IN THIS ISSUE

Regulation Review Procedure ........................................... 1715

Emergency Regulations Now In Effect:
Fish and Wildlife .......................................................... 1716
Corrections ................................................................. 1716
Justice ............................................................................ 1722
Human Resources ............................................................ 1729

As Amended:
Agricultural Experiment Station ........................................ 1730

Proposed Amendments:
Board of Hairdressers and Cosmetologists ......................... 1731
Fish and Wildlife ............................................................ 1733
Natural Resources – Air Pollution ....................................... 1748
Corrections ..................................................................... 1753
Board of Tax Appeals ....................................................... 1760
Financial Institutions – Securities .................................... 1762
Harness Racing Commission .............................................. 1765
Human Resources ............................................................ 1769

Proposed Regulations Received Through April 15:
Board of Pharmacy .......................................................... 1792
Fish and Wildlife ............................................................ 1793
Natural Resources – Air Pollution ....................................... 1794
Corrections ..................................................................... 1797
Financial Institutions – Securities .................................... 1806
Harness Racing Commission .............................................. 1807
Human Resources ............................................................ 1809

Minutes of the Administrative Regulation Review Subcommittee ...... 1812

CUMULATIVE SUPPLEMENT

Locator Index – Effective Dates ........................................... K2
KRS Index ......................................................................... K11
Subject Index ..................................................................... K20

UNLESS WRITTEN NOTIFICATION OF INTENT TO ATTEND
A PUBLIC HEARING IS RECEIVED BY THE PROMULGATING
AGENCY AT LEAST FIVE (5) DAYS BEFORE THE HEARING
DATE, THE HEARING MAY BE CANCELLED.

MEETING NOTICE: The next meeting of the Administrative Regulation
Review Subcommittee is May 5 and 6, 1986. For information, call
502-564-8100, ext. 312.
REGULATION REVIEW PROCEDURE

Filing and Publication
Administrative bodies shall file with the Regulations Compiler all proposed administrative regulations, including public hearing information (described below), the tiering statement required by KRS 13A.210, the regulatory impact analysis as required by KRS 13A.240, and the fiscal note required by KRS 13A.250.

All proposed administrative regulations received by the deadline required in KRS 13A.050, as well as the information required above, shall be published in the Administrative Register.

Following publication in the Administrative Register, all proposed administrative regulations shall be referred by the Legislative Research Commission to the appropriate committee or subcommittee for review.

Public Hearing
The administrative body shall schedule a public hearing on proposed administrative regulations, proposed amendments to administrative regulations, and proposed repeal of administrative regulations to be held not less than twenty (20) nor more than thirty (30) days following publication of the administrative regulation. The time, date, and place of the hearing and the name and address of the agency contact person shall be included on the last page of the administrative regulation when filed with the Compiler's office.

Any person interested in attending the scheduled hearing must submit written notification of such to the administrative body at least five (5) days before the scheduled hearing. If no written notice is received at least five (5) days before the hearing, the administrative body may cancel the hearing.

If the hearing is cancelled, the administrative body shall notify the Compiler immediately by telephone of the cancellation with a follow-up letter and the Compiler will place the letter of cancellation in the file of the original administrative regulation. If the hearing is held, the administrative body shall submit within fifteen (15) days following the hearing a statement of consideration summarizing the comments received at the hearing and the administrative body's responses to the comments.

No transcript of the hearing need be taken unless a written request for a transcript is made, and the person requesting the transcript shall have the responsibility of paying for same. A recording may be made in lieu of a transcript.

If an administrative body has several proposed administrative regulations published at the same time, the proposed administrative regulations may be grouped at the convenience of the administrative body for purposes of hearings.

Review Procedure
If a proposed administrative regulation is amended as a result of the public hearing, the amended version shall be published in the next Administrative Register; and following publication shall be sent to the appropriate committee for review at its next meeting. If a proposed administrative regulation is not amended as a result of the hearing or if the hearing is cancelled, the regulation shall be sent to the appropriate committee for review at its next meeting. The administrative regulation shall be considered as adopted and in effect as of adjournment on the day the subcommittee meets.

EMERGENCY REGULATIONS NOW IN EFFECT

(NOTE: Emergency regulations expire 90 days from publication or upon replacement or repeal.)

STATEMENT OF EMERGENCY

The Swan Lake Wildlife Management Area has only recently become the property of the Commonwealth, having previously been in private ownership. The time required by the promulgation process for an ordinary administrative regulation would either delay the department's opening the facility to the public or leave the area unprotected by regulation during the promulgation period. Intense public interest in utilizing the area and the need to regulate its use can best be satisfied in a timely manner by the immediate effectiveness of an emergency regulation. The emergency regulation will be replaced by an ordinary administrative regulation.

MARTHA LAYNE COLLINS, Governor
DON R. MCCORMICK, Commissioner

Volume 12, Number 11 – May 1, 1986
TOURISM CABINET

Department of Fish and Wildlife Resources

301 KAR 4:050E. Swan Lake Wildlife Management Area restrictions.

RELATES TO: KRS 150.010, 150.015, 150.025, 150.300, 150.600, 219.370
PURSUANT TO: KRS 13A.350, 150.025
EFFECTIVE: March 28, 1986
NECESSITY AND FUNCTION: This regulation is necessary to prescribe appropriate use restrictions for the Swan Lake Wildlife Management Area. Its function is to prevent disturbance of wintering migratory waterfowl and to provide for the protection and maintenance of the property and its associated wildlife.

Section 1. Access. The Swan Lake Wildlife Management Area, except such portions as are designated wildlife observation areas, is closed to all public access, including by boat during periods of high water, from October 15 through March 15. Only authorized Department of Fish and Wildlife Service employees on official business shall enter the premises during this period.

Section 2. Hunting Restrictions. (1) Wild turkey. Turkey hunting is prohibited.
(2) Frogs. Firearms may not be used for hunting frogs.
(3) Waterfowl hunting is prohibited on the body of water known as Swan Lake.

Section 3. Dog Training. Dog training is prohibited.

Section 4. Camping Restrictions. (1) Location. Camping is restricted to designated areas.
(2) Length of time. No one shall camp on this management area for more than fourteen (14) consecutive days.
(3) Pets. All pets in the camping area must be leashed.
(4) Only primitive camping is permitted. Recreational vehicle camping is prohibited.

Section 5. Motor restrictions for the lakes located on the Swan Lake Wildlife Management Area in Ballard County are as follows. These lakes are closed October 15 to March 15, annually.
(1) Maximum 10 horsepower: Swan Lake
(2) Electric motors only
(a) Sandy Slough (f) Arrowhead Slough
(b) Long Pond (g) Deep Slough
(c) Cross Slough (h) Beaver Dam Slough
(d) Little Green Sea (i) Cypress Slough
(e) Burnet Pond (j) Twin Pocket Slough

G. WENDELL COMBS, Secretary
DON R. MCCORMICK, Commissioner
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: March 27, 1986
FILED WITH LRC: March 28, 1986 at 1 p.m.

STATEMENT OF EMERGENCY

In order to continue to operate the Corrections Cabinet in accordance with KRS Chapter 196, the Corrections Cabinet needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because the affected agency policy must be revised as soon as possible to allow the cabinet to implement a systemwide inmate grievance procedure and to make significant alterations in the operation of the cabinet's Intensive Supervision Program. This emergency regulation will be replaced by the ordinary administrative regulation filed with the Legislative Research Commission on April 11, 1986 in accordance with KRS Chapter 12A.

MARTHA LAYNE COLLINS, Governor
GEORGE W. WILSON, Secretary

CORRECTIONS CABINET

501 KAR 6:020E. Corrections policies and procedures.

RELATES TO: KRS Chapters 196, 157, 439
EFFECTIVE: April 14, 1986
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet, the following policies and procedures are incorporated by reference on April 14, [February 19] 1986 and hereinafter should be referred to as Corrections Policies and Procedures [for institutional policies and procedures]. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

1.1 Legal Assistance for Corrections Staff
1.2 News Media
1.6 Extraordinary Occurrence Reports
(1) [Amended 2/10/86]
1.11 Population Counts and Reporting Procedures
2.1 Inmate Canteen
3.1 Code of Ethics
3.2 Inclement Weather and Emergency Conditions Policy
3.3 Holding of Second Jobs by Bureau Employees
3.7 Employment of Relatives
3.10 Staff Clothing and Persona' Appearance
3.12 Institutional Staff Housing
3.14 Corrections Cabinet Payroll Deduction Policy and Procedure
4.1 Attendance at Professional Meetings
4.2 Staff Training and Development
4.3 Firearms and Chemical Agents Training
4.4 Educational Assistance Program
6.1 Open Records Law
8.4 Emergency Preparedness
9.1 Use of Force
9.3 Transportation of Convicted Offenders
9.4 Transportation of Inmates to Funerals or bedside Visits
9.5 Return of Escaped by Automobile
9.6 Contraband
9.7 Storage, Issue and Use of Weapons including Chemical Agents
9.8 Search Policy
9.9 Transportation of Inmates
9.10 Security Inspections

Volume 12, Number 11 - May 1, 1986
Administrative Register - 1717

9.15 Institutional Entry and Exit Policy and Procedures
9.18 Informants
10.1 Inmates Serving a Sentence of Death
10.2 Special Management Inmates
10.3 Safekeepers
10.4 Special Needs Inmates
11.2 Nutritional Adequacy of the Diet for Inmates
11.3 Special Diet Procedures
12.1 Resident Clothing
13.1 Pharmacy Policy and Formulary
13.2 Health Maintenance Services
13.3 Medical Alert System
13.4 Health Program Audits
14.2 Personal Hygiene Items
14.3 Marriage of Inmates [(Amended 2/19/86)]
14.4 Legal Services Program
14.6 Inmate Grievance Procedure (Added 4/14/86)
15.1 Hair and Grooming Standards
15.2 Offenses and Penalties
15.3 Meritorious Good Time
15.4 Governor’s Meritorious Good Time Award
15.5 Restoration of Forfeited Good Time
15.6 Adjustment Procedures and Programs
16.1 General Inmate Visiting Procedure
16.2 Inmate Correspondence
16.3 Telephone Calls
17.1 Inmate Personal Property
17.2 Assessment Center Operations [(Amended 1/13/86)]
17.3 Controlled Intake of Inmates
18.4 Classification of the Inmate
18.5 Custody/Security Guidelines
18.6 Classification Document
18.7 Transfers
18.8 Guidelines for Transfers Between Institutions
18.9 Out-of-State Transfers
18.10 Pre-Parole Progress Reports
18.11 Kentucky Correctional Psychiatric Center Transfer Procedures
18.12 Referral and Procedure for Inmates Adjudged Guilty But Mentally Ill
18.13 Population Categories
19.1 Government Services Projects
19.2 Community Services Projects
20.1 Study Release
20.6 Vocational Study Release
22.1 Privilege Trips
25.1 Gratuities
25.2 Public Official Notification of Release of an Inmate
25.3 Pre-Release
25.4 Inmate Furloughs
25.5 Community Center Program [(Amended 12/13/85)]
25.7 Expedient Release
25.8 Extended Furloughs
27.1 Supervision: Case Classification
27.2 Risk/Needs Administration
27.4 Supervision Plan: General
27.8 Travel Restrictions
27.9 Conditions of Supervision
27.10 Preliminary Revocation Procedures
27.11 Apprehension and Transportation of Violators of Probation, Parole and Conditional Release
27.12 Fugitive Section/Probation and Parole
27.13 Supervision Fee
27.18 Abscondor Procedures
27.19 Technical Violators
27.20 Intensive Supervision (Amended 4/14/86)
28.2 Investigations: General (To the Court)
28.3 Pre-Sentence Investigation (To the Court)
28.4 Pre-Parole (Pre-Sentence) Investigation (To the Institution and State Parole Board)
28.5 Special Report to the Parole Board
28.7 Out-of-State Investigations

(2) The Kentucky State Reformatory Procedures Memorandum:

KSR 01-00-09 Public Information and News Media Relations
KSR 01-00-10 Entry Authorization for All Cameras and Tape Recorders Brought into the Institution
KSR 01-00-14 Extraordinary Occurrence Report
KSR 01-00-15 Cooperation and Coordination with Oldham County Court
KSR 01-00-18 Assistant Duty Officers
KSR 01-00-19 Personal Service Contract Personnel
KSR 01-00-20 Consent Decree Notification to Inmates
KSR 02-00-01 Inmate Canteen (Amended 2/19/86)
KSR 02-00-03 Screening Disbursements from Inmate Personal Accounts
KSR 02-00-11 Inmate Personal Accounts (Amended 2/19/86)
KSR 02-00-12 Institutional Funds and Issuance of Checks
KSR 03-00-01 Shift Assignment/Reassignment
KSR 03-00-02 Employee Dress and Personal Appearance
KSR 03-00-05 Intra-Agency Promotional Opportunity Announcements
KSR 03-00-06 Employee Time and Attendance
KSR 03-00-07 Travel Expense Reimbursement (Amended 2/19/86)
KSR 03-00-08 Employee Tuition Assistance Reimbursement
KSR 03-00-10 Workers' Compensation
KSR 03-00-11 Equal Employment Opportunity Complaints
KSR 03-00-12 Employee Grievance Procedure
KSR 03-00-14 Prohibited Employee Conduct, Disciplinary Actions, and Appeals Process
KSR 03-00-15 Affirmative Action Program
KSR 03-00-16 Confidentiality of Personnel Records
KSR 03-00-19 Establishment of Personnel Records and Employee Right to Challenge Information Contained Therein
KSR 03-00-20 Personnel Selection, Retention and Promotion
KSR 03-00-21 Equal Employment Opportunities for Institutional Job Assignments and Job Classification Promotions
KSR 03-00-23 Work Planning and Performance Review (WPPR) (Amended 2/19/86)
KSR 03-00-24 Inclement Weather and Employee Work Attendance
KSR 03-00-25 Medical Examination Requirements for New Employees
KSR 04-00-02 Staff Training and Development
KSR 05-00-02 Research Activities
KSR 05-00-03 Management Information Systems
KSR 06-00-01 Inmate Master File
KSR 06-00-02 Records Audit
KSR 06-00-03 Security of Inmate Records
KSR 07-00-02 Institutional Tower Room Regulations
KSR 07-00-03 Guidelines for Contractors
KSR 08-00-07 Inmate Family Emergency - Life Threatening Illness or Death in Inmate's Immediate Family

Volume 12, Number 11 - May 1, 1986
KSR 08-00-08 Notification of Inmate Family in Case of Serious Injury, Critical Medical Emergency, Major Surgery, or Death of an Inmate (Amended 2/19/86)
KSR 08-00-09 Emergency Preparedness Training
KSR 09-00-04 Horizontal Gates/Box 1 Enter and Exit Procedure
KSR 09-00-05 Gate 1 Entrance and Exit Procedure
KSR 09-00-14 Use of Force
KSR 09-00-21 Crime Scene Camera
KSR 09-00-22 Collection, Preservation, and Identification of Physical Evidence
KSR 09-00-23 Drug Abuse Testing
KSR 09-00-25 Inmate Motor Vehicle Operator's License
KSR 10-00-02 Special Management Inmates - Operations, Rules and Regulations for Unit D
KSR 10-00-03 Special Needs Unit
KSR 10-00-04 Unit D Admission/Release Ticket
KSR 11-00-01 Meal Planning for the General Population (Amended 2/19/86)
KSR 11-00-02 Special Diets
KSR 11-00-03 Food Service Inspections
KSR 11-00-04 Dining Room Dress Code for Inmates
KSR 11-00-06 Health Standards/Regulations for Food Service Employees
KSR 11-00-07 Early Chow Line Passes for Medically Designated Inmates
KSR 12-00-01 Inmate Summer Dress Regulations
KSR 12-00-02 Sanitation and General Living Conditions
KSR 12-00-03 State Items Issued to Inmates
KSR 12-00-07 Regulations for Inmate Barbershop
KSR 13-00-01 Identification of Mentally Retarded Inmates
KSR 13-00-02 Regulations for Hospital Patients
KSR 13-00-03 Medication for Inmates Leaving Institution Grounds
KSR 13-00-04 Dental Care for Inmates (Amended 2/19/86)
KSR 13-00-05 Medical and Dental Sick Call
KSR 13-00-06 Services for Mentally Retarded Inmates
KSR 13-00-07 Referral of Inmates Considered to Have Severe Emotional Disturbances
KSR 13-00-08 Institutional Laboratory Procedures
KSR 13-00-09 Institutional Pharmacy Procedures (Amended 2/19/86)
KSR 13-00-10 Requirements for Medical Personnel
KSR 13-00-11 Preliminary Health Evaluation and Establishment of Inmate Medical Record (Amended 2/19/86)
KSR 13-00-12 Vision Care/Optometry Services
KSR 13-00-14 Periodic Health Examinations for Inmates
KSR 13-00-15 Medical Alert System (Amended 2/19/86)
KSR 13-00-16 Suicide Prevention and Intervention Program
KSR 14-00-01 Inmate Rights
KSR 14-00-02 A/C Center and Unit D Inmate Access to Legal Aide Services
KSR 14-00-04 Inmate Grievance Procedure
KSR 15-00-01 Operational Procedures and Rules and Regulations for Unit A, B, and C
KSR 15-00-02 Regulations Prohibiting Inmate Control or Authority Over Other Inmate(s)
KSR 15-00-03 Governor's Meritorious Good Time Award
KSR 15-00-04 Restoration of Forfeited Good Time
KSR 15-00-05 Differential Status for SU (QUIT) Inmates
KSR 15-00-06 Inmate I.D. Cards
KSR 15-00-07 Inmate Rules and Discipline - Adjustment Committee Procedures
KSR 16-00-01 Visiting Regulations
KSR 16-00-02 Inmate Correspondence and Mailroom Operations
KSR 16-00-03 Inmate Access toTelephones
KSR 17-00-01 Housing Unit Assignment
KSR 17-00-03 Notifying Inmates of Admission and Procedures for Mail and Visiting
KSR 17-00-04 Assessment/Classification Center Operations, Rules and Regulations
KSR 17-00-05 Dormitory 10 Operations
KSR 17-00-06 Identification Department Admissions and Discharge Procedures
KSR 17-00-07 Inmate Personal Property
KSR 18-00-01 Special Management Inmates - Unit D Classification
KSR 18-00-04 Returns from Other Institutions
KSR 18-00-05 Transfer of Residents to Kentucky Correctional Psychiatric Center
KSR 18-00-06 Classification
KSR 18-00-07 Special Notice Form
KSR 19-00-01 Inmate Work Incentives (Amended 2/19/86)
KSR 19-00-02 On-the-Job Training Program
KSR 19-00-03 Safety Inspections of Inmate Work Assignment Locations
KSR 20-00-01 Vocational School Referral and Release Process
KSR 20-00-03 Academic School Programs
KSR 20-00-04 Criteria for Participation in Jefferson Community College Program (Amended 2/19/86)
KSR 20-00-08 Integration of Vocational and Academic Education Programs
KSR 21-00-01 Legal Aid Office and Law Library Services and Supervision
KSR 21-00-02 Inmate Library Services
KSR 21-00-03 Library Services for Unit D
KSR 22-00-03 Inmate Organizations
KSR 23-00-02 Chaplain's Responsibility and Inmate Access to Religious Representatives
KSR 23-00-03 Religious Programming
KSR 25-00-01 Discharge of Residents to Hospital or Nursing Home
KSR 25-00-02 Violations of Law or Code of Conduct by Inmates on Parole Furlough
KSR 25-00-03 Pre-Parole Progress Report

