

CABINET FOR HEALTH AND FAMILY SERVICES
Department for Behavioral Health, Developmental and Intellectual Disabilities
Division of Behavioral Health
(Amendment)

908 KAR 2:260. Targeted case manager: eligibility and training.

RELATES TO: KRS 200.503(3), KRS 222.005(12)~~[210.005(2), (3)]~~

STATUTORY AUTHORITY: KRS 194A.030~~[, 194A.050]~~, 210.450, 222.211

CERTIFICATION STATEMENT:

NECESSITY, FUNCTION, AND CONFORMITY: ~~[KRS 194A.050(1) requires the secretary of the Cabinet for Health and Family Services to promulgate administrative regulations necessary to protect the health of Kentucky citizens and to implement programs mandated by federal law or to qualify for the receipt of federal funds].~~ KRS 210.450 requires the secretary of the Cabinet for Health and Family Services to promulgate administrative regulations governing qualifications and training of personnel, quality of services, and standards for personnel management for community programs for behavioral health~~[for individuals with an intellectual disability]~~. KRS 222.211 authorizes the cabinet to promulgate administrative regulations to assure that there is the provision of prevention, intervention, and treatment services for both juveniles and adults to address the effects of substance use disorders on~~[problems of addiction to alcohol and other drug abuse within]~~ individuals, families, and communities. This administrative regulation establishes the minimum eligibility and training requirements for individuals providing behavioral health targeted case management services to a targeted behavioral health population.

Section 1. Definitions.

(1) "Annual renewal date" means the anniversary of the initial certification date listed on a targeted case managers certification.

(2) "Behavioral health practitioner under supervision" is defined by 907 KAR 15:005(4)~~[means an individual who is:]~~

~~[(a)]~~

- ~~[1.] [A licensed professional counselor associate;]~~
- ~~[2.] [A licensed assistant behavior analyst;]~~
- ~~[3.] [A certified social worker;]~~
- ~~[4.] [A marriage and family therapy associate;]~~
- ~~[5.] [A licensed professional art therapist associate;]~~
- ~~[6.] [A physician assistant; or]~~
- ~~[7.] [A certified alcohol and drug counselor; and]~~

~~[(b)] [Employed by or under contract with the same billing provider as the billing supervisor].~~

(3) ~~[(2)]~~ "Behavioral health professional" is defined by 907 KAR 15:040, Section 4(4)~~[means:]~~

- ~~[(a)] [An advanced practice registered nurse as defined by KRS 314.011(7);]~~
- ~~[(b)] [A licensed clinical social worker as defined by KRS 335.100;]~~
- ~~[(c)] [A licensed marriage and family therapist as defined by KRS 335.300(2);]~~
- ~~[(d)] [A licensed professional clinical counselor as defined by KRS 335.500(3);]~~
- ~~[(e)] [A licensed psychological practitioner as defined by KRS 319.053;]~~
- ~~[(f)] [A licensed psychologist as defined by KRS 319.010(6) and 201 KAR Chapter 26;]~~
- ~~[(g)] [A licensed professional art therapist as defined by KRS 309.130(2);]~~
- ~~[(h)] [A physician as defined by KRS 205.510(11);]~~
- ~~[(i)] [A psychiatrist;]~~

