

BOARDS AND COMMISSIONS
BOARD OF LICENSURE FOR OCCUPATIONAL THERAPY
(Amendment)

201 KAR 28:240. Occupational Therapy Licensure Compact.

RELATES TO: KRS 319A.310

STATUTORY AUTHORITY: KRS 319A.070(1), (3), 319A.310

CERTIFICATION STATEMENT:

NECESSITY, FUNCTION, AND CONFORMITY: KRS 319A.310, Section 15.B.1. requires the Board of Licensure for Occupational Therapy to review any rule adopted by the Occupational Therapy Compact Commission pursuant to Section 10 of the Compact within sixty (60) days of adoption for the purpose of filing the rule as an emergency administrative regulation pursuant to KRS 13A.190 and for filing the rule as an accompanying ordinary administrative regulation pursuant to KRS Chapter 13A. This administrative regulation incorporates by reference the rules adopted by the Occupational Therapy Compact Commission.

Section 1. The Board of Licensure for Occupational Therapy shall comply with all rules of the Occupational Therapy Compact, which includes the Occupational Therapy Compact Rules as of May 6, 2026~~[October 30, 2025]~~.

Section 2. Incorporation by Reference.

(1) The following material is incorporated by reference: "The Occupational Therapy Compact Rules", May 6, 2026~~[October 30, 2025]~~, and as revised.

(a) Definitions, adopted March 20, 2024;

(b) Data System Reporting Requirements, adopted March 20, 2024 and amended October 30, 2025;

(c) Implementation of Federal Bureau of Investigations Criminal Background Check (FBI CBC) Requirement, adopted April 16, 2025 and amended October 30, 2025;

(d) Member State Implementation, adopted April 16, 2025;

(e) Occupational Therapy Compact Fees: Administrative and State, adopted April 16, 2025; and

(f) Occupational Therapy National Exam Definition, adopted April 16, 2025;~~[-]~~

(g) Member State Default, Non-Compliance, and Dispute Resolution, adopted May 6, 2026;

(h) Converting a Privilege to Practice to a Home State License, adopted May 6, 2026; and

(i) Joint Investigations, adopted May 6, 2026.

(2)

(a) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Board of Licensure for Occupational Therapy, 500 Mero Street,~~[-2 SC 32,]~~ Frankfort, Kentucky 40601~~[40602]~~, Monday through Friday, 8 a.m. to 4:30 p.m.; or

(b) This material may also be obtained on the Board of Licensure for Occupational Therapy website at <https://bot.ky.gov/>.

(3) This material may also be obtained at:

(a) The Occupational Therapy Compact Commission, 201 Park Washington Court, Falls Church, Virginia 22046; or

(b) <https://otcompact.gov/ot-compact-commission/governance-documents/>.

JILL PHELPS, Board Chair

APPROVED BY AGENCY: May 14, 2026

FILED WITH LRC: July 6, 2026 at 3:45 p.m.

PUBLIC HEARING AND COMMENT PERIOD: A public hearing on this administrative regulation shall be held on September 22, 2026 at 11:00 AM, at the Mayo-Underwood Building, Room 127CW, 500 Mero Street, Frankfort, Kentucky. Individuals interested in being heard at this hearing shall notify this agency in writing by five (5) workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing was received by that date, the hearing may be cancelled. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through September 30, 2026. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person by using the PPC public comment portal at the address listed below.

CONTACT PERSON: Name: Catherine Falconer, Title: General Counsel, Agency: Department of Professional Licensing, Office of Legal Services, Address: 500 Mero Street, Phone Number: (502) 782-2735 (office), Fax: (502) 564-4818, Email: catherine.falconer@ky.gov, Link to PPC public comment portal: https://ppc.ky.gov/reg_comment.aspx

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Contact Person:Catherine Falconer, General Counsel, Phone: 502-782-2735, Email: catherine.falconer@ky.gov

Subject Headings:Occupational Therapy, Interstate Compacts, Licensing

(1) Provide a brief summary of:

(a) What this administrative regulation does:

This administrative regulation implements KRS 319A.310, the Occupational Therapy Licensure Compact.

(b) The necessity of this administrative regulation:

This administrative regulation is necessary because KRS 319A.310, Section 15.B.1. requires rules adopted by the Occupational Therapy Compact Commission to be promulgated as administrative regulations pursuant to KRS Chapter 13A.

(c) How this administrative regulation conforms to the content of the authorizing statutes:

This administrative regulation conforms to the specific requirements of the authorizing statute, KRS 319A.310, Section 15.B.1., which requires rules adopted by the Occupational Therapy Licensure Compact to be promulgated as administrative regulations pursuant to KRS Chapter 13A.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:

This administrative regulation conforms to the content of KRS 319A.310 which requires this promulgation.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation:

The amendment will update the regulation to conform with the update to the Occupational Therapy Compact Rules as of May 6, 2026.

(b) The necessity of the amendment to this administrative regulation:

This administrative regulation is necessary because KRS 319A.310, Section 15.B.1. requires rules adopted by the Occupational Therapy Compact Commission to be promulgated as administrative regulations pursuant to KRS Chapter 13A.

(c) How the amendment conforms to the content of the authorizing statutes:

The amendment conforms with the authorizing statutes by being filed within sixty (60) days of the adoption of the new rules by the Occupational Therapy Licensure Compact.