(3) The Kentucky State Penitentiary Operations Memorandum:

KSP 000000-06 Administrative Regulations (Amended 2/19/86)
KSP 010000-04 Public Information and Media Communication (Amended 2/19/86)
KSP 020000-01 General Guidelines for KSP Employees
KSP 020000-02 Service Regulations, Attendance, Hours of Work, Accumulation and Use of Leave
KSP 020000-03 Work Planning and Performance Review (WPPR)
KSP 020000-04 Employee Disciplinary Procedure
KSP 020000-05 Proper Dress for Uniformed and Non-Uniformed Personnel
KSP 020000-06 Employee Grievance Procedure (Amended 2/19/86)
KSP 020000-07 Personnel Registers and Advertisements
KSP 020000-09 Maintenance, Confidentiality, and Informational Challenge of Material Contained in Personnel Files
KSP 020000-10 Overtime Policy
KSP 020000-15 Legal Assistance
KSP 020000-20 Equal Employment Opportunity Complaints
KSP 020000-23 Recruitment and Employment of Ex-Offenders
KSP 020000-24 Educational Assistance Program
KSP 020000-25 Mediation and Appeal Procedure for WPR
KSP 020000-29 Promotional Opportunity Announcement Program
KSP 030000-01 Inventory Records and Control Requisition and Purchase of Supplies and Equipment
KSP 030000-05 Inmate Personal Funds
KSP 030000-06 Inmate Commissary Program
KSP 040000-02 Inmate Records Section
KSP 040000-08 Inmate Equal Opportunity Policy
KSP 050000-14 Searches of Inmates, Visitors, Staff, Vehicles, Cells and Area Shakedown and Preservation of Evidence
KSP 060000-01 Special Security Unit (Added 2/19/86)
KSP 060000-02 Operational Procedures for Disciplinary Segregation, Administrative Segregation, Administrative Control and Behavioral Control Units
KSP 060000-04 Operational Procedures for Special Management Inmates Assigned to Protective Custody (Amended 2/19/86)
KSP 060000-11 Criteria for Disciplinary Segregation and Incentive Time Reduction Program
KSP 060000-12 Maximum Protective Custody
KSP 070000-01 Hospital Services
KSP 070000-02 Sick Call
KSP 070000-03 Health Evaluations
KSP 070000-04 Consultations
KSP 070000-05 Emergency Medical Procedure
KSP 070000-13 Pharmacy Procedures
KSP 070000-14 Medical Records
KSP 070000-16 Psychiatric and Psychological Services
KSP 070000-17 Dental Services for Special Management Units
KSP 070000-19 Optometric Services
KSP 070000-20 Menu Preparation and Planning
KSP 070000-24 Food Service, General Sanitation, Safety, and Protection Standards and Requirements
KSP 070000-25 Food Service Inspections
KSP 070000-30 Therapeutic Diets
KSP 090000-01 Inmate Work Programs
KSP 090000-03 Correctional Industries
KSP 100000-02 Visiting Program
KSP 100000-03 Disposition of Unauthorized Property
KSP 100000-04 Inmate Grooming and Dress Code
KSP 100000-05 Procedures for Providing Clothing, Linens and Other Personal Items
KSP 100000-06 Mail
KSP 100000-07 Inmate Telephone Access
KSP 100000-08 Behavioral Counseling Record
KSP 100000-09 Due Process/Disciplinary Procedures
KSP 100000-11 Authorized and Unauthorized Property for Inmates
KSP 100000-14 Property Room: Clothing Storage and Inventory
KSP 100000-15 Uniform Standards for Fire Safety, Sanitation and Security of all Cells
KSP 100000-18 Inmate Grievance Committee Hearing
KSP 100000-20 Legal Services Program
KSP 100000-21 Photocopies for Non-Indigent Inmates with Special Court Deadlines
KSP 100000-22 Special Management Unit Legal Services Program
KSP 100000-24 Resident Legal Services Office Library
KSP 100000-25 WKFC Resident – Access to Kentucky State Penitentiary Legal Library
KSP 110000-03 Governor’s Meritorious Good Time Award Committee
KSP 110000-04 Pre-Parole Progress Report
KSP 110000-06 General Guidelines for the Classification Committee
KSP 110000-07 Statutory Good Time Restoration
KSP 110000-08 Award of Meritorious Good Time
KSP 110000-10 Special Needs Inmates (Amended 2/19/86)
KSP 110000-11 Classification Committee – Transfer Requests
KSP 110000-12 Classification Committee – Inmate Work Assignments
KSP 110000-13 Classification Document
KSP 110000-14 Vocational School Placement
KSP 110000-15 Transfers to Kentucky Correctional Psychiatric Center (KCPC)
KSP 110000-16 Consideration of Further Treatment Requirements for Inmates Prior to Release
KSP 110000-19 Custody/Security Guidelines
KSP 120000-04 Academic Education
KSP 120000-07 Community Center Program
KSP 120000-08 Inmate Furloughs
KSP 120000-11 Religious Services – Staffing
KSP 120000-18 Religious Services – Religious Programming
KSP 120000-20 Marriage of Inmates
KSP 120000-24 Muslim Services
KSP 120000-31 Extended Furloughs
KSP 120000-32 Discharge of Inmates by Shock Probation
KSP 130000-10 Execution Plan

(4) The Luther Luckett Correctional Complex Policies and Procedures:

LLCC 01-08-01 Institutional Legal Assistance
LLCC 01-09-01 Public Information and News Media Access
LLCC 01-12-01 Duty Officer Responsibilities
LLCC 02-01-02 Fiscal Management: Accounting Procedures
LLCC 02-01-03 Fiscal Management: Agency Funds
LLCC 02-01-04 Fiscal Management: Insurance
LLCC 02-03-01 Fiscal Management: Audits
LLCC 02-06-01 Property Inventory
LLCC 03-01-01 General Guidelines for LLCC Employees
LLCC 03-01-02 Service Regulations, Attendance Accumulation and use of Leave
LLCC 03-02-01 Proper Dress for Uniformed Personnel
LLCC 03-03-01 Employee Grievance Mechanism
LLCC 03-04-01 Employee Records
LLCC 03-05-01 Personnel Registers
NTC 06-01-01 Offender Records
NTC 06-01-02 Records - Release of Information
NTC 06-01-03 Taking Offender Record Folders onto the Yard
NTC 08-05-01 Duties of Fire Safety Officer
NTC 08-05-02 Fire Procedures
NTC 08-05-03 Fire Prevention
NTC 08-05-04 Storage of Flammables and Dangerous Chemicals and Their Use
NTC 08-05-05 Control of Flammables, Toxic, Caustic, and Other Hazardous Chemicals and Janitorial Supplies
NTC 10-01-01 Special Management Inmates (Amended 2/19/86)
NTC 10-01-02 Legal Aid Visits for Special Management Inmates
NTC 11-03-01 Food Services: General Guidelines
NTC 11-04-01 Food Service: Meals
NTC 11-05-02 Menu, Nutrition and Special Diets
NTC 11-06-01 Medical Screening of Food Handlers
NTC 11-07-01 Inspections and Sanitation
NTC 11-07-01 Purchasing, Storage and Farm Products
NTC 12-01-01 Institutional Inspections
NTC 12-02-01 Personal Hygiene for Inmates: Clothing and Linens
NTC 12-02-02 Personal Hygiene Items
NTC 13-01-01 Emergency Medical Care Plan
NTC 13-01-02 Emergency and Specialized Health Services
NTC 13-02-01 Administration and Authority for Health Services
NTC 13-03-01 Sick Call and Pill Call
NTC 13-04-01 Pharmacy
NTC 13-05-01 Dental Services
NTC 13-06-01 Licensure and Training Standards
NTC 13-07-01 Provisions for Health Care Delivery
NTC 13-08-01 Medical and Dental Records
NTC 13-09-01 Special Diets
NTC 13-11-01 Inmate Health Screening and Evaluation
NTC 13-12-01 Disabled and Infirm Inmates
NTC 13-13-01 Medical Alert System
NTC 13-14-01 Management of Chemically Dependent Inmates
NTC 13-15-01 Health Education for Inmates
NTC 13-16-01 Continuity of Health Care for Inmates Assigned to Health Services
NTC 13-17-01 Psychological Services
NTC 13-19-01 Mentally Retarded Inmates
NTC 13-19-03 Suicide Prevention and Intervention Program
NTC 14-01-01 Legal Services Program
NTC 14-02-01 Inmate Grievance Procedure
NTC 14-03-01 Inmate Rights and Responsibilities
NTC 15-01-01 Restoration of Forfeited Good Time
NTC 15-02-01 Due Process/Disciplinary Procedures
NTC 15-02-02 Extra Duty Assignments
NTC 15-03-01 Rules for Inmates Assigned to Outside Detail
NTC 15-03-02 Rules and Regulations for Dormitories
NTC 15-04-01 Inmate Identification
NTC 16-01-01 Mail Regulations (Amended 2/19/86)
NTC 16-02-01 Visiting (Amended 2/19/86)
NTC 16-02-02 Extended and Special Visits
NTC 16-02-03 Honor Dorm Visiting (Amended 2/19/86)
NTC 16-03-01 Inmate Furloughs
NTC 16-05-01 Telephone Use and Control
NTC 17-01-01 Personal Property Control

NTC 17-01-02 Authorized Inmate Personal Property
NTC 17-01-03 Unauthorized Inmate Property
NTC 17-01-04 Disposition of Unauthorized Property
NTC 17-03-01 Assessment/Orientation (Amended 2/19/86)
NTC 18-01-01 Pre-Parole Progress Report
NTC 18-01-02 Parole Eligibility Dates
NTC 18-02-01 Classification
NTC 18-05-01 Transfers to Other Institutions
NTC 18-05-02 Transfer of Inmates to Kentucky Correctional Psychiatric Center
NTC 19-01-01 Inmate Work Program (Amended 2/19/86)
NTC 20-01-01 Academic School Program
NTC 21-01-01 Library Services
NTC 22-03-01 Conducting Inmate Organizational Meetings and Programs
NTC 23-01-01 Religious Services
NTC 23-03-01 Marriage of Inmates
NTC 24-04-01 Honor Status
NTC 24-05-01 Unit Management
NTC 25-01-01 Release Preparation Program
NTC 25-01-02 Temporary Release/Community Center Release
NTC 25-02-01 Funeral Trips and Beside Visits
NTC 25-03-01 Inmate Release Procedure
NTC 26-01-02 Certification of Volunteers and Guests

(6) The Kentucky Correctional Institution for Women Policies and Procedures:

KCIW 01-06-01 Legal Assistance for Corrections Staff
KCIW 01-08-01 News Media Access
KCIW 02-01-01 Comprehensive Insurance Coverage
KCIW 02-02-01 Fiscal Management: Audits
KCIW 02-02-03 Fiscal Management: Checks
KCIW 02-03-01 Inventory Control of Non-Expansible Personal Property
KCIW 02-03-03 Criteria for Selection of Bidders and Vendors
KCIW 02-04-01 Accounting Procedures
KCIW 02-05-01 Inmate Canteen/Staff Canteen
KCIW 02-07-01 Release of C.E.T.A. Money Earned
KCIW 03-01-01 Travel Expense Reimbursement
KCIW 03-02-01 General Orders for all Staff
KCIW 03-03-01 Employee Grievance Procedure
KCIW 03-05-01 Employee Personnel File
KCIW 03-06-01 Affirmative Action EEO and the Equal Employment Opportunity Complaint Procedure
KCIW 03-08-01 Employee Performance Evaluations
KCIW 03-09-01 Payroll and Personnel Manning Records
KCIW 03-10-01 Promotion Committee
KCIW 03-11-01 Personnel Registers
KCIW 03-12-01 Criminal History Checks on all Personnel and the Recruitment and Employment of Ex-Offenders
KCIW 06-01-01 Inmate Records
KCIW 06-01-02 Transfers to Community Centers and the Minimum Security Unit
KCIW 06-01-03 Storage of Expunged Records
KCIW 10-01-01 Special Management Unit: General Operation and Regulations
KCIW 10-01-02 Special Management Unit Programs, Placement and Review
KCIW 11-01-01 Food Service Operations, Inspections
KCIW 11-01-02 Budgeting, Accounting, and Purchasing Procedures for Food Products
KCIW 11-02-01 Menu Preparation/Special Diets
KCIW 11-03-01  General Guidelines for Food
KCIW Service Operations Manager
KCIW 11-03-02  General Guidelines for Food
KCIW Service Workers
KCIW 11-04-01  Health Standards, Regulations for
KCIW Food Service Employees
KCIW 12-01-01  Control of Pests and Vermin
KCIW 12-02-01  Laundry
KCIW Facilities/Clothing
KCIW 12-02-03  Issuance
KCIW 12-04-01  Sanitation and General Living
Conditions
KCIW 13-01-01  Provision of Medical and Dental
KCIW Care
KCIW 13-01-02  Preliminary Health Screening and
KCIW Appraisal
KCIW 13-01-03  Use of Pharmaceutical Products
KCIW 13-01-04  Emergency Care
KCIW 13-03-02  Infirmary Care and Outside
Services
KCIW 13-03-03  Outside Hospital Security
KCIW 13-04-01  Medical Alert System
KCIW 13-04-02  Psychiatric/Psychological Services
KCIW 13-06-01  Informed Consent
KCIW 13-07-01  Detoxification and Alcohol or
Chemical Dependency Guidelines
KCIW 13-08-01  Medical Exams for New Employees
KCIW 14-01-02  Inmate Rights
KCIW 14-02-01  Access to Attorneys and
Designated Counsel Substitutes
KCIW 14-03-01  Inmates Are Not Subject to
Discrimination Based on Race,
Religion, National Origin, Sex,
Handicap, or Political Beliefs
KCIW 14-04-01  Inmate Grievance Procedure
KCIW 15-01-01  Offenses and Penalties
KCIW 15-02-01  Adjustment Committee Procedures
and Programs
KCIW 15-03-01  Inmate Rule Book
KCIW 15-04-01  Incentive Levels System
KCIW 16-01-01  Inmate Correspondence
KCIW 16-01-02  Inmate Mail Distribution
KCIW 16-01-03  Staff Mail
KCIW 16-02-01  Inmate Access to Telephone
KCIW 16-02-02  Inter-Institution Phone Calls
KCIW 16-05-01  Unnamed Inmates
KCIW 16-03-02  Unauthorized Items for Picnic
Lunches, Food Packages and
Regular Packages
KCIW 16-04-01  Inmate Indigent Fund
KCIW 16-05-01  Vendor Packages, Appliance Orders
and Drug Store Orders
KCIW 17-01-01  Assessment Center Operation and
Reception Programs
KCIW 17-01-02  Assessment/Classification Center
Operations, Rules and Regulations
KCIW 17-01-03  Assessment and Classification
Unit Property Guidelines
KCIW 17-02-01  Identification Department
Admissions
KCIW 17-03-01  Notifying Inmates Families of
Admission and Procedures for Mail
and Visiting
KCIW 17-05-01  Inmate Personal Property
KCIW 18-01-02  Institution Housing Assignments
KCIW 18-01-03  Classification Procedures
KCIW 18-05-01  Special Needs Inmates
KCIW 18-06-01  Status Codes
KCIW 19-01-01  Inmate Work/Program Assignments
KCIW 19-03-01  Landscape and Maintenance Work
Details
KCIW 20-01-01  Education Programs
KCIW 20-01-03  Vocational Education: Curriculum
Flexible Schedule, up-grade
programs and release preparation
program
KCIW 20-01-04  Entry - Exit Vocational School
KCIW 20-01-05  Vocational Programs: Approved,
assessed and contain guidelines
for vocational records
KCIW 20-01-06  Vocational Education: Staffing
patterns/requirements
KCIW 20-01-07  Vocational Counselor
KCIW 20-01-08  Vocational Education: Community
Resources and the integration
with academic progress
KCIW 20-01-09  Vocational Education: Support
Equipment
KCIW 20-01-10  Control of Flammable, Hazardous,
Toxic and Caustic Materials in
the Vocational Area
KCIW 22-01-04  Temporary Release/Community Center
KCIW 22-01-05  Religious Services
KCIW 25-01-01  Pre-Parole Progress Report
KCIW 25-02-01  Temporary Release/Community Center
KCIW 25-02-02  Furloughs

(7) The Food Service Manual, Offender Records
Manual, Classification Manual and Livestock
Procedures Manual are hereby approved by the
Secretary of the Corrections Cabinet as
authorized by KRS 196.035, 197.020, 439.470,
439.590 and 439.640.

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 14, 1986
FILED WITH LRC: April 14, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:010E. Definitions.

RELATES TO: KRS 16.040, 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION: KRS 16.040 and 16.050
provide that the Commissioner of the Kentucky
State Police and the State Police Personnel
Board may adopt such regulations as necessary to
assure appointment of qualified officers to the
department. This regulation establishes the
definitions to be utilized in the administrative
regulations concerning the selection process.

Section 1. As employed in these regulations, unless the context requires otherwise, the following words and phrases have the following
meanings:
(1) "Department" means the Department of State Police.
(2) "Commissioner" means the Commissioner of the Department of State Police.
(3) "Board" means the State Police Personnel Board.
(4) "Officer" means any member of the Department of State Police who possesses the powers of a peace officer.
(5) "Cadet trooper" means any officer employee of the Department of State Police from the time of appointment until successful completion of the course of training at the State Police Academy and the taking of the oath of office.
(6) "Register" means the official list of persons eligible for appointment to the position of cadet trooper placed in rank order according to approved guidelines.
(7) "Appointment" means selection of a person from the register to be employed as a cadet trooper.
(8) "Candidate" means an applicant for employment who has successfully completed all phases of the selection process and whose name is placed on the register from which appointment will be made.
(9) "Applicant" means a person who has filled out an application for employment as a cadet trooper with the agency.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:020E. Qualifications.

RELATES TO: KRS 16.040, 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION: KRS 16.040 and 16.050 provide that the Commissioner of the Department of State Police and the State Police Personnel Board may establish minimum physical requirements and conduct such tests and examinations as necessary to assure the fitness of applicants for employment as officers. This regulation establishes certain qualifications required of applicants.

Section 1. Each applicant shall possess a valid Kentucky motor vehicle operator's license against which no more than five (5) penalty points have been assessed by the Division of Driver's Licensing.

Section 2. Each applicant with prior military service in the armed forces of the United States shall have been honorably discharged. General discharges under honorable conditions shall not be acceptable.

Section 3. The commissioner shall review any prior experience in police administration or law enforcement of any applicant desiring to substitute such experience for the educational requirements and determine if the prior experience will be deemed sufficient to waive the requirement.