- ~~{(j)} [A behavioral health practitioner under supervision;]~~
- ~~{(k)} [A registered nurse as defined by KRS 314.011(5) working under the supervision of a physician or advanced practice registered nurse;]~~
- ~~{(l)} [A certified alcohol drug counselor as defined by KRS 309.083;]~~
- ~~{(m)} [A certified psychologist as defined by 201 KAR Chapter 26;]~~
- ~~{(n)} [A certified psychologist with autonomous functioning as defined by KRS 319.056;]~~
- ~~{(o)} [A certified social worker as defined by KRS 335.080;]~~
- ~~{(p)} [A licensed professional art therapist associate as defined by KRS 309.130(3);]~~
- ~~{(q)} [A licensed psychological associate as defined by KRS 319.010(6) and 201 KAR Chapter 26;]~~
- ~~{(r)} [A marriage and family therapy associate as defined by KRS 335.300(3);]~~
- ~~{(s)} [A physician assistant as defined by KRS 311.840(3);]~~
- ~~{(t)} [A licensed clinical alcohol and drug counselor as defined by KRS 309.080(4);]~~
- ~~{(u)} [A licensed clinical alcohol and drug counselor associate as defined by KRS 309.080(5); or]~~
- ~~{(v)} [An individual with a bachelor's degree in a behavioral science as defined in subsection (3) of this section who:]~~
 - ~~[1.] [Is working under the supervision of a billing supervisor; and]~~
 - ~~[2.] [Has at least five (5) years of documented full-time experience providing specialized case management services for the target population.]~~
- ~~{(3)} ["Behavioral science" means:]~~
 - ~~{(a)} [Psychology;]~~
 - ~~{(b)} [Sociology;]~~
 - ~~{(c)} [Social work;]~~
 - ~~{(d)} [Family studies;]~~
 - ~~{(e)} [Human services;]~~
 - ~~{(f)} [Counseling;]~~
 - ~~{(g)} [Nursing;]~~
 - ~~{(h)} [Behavioral analysis;]~~
 - ~~{(i)} [Public health;]~~
 - ~~{(j)} [Special education;]~~
 - ~~{(k)} [Gerontology;]~~
 - ~~{(l)} [Recreational therapy;]~~
 - ~~{(m)} [Education;]~~
 - ~~{(n)} [Occupational therapy;]~~
 - ~~{(o)} [Physical therapy;]~~
 - ~~{(p)} [Speech language pathology;]~~
 - ~~{(q)} [Rehabilitation counseling; or]~~
 - ~~{(r)} [Faith-based education].~~
- (4) "Case load" means the number of distinct clients~~[individuals]~~ for whom a targeted case manager bills for services from any payor, per month.
- (5) "Certification" means a credential earned by meeting the qualification and~~[successful completion of the]~~ training requirements established by~~[in]~~ this administrative regulation~~[as documented by notice of successful training completion submitted to the department from the training provider].~~
- (6) "Chronic or complex physical health condition" is defined by 907 KAR 15:050, Section 2(4)~~[means that:]~~
 - ~~{(a)} [Significant symptoms of a physical health condition have persisted in a client for a continuous period of at least six (6) months;]~~
 - ~~{(b)} [The symptoms of the physical health condition significantly impair the client's ability to function;]~~

- ~~{1.} {Socially, or}~~
- ~~{2.} {Occupationally which, for individuals under the age of twenty-one (21), includes impairment in an educational setting; and}~~
- ~~{(c)} {The physical health conditions include disorders under the following categories:}~~
 - ~~{1.} {Cardiovascular disorders;}~~
 - ~~{2.} {Respiratory disorders;}~~
 - ~~{3.} {Genitourinary disorders;}~~
 - ~~{4.} {Endocrine disorders;}~~
 - ~~{5.} {Musculoskeletal disorders;}~~
 - ~~{6.} {Neurological disorders;}~~
 - ~~{7.} {Immune system disorders;}~~
 - ~~{8.} {Gastrointestinal;}~~
 - ~~{9.} {Cancer; or}~~
 - ~~{10.} {Hematological}.~~
- (7) "Client" means an individual identified within a target population receiving targeted case management services.
- (8) "Continuing education requirement" means ~~{successful completion of the}~~ on-going training required to maintain a targeted case manager~~{requirements every three (3) years after the date of}~~ certification.
- (9) ~~"Core components" means the minimum knowledge and skills listed in Section 3(1) of this administrative regulation that an individual must demonstrate in order to successfully complete the training and meet eligibility requirements to provide targeted case management services.~~
- ~~{(10)}~~ "Department" means the Department for Behavioral Health, Developmental and Intellectual Disabilities (DBHDID).
- (10) ~~{(11)}~~ "Face-to-face" means:
 - (a) In person at ~~{in person, in}~~ the same location; or
 - (b) Through synchronous telehealth ~~{, and not through an electronic method}~~.
- (11) ~~{(12)}~~ "Serious mental illness" ~~{, "severe mental illness",}~~ or "SMI" means a severe mental illness as defined in 907 KAR 15:050, Section 2(2)~~{diagnosis of a major mental disorder as included in the current edition of the Diagnostic and Statistical Manual of Mental Disorders under:}~~
 - ~~{(a)} {Schizophrenia spectrum and other psychotic disorders;}~~
 - ~~{(b)} {Bipolar and related disorders;}~~
 - ~~{(c)} {Depressive disorders; or}~~
 - ~~{(d)} {Post-traumatic stress disorders (under trauma and stressor related disorders)}.~~
- (12) ~~{(13)}~~ "Severe emotional disability" or "SED" means child with a serious emotional disability as~~{is}~~ defined by KRS 200.503(3).
- (13) ~~{(14)}~~ "Substance use disorder" or "SUD" is defined by KRS 222.005(12)~~{means a primary moderate or severe substance use disorder diagnosis or co-occurring moderate to severe substance use disorder and mental health diagnosis as defined in the current edition of the Diagnostic and Statistical Manual of Mental Disorders}~~.
- (14) "Synchronous telehealth" means a telehealth service that simulates an in-person encounter via real-time interactive audio and video technology.
- (15) "Targeted case management services" means services furnished to assist a client in gaining access to needed medical, social, educational, or other needed services and supports~~{, including:}~~
 - ~~{(a)} {Assessment of the client's medical, social, and functional status and identification of the client's strengths and needs;}~~
 - ~~{(b)} {Arranging for service delivery from the client's, the client's legal guardian, or the client's custodial parent's chosen provider to insure access to required services;}~~

