2 KAR 2:040. Updated registration short forms for employers and legislative agents.

RELATES TO: KRS 6.611, 6.666(6)-(13), 6.807, 6.821, 6.824, 6.827, 6.829(3)
STATUTORY AUTHORITY: KRS 6.666(5), (6)
NECESSITY, FUNCTION, AND CONFORMITY: KRS 6.666(5) and (6) require the commission to promulgate administrative regulations to implement KRS 6.601 to 6.849 and to prescribe required forms. KRS 6.807(3) requires each employer or legislative agent registered with the Legislative Ethics Commission to file periodic updated registration forms. KRS 6.829(3) requires the commission to prescribe appropriate forms to file the information required by KRS 6.807. This administrative regulation establishes the short forms to be used by an employer or legislative agent if there is no expenditure or expense to be reported.

Section 1.
(1) The Legislative Agent's Updated Registration Statement, Short Form may be filed by a legislative agent if, during a reporting period, the legislative agent did not:
(a) Expend sums for lobbying activities; and
(b) Engage in a financial transaction governed by KRS 6.824.
(2) The Employer's Updated Registration Statement, Short Form may be filed by an employer if, during a reporting period, the employer:
(a) Did not:
1. Expend sums for lobbying activities; and
2. Engage in a financial transaction governed by KRS 6.824; and
(b) Paid only compensation to a legislative agent.
(3) The Legislative Agent's Updated Registration Statement, Short Form shall include:
(a) Name;
(b) Address;
(c) Telephone number;
(d) Name of employer; and
(e) The bills, resolutions, or administrative regulations lobbied on during the reporting period.
(4) The Legislative Employer's Updated Registration Statement, Short Form shall include:
(a) Name;
(b) Address;
(c) Telephone number;
(d) Name and position of person completing the form;
(e) Source of funds and financial resources;
(f) Name(s) of individual legislative agent(s) and compensation accrued for each reporting period;
(g) Bills, resolutions, or administrative regulations lobbied on during the reporting period; and
(h) Names of legislative agents terminated since last reporting period.

Section 2. The completed Legislative Agent's Updated Registration Statement, Short Form and Legislative Employer's Updated Registration Statement, Short Form shall be filed electronically at www.klec.ky.gov unless the employer or legislative agent is unable to use electronic filing. If electronic filing is not possible, the forms shall be mailed or delivered to the Legislative Ethics Commission at 22 Mill Creek Park, Frankfort, Kentucky 40601. All forms shall be filed in accordance with the schedule set out in KRS 6.807(3).

Section 3. Incorporation by Reference.
(1) The following material is incorporated by reference:

(a) "Legislative Agent's Updated Registration Statement, Short Form", 10/16; and

(b) "Employer's Updated Registration Statement, Short Form", 10/16.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Kentucky Legislative Ethics Commission, 22 Mill Creek Park, Frankfort, Kentucky 40601, Monday through Friday, 8:00 a.m. to 4:30 p.m.

(22 Ky.R. 1509; Am. 1814; eff. 4-5-1996; 25 Ky.R. 1427; 1868; eff. 2-18-1999; 27 Ky.R. 3289; 28 Ky.R. 347; eff. 8-15-2001; 43 Ky.R. 72, 390; eff. 10-7-2016; Cert. eff. 6-27-2023.)