Section 4. Each applicant shall meet the following physical requirements:
(1) Each applicant shall be at least five (5) feet six (6) inches in height, with weight proportional to height according to tables promulgated by the commissioner.
(2) Each applicant shall have at least 20/50 vision in each eye without corrective lens, correctable to 20/20 in each eye with corrective lens, with no color-blindness and a normal field of vision.
(3) Each applicant must be in good health with no speech defect or marked deformity.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:030E. Disqualifications.

RELATES TO: KRS 16.040, 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION: KRS 16.040 and 16.050 direct the Commissioner of the Department of State Police and the State Police Personnel Board to assure the fitness of candidates for employment. This regulation outlines circumstances which will result in the disqualification of an applicant.

Section 1. The commissioner may refuse to examine any candidate or, after examination, disqualify such candidate or remove his name from the register, or refuse to certify a candidate on the register, or remove such
persons already appointed if:
(1) It is found that he does not meet any one of the qualifications established by the position;
(2) He is so disabled as to be unfit for the performance of the duties of the position;
(3) He has made a false statement of material fact in his application;
(4) He has used or attempted to use political pressure to secure an advantage in any phase of the selection process;
(5) He has directly or indirectly obtained information regarding any examination to which, as an applicant, he was not entitled;
(6) He has failed to submit his application correctly or within the prescribed time limits;
(7) He has taken part in the compilation, administration, or correction of the selection process for which he is an applicant;
(8) He has previously been dismissed from a position in the state service or with any law enforcement agency for cause or has resigned while charges for disposal for cause were pending;
(9) He has been convicted of a felony, a job related misdemeanor, or any crime of moral turpitude for which a jail sentence may be imposed;
(10) He is addicted to the use of drugs, narcotics, or other intoxicants or is an habitual user of any of them;
(11) He has used or attempted to use coercion or bribery to secure an advantage in any phase of the selection process; or
(12) He has more than five (5) driver demerit points against his operator's license at the time of application or at any time subsequent thereto and prior to appointment.

Section 2. A disqualified applicant shall be promptly notified of such action by certified letter, return receipt, sent to the address given on the application.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:050E. Application.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION: KRS 16.040 and 16.050 provide that the Commissioner of the Kentucky State Police and the State Police Personnel Board may adopt such regulations as necessary to assure appointment of qualified officers to the department. This regulation outlines the application contents.

Section 1. Applications shall be made on forms prescribed by the commissioner and provided by the department. All applications shall be filed on or prior to the closing date specified in the notice. Applications shall be completed in the applicant's own handwriting. The truth of the information given in the application shall be certified by the applicant's oath and signature and the application shall be signed and sworn to before a person authorized to administer oaths by the laws of Kentucky.

Section 2. The following documents shall be submitted with the application:
(1) A certified copy of the applicant's certificate of birth;
(2) An official transcript of high school and college classes showing grades received, credits or units earned and degrees conferred;
(3) A copy of Separation from Service, Form DD 214, if applicable; and
(4) Such other documents as required by the commissioner.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:050E. Physical examination.

RELATES TO: KRS 16.040
PURSUANT TO: KRS 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION: KRS 16.040 provides that the Commissioner of the Department of State Police may establish minimum physical requirements and conduct such tests and examinations as necessary to assure the fitness of applicants for employment as officers. This regulation outlines the physical requirements and the physical examination requirement.

Section 1. (1) Each applicant shall be required to submit to a physical examination by a qualified, licensed physician retained by the
department for that purpose as a part of the selection process.

(2) The department may, if deemed necessary by the commissioner, allow the applicant to be examined by a physician of the applicant's choice at his own expense. If a physician other than one retained by the department performs the examination, he shall be required to fill out and sign the department's physical examination forms.

Section 2. The following guidelines are to be used to determine if the applicant is in good health:

(1) Nose, throat, and speech. Chronic catarrh, sinus trouble, deviated septum, or chronically infected or enlarged tonsils shall be deemed cause for rejection. Deformities of the mouth or lips and speech impediments, including stammering and stuttering, shall be deemed cause for rejection.

(2) Teeth. All teeth shall be in good repair. Dentures or artificial replacements are acceptable.

(3) Ears. Hearing shall be normal in both ears; an average loss of thirty (30) decibels or more in either ear at the 500, 1000, 2000 or 3000 Hertz level as shown by audiometer test shall be deemed cause for rejection.

(4) Thyroid. Goiter or improperly functioning thyroid shall be deemed cause for rejection.

(5) Chest. Respiration shall be full, easy and regular; respiration shall be clear and distinct over both lungs.

(6) Heart. The action of the heart shall be uniform, free and steady, with regular rhythm; the heart shall be free from organic diseases. Blood pressure shall be within the limits of 135/80 and 110/70.

(7) Genitalia. Hydrocele or large varicocele, deformity of testicles, tumor formation, epididymites and venereal disease shall be deemed cause for rejection.

(8) Rectum. Hemorrhoids, fissure, fistula and pilonidal cyst shall be deemed cause for rejection.

(9) Trunk and extremities. Poor posture, curvature of the spine, deformed chest, bow legs, knock knees, weak ankles, flat feet, deformed toes, shortening of long bones, enlarged or poorly functioning joints, amputated fingers or toes, varicose veins, paralysis or defective gait shall be deemed cause for rejection.

(10) Organic diseases. Family history of any hereditary disease, history of dizziness, epilepsy, confinement for mental illness in any institution, stomach ulcer, kidney disease, albuminuria or glycosuria, anemia, or skin disease shall be deemed cause for rejection.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:060E. Written examination.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION: KRS 16.050 requires the State Police Personnel Board to establish open competitive examination of applicants for employment as officers. This regulation describes the written examination.

Section 1. Examinations shall be in written form and practical in nature. They shall be designed and constructed to reveal the capacity of the applicant for employment as a sworn officer of the department.

Section 2. Examinations shall be administered at such times and places as designated by the commissioner. The commissioner may direct that examinations be conducted regionally if he finds regional examinations to be convenient and practicable.

Section 3. Applicants may take the examination only once (1) time for each eligibility register established.

Section 4. Applicants who submit an incomplete or inaccurate application shall not be allowed to take the examination.

Section 5. Applicants who fail to meet the educational, citizenship and residency, operator's license, age, honorable discharge, or height and weight qualifications or who fail the physical examination shall not be allowed to take the written examination.

Section 6. Examinations shall be rated impartially and each examinee shall be notified as to whether he passed or failed to pass the examination. Examinees shall be advised of the score they attained on the examination upon individual request. A score of fifty (50) percent shall be the minimum passing score on the examination. All passing scores shall be accorded equal weight.

Section 7. All applicants shall be accorded uniform and equal treatment in all phases of the examination procedure.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter
16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police


RELATES TO: KRS 16.040
PURSUANT TO: KRS 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION: KRS 16.040 provides that the Commissioner of the Department of State Police may establish minimum physical requirements and conduct such tests and examinations as necessary to assure the fitness of applicants for employment as officers. This regulation describes the vision examination.

Section 1. Each applicant who receives a passing score on the written examination shall be given a vision test. Each applicant shall have at least 20/50 vision in each eye without corrective lens, correctable to 20/20 in each eye with corrective lens, with no color-blindness and a normal field of vision.

Section 2. If an applicant fails to meet the vision qualifications, his application will be held inactive until the applicant submits a certification from a licensed optometrist or ophthalmologist that his vision does meet the required standard.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:080E. Oral interview.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION: KRS 16.050 requires the State Police Personnel Board to establish open competitive examination of applicants for employment as officers. This regulation describes the oral interview component of the examination.

Section 1. (1) Each applicant having the statutory qualifications and who passes the written and vision examinations shall be interviewed by an oral interview panel appointed by the commissioner.

(2) Each oral interview panel shall consist of three (3) members. Two (2) members shall be either active or retired officers of the department and the third member shall be a civilian. All members shall be familiar with the equal employment opportunity provision of Chapter 344 of the Kentucky Revised Statutes.

(3) The commissioner shall appoint one (1) or more panels as needed. Whenever practicable, all applicants who qualify for the oral interview shall be interviewed by the same panel.

(4) A member of the panel shall disclose each instance in which he knows an applicant personally and that applicant shall be interviewed by another panel or the member who knows the applicant shall be replaced by an alternate for that particular interview, whichever is more practical under the circumstances.

Section 2. (1) Each interview shall be structured in such a manner that all applicants are asked the same questions and rated in the same manner.

(2) Each applicant interviewed will be scored in each of five (5) categories by each panel member. The categories are: general appearance; motivation; aptitude; self-appraisal; and, communication skills. For each category, each panel member shall score the applicant either minus one (−1), indicating a negative reaction by the panel member; plus one (+1), indicating a positive reaction, or zero (0), indicating a neutral reaction. All the scores for each category by each panel member are added together, giving an overall score that could range from minus fifteen (−15) to plus fifteen (+15). This score is used to determine the order in which applicants are considered by the board. The board may determine a minimum score below which an application shall not be approved. This determination shall be based upon the needs of the department, the positions available, and the number of applicants for such positions.

(3) The score sheets submitted by the members of the oral interview panel shall be retained in the applicant's file and shall be confidential. Applicants shall be notified of their overall score on the oral interview upon request and presentation of proper identification. Applicants shall not be allowed to see the score sheets submitted by the individual members of the oral interview panel.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Volume 12, Number 11 – May 1, 1986
Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:090E. Background investigations.

RELATES TO: KRS 16.040, 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION. KRS 16.040 and 16.050 direct the Commissioner of the Department of State Police and the State Police Personnel Board to assure the fitness of candidates for employment. This regulation describes the background investigation undertaken on candidates.

Section 1. All applicants who successfully complete the oral interview shall be investigated to ensure that each officer hired is of good moral character and in order to verify the truthfulness of information submitted in the application. Each applicant shall be advised that his background will be investigated. The applicant shall consent to such an investigation and shall sign a form specifically indicating his consent and authorizing the department of have access to any records or file concerning the applicant. Failure to consent to a background investigation shall eliminate an applicant from consideration for employment.

Section 2. Background investigations shall be conducted by officers selected by the commissioner. Special training shall be given those officers chosen. All investigations shall be conducted according to the investigative guide formulated by the commissioner and designed to ensure that all such investigations are conducted in an impartial and uniform manner. Applicants who have lived in another state shall be investigated by the appropriate law enforcement agency in that state, at the request of the commissioner. No applicant shall be considered for employment until the background investigation is completed. Reports of all background investigations shall remain confidential and shall be filed in headquarters.

Section 3. A background investigator shall not have the discretion to terminate an applicant except in the following instances:

(1) Intentional falsification of information on the application; or
(2) Discovery of a conviction of an offense classified under the laws of Kentucky as a felony if the offender was tried as an adult and if the offender has not been pardoned.

Section 4. The officer conducting the background investigation may make a recommendation to the board as to whether the applicant should be approved for employment or not. If the background investigator recommends that the applicant not be approved for employment, such recommendation shall be substantiated in the report of investigation by articulable fact. The board may give such weight to the recommendation as they in their discretion decide.

Section 5. All reports of background investigations shall be confidential. Information obtained as a result of a background investigation shall not be disseminated. Reports of background investigations shall be filed at Kentucky State Police Headquarters in a secure file to which only the commissioner shall have access. No such report shall be filed locally and no copy of such report shall be made.

Section 6. The background investigation report shall provide the basis for a determination by the board as to whether the applicant is of good moral character. After the report has been reviewed by the board, the report shall be filed as provided for in Section 5 of this regulation.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:100E. Psychological assessment.

RELATES TO: KRS 16.040, 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986

NECESSITY AND FUNCTION. KRS 16.040 and 16.050 direct the Commissioner of the Department of State Police and the State Police Personnel Board to assure the fitness of candidates for employment. This regulation describes the psychological assessment required of candidates.

Section 1. All applicants who successfully complete the oral interview shall submit to a psychological assessment by a qualified, licensed psychologist retained by the department for that purpose. The applicant shall consent to such assessment and must sign a form specifically indicating his consent. Failure to consent to a psychological assessment...
shall eliminate an applicant for consideration from employment.

Section 2. All reports of psychological assessment shall be confidential. No copies of such reports shall be made or disseminated.

Section 3. The psychological assessment shall provide a basis for determination by the board as to whether the applicant is psychologically fit to be employed by the department.

Section 4. After the report has been reviewed by the board, the report shall then be filed at Kentucky State Police Headquarters in a secure file to which only the commissioner or his authorized designee shall have access.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:110E. Register.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION: KRS 16.050 requires the State Police Personnel Board to establish a list of persons eligible for employment as officers of the department. The lists are to be based on the results of the competitive exams. This regulation describes the register.

Section 1. The commissioner shall establish and maintain a register of the names of applicants eligible for appointment to the position of cadet trooper. The commissioner, in his discretion, shall determine, based upon the needs of the department, projected attrition, authorized strength levels, and numbers of applicants, the date of establishment of the register and the number of appointments to be made from the register.

Section 2. The register shall expire automatically at the end of one (1) year from the time of its establishment unless the time period is extended or shortened by action of the commissioner.

Section 3. (1) Applicants who have passed all phases of the examination process shall be presented to the board for action according to the score received on the oral interview.

(2) All applicants receiving the highest score on the oral interview shall be presented to the board in alphabetical order. The board may: approve an applicant for employment, in which case the applicant's name shall be placed upon the register in the manner described in this regulation; disapprove an applicant for employment, in which case the applicant may not be allowed to again apply until the register for which he initially applied has expired or if it will expire prior to the completion of action by the department and the board upon the subsequent application; or the board may defer action on an application for a period not to exceed three (3) years, during which time the application shall remain active unless the applicant expressly withdraws the application.

(3) Applicants who are approved by the board for employment shall be placed on the register. All applicants who received the same scores on the oral interviews shall be ranked together with those applicants having the highest scores being ranked first. Candidates shall be ordered within their rank as determined by lot. Those candidates who are entitled to receive the statutory veterans' preference shall be placed first within their ranking.

Section 4. The commissioner may, on receipt of authoritative information, remove the name of a candidate from a register:

(1) For any of the reasons found in those regulations relating to disqualification of applicants;

(2) If the candidate cannot be located by personal authorities;

(3) If the candidate responds that he no longer desires consideration for a position;

(4) If the candidate declines an offer of appointment;

(5) If it is shown that the candidate is not qualified or is unsuitable for appointment;

(6) If the candidate accepts an appointment and fails to present himself for duty without giving a satisfactory reason for his failure to appear; or

(7) If the candidate indicates he is not available or does not wish to be considered for employment.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

Statement of Emergency

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner
JUSTICE CABINET
Department of State Police

502 KAR 45:120E. Appointment.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050, 16.080
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION. KRS 16.050 authorizes the Commissioner of the Department of State Police to appoint such persons as officers as necessary for the efficient administration of the department. KRS 16.050 directs appointments to vacancies to be made from lists of eligibles. This regulation outlines the method of appointment.

Section 1. The commissioner, in his discretion, shall determine, based on the needs of the department, projected attrition, and authorized strength levels, the number of candidates to be appointed to the position of cadet trooper.

Section 2. The commissioner shall appoint candidates to the rank of cadet trooper from the register in the order they are listed. If there are insufficient candidates in the first rank, he will proceed to the second rank and continue until there are sufficient numbers of appointments to fill the vacancies.

Section 3. The commissioner shall notify appointees of the time and place to report for duty by certified mail. The commissioner may require that the appointee acknowledge the appointment and indicate acceptance on a form provided by the department.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

STATEMENT OF EMERGENCY

In order to continue to operate the Department of State Police in accordance with KRS Chapter 16, the Department of State Police needs to implement this emergency regulation. An ordinary administrative regulation cannot suffice because agency policy will not be accurately reflected in a timely manner nor will the Department be able to apply current agency policy in its recruitment process. This emergency regulation will be replaced by an ordinary administrative regulation in accordance with KRS Chapter 13A.

MARTHA LAYNE COLLINS, Governor
MORGAN T. ELKINS, Commissioner

JUSTICE CABINET
Department of State Police

502 KAR 45:130E. Appeals.

RELATES TO: KRS 16.050
PURSUANT TO: KRS 16.050
EFFECTIVE: March 17, 1986
NECESSITY AND FUNCTION. KRS 16.050 directs that the State Police Personnel Board shall hear appeals from applicants in the selection procedure. This regulation outlines the procedures for such appeals.

Section 1. The board shall hear appeals from applicants who have been disqualified, disapproved, or whose names have been removed from the register.

Section 2. Whenever an applicant is disapproved or a candidate's name is removed from the register, the commissioner shall notify the applicant of the action and the reasons therefore, together with his right of appeal.

Section 3. An appeal is instituted by sending a letter to the commissioner which sets forth in detail the substance of the appeal. The board may grant a hearing or deny the appeal summarily. At any such hearing, the board shall not be bound by rules of order, evidence, or procedure except such as it may itself establish. The commissioner shall advise the applicant by registered mail of the board's decision. If a hearing is granted, the board shall set the date and the commissioner shall, by registered mail, give the applicant notice of the time, date and place of the hearing at least fourteen (14) days prior thereto.

Section 4. A candidate's name shall be restored to the register upon presentation of reasons satisfactory to the board or in accordance with the decision of the board upon appeal.

MORGAN T. ELKINS, Commissioner
NORMA C. MILLER, Secretary
APPROVED BY AGENCY: February 24, 1986
FILED WITH LRC: March 17, 1986 at 3 p.m.

CABINET FOR HUMAN RESOURCES
Department for Social Insurance
Division of Management and Development

904 KAR 2:190E. Incorporation by reference of materials relating to the Refugee Assistance Program.

RELATES TO: KRS 194.030(6)
PURSUANT TO: KRS 194.050
EFFECTIVE: April 8, 1986
NECESSITY AND FUNCTION: The Cabinet for Human Resources is authorized by KRS 194.050 to administer programs to qualify for the receipt of federal funds providing cash and medical assistance to eligible Kentucky residents. This regulation incorporates into regulatory form, by reference, materials used by the cabinet in the implementation of the Refugee Assistance Program.
Section 1. Incorporation by Reference. The cabinet shall incorporate by reference materials used in the implementation of the Refugee Assistance Program, subject to the provisions contained in 904 KAR 2:140, Section 1, Supplementary Policies for Programs Administered by the Department for Social Insurance.

Section 2. Listing of Incorporated Materials. The following materials are hereby incorporated by reference, effective on the date shown:

(1) Federal regulations at 45 CFR Parts 400-401, which set forth the federal requirements and guidelines for the administration of the Refugee Assistance Program, effective April 1, 1986 [May 1, 1984];

(2) Federal Office of Refugee Resettlement action transmittals which set forth the federal requirements and guidelines for the administration of the Refugee Assistance Program, as follows: ORR-AT-80-5, 80-6, 80-7, 81-1, 82-3, 83-1, 83-2, 83-5, 83-6A, and 84-2, effective October 1, 1984; and


Section 3. All documents included by reference herein may be reviewed during normal working hours at the Division of Mangement and Development, Department for Social Insurance, 275 East Main Street, Frankfort, Kentucky.

MIKE ROBINSON, Deputy Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 2, 1986
FILED WITH LRC: April 8, 1986 at 10 a.m.