~~{(c)} [Facilitating access to needed services by explaining the need and importance of services in relation to the client's condition;]~~
~~{(d)} [Facilitating access, quality, and delivery of necessary services; and]~~
~~{(e)} [Preparation and maintenance of case record documentation to include care plans, forms, reports, and narratives as appropriate].~~

(16) "Targeted case manager" or "TCM" means an individual who, in accordance with this administrative regulation~~[is]~~:

- (a) Meets the targeted case manager eligibility requirements;
- (b) Is certified, trained, ~~[Trained]~~ and supervised; and
- (c) ~~[to perform]~~ Performs targeted case management services~~[in accordance with Section 2 of this administrative regulation;]~~
- ~~{(b)} [Responsible for conducting a comprehensive assessment and a periodic reassessment of an individual's strengths and needs; and]~~
- ~~{(e)} [Responsible for assisting an individual to gain access to identified medical, social, educational, and other service needs].~~

(17) "Targeted population" means an individual who meets the behavioral health criteria through diagnosis, duration, and disability for:

- (a) SMI;
- (b) SED;
- (c) SUD; or
- (d) SMI, SED, or SUD and a co-occurring chronic or complex physical health condition.

(18) "Training curriculum" or "training curricula" means a comprehensive set~~[total package]~~ of learning activities designed to achieve the goals of the training program for targeted case manager certification~~[with:]~~

~~{(a)} [The objective that trainees will acquire the specific knowledge and skills (competencies) needed to perform the duties of a targeted case manager; and]~~

~~{(b)} [Four (4) primary components, including:]~~

~~[1.] [Content or information to be transmitted, including:]~~

~~[a.] [Theoretical framework;]~~

~~[b.] [Conceptual rationale;]~~

~~[c.] [Best standards of practice;]~~

~~[d.] [Application to direct practice; and]~~

~~[e.] [Congruence between and within sections;]~~

~~[2.] [Organization of the curriculum, including structure, format, and sequencing, incorporating:]~~

~~[a.] [Concordance with the natural learning process;]~~

~~[b.] [Design of each section linked to specific learning objectives, with adequate time provided for each;]~~

~~[c.] [Linkages between sections;]~~

~~[d.] [Knowledge and skills that are conceptually related, or that are performed together on the job, are taught together; and]~~

~~[e.] [Retention and understanding facilitated by principles of sequencing (from simple to complex, from the universal to the exception, and from fundamental to more refined applications) and repetition;]~~

