.m.

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## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

**Contact Person:** Marc Kelly

**Subject Headings:** Boards and Commissions, Continuing Education, Social Work

**(1) Provide a brief summary of:**

**(a) What this administrative regulation does:**

This administrative regulation establishes requirements and procedures for continued education for licensed social workers in KY.

**(b) The necessity of this administrative regulation:**

This regulation is necessary to establish uniform requirements and procedures for the continued education of social workers.

**(c) How this administrative regulation conforms to the content of the authorizing statutes:**

KRS 335070(3) authorizes the board to promulgate administrative regulations and KRS 335.070(6) and KRS 335.130(4) allow the board to require continuing education as a condition of license renewal.

**(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:**

This administrative regulation will assist in effective administration by establishing uniform procedures and requirements for continued education of social workers.

**(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:**

**(a) How the amendment will change this existing administrative regulation:**

The amended regulations ensure compliance with KRS 335.158 and update language. The amendment clarifies "provider" and "sponsor" and allows the sponsor to add courses.

**(b) The necessity of the amendment to this administrative regulation:**

This regulation establishing uniform procedures and requirements for continued education of social workers to maintain a qualified workforce.

**(c) How the amendment conforms to the content of the authorizing statutes:**

The amended regulations are not outside the scope of the authorizing statutes.

**(d) How the amendment will assist in the effective administration of the statutes:**

Updated language allows for effective administration with ease of understanding.

**(3) Does this administrative regulation or amendment implement legislation from the previous five years? Yes. 2024 Ky. Acts ch. 53 Â§ 1.**

**(4) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:**

This administrative regulation will affect approximately 7,900 applicants for licensure as a social worker, and future applicants and the Kentucky Board of Social Work.

**(5) Provide an analysis of how the entities identified in question (4) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:**

**(a) List the actions that each of the regulated entities identified in question (4) will have to take to comply with this administrative regulation or amendment:**

There will not be any impact to currently regulated entities. These requirements currently exist but are now more clarified.

**(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (4):**

The only new cost associated with this administrative regulation is that a sponsor will pay a \$25 nonrefundable application fee to add a course after the sponsor has already been approved for providing courses.

**(c) As a result of compliance, what benefits will accrue to the entities identified in question (4):**

Compliance will result in uniform application of continued education requirements to applications for licensure renewal for social workers.

**(6) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:**

**(a) Initially:**

No costs are anticipated.

**(b) On a continuing basis:**

No costs are anticipated.

**(7) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation or this amendment:**

Funding for the KBSW comes from licensure and certification fees; the board does not receive any general funds.

**(8) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment:**

There is no anticipation of the funding needed to implement this administrative regulation, as the KBSW is already regulating applicants for licensure.

**(9) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:**

As stated above in 4(b), a \$25 application fee is added by this administrative regulation.

**(10) TIERING: Is tiering applied?**

No. All regulated persons have substantially the same requirements relative to the type of license sought.

## **FISCAL IMPACT STATEMENT**

**(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation:**

KRS 335.070(3) authorizes the board to promulgate administrative regulations pursuant to KRS Chapter 13A to carry out the provisions of KRS 335.010 to 355.160 and KRS 335.990. KRS 335.070(6) and KRS 335.130(4) allow the board to require continuing education as a condition of license renewal. This administrative regulation describes the requirements for continuing education for renewal and prescribes methods and standards for the board to approve continuing education courses.

**(2) State whether this administrative regulation is expressly authorized by an act of the General Assembly, and if so, identify the act:**

KRS 335.070(3).

**(3)(a) Identify the promulgating agency and any other affected state units, parts, or divisions:**

The Kentucky Board of Social Work is the promulgating agency. No other entities are affected.

**(b) Estimate the following for each affected state unit, part, or division identified in (3)(a):**

**1. Expenditures:**

**For the first year:**No new expenditures are expected.

**For subsequent years:**No new expenditures are expected.

**2. Revenues:**

**For the first year:**This regulation does not generate revenue.

**For subsequent years:**This regulation does not generate revenue.

**3. Cost Savings:**

**For the first year:**There are no cost savings associated with this regulation.

**For subsequent years:**There are no cost savings associated with this regulation.

**(4)(a) Identify affected local entities (for example: cities, counties, fire departments, school districts):**

No local entities will be affected.

**(b) Estimate the following for each affected local entity identified in (4)(a):**

**1. Expenditures:**

**For the first year:**None

**For subsequent years:**None

**2. Revenues:**

**For the first year:**None

**For subsequent years:**None

**3. Cost Savings:**

**For the first year:**None

**For subsequent years:**None

**(5)(a) Identify any affected regulated entities not listed in (3)(a) or (4)(a):**

There are no additional regulated entities.

**(b) Estimate the following for each regulated entity identified in (5)(a):**

**1. Expenditures:**

**For the first year:None**

**For subsequent years:None**

**2. Revenues:**

**For the first year:None**

**For subsequent years:None**

**3. Cost Savings:**

**For the first year:None**

**For subsequent years:None This regulation does not affect the entities identified.**

**(6) Provide a narrative to explain the following for each entity identified in (3)(a), (4)(a), and (5)(a)**

**(a) Fiscal impact of this administrative regulation:**

This regulation has no fiscal impact.

**(b) Methodology and resources used to reach this conclusion:**

This regulation provides continuing education requirements and has no associated costs or savings.

**(7) Explain, as it relates to the entities identified in (3)(a), (4)(a), and (5)(a):**

**(a) Whether this administrative regulation will have a "major economic impact", as defined by KRS 13A.010(14):**

This administrative regulation will not have a major economic impact on the entities identified.

**(b) The methodology and resources used to reach this conclusion:**

This regulation conforms to Social Work Licensure Compact requirements and has no associated fiscal impact.