KENTUCKY AGRICULTURAL EXPERIMENT STATION
Division of Regulatory Services
(As Amended)

12 KAR 4:140. Monetary penalties.

RELATES TO: KRS 230.396(1), (2)
PURSUANT TO: KRS 250.421
EFFECTIVE: April 17, 1986
NECESSITY AND FUNCTION: To prescribe the specific method of calculating the monetary penalties required by the fertilizer law.

Section 1. Penalties for deficiencies in Total Nitrogen (N), Available Phosphoric Acid (P2O5), soluble potash (K2O), and index value shall be calculated from the following schedule:

<table>
<thead>
<tr>
<th>Number of Investigational Allowances Below Guarantee</th>
<th>Penalty Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 2</td>
<td>Equal to the monetary value of the deficiency</td>
</tr>
<tr>
<td>&gt; 2 ≤ 3</td>
<td>Two (2) times the monetary value of the deficiency</td>
</tr>
<tr>
<td>&gt; 3</td>
<td>Three (3) times the monetary value of the deficiency</td>
</tr>
</tbody>
</table>

Section 2. Minimum standards and overages of primary nutrients are allowed to reduce penalties calculated in Section 1 of this regulation for fertilizer with index values equal to or greater than ninety-seven (97) percent on the basis of the following schedule:

<table>
<thead>
<tr>
<th>Number of Investigational Allowances Below Guarantee</th>
<th>Penalty Adjustments</th>
</tr>
</thead>
<tbody>
<tr>
<td>No more than one (1) deficiency that is less than or equal to two (2) investigational allowances</td>
<td>No penalty adjustment to zero</td>
</tr>
<tr>
<td>No more than one (1) deficiency that is greater than two (2) but less than three (3) investigational allowances</td>
<td>Value of overages may adjust up to 100% of the value of the deficiencies of the allowances</td>
</tr>
<tr>
<td>[No more than] Two (2) deficiencies that are [greater than two (2) but] less than three (3) investigational allowances; or, no more than one (1) deficiency that is equal to or greater than three (3) but less than four (4) investigational allowances</td>
<td>Value of overages may adjust up to 75% of the value of the deficiencies of the allowances</td>
</tr>
</tbody>
</table>

[Section 2. Overages of individual primary nutrients are allowed to reduce the penalty calculated in Section 1 of this regulation a maximum of seventy-five (75) percent when the following conditions apply:

[(1) The Index Value is equal to or exceeds ninety-seven (97) percent; and,]
[(2) Only one (1) primary nutrient is deficient beyond one (1) investigational allowance.]

[The value of an overage is determined by multiplying the unit value by the number of units the found analysis exceeds the guarantee. If two (2) nutrients exceed their guarantee then the total value of the overage is the sum of the two (2). The maximum adjustment for overage that is allowed is found by multiplying 0.75 by the penalty calculated in Section 1 of this regulation.]
Section 3. When a fertilizer is subject to a penalty from both a primary nutrient deficiency and an index value deficiency, only the larger penalty shall apply; however, in no case shall the penalty exceed the total value of the fertilizer.

Section 4. Penalties for deficiencies in secondary and minor elements and for excess chlorine in tobacco fertilizer shall be calculated from the following schedule.

(1) Deficiencies.

<table>
<thead>
<tr>
<th>Number of Investigational Allowances Below Guarantee</th>
<th>Penalty Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 2</td>
<td>Equal to [Two (2) times] the monetary value of the deficiency</td>
</tr>
<tr>
<td>&gt; 2</td>
<td>Two (2) [Three (3)] times the monetary value of the deficiency</td>
</tr>
</tbody>
</table>

(2) Excess Chlorine in tobacco fertilizers.
The investigational allowance for maximum chlorine shall be five-tenths (0.5) percent.

<table>
<thead>
<tr>
<th>Number of Investigational Allowances Above Maximum Chlorine Guarantee</th>
<th>Penalty Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 2</td>
<td>Equal to the difference in [Two (2) times] the soluble potash (for tobacco) unit value and the non-tobacco soluble potash unit value</td>
</tr>
</tbody>
</table>

Section 5. Any penalty assessed under Section 1 of this regulation shall be added to any penalty assessed under Section 1 of this regulation and the total shall be paid by the registrant to the consumer of the lot of fertilizer represented by the sample within three (3) months after the date of notice from the director, receipts taken therefore and promptly forwarded to the director. If said consumer cannot be found, the amount of the penalty payments shall be paid to the Kentucky Agricultural Experiment Station within three (3) months after the date of the notice from the director to the registrant and set aside for purchase of equipment for the sampling, handling, analyzing and reporting of results of analyses of official samples and for the education of the fertilizer industry on the newest methods in manufacturing blended fertilizers. If the lot of fertilizer is on hand at a retail location the penalty payments assessed under this section shall be used to reduce the retail price of the fertilizer if it is to be relabeled and sold.

Section 6. In no case shall the total of the penalties assessed under this regulation exceed the retail value of the fertilizer.

CHARLES E. BARNHART, Director
APPROVED BY AGENCY: January 13, 1986
FILED WITH LRC: January 16, 1986 at 1 p.m.

PROPOSED AMENDMENTS

FINANCE AND ADMINISTRATION CABINET
Department of Finance
Board of Hairdressers and Cosmetologists
(Proposed Amendment)

201 KAR 12:110. School license.

RELATES TO: KRS 317A.060, 317A.090
PURSUANT TO: KRS 317A.050, 317A.060
NECESSITY AND FUNCTION: Each school owner must submit an application to operate a school of cosmetology, furnish proof of financial responsibility, meeting all city, county, and state zoning, building, and plumbing codes.

Section 1. Each person, firm or corporation applying for a license to operate a school of cosmetology must submit an application provided by the board.

Section 2. Each individual owner, or one (1) partner, in the instance of a partnership, or one (1) corporate officer in the instance of a corporation, must submit a financial statement indicating financial assets in the amount of $10,000 for twenty (20) students enrolled and $1,000 for each additional student enrolled.

Section 3. A person having any interest in operating a school must submit a minimum of two (2) character references, proposed copy of student contract indicating all financial charges to enrolling students, and term of lease for location, if applicable.

Section 4. Application for license to operate a school of cosmetology must be accompanied by an architect's or draftsman's plan of proposed premises drawn to scale, showing the arrangements of the classroom, clinic area, mannequin area, dispensary, reception area,
shampoo area, office and any other area of the school, entrance and exits, and placement of equipment.

Section 5. A license to operate a cosmetology school carries the approval of this board and is valid only for the location and person, firm, or corporation named on application and license issued by the board. A school of cosmetology license is never transferable from one location to another or from one person, firm or corporation to another.

Section 6. The owners, firm or corporation operating a school of cosmetology must notify the board in writing twenty (20) days prior to selling, transferring, or changing of ownership and management of a school. Prospective ownership must meet all qualifications of owning a school and have the approval of the board.

Section 7. Following approval of the application to operate a school of cosmetology by the board, the site shall be inspected by a quorum of the board or by at least one (1) member of the board and the board administrator. A final inspection of the premises shall be conducted by the members of the board prior to issuing of license. All schools must comply with city, county, and state zoning laws, plumbing and building codes.

Section 8. Any cosmetology school owner, manager, or instructor who misrepresents facts to the board, to the students, or to the general public concerning any information regarding the school or any student enrolled therein, or in any way violates regulations adopted by this board, will be served notice to show cause before this board, why the school’s license and the instructor’s license should not be revoked.

Section 9. Any person, establishment, firm or corporation which accepts, directly or indirectly, compensation for teaching persons any branch or subjects of cosmetology as defined in KRS 317A.010 shall be classified as a school and will be required to comply with all the provisions of law and the rules and regulations of this board.

Section 10. The board will not license a correspondence school, nor will the board license any school of cosmetology in an establishment that teaches any other trade, profession or business, excluding vocational training schools.

Section 11. No person who is an owner, partner, stockholder, corporate officer or who has any financial or other interest in the management and control of the school, shall be enrolled in said school as a student.

Section 12. No school of cosmetology shall permit or require students to be in attendance at school more than forty (40) hours in any one (1) week.

Section 13. Any school of cosmetology desiring night classes must, by proper application, be granted permission from the board to operate such classes. Under no condition shall the school operate past 10 p.m. local time.

Section 14. (1) It shall be considered a conflict of interest and therefore impermissible for a member of the board or for an employee of the board to apply for a new school license or to apply for any existing school license under KRS 317A.010 and this regulation. If any member of the board or any employee of the board desires to apply for a new school license or for any existing school license, said board member or employee of the board must submit a letter of resignation to the board no later than thirty (30) days prior to submitting an application for a school license.

(2) The board may choose not to consider any application for a school license submitted by a relative of a member of the board, or by any person with whom a member of the board or a board employee shares a significant financial interest. Failure to make full disclosure to the board as to the exact nature of the relationship between the board member or employee of the board and the applicant may result in denial of approval of license.

(3) The provisions of this section shall apply only to applications for licenses approved or filed, licenses issued, or actions of a person serving as a member of the board or as a board employee after the effective date of this regulation.

CARROLL ROBERTS, Administrator
APPROVED BY AGENCY: April 1, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing has been scheduled for May 23, 1986, at 2 p.m. The hearing will be held at the Board of Education, Second Street, Frankfort, Kentucky. Contact Carroll Roberts, Administrator.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Carroll Roberts, Administrator
(1) Type and number of entities affected: None
(a) Direct and indirect costs or savings to those affected: None
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effect upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body: None
(a) Direct and indirect costs or savings: None
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: N/A
(a) Necessity of proposed regulation if in conflict: N/A
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A
(6) Any additional information or comments:
Tiering:
Was tiering applied? No. Tiering was not applied as it was not necessary.

TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 1:015. Boats and outboard motors; size limits.

RELATES TO: KRS 150.025, 150.090, 150.620, 150.625
PURSUANT TO: KRS 13A.350, 150.025
NECESSITY AND FUNCTION: It is necessary to regulate the size of outboard motors and boats on state-owned lakes to minimize the conflict with the primary purposes of the lakes which are the perpetuation of fish or game populations and the associated sports. This amendment is necessary to regulate boating on the Swan Lake Wildlife Management Area [increase the motor size on Greenbo Lake].

Section 1. No boat will be permitted on any of the herein named lakes with a centerline exceeding eighteen (18) feet six (6) inches in length as measured on deck or from bow to stern, except canoes which have no length limit and float boats which may have pontoons and deck no longer than twenty-two (22) feet. On Lake Malone and Lake Beshear only flat boats can have pontoons and deck up to thirty (30) feet in length.

Section 2. No houseboats of any description will be permitted on any of the herein named lakes.

Section 3. No motor of any type is permitted on the following lakes:
(1) Lake Chumley, Lincoln County;
(2) Dennie Gooch Lake, Pulaski County;
(3) Martin County Lake, Martin County; and
(4) Kingdom Come Lake, Harlan County.

Section 4. Electric motors only may be used on the following lakes:
(1) Carter Caves Lake, Carter County;
(2) Spurlington Lake, Taylor County;
(3) Marion County Lake, Marion County;
(4) [Elliott County Sportsmen's Lake, Elliott County];
(5) Lake Washburn, Ohio County;
(6) Bert Combs Lake, Clay County;
(7) McNeely Lake, Jefferson County;
(8) Lake Mauzy, Union County;
(9) Carpenter Lake and Kingfisher Lakes, Daviess County;
(10) Metcalfe County Lake, Metcalfe County; and
(11) Briggs Lake, Logan County.

Section 5. Electric motors only may be used on the following lakes located in Ballard County. These lakes are closed 15 October to 15 March, annually:
(1) Big Turner;
(2) Little Turner;
(3) Shelby;
(4) Mitchell;
(5) Happy Hollow;
(6) Burnt Slough; and
(7) Butler.

Section 6. Motor restrictions for the lakes located on the Swan Lake Wildlife Management Area in Ballard County are as follows. These lakes are closed October 15 to March 15, annually.
(1) Maximum 10 horsepower: Swan Lake.
(2) Electric motors only:
(a) Sandy Slough.
(b) Long Pond.
(c) Cross Slough.
(d) Little Green Sea.
(e) Burnt Pond.
(f) Arrowhead Slough.
(g) Deep Slough.
(h) Beaver Dam Slough.
(i) Cypress Slough.
(j) Twin Pockets Slough.

Section 7. [6.] No motor larger than ten (10) hp. (inboard or outboard) may be used on the following state-owned lakes; however, slow speeds which cause no disturbance or interference with fishing must be exercised at:
(1) Shanty Hollow Lake, Warren County;
(2) Bullrock Pen Lake, Grant County;
(3) Lake Boltz, Grant County;
(4) Falmouth Lake, Pendleton County;
(5) Elmer Davis Lake, Owen County;
(6) Beaver Creek Lake, Anderson County,
(7) Herb Smith Lake, Harlan County;
(8) Corinith Lake, Grant County and
(9) Wilgreen Lake, Madison County.
(10) Greenbo Lake, Greenup County.

Section 8. [7.] No boat motor larger than 150 hp. may be used, and all boat motors used must have an underwater exhaust on the following state-owned lakes:
(1) Guist Creek Lake, Shelby County;
(2) Lake Malone, Todd, Muhlenberg and Logan Counties; and
(3) Lake Beshear, Christian and Caldwell Counties.

Section 9. [8.] Boat motors of any size may be used on Pan Bowl Lake, Breathitt County; however, boat speed is limited to idle speed only for the entire lake.

Section 10. [9.] All officers and agents of the Department of Fish and Wildlife Resources shall have full authority to enforce the provisions of this regulation. Failure to comply with the rules and specifications set forth in this regulation shall constitute grounds for revocation of the rights and privileges of any person to admittance to and the use of these public waters.

G. WENDELL COMBS, Secretary
DON R. MCCORMICK, Commissioner
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: March 27, 1986
FILED WITH LRC: March 27, 1986 at 1 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 21, 1986 at 2 p.m. in the meeting room at the Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: Peter W. Pfeiffer, Director, Division of Fisheries, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.
REGULATORY IMPACT ANALYSIS

Agency Contact Person: Don R. McCormick

(1) Type and number of entities affected:
   Approximately 1,500 anglers.

   (a) Direct and indirect costs or savings to those affected: None
      1. First year:
      2. Continuing costs or savings:
      3. Additional factors increasing or decreasing costs (note any effects upon competition):
         (b) Reporting and paperwork requirements: None
         (2) Effects on the promulgating administrative body:
            (a) Direct and indirect costs or savings: No significant costs or savings.
            1. First year:
            2. Continuing costs or savings:
            3. Additional factors increasing or decreasing costs:
               (b) Reporting and paperwork requirements: None
               (3) Assessment of anticipated effect on state and local revenues: None
               (4) Assessment of alternative methods; reasons why alternatives were rejected: None available.
               (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
                  (a) Necessity of proposed regulation if in conflict:
                  (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
                  (6) Any additional information or comments:

Tiering:
Was tiering applied? No. The amendment is not tiered, but the complete regulation is.

TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 2:040. Upland game shooting preserves.

RELATES TO: KRS 150.010, 150.025, 150.170, 150.175, 150.180, 150.240, 150.330, 150.630
PURSUANT TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation pertains to shooting preserves for upland game bird hunting and ungulate animals. This regulation is necessary to insure that detrimental exotic game species are not introduced or interfere with the Department's present or future experimental game bird releases. It also insures some uniformity of upland and big game shooting preserve operating procedures. The function of this regulation is to protect native wildlife and uniformity in upland and big game shooting preserve operating procedures. This amendment is necessary to regulate commercial fox-hound training enclosures and to preserve the sport of fox hunting.

Section 1. Hunting Seasons. (1) Pheasants – September 1 through May 15 inclusive.

(2) Chukar and other exotic partridges – September 1 through May 15 inclusive.

(3) Ducks – September 1 through May 15 inclusive.

(4) Bobwhite quail and/or coturnix quail – September 1 through May 15 inclusive.

(5) Ungulate species – September 1 through May 15 inclusive.

Section 2. Upland Game Birds. (1) Permits and applications. A shooting preserve permit is renewable annually on July 1, shall be required for the operation of any public or private shooting preserve or upland game bird. Applications for shooting preserve permits shall be made on standard forms supplied by the Department of Fish and Wildlife Resources. All applications for commercial shooting preserve permits shall be signed by all persons having a financial interest in the preserve. All applications for private shooting preserve permits shall be signed by the president, treasurer and secretary of the organization and a list of the members shall accompany the application. The applicant for a preserve permit shall produce evidence that he is the owner or a bona fide lessee of record of the land where he proposes to establish a shooting preserve. No shooting preserve permit shall be issued to any private association which intends to limit shooting privileges to associate members and which has less than 150 members.

(2) Grounds for permit refusal and suspension. The department may refuse to issue a permit in any area where substantial evidence indicates that the establishment of a shooting preserve would be detrimental to stocking and experimental programs conducted by the department. In no event shall a shooting preserve permit be issued for an area which lies within five (5) miles of an established release point for birds stocked by the department. The department may refuse to issue a permit for herein permanently or temporarily, when they have received substantial evidence that the operator or employee of a commercial shooting preserve, or any associate member of a private shooting preserve, has failed to comply with any provisions of these regulations, or any applicable law or regulation dealing with conservation of wildlife. If the violation or failure to comply occurs during the closed season, the suspension, temporary or permanent, shall be made to apply during the open season. In the case of subsequent violations, the department may suspend the permit for a period of life, or revoke it permanently, at its discretion.

(3) Nonresident license. Preserve operators desiring to sell nonresident shooting preserve licenses must furnish the Department of Fish and Wildlife Resources, Frankfort, Kentucky 40601, with a surety bond in the amount of $500. At the end of each month from September through May, inclusive, the preserve operator shall submit to the department all money received from the sale of nonresident shooting preserve licenses.

(4) Shooting preserve size requirements and posting. Shooting preserves for upland game birds shall have no minimum acreage requirements, but shall consist of not more than 1,000 acres in one (1) block. Under no circumstances shall the department issue permits for shooting preserves in excess of 1,500 acres in any one (1) county.

(5) The entire boundary of each licensed shooting preserve shall be marked with signs of a style prescribed by the Department of Fish and Wildlife Resources. Signs shall be not more than 400 feet apart and all boundaries not bordered by a natural stream or road shall be bounded by

Volume 12, Number 11 – May 1, 1986
a minimum of a single wire four (4) feet above the ground level. The applicant shall bear the entire cost of posting and bounding.

(6) Operating rules and reporting requirements: (a) No person shall hunt or attempt to hunt, in field or from dogs, or any dogged upland game shooting preserve without first registering and checking in with the owner, operator or keeper of the game preserve.

(b) No resident or nonresident shall hunt on a shooting preserve without a current resident or nonresident Kentucky hunting license. Hunting dogs or beagles may be trained throughout the year on a licensed shooting preserve provided that the party doing the training possesses a valid Kentucky resident or nonresident license (except as exempted by KRS 150.170(3), (5) and (6)). Field trials may be held throughout the year on a licensed shooting preserve. Residents or nonresidents attending and participating in field trials as authorized by permit from the department may attend and participate without obtaining a Kentucky hunting license so long as the game is not taken. Residents or nonresidents attending or participating in field trials that are not authorized by permit from the department may not attend and participate if they possess a valid Kentucky resident or nonresident hunting license.