~~[3.] [Training methods appropriate to adult learners in an applied setting, in each section or topic area, including:]~~

~~[a.] [Discussion to promote exploration and understanding of the topic;]~~

~~[b.] [Experiential exercises or simulations; and]~~

~~[c.] [Presentation of the same concepts using a variety of learning strategies (for example, hearing, seeing, modeling, and then practicing) to ensure that trainees with different learning styles can assimilate the knowledge; and]~~

~~{4.} [Evaluation methods, including:]~~

~~{a.} [Trainee knowledge assessment through testing, with achievement of a passing aggregate assessment score of at least seventy (70) percent; and]~~

~~{b.} [Trainee performance reviews of trainers].~~

Section 2. Targeted Case Manager Eligibility Requirements.

(1) A behavioral health targeted case manager~~[for individuals with SMI, SED, or SUD]~~ shall:

(a)

1. Possess a bachelor's~~[bachelor of arts or science]~~ degree in any field~~[a behavioral science]~~;

2. ~~[Be a certified alcohol and drug counselor who has a bachelor of arts or science degree;]~~

~~{3.} Have provided targeted case management services to a client~~[recipient]~~ any time from April 1, 2014, to December 28, 2021~~[the effective date of this administrative regulation]~~; or~~

3. ~~{4.}~~ Have supervised the provision of targeted case management services to a client~~[recipient]~~ any time from April 1, 2014, to December 28, 2021~~[the effective date of this administrative regulation]~~;

(b) ~~[Have at least one (1) year of full-time employment experience after completing the educational requirements:]~~

~~{1.} [Working directly with adults in a human service setting; or]~~

~~{2.} [Working directly with individuals under the age of twenty-one (21) in a human service setting;]~~

~~{(c)}~~ Successfully complete a department approved targeted case management training within six (6) months of employment as a targeted case manager; and

(c) ~~{(d)}~~ Successfully complete department approved continuing education requirements every year~~[three (3) years]~~ thereafter.

(2) ~~[A master's degree in a behavioral science may substitute for the one (1) year of full-time employment experience required by subsection (3)(b)1 of this section.]~~

~~{(3)} [A targeted case manager for individuals with SMI, SED, or SUD and a co-occurring chronic or complex physical health condition shall be an individual with:]~~

~~{(a)} [A master's degree in a behavioral science from an accredited college or university and two (2) years of full-time employment experience providing service coordination or linking/referring for community based services for individuals with SMI, SED, or SUD and co-occurring physical or behavioral health disorders or multi-agency involvement; or]~~

~~{(b)} [A bachelor of arts or science degree from an accredited college or university in a behavioral science and who has:]~~

~~{1.} [At least five (5) years of full-time employment experience working with an individual with SMI, SED, or SUD and a co-occurring chronic or complex physical health condition;]~~

~~{2.} [Successful completion of a department approved targeted case management training within six (6) months of employment as a case manager; and]~~

~~{3.} [Successful completion of continuing education requirements every three (3) years thereafter.]~~

~~{(4)} [Targeted case managers who are serving individuals with SED, SMI, or SUD shall have:]~~

~~{(a)} [Individual face-to-face supervision which shall be provided at least monthly for at least one (1) year by a behavioral health professional who has completed the targeted case management training approved by the department; and]~~