(d) How the amendment will assist in the effective administration of the statutes:

The amendment will ensure the Board and all affected licensees have access and full disclosure of the rules relating to the compact and the privilege to practice in Kentucky and other compact states.

(3) Does this administrative regulation or amendment implement legislation from the previous five years?Yes. This amendment implements KRS 319A.310, Created 2022 Ky. Acts ch. 164, sec. 1, effective July 14, 2022.

(4) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:

This regulation will affect approximately 4,398 active and inactive licensees and new applicants for licensure.

(5) Provide an analysis of how the entities identified in question (4) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (4) will have to take to comply with this administrative regulation or amendment:

No action is necessary.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (4):

There is no additional cost imposed by this administrative regulation.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (4):

As a result of compliance, they will be in compliance with the statute.

(6) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially:

There is no initial cost.

(b) On a continuing basis:

There is no continuing cost.

(7) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation or this amendment:

The board's operations are funded by fees paid by credential holders and applicants.

(8) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment:

No increase in fees or funding will be required.

(9) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:

This administrative regulation does not establish fees or directly or indirectly increase any fees.

(10) TIERING: Is tiering applied?

Tiering was not applied as the changes apply to all equally.

FISCAL IMPACT STATEMENT

(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation:

. KRS 319A.070(1), (3) and KRS 319A.310. Additionally, interstate compacts are specifically authorized under the federal constitution (Article 1, Section 10, Clause 3 (the "Compacts Clause")) and take precedence over any conflicting state law pursuant to the Compacts Clause and the Contracts Clause, U.S. Constitution, Article 1, Section 10, Clause 1.

(2) State whether this administrative regulation is expressly authorized by an act of the General Assembly, and if so, identify the act:

This administrative regulation is not expressly authorized by an act of the General Assembly.

(3)(a) Identify the promulgating agency and any other affected state units, parts, or divisions:

The Kentucky Board of Licensure for Occupational Therapy is the promulgating agency and the only other affected state unit, part or division.

(b) Estimate the following for each affected state unit, part, or division identified in (3)(a):

1. Expenditures:

For the first year:The compact is not yet operational, however, the expenditures needed for the first year are currently indeterminable. There will likely be some state expenditures necessary for data system programming, administering applications for compact privileges within and without the Commonwealth, as well as administering complaint and enforcement actions for those with the privilege to practice in other states.

For subsequent years:It will likely take more than one year for the data system and compact to become operational. There will likely be some state expenditures necessary for administering applications for compact privileges within and without the Commonwealth and which may require imposition of a fee to cover the cost of administration. However, expenditures, revenues and cost savings in subsequent years, if any, are currently indeterminable.

2. Revenues:

For the first year:The compact is not yet operational, however, the Board may require imposition of a fee to cover the cost of administration. However, at this time the potential revenues are undeterminable.

For subsequent years:It will likely take more than one year for the data system and compact to become operational. There will likely be some state expenditures necessary for administering applications for compact privileges within and without the Commonwealth and which may require imposition of a fee to cover the cost of administration. However, expenditures, revenues and cost savings in subsequent years, if any, are currently indeterminable.

3. Cost Savings:

For the first year:Indeterminable

For subsequent years:Indeterminable

(4)(a) Identify affected local entities (for example: cities, counties, fire departments, school districts):

None anticipated. (b) Estimate the following for each affected local entity identified in 4(a):

(b) Estimate the following for each affected local entity identified in (4)(a):

1. Expenditures:

For the first year:N/A.

For subsequent years:N/A.

2. Revenues:

For the first year:N/A.

For subsequent years:N/A.

3. Cost Savings:

For the first year:N/A.

For subsequent years:N/A.

(5)(a) Identify any affected regulated entities not listed in (3)(a) or (4)(a):

There are no other affected regulated entities not otherwise listed. (b) Estimate the following for each regulated identified in 5(a):

(b) Estimate the following for each regulated entity identified in (5)(a):

1. Expenditures:

For the first year:N/A.

For subsequent years:N/A.

2. Revenues:

For the first year:N/A.

For subsequent years:N/A.

3. Cost Savings:

For the first year:N/A.

For subsequent years:N/A.

(6) Provide a narrative to explain the following for each entity identified in (3)(a), (4)(a), and (5)(a)

(a) Fiscal impact of this administrative regulation:

It is possible there will be a fiscal impact of administering applications for compact privileges for in-state licensees who apply for the privilege to practice in another state, and for out-of-state licensees who apply for the privilege to practice in Kentucky. The Compact Commission is in its infancy and the work to be conducted by the state board on behalf of the compact is yet to be determined.

(b) Methodology and resources used to reach this conclusion:

Methodology and resources are currently indeterminable since there are no known duties outlined for the state in relation to the compact; however, given the number of licensees, current budget and anticipated number of applications for out-of-state licensees to obtain the privilege to practice in Kentucky, no major economic impact is anticipated.

(7) Explain, as it relates to the entities identified in (3)(a), (4)(a), and (5)(a):

(a) Whether this administrative regulation will have a "major economic impact", as defined by KRS 13A.010(14):

This administrative regulation will not have an overall negative or adverse major economic impact to the entities identified.

(b) The methodology and resources used to reach this conclusion:

Methodology and resources are currently indeterminable since there are no known duties outlined for the state in relation to the compact; however, given the number of licensees, current budget and anticipated number of applications for out-of-state licensees to obtain the privilege to practice in Kentucky, no major economic impact is anticipated.