(d) All game birds or waterfowl except Bobwhite quail and/or Coturnix quail killed on the shooting preserves shall be tagged before leaving the preserve. Bobwhite quail and/or Coturnix quail shall be banded prior to their release. Such tags or bands shall remain on the leg of the given species until prepared for cooking. Tags and bands shall be supplied the operator at cost by the Department of Fish and Wildlife Resources. Properly tagged birds killed on a shooting preserve may be processed or transported throughout the state by the hunter or operator. Properly tagged birds may be possessed in a frozen food locker.

(e) Mallards and black ducks may be taken on a licensed shooting preserve provided a right hind toe is clipped.

(f) At the end of each month from September through May, inclusive, the preserve operator shall submit to the Department of Fish and Wildlife Resources the names, addresses and hunting license numbers of all hunters.

(g) Preserve operators must obtain and retain for one (1) fiscal year (July 1 – June 30 of the following year) a receipt showing evidence of purchase of upland game bird eggs or birds. The receipt shall show the number of eggs or birds purchased by species.

Section 3. Ungulate Animals. (1) Any person holding a commercial shooting preserve permit may release for shooting purposes on his licensed area and under stipulated conditions, ungulates (hoofed animals) legally acquired and approved by the commission.

(2) Size requirements. The shooting area for ungulates shall be a single body of and not less than 300 acres or more than 1,000 acres and shall be so fenced as to enclose and contain all released animals, and exclude all hoofed wildlife of the state from becoming a part of the preserve.

(3) Records and reporting. The permittee shall keep a record of the number of each species released and the number killed. Records shall also be kept of the names, addresses, hunting license numbers and game killed by species by each hunter. At the end of each month from September through May, inclusive, the preserve operator shall submit these records to the Department of Fish and Wildlife Resources.

(4) License and permit requirements. Each person taking game or hunting on such area shall have in his possession a current resident or nonresident Kentucky hunting license, or special license for nonresidents for purpose of hunting on licensed hunting preserves. Game taken on such areas may be possessed and transported only when accompanied by a Bill of sale showing the date, commercial hunting permit number and name and address of taker.

Section 4. Commercial Fox-Hound Training Enclosures. (1) Permits and applications. Owners/operators of commercial fox-hound training enclosure who wish to be authorized as field trials, thereby exempting participants from hunting license requirements, must obtain a commercial fox-hound training enclosure permit renewable annually on January 1. Applications shall be made on standard forms supplied by the department and signed and sworn to by the owner/operator having a financial interest in the enclosure. The applicant shall produce evidence that he is the owner/operator of record of the enclosure. The fee for the permit shall be $250 and shall be issued in the name of the owner/operator. In the event that ownership or management changes, the new owner/operator must purchase a new permit.

(2) Grounds for permit refusal and suspension. The department may refuse to issue a permit when substantial evidence indicates that the activities within the enclosure will lead to the capture and/or killing of foxes by hounds or if the owner/operator fails to meet any stipulations of this regulation. The department may suspend temporarily or permanently when it has received substantial evidence that the owner/operator has failed to comply with any provisions of KRS Chapter 150 or any regulations promulgated thereunder. Appeals of a revocation or denial of eligibility may be submitted in writing to the commissioner within sixty (60) days of the decision. An adverse decision of the commissioner may be appealed to the commission in writing within sixty (60) days of the adverse decision of the commissioner and if it shall be heard at the next regularly scheduled meeting.

(3) Operating rules. To qualify for a permit the area must be at least 20 acres and shall be fenced to enclose foxes. The area must not be divided by interior fences which limit or restrict the range of foxes to an area less than 200 acres. Two (2) or more enclosures under the same ownership or management, each being at least 200 acres and sharing a common fence may be licensed under the same permit. The owner/operator must assure the availability of proper food, water, and shelter from inclement weather for all foxes within any enclosure. The owner/operator must also assure the availability of natural or man-made dens, boxes or hollow logs which serve as effective retreats to allow foxes to escape capture by hounds. Such structures must be designed to discourage the foxes from entering the enclosure, available at a rate not less than one (1) per fifty (50) acres, and of sufficient number to hold all foxes within that enclosure at any one time. No activity which would
intentionally allow for the injury or death of foxes resulting from the capture or pursuit by dogs is permitted. Fox chasing on permitted areas is considered an authorized field trial provided no foxes are captured or killed. This regulation does not prohibit the taking of wildlife within an enclosure under applicable regulations and license requirements. Owners/operators must allow for the inspection of their facilities by the department at any time.

(4) Purchase and transport of foxes. Owners/operators must comply with commercial pet and propagation permit requirements. It is illegal to purchase, barter, or trade live wild foxes taken in Kentucky at any time. Live foxes which were born in captivity may be purchased from licensed commercial propagators for release into fox-hound training enclosures. Foxes obtained legally from out of state may be transported into Kentucky provided the purchaser first obtains required transportation permits and is authorized to capture foxes from the wild. Owners/operators may capture live foxes from the wild for the purpose of release into commercial fox-hound training enclosures provided such foxes are taken during the fox hunting season.

(5) Holding facilities. Owners/operators who house foxes for release into a field enclosure must meet or exceed the following specifications: eight (8) feet long by four (4) feet wide by six (6) feet high with a shelf eighteen (18) inches wide, three (3) feet high and four (4) feet long. An enclosed den box capable of housing a pair of foxes must also be provided. No more than one (1) pair of foxes or a pair and their young which are less than one (1) year old may be held per cage.

DON R. McCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.

PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 22, 1986 at 9 a.m. in the Commission Room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Don R. McCormick

(1) Type and number of entities affected: Approximately ten fox-hound training enclosures are expected to qualify and apply for a commercial fox-hound training enclosure permit in Kentucky. Approximately 2,000 fox-hunters either resident or non-residents of Kentucky are expected to use these areas.

(2) Direct and indirect costs or savings to those affected: Fox-hunters can expect to pay an average of $5.00 per dog per day to hunt in commercial fox-hound training enclosures. Owners/operators of commercial fox-hound training enclosures are required to purchase a $250 operators permit and a $30 commercial pet and propagation permit each renewable annually. Annual area maintenance costs for owners/operators will vary. Participants in these hunts will be exempted from the purchase of a resident or non-resident hunting license.

1. First year: The cost to fox hunters using commercial fox-hound training enclosures will vary from area to area.

2. Continuing costs or savings: None other than cost previously mentioned.

(3) Additional factors increasing or decreasing costs (note any effects upon competition): None

(b) Reporting and paperwork requirements: Application for or renewal of the permit for commercial fox-hound training enclosures must be requested by the owners/operators.

(2) Effects on the promulgating administrative body: Requires time and effort in developing and publishing the proposed regulation and the development and issuance of permits.

(a) Direct and indirect costs or savings: Cost includes developing and publishing the regulation and printing and issuing permits.

1. First year: The estimated cost of establishing and advertising this regulation is $500. The estimated cost of developing, printing, and issuing the permits is $300.

2. Continuing costs or savings: The estimated annual cost associated with issuing permits is $200.

3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: It will be necessary to review applications and issue permits as they are requested.

(3) Assessment of anticipated effect on state and local revenues: The operation of these areas can be expected to contribute to the local economy because of the purchases made by both Kentucky resident and non-resident fox hunting patrons.

(4) Assessment of alternative methods; reasons why alternatives were rejected: The alternative was to require all patrons of commercial fox-hound training enclosures to purchase a valid Kentucky hunting license. To allow the year-round field trial training of fox-hounds on these areas a permit fee assessed to the owners/operators was chosen rather than a hunting license requirement of the patrons.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

(6) The necessity of proposed regulation if in conflict: (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:

(6) Any additional information or comments: None

Tiering: Was tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process since it is specific to small game hunters and trappers.
TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 2:045. Upland game birds, furbearers and small game; seasons, limits.

RELATES TO: KRS 150.010, 150.025, 150.170, 150.300, 150.305, 150.330, 150.340, 150.360, 150.365, 150.370, 150.390, 150.400

Pursuant TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation pertains to the hunting season, bag and possession limits for upland game birds and animals during trapping season for furbearers. This regulation is necessary for the continued protection of the species listed herein, and to insure a permanent and continued supply of wildlife resources for the purpose of furnishing sport and recreation for present and future residents of the state. The function of this regulation is to provide for the prudent taking of upland game birds, animals and furbearers within reasonable limits based upon an adequate supply. This amendment is necessary to change the season dates for selected species listed herein.

Section 1. Hunting and Trapping Seasons. (1) Squirrel (gray and fox): third Saturday in August through October 31, and third Thursday in November through December 31.

(2) Rabbits: third Thursday in November through the third Sunday in February [16].

(3) Quail: Third Thursday in November through the third Sunday in February [16].

(4) Grouse: Third Thursday in November through the last day in February.

(5) Furbearers: Third Thursday in November through January 31. Includes mink, muskrat, beaver, opossum, gray fox, red fox, raccoon, weasels and striped skunk. (The bobcat is protected year around and may not be trapped or killed.)

(6) Traps and snares: All dry land sets are limited to No. 2 or smaller foot-hold [smooth-jawed steel] traps and No. 220 or smaller Conibear-type traps set no closer than ten (10) feet apart and snares without a self-locking device. Traps or snares shall not be set in trails or paths commonly used by humans and/or domestic animals.

(7) Special beaver trapping season: February 15 through the last day of February using foot-hold traps of size number 3 and larger. Conibear-type traps with jaw spread eight (8) inches or larger and non-locking snares. Only one set per animal is permitted.

(8) (77) Taking raccoon and opossum: Racoon and opossum may not be taken from a vehicle or boat with the aid of artificial light at any time or any place except by trapping.

(9) (8) Falconry hunting: Squirrels, rabbits, quail, ruffed grouse, and furbearers may be taken by falconry from September 1 through February 15. During the portions of this season which occur outside of seasons specified in subsections (1), (2), (3), (4) and (5) of this section, the daily falconry bag limit may not exceed two (2) of any of these species, singly or in the aggregate, per falconer.

(10) (9) The wildlife listed in this section may be taken by the use of hand or mouth operated calling or attracting devices during open seasons.

Section 2. Bag and Possession Limits.

<table>
<thead>
<tr>
<th>Game</th>
<th>Bag Limits</th>
<th>Possession Limits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Squirrel (gray and fox)</td>
<td>6</td>
<td>12</td>
</tr>
<tr>
<td>Rabbit</td>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>Quail</td>
<td>8</td>
<td>16</td>
</tr>
<tr>
<td>Grouse</td>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>Furbearers (except raccoon)</td>
<td>No limits</td>
<td>No limits</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(by means other than trapping)</td>
</tr>
</tbody>
</table>

Raccoon (by means other than trapping)

*One (1) per hunter, with no more than three (3) per party of three (3) or more hunters while hunting.

**No possession limit on raccoons, except that no hunter or party of hunters shall possess more than the daily bag limit while hunting in the field.

Section 3. Trapping Licenses. The following trapping licenses are required:

(1) Resident landowner or tenant trapping license: this license authorizes either the landowner or his dependent children to take wild animals by trapping upon their farmlands. Either the tenant or his dependent children residing upon the owner's lands have the same privilege.

(2) Resident statewide trapping license: This license authorizes the holder thereof to take wild animals by trapping upon his lands or lands of another person with written consent of the landowner.

(3) Nonresident statewide trapping license: This license authorizes the holder thereof to take wild animals by trapping upon his lands or lands of another person with written consent of the landowner.

Section 4. Shooting Hours. Shooting hours for the above species are daylight hours only, except for raccoon and opossum which may be taken any time during day or night.

Section 5. Squirrel Hunting Weapons. No person hunting squirrels may use or possess a breech-loading rifle of .240 caliber or larger. [Squirrels may be taken with any type of muzzle-loading weapon and by means of longbows or compound bows.]

Section 6. Prohibited Ammunition. No person hunting any of the game species listed in this regulation may have in his or her possession any buckshot or shotgun slugs.

DON R. MCCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman

APPROVED BY AGENCY: April 10, 1986

FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 21, 1986 at 9 a.m. in the Commission Room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

Volume 12, Number 11 - May 1, 1986
REGULATORY IMPACT ANALYSIS

Agency Contact Person: Don R. McCormick
(1) Type and number of entities affected: Approximately 340,000 small game hunters and 7,000 trappers are expected to participate in the hunting and trapping seasons proposed by this regulation.

(a) Direct and indirect costs or savings to those affected: Direct costs are the purchase of a state hunting license and/or a state trapping license. Indirect costs are determined by the individual, depending on his level of participation.

1. First year: Persons participating in the hunting or trapping seasons proposed by this regulation would be required to possess a valid hunting license ($7.50 for residents) or a valid trapping license ($11.50 resident, $6.00 resident landowner/tenant), respectively.

2. Continuing costs or savings: Same as first year.

(b) Additional factors increasing or decreasing costs (note any effects upon competition): None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Requires time and effort in developing, publishing, reporting on, and enforcing the proposed regulation.

(e) Direct and indirect costs or savings: Primary costs are associated with enforcing the regulation.

1. First year: The estimated cost associated with establishing and carrying out the provisions of this regulation is $900,000.

2. Continuing costs or savings: Same as first year.

(c) Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: None

(3) Assessment of anticipated effect on state and local revenues: Approximately 340,000 small game hunters may be expected to expend money for equipment, transportation, food, and lodging. The average annual expenditures for these items by Kentucky small game hunters is $96.96 according to the 1980 National Hunting and Fishing Survey. The average season expenditure for Kentucky trappers during the 1981-82 trapping season was $200.32. State and local revenues can be expected to be positively affected due to necessary expenditures by small game hunters and trappers for the required licenses and due to taxes levied upon items purchased.

(4) Assessment of alternative methods: There are no available alternatives to regulated hunting which is in conflict with the conservation ethic which is based upon the wise use of renewable resources and the fact that small game and fur-bearing populations are at levels which can sustain a regulated harvest by sportsmen.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None known.

(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:

(c) Any additional information or comments: None

Tiering:

Has tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process since it is specific to small game hunters and trappers.

TOURISM CABINET

Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 2:047. Specified areas; seasons, limits for birds and small game.

RELATES TO: KRS 150.010, 150.025, 150.170, 150.175, 150.176, 150.330, 150.340, 150.360, 150.370

PURSUANT TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation pertains to the hunting seasons, bag and possession limits for upland game birds and animals on specified wildlife management areas and refuges. This regulation is necessary for the continued protection of the species listed herein and to implement and continued supply of the wildlife resource for the purpose of furnishing sport and recreation for present and future residents of the state. The function of this regulation is to provide for the prudent taking of upland game birds and animals within reasonable limits based upon an adequate supply.

This amendment is necessary because of changes in season dates and the opening or closure of certain wildlife management areas to hunting.

Section 1. All statewide and specified area regulations, seasons, bag and possession limits apply to the following wildlife management areas and refuges unless exceptions are listed herein.

Section 2. The following wildlife management areas are closed to all hunting at all times except for deer and turkey hunting as authorized by other applicable regulations:

(1) That portion of Grayson Wildlife Management Area east of the Little Sandy River and Bruner Creek, portions of Grayson on Tracts 2 and 3.

(2) That portion of Mill Creek Wildlife Management Area west of State Route 200.

(3) Robinson Forest Wildlife Management Area in Breathitt, Perry and Knott Counties.

Section 3. Exceptions to Statewide Small Game Hunting Regulations for Wildlife Management Areas and Refuges. (1) West Kentucky Wildlife Management Area located in McCracken County.

(a) Quail: November 1 through January 31 on Tracts 2, 3, 6 and 7.
(b) Rabbit: November 1 through January 31 on Tracts 2, 3, 6 and 7. December 22 through March 1 on Tract 5.
(c) Squirrel (gray and fox): Open hunting during October 31 on Tracts 1, 2, 3, 4, 5 and 6. November 1 through December 31 on Tract 6 only.

(d) Raccoon and opossum: [November 21 through January 31 on Tracts 1 through 6 only.

(e) All hunters must check in and out daily at the designated check station.

(f) All tracts designated by numbers followed by the letter "A" are closed to gun hunting.

(g) Weapon restrictions: No rifles, shotguns or slug ammunition of any type shall be permitted for taking small game on this area.

(h) Dog training: Dog training is closed.
March 15 through August 31 excepting permitted field trials. [Permitted on all tracts September 1 through April 30 only. Night training is permitted on Tracts 1 through 6 November 21 through January 31 only.]

(i) Tract 6 is closed to vehicular traffic March 1 through April 16.

(2) Land Between the Lakes Wildlife Management Area located in Trigg and Lyon Counties. Areas open to hunting for the following species are located north of the state line to Barkley Canal, except that no hunting is allowed in developed public use areas, safety zones and posted areas unless otherwise noted.

(a) Squirrel (gray and fox): From opening of statewide season through September 30: December 1 [November 30] through January 31; and October 1 [2] through November 11 only by legally licensed and equipped deer archery hunters.

(b) Quail: December 1 [November 30] through the last day of February.

(c) Rabbit: December 1 [November 30] through the last day of February.

(d) Raccoon and opossum: Tuesday, Friday and Saturday nights only during the period December 1 [November 30] through January 31. Daily bag limit is two per night. Raccoon and opossum hunters must check in and out nightly at designated check station. Harvest report cards must be displayed in vehicle windshield while hunting and submitted at the check station upon completion of each night's hunt. Season will be closed in some hunt areas on dates listed in the Big Hunt Guide.

(e) Field [Raccoon field] trials: September 1 through October and November 30 through March 31. Scheduled basis only. Written requests must be received by Land Between the Lakes at least ten (10) days prior to the proposed hunt date. Approval must be obtained from Land Between the Lakes and the Department of Fish and Wildlife Resources District Supervisor. Field trials must be recognized club hunts and each participant must be on a club roster for that hunt.

(f) Fox chasing: From sunset to sunrise; third Saturday in August through October 1 south of Highway 68 to state line.

(g) Gray fox [and coyote] taking: Daylight hours only; grey and archery on December 1 [November 30] through January 31 [February]. October 2 through November 11 only by legally licensed and equipped deer archery hunters. Coyotes may be taken during any quota deer hunt by legally licensed and equipped quota deer hunters.

(h) Woodchuck [and coyote]: [Hunting during] Daylight hours only. March 15 through March 31. All harvested animals must be removed from the area. October 1 [2] through November 11 and December 13 [14] through December 31 only by legally licensed and equipped deer archery hunters. Gun hunting is prohibited in Hunt Area 8 and in that portion of Hunt Area 9 designated as the ORV area.

(i) Coyote: Daylight hours only by legally licensed hunters during any open season with weapons specified for that season.

(i) ((i)) Bird dog, [and] beagle and raccoon hound training season: During the entire month of October in Hunt Area 8 only. Bird dogs and beagles in Hunt Area 8 only. Racoon hounds in Hunt Area 9 only.