- ~~[(b)] [Group supervision which shall be provided at least monthly for the duration of employment as a targeted case manager.]~~
- ~~[(5)] [The supervisor of a targeted case manager shall maintain documentation of the supervision.]~~
- ~~[(6)] [Targeted case managers who are serving an individual with an SED, SMI, or SUD and a co-occurring chronic or complex physical health condition shall have:]~~
- ~~[(a)] [Individual supervision which shall be provided at least three (3) times per month, with at least two (2) of these supervisory contacts on an individual face-to-face basis, for at least three (3) years by a behavioral health professional who has completed the targeted case management training approved by the department; and]~~
- ~~[(b)] [Group supervision which shall be provided at least monthly for the duration of employment as a targeted case manager.]~~
- ~~[(7)]~~ Δ [Beginning October 1, 2015, a] targeted case manager shall not exceed a case load size of twenty-five (25) unique clients receiving any service, excluding a client receiving mobile crisis services, crisis intervention services, or screenings.
- ~~(3)~~ ~~[(8)]~~ A targeted case manager shall:
- (a) Only provide targeted case management services to the targeted population for which the targeted case manager meets the educational, experiential, and training requirements; and
- (b) Not provide other behavioral health services in addition to targeted case management services for the same client.
- (4) Targeted case management services shall include:
- (a) Reviewing the client's medical, social, and functional status;
- (b) Completing a comprehensive assessment and periodic reassessment of the client's individual needs, including:
1. Gathering the client's history;
 2. Identifying the needs of the individual client;
 3. Gathering information from other sources, such as family members, medical providers, and the clinical team to form a complete assessment; and
 4. Accurately and timely completing all associated documentation;
- (c) Developing a specific care plan based on the information collected through the assessment that includes:
1. Specific goals and actions to address the medical, social, education and other services needed by the client;
 2. Active participation of the client and others to develop these goals; and
 3. Identifying a course of action to respond to the assessed needs of the client;
- (d) Arranging for service delivery from the client's, or his or her legal guardian's or custodial parent's, chosen provider to ensure access to required services;
- (e) Helping the client and others understand the need and importance of services in relation to his or her condition;
- (f) Facilitating access, quality, and delivery of necessary services; and
- (g) Monitoring and follow-up activities performed face-to-face a minimum of one (1) time per month to ensure that the care plan is effectively implemented and adequately addresses the needs of the client, including:
1. Ensuring that services are being furnished in accordance with the client's care plan;
 2. Determining if the services identified in the care plan are adequate;
 3. Evaluating if there is a change in needs or status of the client;
 4. Determining the client's progress toward the goals identified on his or her care plan; and
 5. Documenting all information from this paragraph for each interaction, and include:

- a. Patient identifiers;
- b. Date and time of contact;
- c. Details of the interaction; and
- d. The signature of the TCM.

Section 3. Training Requirements.

(1) To receive certification to provide behavioral health targeted case management services, a targeted case manager shall successfully complete ~~a~~the department approved training ~~that includes; and continuing education requirements established by this section.~~

(a) ~~Twelve (12) hours of~~ Twelve (12) hours of ~~the~~ core components designed to equip targeted case managers with essential skills to coordinate care, empower clients, and navigate complex healthcare systems effectively, including~~of the targeted case management training curriculum shall be at least twelve (12) hours and shall include~~:

- 1. Targeted ~~Core targeted~~ case management functions and guiding principles;
- 2. Engagement, culture, and advocacy. ~~Engaging consumers and family members~~;
- 3. Behavioral health conditions, treatment, and crisis management; and
- 4. Ethics and compliance ~~Strengths-based case management~~;
- ~~5. Ethics;~~
- ~~6. Behavioral health diagnosis and understanding treatment;~~
- ~~7. Integrated care;~~
- ~~8. Advocacy skills and empowering consumers and families;~~
- ~~9. Cultural awareness;~~
- ~~10. Developmental perspectives across the life span;~~ and
- ~~11. Documentation and billing.~~

(b) At least an additional six (6) hours of specialized training for the target population the targeted case manager is serving, which shall include the skills required to address the specific needs of each respective target population.

~~(c) Providers of approved training curricula shall notify the department within twenty (20) business days of a trainee's successful completion of a targeted case manager training.~~

(2) A targeted case manager shall complete at least six (6) hours of relevant continuing education requirements every year~~three (3) years~~. Training
~~(3) Required continuing education shall consist of acquiring at least six (6) hours of relevant continuing education each year in training~~ topics shall be directly related to:

- (a) Case management;
- (b) Behavioral health; or
- (c) The targeted case manager's ~~Each~~ respective target population.

(3)

(a) ~~(4)~~ No later than 11:59 p.m. eastern time one day prior to the targeted case manager's annual renewal date, he or she ~~A targeted case manager~~ shall submit documentation~~a list~~ of all continuing education trainings in which the targeted case manager participated and completed that includes:

- 1. The name of the trainee;
- 2. The name and date of the training;
- 3. The name of ~~the~~ the provider or presenter of the training;~~;~~ and
- 4. The ~~the~~ number of hours completed.