(j) ((j)) All dogs[,, while hunting,] must wear a collar bearing the owner's name, address, and telephone number. Dogs may not be used for hunting October 1 [2] through November 11, except in authorized field trials and designated dog training Hunt Areas.

((k)) Trapping for furbearers:

- Only those persons who are selected by a drawing are permitted to trap furbearers on Land Between the Lakes.

2. Authorized trappers may trap in assigned areas only and must report their harvest in accordance with Land Between the Lakes instructions.


4. Trapping devices: [Land sets are restricted to] No. 3 or smaller foot-hold [smooth-jawed leghold] traps[, No. 220 or smaller Conibear-type traps,] and live traps are permitted [or snares]. The jaws of No. 1 1/2 and larger foot-hold [leghold] traps used on land must be offset the amount of the soft catch-type trap. Water sets are restricted to No. 3 or smaller foot-hold [smooth-jawed leghold] traps. No. 300 or smaller Conibear-type traps, and snares.

5. Weapons restrictions: The use of crossbows, center-fire rifles, center-fire handguns, and shotguns with slugs larger than BBs is prohibited for the taking of all species listed in this subsection except that woodchucks [and coyotes] may be taken with center-fire rifles during the specified spring season.

(3) Reelfoot National Wildlife Refuge located in Fulton County.

(a) Squirrel (gray and fox): Fourth Saturday in August through October 15 only in areas designated by signs as open to public hunting.

(b) Raccoon: Four (4) consecutive nights beginning on the last Wednesday in September and four (4) consecutive nights beginning on the first Wednesday in October on the Long Point Refuge unit, with hunting allowed only during the hours of 7:30 p.m. to 12:00 midnight. No bag or possession limits.

(c) Hunters are required to check in and out at designated check stations.

(d) No other hunting is permitted except as authorized by other applicable regulations.

(4) Ballard County Wildlife Management Area located in Ballard County.

(a) Areas designated by signs are closed to hunting. [Squirrel (gray and fox): From opening of statewide season through October 14 on the whole management area except for designated areas that will be closed.]

(b) [All] statewide hunting seasons, bag and possession limits apply only to the wooded area south of Terrell Landing Road and designated by signs reading "Wildlife Management Area for Public Hunting" is open in conjunction with statewide seasons.

(5) Central Kentucky Wildlife Management Area located in Madison County.

(a) Squirrel (gray and fox): From opening of statewide season through October 14.]

(b) Dog training is closed March 15 through August 31 excepting permitted field trials.

(c) Trapping, with the authorization of the area manager, is permitted.

(d) Dog training and scheduled field trials are permitted.

(6) Curtis Gates Lloyd Wildlife and Recreation Area located in Grant County. [Areas closed to
hunting are designated by refuge signs. All statewide hunting seasons apply to the remainder of the area.

(a) Areas closed to hunting are designated by refuge signs.

(b) Dog training is closed March 15 through August 31 excepting permitted field trials.

(7) Pioneer Weapons Wildlife Management Area located in Redmond and Wasco Counties. Hunters on this area are restricted to pioneer weapons only. These include muzzle-loading rifles, muzzle-loading pistols, muzzle-loading shotguns, longbows and crossbows. Muzzle-loading shotguns for taking squirrels, quail, grouse and rabbits must not use shot larger than No. 2 in size.

(a) Fort Campbell wildlife Management Area located in Christian and Trigg Counties. There will be no hunting on Mondays or Tuesdays except when Monday or Tuesday is a federal holiday or as follows: December 22-23 and 29-30 (23, 24, 30, and 31, then hunting will be permitted). There will be no hunting on December 25 and January 1.

(a) Seasons, bag and possession limits:

1. Squirrel (gray and fox): August 16 through September 26 (27), November 26 (27) through December 12 (13), December 13 (14) through January 2 through 31.

2. Quail: October 1 through January 15, 16 and 17 through August 16 (18) and during any other authorized hunt.

3. Coyote: November 26 (27) through December 12 (13), December 13 (14) through January 2 through 31.

(b) Permission must be obtained for each hunt at building #6665 and hunters must stay within their assigned area. A hunting permit costing fifteen (15) dollars is required (and is good for all three days specified hunting days). Such license is required for the use of dogs.

(c) All hunters between the ages of twelve and eighteen must possess a valid hunting safety certificate.

(9) Clay Wildlife Management Area located in Nicholas County.

(a) Quail and rabbit: November 1 through January 31.

(b) Grouse: October 1 through January 15 (31).

(c) All hunters and dog owners must check in and out daily at the designated check station.

(d) Dog training is closed March 15 through August 31 excepting permitted field trials.

(10) Pine Mountain Wildlife Management Area located in Letcher County is closed to the training of all dogs March 1 through July 31.

(11) Redbird Wildlife Management Area located in Leslie and Clay Counties and Dewey Lake Wildlife Management Area located in Floyd County.

(a) Squirrel (gray and fox): Open September 1 through September 30 and December 8 through December 31 only. Grouse, quail, raccoon, and rabbit hunting and trapping for furbearers are permitted from the third Thursday in November through December 4.

(b) Grouse, quail, raccoon and rabbit hunting and trapping for furbearers: December 8 through December 31 only. [Firearms: Only shotguns incapable of holding more than three (3) shells are permitted.]

(c) Dog training: December 8 through December 31 only. [This area is closed to all other hunting except deer and turkey as authorized by other applicable regulations.]

(d) Firearms: Shotguns only.

(e) Closed to all other hunting except deer and turkey as authorized by other applicable regulations.

(12) Beaver Creek Wildlife Management Area located in McCrea and Pulaski Counties. Mill Creek Wildlife Management Area located in Jackson County. Caney Creek Wildlife Management Area located in Lincoln and Morgan Counties and all private holdings within these areas.

(a) Grouse: October 1 through January 15, 16, 17 and 18 through August 16 (19) and during any other authorized hunt.

(b) Quail and rabbit: November 1 through January 31 only. [Firearms: Only shotguns capable of holding more than three (3) shells are permitted.

(c) [This area is] Closed to all other hunting except deer, waterfowl and turkey as authorized by other applicable regulations.

(d) Dog training: These areas closed to all dog training.

(13) Dewey Lake Wildlife Management Area located in Johnson County.

(a) Squirrel (gray and fox), grouse, quail, raccoon and rabbit hunting and trapping for furbearers are permitted from the third Thursday in November through December 8.

(b) Only shotguns incapable of holding more than three (3) shells are permitted.

(c) This area is closed to all other hunting except deer, waterfowl and turkey as authorized by other applicable regulations.

(14) Higginson-Henry Wildlife Management Area located in Hardin, Bullitt and Meade Counties is closed to grouse hunting.

(15) Pennyville Forest Wildlife Management Area located in Christian County is closed to grouse hunting.

(16) Higginson-Henry Wildlife Management Area located in Union County.

(a) Quail and rabbit: November 1 through January 31 only.

(b) [Grouse: No grouse hunting is permitted.

(c) Dog training is closed March 15 through August 31 excepting permitted field trials.]

(d) All hunters and dog owners must check in and out daily at the designated check station.

(17) Yellowbank Wildlife Management Area located in Breckinridge County.

(a) Quail and rabbit: November 1 through January 31 only.

(b) No grouse hunting is permitted.

(c) Dog training is closed March 15 through August 31 excepting permitted field trials.]

(d) All hunters and dog owners must check in and out daily at the designated check station.

Volume 12, Number 11 - May 1, 1986
(d) Dog training is closed March 15 through August 31. [Closed to the training of all dogs March 1 through July 31.]

(c) Kleiber Wildlife Management Area located in Owen and Franklin Counties.
(a) Quail and rabbit: November 1 through January 31.
(b) All hunters and dog trainers must check in and out daily at the designated check station.
(c) Dog training is closed March 15 through August 31.

(18) Swan Lake Wildlife Management Area located in Ballard County.
(a) Areas designated by signs are closed to hunting.
(b) Closed to all dog training.

[[18] Cane Creek Wildlife Management Area, including all private inholdings, in Laurel County.]
(a) Squirrel (gray and fox), grouse, quail, raccoon and rabbit hunting and trapping for fur bearers are permitted from the third Thursday in November through December 4.
(b) Firearms: Only shotguns capable of holding more than three (3) shells are permitted.
(c) This area is closed to all other hunting except deer and turkey as authorized by other applicable regulations.

[[19] Westvaco Public Hunting Areas, Persons hunting on Westvaco Public Hunting Areas must possess a valid Westvaco Hunting Permit.]

[[20] Mill Creek Wildlife Management Area located in Jackson County is closed to the training of all dogs February 1 through October 21.]

[[21] Paintsville Wildlife Management Area located in Johnson and Morgan Counties.]
(a) Squirrel (gray and fox), grouse, quail, raccoon and rabbit hunting and trapping for fur bearers are permitted from the third Thursday in November through December 4.
(b) Only shotguns capable of holding more than three (3) shells are permitted.
(c) This area is closed to all other hunting except deer, turkey and waterfowl as authorized by other applicable regulations.

DON R. MCCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLICATION SCHEDULED: A public hearing on this regulation will be held on May 21, 1986 at 9 a.m. in the Commission Room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Don R. McCormick
Type and number of entities affected: Approximately 340,000 small game hunters and 7,000 trappers are expected to participate in the 1986-87 statewide hunting and trapping seasons. About 5,800 of these can be expected to avail themselves of the opportunity to participate in seasons on the special areas designated in this regulation.

(a) Direct and indirect costs or savings to those affected: Direct costs involve the purchase of a state hunting license and/or a state trapping license. Indirect costs are determined by the individual, depending upon his level of participation.

1. First year: Persons participating in the hunting or trapping seasons proposed by this regulation would be required to possess a valid hunting license ($7.50 for residents) or a valid trapping license ($11.50 resident, $6.00 resident landowner/tenant), respectively. A $15 hunting permit is required by Fort Campbell authorities.

2. Continuing costs or savings: Same as first year.

3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: None

(3) Assessment of anticipated effect on state and local revenues: Approximately 5,800 small game hunters and 140 trappers may be expected to expend money for equipment, transportation, food and lodging. The average annual expenditure for these items by Kentucky small game hunters is $96.98 according to the 1980 National Hunting and Fishing Survey. The average season expenditure for Kentucky trappers during the 1981-82 trapping season was $209.32. State and local revenues can be expected to be positively affected due to necessary expenditures by small game hunters and trappers for the required licenses and due to taxes levied on items purchased.

(4) Assessment of alternative methods; reasons why alternatives were rejected: The only alternative considered to this regulation is closure of the season. This alternative was rejected as contrary to the conservation ethic which is based upon the wise use of renewable resources and the fact that small game and fur bearer populations are at levels which can sustain a regulated harvest by sport hunters.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None known.

(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(c) Any additional information or comments: None

Tiering: Was tiering applied? No. This type of regulation
does not appear to be adaptable to the tiering process since it is specific to small game hunters and trappers.

TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 2:170. Seasons for deer hunting.

RELATES TO: KRS 150.010, 150.025, 150.170, 150.176, 150.180, 150.305, 150.330, 150.340, 150.360, 150.370, 150.390, 150.400, 150.415, 150.418

PURSUANT TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation pertains to the deer gun and archery seasons in specified counties and on wildlife management areas (WMA). This regulation is necessary to set deer hunting season dates, to specify the counties and WMA areas to open to hunters, to describe the methods by which deer may be legally taken, and to prescribe procedures by which handicapped persons may apply for exemptions from conventional hunting methods requirements. The function of this regulation is to provide for the prudent taking of deer within reasonable limits and to ensure a permanent and continuing supply of deer to furnish sport and recreation for present and future residents of the state. This amendment is necessary to adjust for date changes in the deer seasons and allow the taking of wild hogs in deer season.

Section 1. Deer Gun Seasons, Zones, Dates, and Legal Deer. Deer hunting is permitted in the following zones on the dates listed, except as specified in subsection (7) of this section and Section 4 of this regulation.

(1) Zone No. 1: Open to antlered deer gun hunting for ten (10) consecutive days beginning the second Saturday in November. On the last three (3) days of the hunt, either sex deer may be taken. Counties in this zone are: Caldwell, Christian, Crittenden, Hancock, Henry, Hopkins, Livingston, Logan, Muhlenburg, Ohio, Todd, Trimble, and Webster. [Open to either sex deer hunting for ten (10) consecutive days beginning the second Saturday in November. Zone 1 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Hart County from the Edmonson County line, east on State Route (SR) 728 to SR 88. East on Forestville Road, south to Logsdon Valley Cemetery Road, south to the Green River, east on Williams Road, east on SR 218, then south on I-65; in Barren County, I-65 south to the Edmonson County line; in Edmonson County, 31W from the Barren County line west to SR 259, northwest to 728, then east to the Hart County line.]

(2) Zone No. 2: Open to antlered deer gun hunting for ten (10) consecutive days beginning the second Saturday in November. On the last two (2) days of the hunt, either sex deer may be taken. Counties in this zone are: Ballard, Bracken, Breckinridge, Boone, Butler, Carlisle, Carroll, Gallatin, Oldham, Pendleton, and Robertson. [Open to either sex deer hunting for ten (10) consecutive days beginning the second Saturday in November. Zone 2 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Taylor County, south on SR 59 to SR 35, then east on SR 35 to SR 76, then north on SR 76 to SR 68, then east on SR 68 to SR 77, then north on SR 77 to SR 33, then east on SR 33 to SR 35, then north on SR 35 to SR 59.]

(3) Zone No. 3: Open to antlered deer gun hunting for ten (10) consecutive days beginning the second Saturday in November. On the last day of the hunt, either sex deer may be taken. Counties in this zone are: Allen, Anderson, Franklin, Grant, Graves, Harrison, Henderson, Hickman, Larue, McLean, Meade, Nelson, Owen, Scott, Shelby, Spencer, Union, and Washington. [Open to either sex deer hunting for ten (10) consecutive days beginning the second Saturday in November. Zone 3 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Hardin County, south on SR 74 to SR 220, then south on SR 220 to SR 58, then east on SR 58 to SR 300, then north on SR 300 to SR 35, then east on SR 35 to SR 59.]

(4) Zone No. 4: Open to antlered deer gun hunting for ten (10) consecutive days beginning the second Saturday in November. Counties in this zone are: Adair, Barren, Boyle, Bullitt, Campbell, Calloway, Casey, Cumberland, Edmonson, Fulton, Grayson, Green, Hardin, Jefferson, Lyon, McCracken, Marion, Mason, Meade, Metcalfe, Taylor, Trigg, Warren, and Woodford. [Open to either sex deer hunting for ten (10) consecutive days beginning the second Saturday in November. Zone 4 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Jessamine County, north on SR 34 to SR 22, then east on SR 22 to SR 561, then north on SR 561 to SR 15, then east on SR 15 to SR 22, then north on SR 22 to SR 34.]

(5) Zone No. 5: Open to antlered deer gun hunting for five (5) consecutive days beginning the second Saturday in November. Counties in this zone are: Bath, Boyd, Carter, Clark, Daviess, Elliott, Fleming, Greenup, Hart, Kenton, Lawrence, McCreary, Menifee, Monroe, Montgomery, Nicholas, Pike, Simpson, and Wayne. [Open to either sex deer hunting for five (5) consecutive days beginning the second Saturday in November. Zone 5 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Breathitt County, south on SR 277 to SR 278, then east on SR 278 to SR 76, then north on SR 76 to SR 35, then east on SR 35 to SR 59.]

(6) Zone No. 6: Open to antlered deer gun hunting for five (5) consecutive days beginning the second Saturday in November. Counties in this zone are: Bell, Bourbon, Breathitt, Clinton, Estill, Fayette, Floyd, Garrard, Harlan, Jackson, Jessamine, Johnson, Knott, Laurel, Lee, Leslie, Lincoln, Madison, Magoffin, Marshall, Martin, Montgomery, Oneida, Pike, Powell, Rockcastle, Whitley, and Wolfe. [Open to either sex deer hunting for five (5) consecutive days beginning the second Saturday in November. Zone 6 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Magoffin County, south on SR 259 to SR 33, then east on SR 33 to SR 59.]

(7) Zone No. 7: Counties, wildlife management areas, and parks closed to all deer hunting. (a) Counties in this zone are: Clay, Estill, Jessamine, Johnson, Knott, Laurel, Lee, Leslie, Magoffin, Marshall, Martin, Montgomery, Oneida, Pike, Powell, Rockcastle, Whitley, and Wolfe. [Open to either sex deer hunting for five (5) consecutive days beginning the second Saturday in November. Zone 7 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Magoffin County, south on SR 259 to SR 33, then east on SR 33 to SR 59.]

(b) Wildlife management areas: Ballard WMA in Ballard County, south on SR 77 to SR 22, then east on SR 22 to SR 77. [Open to either sex deer hunting for five (5) consecutive days beginning the second Saturday in November. Zone 7 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Magoffin County, south on SR 259 to SR 33, then east on SR 33 to SR 59.]

(c) Parks: None. [Open to either sex deer hunting for five (5) consecutive days beginning the second Saturday in November. Zone 7 is described as follows: The area between Mammoth Cave National Park boundary and the following roads: In Magoffin County, south on SR 259 to SR 33, then east on SR 33 to SR 59.]

Volume 12, Number 11 - May 1, 1986
east of the Little Sandy River and the Bruin Creek portions of Grayson Lake, Mill Creek WMA in Jackson County, Paintsville Lake WMA in Johnson and Morgan Counties, and Robinson Forest WMA in Breathitt, Perry, and Knott Counties, and Swan Lake WMA in Ballard County.

(c) Deer hunting is prohibited within the boundaries of all national parks.

Section 2. Deer Archery Season, Zones, Dates, and Legal Deer. Zones 1, 2, 3, and 4 are open to either sex archery deer hunting during specified periods as follows, except as specified in Section 4 of this regulation. Zones 5 and 6 are opened to antlered deer only archery hunting except as specified in Section 4 of this regulation.

(1) Archery season (longbows and compound bows): October 1 through December 31.

(2) Crossbow season: November 18 through 27 and during gun and special muzzle-loading seasons only.

(3) Direct and crossbow hunting during gun seasons: During gun and special muzzle-loading seasons, archery and crossbow hunters must abide by the gun or special muzzle-loading season regulations in effect for the county or WMA in which they are hunting as specified in Sections 1, 3, 4, and 7 of this regulation. Hunters may not possess both archery equipment and firearms while deer hunting during gun and special muzzle-loading seasons only.

Section 3. Special Muzzle-loading Gun Season, Zones, Dates, and Legal Deer. Zones 1, 2, 3, and 4 as specified in Section 1 of this regulation, are open to muzzle-loading gun deer hunting during the specified period as follows, except as specified in Section 4 of this regulation.

(1) Special muzzle-loading gun season: December 6 and 7 (November 19 and 20).

(2) Permitted firearms: Only those muzzle-loading firearms specified in Section 8(1) of this regulation are permitted. Hunters may not possess breech-loading rifles or handguns while deer hunting during this period.

(3) Legal deer: Antlered deer only as specified in Section 5(1) of this regulation.