(b) The documentation shall be submitted ~~of each training to the Department~~ every year ~~in three (3) years through~~ the department-designated data system no later than 11:59 p.m. eastern time one day prior to the TCM's annual renewal date~~department's Web site. The submission due date shall be the last day of the month of which the targeted case manager's initial certification was completed~~.

- (c) The targeted case manager shall be responsible for completing all continuing education hours, and ensuring the timeliness, accuracy, and completeness of all documentation submitted in accordance with subsection (2) and (3) of this section.
- (4) ~~[(5)]~~ Targeted case managers certified prior to the effective date of this administrative regulation who do not have his or her prior continuing education hours submitted in the department-designated data system shall submit the documentation of prior continuing education hours no later than 11:59 p.m. eastern time one day prior to his or her next annual renewal date, and shall comply with the continuing education requirements established by this section ~~[prior to May 2018].~~
- (5) Failure to timely submit valid continuing education hours in accordance with this section, or submitting false or inaccurate documentation, shall result in a lapse of certification. The individual shall not provide targeted case management until the certification has been renewed.

Section 4. Training Provider. An entity shall apply to provide targeted case manager training by submitting their training curriculum in the department-designated data system. Training shall not be provided without the department's approval of the training curriculum.

- (1) Department approved training curriculum is valid for three (3) years, at which time the training shall not be provided without department approval of new, amended, or continued use of the training curriculum.
- (2) Providers of approved training curricula shall:
- (a) Organize and promote the training event;
 - (b) Establish an accessible registration process;
 - (c) Secure an adequate training venue;
 - (d) Provide necessary training materials for participants;
 - (e) Deliver the approved training curriculum;
 - (f) Submit a list of participants who successfully completed the training to the department-designated data system within twenty (20) business days of a trainee's successful completion of a targeted case manager training; and
 - (g) Maintain records of the training and participants for five (5) years.
- (3) If the training entity fails to complete the requirements of this subsection, the department may revoke their approval to provide the training curriculum.

Section 5. ~~[Section 4.]~~ Department Responsibilities. The department shall:

- (1) Make a determination to approve or deny a training curriculum within ~~[Within]~~ twenty (20) business days of submission of a training curriculum ~~[, approve or deny training curricula submitted by providers wishing to provide training to a targeted case manager or a prospective targeted case manager based on the training curriculum requirements established by Section 3(1)(a) of this administrative regulation];~~
- (2) Make available a process for targeted case managers to submit annual continuing education requirement documentation in the department-designated data system; and
- (3) ~~[(2)]~~ Maintain a record of:
- (a) ~~Approved [approved]~~ targeted case management training curricula, including contact information for providers of the trainings ~~[on the department's Web site];~~
 - (b) Targeted
- ~~[(3)] [Maintain a record of targeted]~~ case managers who have received a certificate of successful completion of a department approved targeted case management training ~~[on the department's Web site]; and~~
- (c) Documentation submitted in the department-designated data system.
- ~~[(4)] [Create and make available a process for recording continuing education of targeted case managers on the department's Web site; and]~~
- ~~[(5)] [Maintain a record of targeted case managers who have been certified or completed continuing education to provide targeted case management services on the department's~~

~~Web site.]~~

Section 6. ~~[Section 5.]~~ Supervision of a Targeted Case Manager.

- (1) A targeted case manager shall provide services under the supervision of a behavioral health professional ~~[in accordance with 907 KAR 15:040, 907 KAR 15:050, and 907 KAR 15:060];~~
- (2) The supervising behavioral health professional shall:
- (a) Complete initial [complete the] training requirements as described in Section 3(1) [and (2)] of this administrative regulation prior to providing supervision; [and]
 - (b) Comply with ongoing TCM continuing education requirements as described in Section 3(2) of this administrative regulation; and
 - (c) Ensure the TCM does not exceed the maximum caseload size in accordance with Section 2(2) of this administrative regulation.
- (3) Supervision of TCMs shall include:
- (a) Individual, face-to-face supervision which shall be provided at least monthly for at least one (1) year; and
 - (b) Group supervision at least monthly for the duration of a targeted case manager's employment.
- (4) The supervisor of a targeted case manager shall provide and maintain signed documentation of the supervision, that shall include: ~~[as specified in Section 2(4), (5), and (6) of this administrative regulation]~~
- (a) The TCMs name and caseload total;
 - (b) The date, time, and description of the mode of supervision used, including audio-video application, audio, face-to-face, or group;
 - (c) A summary of topics, cases, and issues discussed, including any duty-to-warn situations;
 - (d) Any decisions made, or recommendations provided; and
 - (e) Details of any canceled or missed supervision sessions.

COMPILER'S NOTE: 2025 RS HB 6, enacted by the General Assembly on March 27, 2025, altered the information to be provided at the time an administrative regulation is filed. Aside from formatting changes necessary to upload the regulation into the LRC's publication application, this regulation has been published as submitted by the agency.

908 KAR 2:260

KATHERINE R. MARKS, Ph. D., Commissioner

STEVEN J. STACK, MD, MBA, Secretary

APPROVED BY AGENCY: July 7, 2026

FILED WITH LRC: July 13, 2026 at 11:20 a.m.

PUBLIC HEARING AND COMMENT PERIOD: A public hearing on this administrative regulation shall, if requested, be held on September 21, 2026, at 9:00 a.m., Eastern Time / 8:00 a.m. Central Time, using the CHFS Office of Legislative and Regulatory Affairs Zoom meeting room. The Zoom invitation will be emailed to each requestor the week prior to the scheduled hearing. Individuals interested in attending this virtual hearing shall notify this agency in writing by September 14, 2026, five (5) workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who attends virtually will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on this proposed administrative regulation through September 30, 2026. Send written notification of intent to attend the public hearing or

written comments on the proposed administrative regulation to the contact person. Pursuant to KRS 13A.280(8), copies of the statement of consideration and, if applicable, the amended after comments version of the administrative regulation shall be made available upon request.

CONTACT PERSON: Krista Quarles, Policy Analyst, Office of Legislative and Regulatory Affairs, 275 East Main Street 5 W-A, Frankfort, Kentucky 40621; Phone: 502-564-7476; Fax: 502-564-7091; CHFSregs@ky.gov.

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Contact Person:Jessica Beaubien, Krista Quarles **Phone Number:** (502) 564-4527, (502) 564-7476 **Email:** jessical.beaubien@ky.gov, CHFSregs@ky.gov

Subject Headings:Behavioral Health, Mental Health, Occupations and Professions, Substance Use

(1) Provide a brief summary of:

(a) What this administrative regulation does:

This administrative regulation establishes the minimum eligibility, training, and duty requirements for individuals providing behavioral health targeted case management services to a targeted behavioral health population.

(b) The necessity of this administrative regulation:

This administrative regulation is necessary to provide the minimum eligibility, training, and duty requirements for individuals providing behavioral health targeted case management services to a targeted behavioral health population.

(c) How this administrative regulation conforms to the content of the authorizing statutes:

This administrative regulation conforms to the authorizing statutes through fulfilling the requirements in KRS 194A.030, 210.450, and 222.211.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:

This administrative regulation assists the effective administration of the statutes by providing the minimum eligibility, training, and duty requirements for individuals providing behavioral health targeted case management services to a targeted behavioral health population. (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation:

This amendment adds provisions about eligibility, training, and duty requirements for individuals providing behavioral health targeted case management services to a targeted behavioral health population. It also adds details about the supervision of targeted case managers.

(b) The necessity of the amendment to this administrative regulation:

This amendment is necessary to ensure targeted case managers meets quality standards, and to ensure compliance with KRS 210.450 and 222.211.

(c) How the amendment conforms to the content of the authorizing statutes:

The amendment conforms to the authorizing statutes by detailing the requirements of a behavioral health targeted case manager. This fulfills the requirements in KRS 210.450 and 222.211.