Section 4. Exceptions to Deer Hunting Regulations on Wildlife Management Areas. All deer gun and archery regulations apply unless otherwise specified herein. Deer hunting will be permitted only on the dates listed in this section. Except as otherwise specified below, all gun hunters must check in and out at the area check station and archery hunters need not check in but must check out if a deer is taken. Archery hunters must check in and out on the following areas: Higgins-Henry, Kleber, West Kentucky, and Yellowbank WAAs. Persons hunting during the gun season on all the areas listed below (except the Pioneer Weapons WMA) must be selected by a drawing. Persons bearing the permit issued to the original applicant may substitute for the original applicant. Applications may be made only on forms provided by the Department of Fish and Wildlife Resources. No more than four (4) hunters may apply as a party (per form). Those desiring to hunt as a party must submit applications stapled together. More than one (1) application per individual (per hunt) will disqualify that applicant. Completed applications must be accompanied by a stamped, self-addressed envelope and be postmarked no later than August 31. Hunters may hunt on assigned dates and areas only. The special muzzle-loading gun season does not apply to these areas.

(1) Beaver Creek WMA in McCreary and Pulaski Counties:
(a) Archery season: Antlered deer only, October 1 through 30.
(b) Gun season: Antlered deer only, December 6 and 7.

(2) Dewey Lake WMA in Floyd County:
(a) Archery season: Antlered deer only, October 1 through 30.
(b) Gun season: Antlered deer only, December 6 and 7.

(3) Higgison-Henry WMA in Union County: Gun season for either sex deer December 6 and 7.
(a) Archery season: Either sex deer, October 1 through December 31.
(b) Gun season: Either sex deer, December 7 and 8.

(4) Kleber WMA in Owen and Franklin Counties: Gun season for either sex deer December 6 and 7.
(a) Archery season: Either sex deer, October 1 through December 31.
(b) Gun season: Either sex deer, December 7 and 8.

(5) Pioneer Weapons WMA in Bath and Menifee Counties:
(a) Zone 5 archery season dates and requirements apply.
(b) Muzzle-loading firearms only; muzzle-loading handguns of .44 caliber or larger are permitted; crossbows may be used during the entire archery season.
(c) Checking in or out is not required. All deer taken must be checked in accordance with Section 6(3) of this regulation.

(6) Redbird WMA in Clay and Leslie Counties:
(a) Archery season: Antlered deer only, October 1 through 15.
(b) Gun season: Antlered deer only, December 6 and 7.

(7) West Kentucky WMA in McCracken County:
(a) Archery season: Either sex deer, October 1 through November 7 (8) on tracts 1 through 6 and December 22 through 31 (December 23 through 31 on tracts 5 and 6 only).
(b) Gun season: Either sex deer, December 13 through 21 (December 21 and 22).
(c) Youth gun season: Either sex deer, November 22 and 23 (December 14 and 15). Open only to persons at least ten (10) years of age but who have not reached their sixteenth birthday. Each youth must have a valid Kentucky hunting license, a Kentucky deer permit, a state approved hunter safety certificate, and must be accompanied by an adult.
(d) All gun hunters are limited to muzzle- or breech-loading shotguns only.
(e) No firearms permitted on any "A" tract or tract 7 at any time.
(f) All hunters must check in and out daily.
(g) Crossbow season: October 31 through November 7 (November 1 through 8).

(8) Yellowbank WMA in Breckinridge County: Gun season for either sex deer December 6 and 7.
(a) Archery season: Either sex deer, October 1 through December 31.
(b) Gun season: Either sex deer, December 7 and 8.

Section 5. Legal Deer, Taking of Other Species, Hunting Hours and Bag Limits. (1) An antlered deer is defined as having one (1)
antler at least four (4) inches in length, measured from the skin to the tip of the antler.
(2) Hunting is permitted during daylight hours only.
(3) The limit is two (2) deer per hunter per year. Only one (1) deer may be taken by firearms outside the following designated special deer areas: Beaver Creek, Blue Grass Depot Activity, Dewey Lake, Ft. Campbell, Ft. Knox, Glenwood Hall Resort, Land Between the Lakes, Redbird, West Kentucky, Yellowbank, Kleber, and Higgenson-Henry WMAs. Under no circumstances shall any individual be permitted to take more than two (2) deer anywhere in the state.
(4) Two (2) deer may be taken by firearms provided that one (1) is taken with an antlerless deer permit in zones 1, 2 or 3.
(5) (4) The taking of coyotes and wild hogs during the gun and special muzzle-loading season is permitted by deer hunters possessing a valid deer tag as specified in Sections 1 and 3 of this regulation, provided that they have not yet taken the annual bag limit of deer.

Section 6. Hunting License, Deer Permits, Deer Tags and Check Station Requirements. (1) Hunting license and deer permits: All persons taking or attempting to take deer must have in possession a valid annual Kentucky hunting license and a valid deer hunting permit unless exempted by KRS 150.170(3), (5), (6) or (7).
(2) Leading head attached: Any person possessing a deer must leave the head attached to the body until the carcass is removed from the field and processed.
(3) Mandatory deer check stations: Any person taking a deer during any deer hunting season must have the deer checked at the check station nearest to where the deer was taken, or by the nearest available conservation officer, no later than 9:00 a.m. on the day following the day taken. The hunter must fill out the stub attached to the deer permit and submit it to the check station operator or conservation officer.
(a) Before moving the carcass, the hunter must attach the metal tag portion of the deer permit to the deer. This tag must be permanently locked and attached so that it cannot be removed without destroying the tag or mutilating the carcass and must remain attached until the carcass is processed and packaged. The hunter must detach the stub marked "A Tag" and, before moving the carcass, punch a clearly visible hole through the space provided to indicate the weapon used to take the deer.
(b) Deer heads or other parts separated from the carcass for mounting by a taxidermist must have the taxidermist tag properly filled out and attached to the separated part.
(c) Deer hides may be sold to licensed fur buyers and licensed fur processors only.
(d) Legally taken deer feet may be sold and purchased from licensed taxidermists.
(5) Second deer permit: A hunter who has taken one (1) deer may purchase a second deer permit, which shall be valid only when accompanied by a properly punched, stamped or signed "A Tag" portion of the first deer permit. If this portion of the first deer permit is punched to indicate that the first deer was taken by gun, the second deer permit is valid only for archery hunting, and the first deer is taken by gun if one (1) is taken on a designated special deer area or with an antlerless deer tag listed in Section 5(3) of this regulation.

Section 7. Prohibited Methods and Conditions for Gun, Special Muzzle-loading and Archery Deer Hunting. [(1)] [(1) Residents of any state which does not grant Kentucky residents the right to hunt deer may not hunt deer in Kentucky.]
(2) Persons under eighteen (18) years of age may not hunt deer with a gun unless accompanied by an adult.
(3) [(3) Deer may not be taken with the aid of dogs or any domestic animal, or by the use of a boat or any type of vehicle.]
(4) (4) A deer may not be taken while the deer is swimming.
(5) (5) All deer hunters must wear a visible vest, coat, coveralls, cap or hat of hunter orange color when hunting during the gun or special muzzle-loading season. The entire garment must be hunter orange.
(6) [(6) On department owned and operated wildlife management areas, Westvac Public Hunting Area, the Daniel Boone National Forest, and the Big South Fork National River and Recreation Area, the use of any nails, spikes, screw-in devices, wire or tree climbers is prohibited for attaching tree stands or for climbing trees. Only portable tree stands and climbing devices that do not injure trees may be used. Portable stands may be placed in trees no more than two (2) weeks before opening day of each hunting period and must be removed within one (1) week following the last day of each hunting period. All portable tree stands must be marked with the owner's name and address. Existing permanent tree stands may not be used.]
(7) (7) Rattling of antlers or sticks and the use of hand or mouth operated calls are permitted.
(8) (8) No person or persons shall cast the rays of a spotlight, jacklight or other artificial lighting device on any highway or in any field, woodland or forest, while having in his or her possession or under his or her control, a firearm or other implement by which a deer could be killed, even though such deer is not shot at, injured or killed. This shall not apply when the headlights of a motor vehicle in normal operation on a highway are cast upon a field, woodland or forest in the normal course of travel, nor shall it apply to landowners or tenants engaged in normal or necessary activity upon their lands.
(9) (9) No person shall possess a deer taken contrary to this or any other regulation or statute.

Section 8. Firearms Restrictions for Gun Deer Hunting. (1) Permitted firearms: Center-fire rifles of .240 caliber or larger (with the exceptions of the .30 caliber carbine and .255 caliber rifle); muzzle-loading rifles of .38 caliber or larger; and muzzle-loading and breech-loading shotguns of ten (10) gauge maximum and twenty (20) gauge minimum firing a single projectile. Handguns with barrel lengths of 3.90 inches or greater are permitted. Only the following cartridges may be used in handguns: .30 caliber Herret; .357 magnum; .357 Herret; .357 automag; .41 magnum; .41 automag; .44 magnum; .44 automag; .45 automag; and any other cartridge using a bullet of at least 110 grain weight and developing at least 500 foot-pounds of muzzle energy.
(2) Prohibited firearms: Any caliber or
cartridge that does not meet the requirements given in subsection (1) of this section; any fully automatic weapon or weapon capable of firing more than one (1) round with one (1) trigger pull; any military issue M-1 .30 caliber carbine or its equivalent caliber sold commercially; muzzle-loading handguns; and .225 caliber rifle.

(3) Fully jacketed military type ammunition and tracer bullet ammunition are prohibited. Buckshot or any type of shot shells are prohibited.

Section 9. Equipment Restrictions for Archery Deer Hunting. (1) Longbows and compound bows may not be fitted with any device capable of holding an arrow at full draw without aid from the hunter.

(2) Arrows must be barbless without chemical treatment or chemical attachments, with broadhead points at least seven-eighths (7/8) inch wide.

(3) Crossbows must have a minimum pull weight of 100 pounds and a working safety device. Minimum broadhead weight 930 grains, with a barbless broadhead point at least seven-eighths (7/8) inch wide with no chemical treatments or chemical attachments.

(4) Archery hunters are prohibited from carrying firearms while hunting deer.

Section 10. Hunting Methods Exemptions for Handicapped Hunters. Persons with physical handicaps that would make it impossible for them to hunt by conventional methods may apply by letter to the commissioner of the department for a hunting methods exemption. The commissioner may authorize any reasonable exception that would permit a handicapped person to hunt when he or she could not otherwise do so because of his or her handicap. Specific exemptions to be allowed will be described in the letter of authorization, which will be signed by the commissioner and a conservation officer who will certify that the applicant for the exemption is, in his opinion, handicapped to such a degree that the requested exemption is necessary to permit the applicant to hunt. Hunting method exemptions will expire at the end of the calendar year.

DON R. MCCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 22, 1986 at 2 p.m. in the ground floor meeting room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Don R. McCormick
(1) Type and number of entities affected: An estimated 165,000 persons will participate in the white-tailed deer hunting proposed by this regulation.

(a) Direct and indirect costs or savings to those affected: Indirect costs are determined by the individual hunter, depending on his level of participation.

1. First year: Persons participating in the hunting proposed for authorization by this regulation would be required to possess a valid hunting license ($7.50 for residents) and a deer permit ($11.50) unless exempt by regulation.

2. Continuing costs or savings: Same as first year.

3. Additional factors increasing or decreasing costs (note any affects upon competition): The taking of a second deer would require the purchase of a second deer permit ($11.50).

(b) Reporting and paperwork requirements: Deer hunters will be asked to check their deer at a county deer check station and fill out a portion of their tag denoting specific information about the deer.

(2) Effects on the promulgating administrative body: Requires time and effort in developing, publishing, reporting on, and enforcing the proposed regulation.

(a) Direct and indirect costs or savings: Primary costs are associated with enforcement of the regulation.

1. First year: The estimated cost associated with establishing and carrying out the provisions of this regulation is $1,000,000.

2. Continuing costs or savings: Same as first year.

3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: None

(3) Assessment of anticipated effect on state and local revenues: Approximately 165,000 deer hunters may be expected to expend money for equipment, transportation, food and lodging. The annual expenditure for these items averaged $25 per day of hunting according to the 1980 National Hunting and Fishing Survey. State and local revenues can be expected to be positively affected due to necessary expenditures for the required licenses and taxes levied upon items purchased by hunters.

(4) Assessment of alternative methods: reasons why alternatives were rejected: The only alternative to regulated hunting is closure of the season. This alternative was rejected as contrary to the conservation ethic which is based upon the wise use of available resources and the fact that white tailed deer populations are, at levels which can sustain a regulated harvest by Kentucky sportsmen.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None known.

(a) Necessity of proposed regulation if in conflict:

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:

(6) Any additional information or comments: None

Tiering:
Was tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process since it is specific to deer hunters.

Volume 12, Number 11 - May 1, 1986
TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)


RELATES TO: KRS 150.010, 150.025, 150.300, 150.460, 150.620, 150.640
PURSUANT TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation is necessary to protect from any acts of depredation lands and waters owned or controlled by the Department of Fish and Wildlife Resources as fishing waters, wildlife management areas, fish hatcheries and refuges. The function of this regulation is to contribute to the protection, maintenance and development of these lands and waters and their associated wildlife through regulated and prudent use by the public. This amendment is necessary to include Westvaco Public Hunting Area use restrictions.

Section 1. No person shall misuse the lands or waters owned or controlled by the Department of Fish and Wildlife Resources by acts such as, but not limited to, the cutting of trees, dumping of trash and littering, stealing or gleaning crops, permitting livestock to enter, cutting of fences, burning, damaging roads by entry of unauthorized equipment, or by any other act of depredation.

Section 2. Vehicles must use designated parking areas if such are available.

Section 3. Camping is permitted only in designated [camping] areas [on department-owned or controlled lands or waters].

Section 4. No person may place any substance on or in department-owned or controlled lands or waters that may be injurious to aquatic life, wildlife or wildlife habitat, or allow any substances to escape onto lands or into waters or drainage systems of a water area.

Section 5. No vehicle, motorcycle or other mechanized equipment is permitted, except on maintained roads, [of state-owned or controlled lands] unless authorized in writing by the Commissioner.

Section 6. Vehicular shall not be parked on Westvaco Public Hunting Areas in any manner which would block or deny access to any road.

Section 7. Open fires are not permitted on Westvaco Public Hunting Areas.

DON R. MCCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 23, 1986 at 9 a.m. in the Commission Room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Don R. McCormick

1. Type and number of entities affected: Approximately 1,500 hunters are expected to utilize the Westvaco Public Hunting Areas.

2. Continuing costs or savings: None

3. Additional factors increasing or decreasing costs: None

4. Reporting and paperwork requirements: None

5. Effects on the promulgating administrative body: None

6. Assessment of alternative methods: None

7. Any additional information or comments: None

Tiering:

Was tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process since it is specific to hunters utilizing wildlife areas.

TOURISM CABINET
Department of Fish and Wildlife Resources
(Proposed Amendment)

301 KAR 3:030. Year-round season for some birds and animals.

RELATES TO: KRS 150.010, 150.025, 150.170, 150.330, 150.360
PURSUANT TO: KRS 13A.350, 150.025

NECESSITY AND FUNCTION: This regulation pertains to the open season for unprotected species of wild birds and wild animals. Since
all wildlife is protected unless declared unprotected, this regulation is necessary to establish the species that can be hunted year-round, and to insure that only those species declared unprotected may be taken by the use of hand, or mouth, mechanically or electronically operated calling or attracting devices. The function of this regulation is to furnish sport and recreation utilizing wildlife species that sometimes create a nuisance or a health hazard. Past year-round hunting for most of these species has had little effect upon their abundance. This amendment is necessary to remove the gray fox and add the wild hog to the species covered by this regulation.

Section 1. The following species of wild birds and wild animals may be taken, pursued, possessed or transported all year except as stated in Section 3 of this regulation, by any person possessing a valid hunting license: wild hog [gray fox], coyote, woodchuck, crow, English sparrow and starling.

Section 2. Unprotected wild animals. All species of moles, mice, rats and shrews, except those which may be protected as rare or endangered species under the provisions of 301 KAR 3:061, are unprotected and may be taken without possessing a hunting license. All other wild birds and wild animals are protected except during open season and as specified by other regulations.

Section 3. Closed season. There shall be a closed season on all species of wild birds and wild animals, protected or unprotected, except deer, waterfowl, woodcock, snipe, and raccoon, and opossum from November 1 to midnight on the third Thursday in November, except that coyotes may be taken during this period by deer hunters, only as specified in regulations 301 KAR 2:047, 301 KAR 2:111 and 301 KAR 2:170. This does not prohibit any killing of the land, nor landowners from killing wildlife which is causing damage to persons or property on their land.

Section 4. Except as otherwise provided by regulation, only those birds and animals listed in Section 1 of this regulation may be taken by the use of hand or mouth calling or attracting devices, or mechanically or electronically operated calling or attracting devices during daylight hours only.

DON R. MCCORMICK, Commissioner
G. WENDELL COMBS, Secretary
CHARLES E. PALMER, JR., Chairman

APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.

PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 23, 1986 at 11 a.m. in the Commission Room, Department of Fish and Wildlife Resources, #1 Game Farm Road, Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Don R. McCormick

1. Type and number of entities affected: The addition of gray fox to the furbearer list will limit their taking to only during the furbearer taking season. This reduced opportunity will affect approximately 2400 hunters. The addition of the wild hog as a huntable species will permit their year-round taking. Estimated harvest of this species is not expected to exceed 100 per year. The legal taking of opossum during the deer gun season will probably not be utilized by more than 500 hunters.

(a) Direct and indirect costs or savings to those affected: There are no significant costs involved in this activity.

1. First year: This regulation applies only to people who are already licensed hunters. No special licenses are required.
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None

2. Effects on the promulgating administrative body: Requires time and effort in developing and publishing the proposed regulation. No extra enforcement effort will be required.

(a) Direct and indirect costs or savings: All costs are associated with developing and publishing the regulation.

1. First year: The estimated cost associated with establishing and advertising this regulation is $300.
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None
(b) Reporting and paperwork requirements: None

(3) Assessment of alternative methods; reasons why alternatives were rejected: The only alternative to regulated hunting of wild hogs is to maintain season closure. This alternative was rejected because of the realization of the destructive nature of wild hogs to native wildlife habitat and populations. Gray foxes were removed from this regulation based on the conservation ethic of wise use of renewable resources and the fact that gray fox populations are currently at levels requiring a more restrictive harvest.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplicating: None

(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(c) Any additional information or comments: None

Tiering: Was tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process since it is specific to those deer hunters who want to take coyotes incidentally.
NATURAL RESOURCE AND
ENVIRONMENTAL PROTECTION CABINET
Department for Environmental Protection
Division of Air Pollution
(Proposed Amendment)

401 KAR 50:035. Permits [and compliance schedules.]

RELATES TO: KRS [Chapter] 224.320, 224.330, 224.340
Pursuant to: KRS 224.033
NECESSITY AND FUNCTION: KRS 224.033 requires the Natural Resources and Environmental Protection Cabinet to prescribe regulations for the prevention, abatement, and control of air pollution. This regulation provides for the issuance of permits [and compliance schedules].