(d) How the amendment will assist in the effective administration of the statutes:

This amendment will assist in the effective administration of statutes by detailing the requirements necessary to ensure targeted case managers meets quality standards, and to ensure compliance with KRS 210.450 and 222.211.

(3) Does this administrative regulation or amendment implement legislation from the previous five years? Yes, this amendment addresses HB 505 (RS 2024) which created KRS 222.233.

(4) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:

There are 14 community mental health centers (CMHCs), 152 behavioral health services organizations (BHSOs), 694 alcohol and other drug entities (AODEs), and various behavioral health private practitioners. Approximately 8,741 individuals currently hold a targeted case manager certification.

(5) Provide an analysis of how the entities identified in question (4) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (4) will have to take to comply with this administrative regulation or amendment:

There is no change required for clients. Employers of behavioral health targeted case managers will need to update the required qualifications of potential targeted case managers, however, because this amendment broadens the educational requirements and reduces the experience requirements, this will provide a larger pool of individuals who will qualify. Behavioral health targeted case managers and behavioral health targeted case manager supervisors are already required to perform the duties detailed in this amendment.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (4):

There will be minimal to no additional costs to the identified entities since there is no change in duty or supervision requirements for targeted case managers. No other costs have been identified.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (4):

Because this amendment broadens the educational requirements and reduces the experience requirements, this will provide a larger pool of individuals who will qualify.

(6) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially:

The Department for Behavioral Health, Developmental, and Intellectual Disabilities estimates no costs to implement the proposed amendment.

(b) On a continuing basis:

The Department for Behavioral Health, Developmental, and Intellectual Disabilities estimates it will have no cost on a continuing basis.

(7) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation or this amendment:

This administrative regulation and this amendment will be funded through state general funds.

(8) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment:

There is no increase in fees or funding as a result of the proposed amendment because there will be no additional costs to the identified entities.

(9) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:

There are no new or increased fees as a result of the proposed amendment.

(10) TIERING: Is tiering applied?

Tiering is not applied because this administrative regulation will be applied in a like manner statewide.

FISCAL IMPACT STATEMENT

(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation.

KRS 194A.030, 210.450, and 222.211.

(2) Identify the promulgating agency and any other affected state units, parts, or divisions:

The promulgating agency is the Cabinet for Health and Family Services, Department for Behavioral Health, Developmental and Intellectual Disabilities. No other state agency, unit, part, or division is affected.

(a) Estimate the following for the first year:

Expenditures:Minimal. Existing staff time for implementation is the only expenditure.

Revenues:None.

Cost Savings:None. (a) How will expenditures, revenues, or cost savings differ for subsequent years? They will not differ for subsequent years.

(b) How will expenditures, revenues, or cost savings differ in subsequent years?

None

(3) Identify affected local entities (for example: cities, counties, fire departments, school districts):

(a) Estimate the following for the first year:

Expenditures:N/A

Revenues:N/A

Cost Savings:N/A

(b) How will expenditures, revenues, or cost savings differ in subsequent years?

They will not differ for subsequent years.

(4) Identify additional regulated entities not listed in questions (2) or (3):

Community Mental Health Centers and any hospital or behavioral health organization that utilizes targeted case management.

(a) Estimate the following for the first year:

Expenditures:Minimal to no additional expenditures.

Revenues:N/A

Cost Savings:None

(b) How will expenditures, revenues, or cost savings differ in subsequent years?

They will not differ for subsequent years.

(5) Provide a narrative to explain the:

(a) Fiscal impact of this administrative regulation:

The overall fiscal impact of this administrative regulation is minimal.

(b) Methodology and resources used to determine the fiscal impact:

The department reviewed existing staff qualifications and compared that to the staff qualifications it will take to implement this administrative regulation.

(6) Explain:

(a) Whether this administrative regulation will have an overall negative or adverse major economic impact to the entities identified in questions (2) - (4). (\$500,000 or more, in aggregate)

This administrative regulation will not have an overall negative or adverse major economic impact on the identified entities.

(b) The methodology and resources used to reach this conclusion:

The department reviewed existing staff qualifications and compared that to the staff qualifications it will take to implement this administrative regulation.