Section 1. Prohibitions. (1) No person shall construct, reconstruct, alter, or modify a source unless a construction permit to do so has been issued by the cabinet.
(2) No person shall use, operate, or maintain a source in contravention of any regulations of the Division of Air Pollution unless an operating permit, conditioned by an approved compliance schedule, has been issued by the cabinet and is currently in effect.
(3) No person shall use, operate, or maintain an air contaminant [a] source[, which is in compliance with all regulations of the Division of Air Pollution] unless:
(a) A permit to operate the air contaminant source has been issued by the cabinet and is currently in effect; or
(b) The cabinet or the court has issued to the source compliance order with a compliance schedule consistent with the Clean Air Act; or
(c) [b) The source has demonstrated to the satisfaction of the cabinet that it is in compliance with the provisions of all applicable regulations including all provisions relating to public participation, a complete application for a permit to operate has been accepted by the cabinet and the cabinet has notified the applicant that the application is complete. Operation authorized by this paragraph shall expire thirty (30) days after the date of notification made to the source by the cabinet that an operating permit for the source is due or immediately upon notification to the source by the cabinet that the source operating permit is denied.
(c) Within thirty (30) days after receipt of an application to operate, the cabinet shall advise the owner or operator as to whether or not the application is complete.
(4) When supported by justification which the cabinet deems adequate, the cabinet may, upon request by a source owner or operator, extend the termination date of an operating permit by a period not to exceed 180 days for the purpose of allowing sufficient time for a source to correct such deficiencies in the application as have been identified by the cabinet and to allow completion of the application review by the cabinet.
(5) No person shall use, operate, or maintain a source which has changed ownership after a shutdown of six (6) months or more unless:
(a) The provisions of 401 KAR 50:055, Section 3(1) are met;
(b) The source was issued an operating permit and was in compliance with all applicable regulations under the previous ownership; and
(c) The provisions of Section 5(2) of this regulation are met.

Section 2. Applications. (1) Applications for permits [or compliance schedules] required under Section 1 of this regulation shall be made on forms prescribed by the cabinet for such purpose and shall contain such information as the cabinet shall deem necessary to determine whether the permit [or compliance schedule] should be issued.
(2) Applications for permits [or compliance schedules] shall be signed by the corporate president or by another duly authorized agent of the corporation; or by an equivalently responsible officer in the case of organizations other than corporations; or, in other cases, by the source owner or operator; or, in the case of political subdivisions, by the highest executive official of such subdivision. Such signature shall constitute personal affirmation that the statements made in the application are true and complete.
(3) The information submitted in the application shall, when specifically requested by the cabinet, include an analysis of the characteristics, properties and volume of the air contaminants based upon some stack samples of the air contaminants taken under normal operating conditions. Failure to supply information required or deemed necessary by the cabinet to enable it to act upon the permit [or compliance schedule] application shall result in denial of the permit [or shall result in denial of the approval of the compliance schedule].
(4) An application for a permit [or compliance schedule] may include one (1) or more affected facilities provided that all are contained within one (1) source. A person may apply for an amended permit to include new affected facilities provided that such new facilities are within the same source.

Section 3. Consideration of Applications. (1)(a) The cabinet shall deny an application for a permit [or compliance schedule] if the cabinet determines that any provision of any applicable regulation is [emission standards, standards of performance, ambient air quality standards, standards approved control measures or the provisions of Title 401, Chapter 51], are not met [or will not be met upon completion of a compliance schedule].
(b) The cabinet shall deny an application for a permit [or compliance schedule] if the applicant willfully makes material misstatements in the application or amendments thereto.
(c) When required by the regulations of Title 401, Chapters 50 to 65, the cabinet shall base the determination of compliance with ambient air quality standards and prevention of significant air quality increments upon either:
1. Air quality models in accordance with 401 KAR 50:040; or
2. Ambient air quality monitoring in accordance with 401 KAR 53:010.
(d) In cases where no emission standards have been prescribed by regulation, the cabinet shall require the use of all available, practical and reasonable methods to prevent and control air pollution.
(2) Compliance schedules herein shall be subject to approval of the cabinet. If for any
(1) Within thirty (30) days after receipt of an application to construct, reconstruct, or modify any addition to such application, the cabinet shall advise the owner or operator of any deficiency in the information submitted in support of the application. If the cabinet finds such a deficiency, the date of receipt of the application for the purpose of subsections (2), (6) and (7) of this section shall be the date on which the cabinet makes a determination that the application is complete.

(2) Within thirty (30) days after the receipt of the complete application, the cabinet shall:
   (a) Make a preliminary determination whether the source should be approved, approved with conditions, or disapproved.
   (b) Make available in at least one (1) location in each region in which the proposed source would be constructed, reconstructed, or modified, a copy of all materials submitted by the owner or operator, a copy of the cabinet's preliminary determination and a copy or summary of other materials, if any, considered by the cabinet in making the preliminary determination; and
   (c) For sources subject to 401 KAR 51:017, notify the public by prominent advertisement in newspapers of general circulation in each region in which the proposed source would be situated, of the application, the preliminary determination, the degree of increment consumption that is expected from the source or modification if applicable, and of the opportunity to comment in writing and of the opportunity to request a public hearing to receive written or oral comments. The cost of such advertisement shall be borne by the applicant.

(d) For all other sources subject to this section, notify the public, by prominent advertisement in newspapers of general circulation in each region in which the proposed source would be situated, of the application, the preliminary determination, and of the opportunity to comment in writing. The cost of such advertisement shall be borne by the applicant.

(3) A copy of the notice required pursuant to this section shall be sent to the following persons (any person otherwise entitled to receive notice under this subsection may waive his/her rights to receive notice):
   (a) The applicant;
   (b) Officials and agencies having cognizance over the locations where the source will be situated as follows: the Administrator of the U.S. EPA through the appropriate regional office; local air pollution control agencies; the chief executive of the city and county; any comprehensive regional land use planning agency; and any state, federal land manager or Indian governing body whose land may be affected by the emissions from the proposed source; and
   (c) Persons on a mailing list compiled by including those who request in writing to be on the list, soliciting persons for "area lists" from participants in past permit proceedings in that area, and notifying the public of the opportunity to be put on the mailing list through periodic publication in the public press and on television, radio, print, and electronic media, newsletters, environmental bulletins, or state law journals. The cabinet may update the mailing list from time to time by requesting written indication of continued interest from those.
listed. The cabinet may delete from the list the name of any person who fails to respond to such a request.

(4) All public notices issued under this regulation shall contain the following minimum information:
(a) Name and address of the cabinet and division processing the permit application for which notice is given;
(b) Name and address of the permittee or permit applicant and, if different, of the facility or activity regulated by the permit;
(c) A brief description of the business conducted at the facility or activity described in the permit application or the draft permit;
(d) Name, address and telephone number of a person from whom interested persons may obtain further information, including copies of the draft permit, statement of basis or fact sheet, and the application; and
(e) A brief description of the comment procedures required by subsections (5) and (8) of this section.

(f) In addition to the general public notice described in paragraphs (a) to (e) of this subsection, the public notice for a hearing under subsection (8) of this section shall be given at least thirty (30) days before the hearing and shall contain the following information: reference to the date of issuance of the public notice relating to the permit; date, time, and place of the hearing; and a brief description of the nature and purpose of the hearing, including the applicable rules and procedures.

(5) Public comments submitted in writing within thirty (30) days after the date such information is made available shall be considered by the cabinet in its final decision on the application. No later than ten (10) days after the close of the public comment period, the applicant may submit a written response to any comments submitted by the public. The cabinet shall consider the applicant's response in making its final decision. All comments shall be made available for public inspection at the same location in the region at which the cabinet made available preconstruction information relating to the proposed source.

(6) The cabinet shall take final action on an application subject to this section regarding all permit applications in writing of its approval, conditional approval, or denial of the application. The cabinet shall notify the applicant, in writing, of its approval, conditional approval, or denial of the application and shall set forth its reasons for any conditional approvals or denials. Such notice shall be made available for public inspection at the location in the region at which the cabinet made available preconstruction information relating to the proposed source or modification. The public shall be notified of the cabinet's final action on an application subject to this section by prominent advertisement in newspapers of general circulation in each region in which the proposed source or modification would be situated. The cost of such advertisement shall be borne by the applicant.

(a) For sources subject to 401 KAR 51:017 and for which public hearing has been requested and held, the cabinet shall take final action within 150 days after receipt of a complete application.

(b) For all other sources subject to this section, the cabinet shall take final action within ninety (90) days after receipt of a complete application.

(7) The cabinet may extend each of the time periods specified in paragraphs (a) and (b) of this section by no more than thirty (30) days or such other period as agreed to by the applicant and the cabinet deems necessary. The time period specified in subsection (6) of this section shall then be extended by such period as extended for subsections (2) and (5) of this section and may be extended for such other period as agreed to by the applicant and the cabinet deems necessary. In accordance with Federal Regulation 40 CFR §221, the cabinet shall in no case exceed one (1) year from the date of receipt of a complete application for taking final action on an application subject to 401 KAR 51:017.

(b)(a) For sources subject to 401 KAR 51:017, the cabinet shall hold a public hearing whenever it deems the proceedings to be of a significant degree of public interest in a draft permit(s). The cabinet also may hold a public hearing at its discretion, whenever, for instance, such a hearing might clarify one (1) or more issues involved in the permit decision. Public notice of the hearing shall be given as specified in paragraphs (b) and (c) of this subsection.

(b) Whenever a public hearing is to be held, the cabinet shall designate a presiding officer for the hearing who shall be responsible for its scheduling and orderly conduct.

(c) Any person may submit oral or written statements and data concerning any draft permit. A reasonable time may be set upon the time allowed for oral statements, and the submission of statements in writing may be required. The public comment period under subsection (5) of this section shall automatically be extended to the close of any public hearing under this subsection. The hearing officer may also extend the comment period by so stating at the hearing.

(d) A tape recording or written transcript of the hearing shall be made available to the public at a reasonable reproduction cost.

Section 5. Terms and Conditions [Permits and Compliance Schedules] (1) Permits [and compliance schedules] issued hereunder shall be subject to such terms and conditions set forth and embodied in the permit [or compliance schedule] as the cabinet shall deem necessary to ensure [insure] compliance with its standards. Such terms and conditions may include maintenance and availability of records relating to operations which may cause or contribute to air pollution including periodic source or stack sampling of the affected facilities.

(2) In the case of a transfer of ownership or name change of a source, the new owner or owner respectively shall abide by any [current compliance schedule or] permit to operate issued by the cabinet to the previous owner or to the same owner under the previous source name. The new owner or owner shall notify the cabinet of the change in ownership and/or source name within ten (10) days following the change in ownership or source name and shall apply for a new [permit] [compliance schedule] to the event of a change in the name of the source.

(3) When supported by justification which the cabinet deems adequate, the cabinet may upon
request by a source owner or operator, extend the termination date of an operating permit by a period not to exceed 180 days for the purpose of allowing sufficient time for a source to correct such deficiencies in the application as have been identified by the cabinet and to allow completion of the application review by the cabinet.

Section 6. Exemptions. The provisions of Section 1 of this regulation shall not apply to the affected facilities or sources listed in this section. These exemptions shall not relieve any source from the requirements of any applicable standard set forth in an applicable regulation or a permit issued by the cabinet. The cabinet may require the owner or operator to demonstrate compliance with all applicable regulations. [following:]

(1) Except as provided elsewhere in this section, those affected facilities to which no regulation is applicable and which emit an air pollutant to which no ambient air quality standard applies.

(2) Incinerators with a charging rate of less than 500 pounds per hour except those subject to 401 KAR 51:017, 401 KAR 51:052, or 401 KAR 63:020.

(3) Except as provided in 401 KAR 59:010 [59:018], internal combustion engines whether fixed or mobile, and vehicles used for transport of passenger or freight.

(4) Direct fired sources used for heating and ventilation.


(6) Direct heat exchangers at a source with a total heat input capacity of less than fifty (50) million BTU per hour [input] which use natural gas, liquid petroleum gas, or distillate fuel oil as a main fuel or combinations of these as main and standby fuels and which are not subject to the requirements of 401 KAR 51:017 or 401 KAR 51:052.

(7) Any indirect heat exchanger with a heat input capacity of less than fifty (50) million BTU per hour which uses natural gas or liquid petroleum gas as a main fuel or combinations of these as main and standby fuels and which is not subject to the requirements of 401 KAR 51:017 or 401 KAR 51:052.

(8) [77] Publicly owned roads.

(9) [8] Feed grain mills having a hammermill with a rated capacity of ten (10) tons per hour or less, provided that the source does not include a grain dryer.

(10) [9] Sawmills which produce only rough cut or dimensional lumber from logs and which have a rated capacity of 1,500 board feet per hour or less provided the source does not include an indirect heat exchanger or waste wood burner subject to regulation in Title 401, Chapter 59 or 61.

(11) [10] All sources except those subject to regulation in Title 401, Chapter 57, 40 CFR 60, or 401 KAR 63:020, whose uncontrolled emissions are less than twenty-five (25) tons per year or whose potential to emit is less than or equal to five (5) [two (2)] tons per year of each of the following pollutants: particulate matter, sulfur dioxide, volatile organic compounds, nitrogen oxides and [or] carbon monoxide. This exemption shall not apply to sources of volatile organic compounds located in urban counties designated as non-attainment for ozone in 401 KAR 51:010.

(12) Those sources which install air pollution control equipment where none was required. The owner or operator shall notify the cabinet in writing of such additions.

(13) Those sources which voluntarily modify or replace their air pollution control equipment to provide an equivalent or more efficient control of air pollutants. However, the owner or operator of such sources shall submit to the cabinet a complete registration form for the cabinet's concurrence at least forty-five (45) days before installation of such control equipment.

(14) Those affected facilities which are a part of a construction project where the total increase in the potential to emit from all affected facilities in the construction project is less than or equal to two (2) tons per year, provided that such increase does not affect the source to any other regulation. The owner or operator shall notify the cabinet in writing of such increases and construction projects thirty (30) days prior to commencing the construction project. This exemption shall not apply to affected facilities which are subject to regulation in Title 401, Chapter 57, 40 CFR 60, or 401 KAR 63:020.

(a) The owner or operator may not circumvent this regulation by separating what would normally be one (1) construction project into two (2) or more projects.

(b) If the owner or operator notifies the cabinet of or applies for a construction permit for another construction project before the first construction project becomes operational, then the two (2) construction projects shall be considered as one (1) construction project.

(c) If the owner or operator can demonstrate, through engineering analysis and internal documents, that two (2) or more construction projects were planned during separate time frames and involve separate independent facilities, the cabinet may allow the construction projects to be treated separately.

(15) Emitters of nonprocess fugitive emissions that are not part of a source that is otherwise subject to regulation.

Section 7. Source Obligation. (1) Any owner or operator who constructs or operates a source or modification not in accordance with the application submitted pursuant to this regulation or with the terms of any approval to construct, or any owner or operator of a source or modification subject to this regulation who commences construction after June 6, 1979 without applying for and receiving approval hereunder, shall be subject to appropriate enforcement action as provided under KRS 224.094.

(2) Approval to construct shall become invalid if construction is not commenced within eighteen [18] [twelve (12)] months after receipt of such approval, if construction is discontinued for a period of six (6) months or more, or if construction is not completed within a reasonable time. The cabinet may extend the eighteen (18) [twelve (12)] month period upon a satisfactory showing that an extension is justified. This provision does not apply to the time period between construction of the approved phases of a phased construction project; each phase must commence construction within eighteen (18) [twelve (12)] months of the projected and approved commencement date.
(3) Approval to construct shall not relieve any owner or operator of the responsibility to comply fully with applicable provisions of the requirements of the cabinet and any other requirements under local, state, or federal law.

Section B. Relocation of Minor Sources. The owner or operator of a minor source who is planning to relocate the source to a new site without any modification, may do so without applying for and receiving a new permit, provided that the source has been issued and is operating under a current operating permit and is currently in compliance with all applicable regulations, and provided that the owner or operator notifies the cabinet on forms provided by the cabinet, at least ten (10) days prior to the planned relocating. If the location at the new site would cause the source to be subject to any additional or different regulation than is currently applicable at the previous location, the source shall be considered to be a new source and shall be subject to Section 1 of this regulation.

CHARLOTTE E. BALDWIN, Secretary
APPROVED BY AGENCY: April 14, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARINGS: NO PUBLIC HEARINGS will be held to receive comments on this proposed amendment will be conducted on May 27, 1986, at 10 a.m. (EDT) in Room G-2 of the Capital Plaza Tower, Frankfort, Kentucky. Those persons interested in attending this meeting shall contact, in writing at least five days prior to the hearing, Mr. WILLIAM J. RILEY, Manager, Program Development Branch, Division of Air Pollution Control, 18 Reilly Road, Fort Boone Plaza, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Roger B. McCann, Director

(1) Type and number of entities affected: This regulation specifies the conditions under which permits to construct and operate air contaminant sources are issued by the Cabinet. It also specifies the types of sources which are exempt from the requirement of obtaining permits. This regulation is being amended primarily to exempt additional small sources from being required to obtain permits. These additional exemptions would not result in a significant degradation of Kentucky's air quality, but would save sources time and money in applying for permits, and would save the Cabinet time and effort in issuing permits to and inspecting these sources on a regular basis. The Cabinet is also amending other portions of this regulation to clarify the present requirements and procedures that are used in applying for and issuing permits.

Among the additional sources being exempt from permitting requirements are:
(a) Sources whose uncontrolled emissions are less than twenty-five tons per year;
(b) Sources whose potential emissions are less than or equal to five tons per year;
(c) Sources which voluntarily modify or replace air pollution control equipment to provide an equivalent or more efficient control of air pollution, and are in compliance with all applicable regulations;
(d) Sources which install air pollution control equipment where none was required; and
(e) Sources whose increase in their potential to emit is less than or equal to two tons per year, provided such increase does not subject the source to any other regulation; and
(f) Any indirect heat exchanger with a heat input capacity of less than fifty MM BTU/hr which uses natural gas or liquid petroleum gas as the main fuel.

Exempting sources whose uncontrolled emissions are less than twenty-five tons per year or whose potential to emit is less than or equal to five tons per year would exempt additional small sources from permit fees and would reduce the paperwork associated with applying for and issuing permits. Presently, sources which have a potential to emit of less than or equal to two tons per year are exempt from permitting requirements. Available information indicates that about 700 small sources which presently have permits would no longer be required to maintain those permits, although they would still be required to comply with any applicable regulation. In order to determine how many sources per year would no longer be required to obtain permits, the Division reviewed all construction and operating permits which were issued in 1984 and 1985. On the average for these two years, approximately 500 permits were issued; of these, about 125 were issued to sources or affected facilities which would be impacted from permitting requirements under the proposed amendments. Although these sources or affected facilities would no longer be required to obtain construction or operating permits, the Division may still review registration forms or other information submitted to determine if the exemption applies to the source.

A proposed amendment to 401 KAR 50:035, however, would now require sources whose potential emissions are less than or equal to two tons per year but are subject to regulations for the federal new source performance standards (NSPS) to obtain construction and operating permits.

Other portions of this regulation (Section 3(2)) are being amended to require the Cabinet to expedite its determination regarding the issuance of operating permits, since the smaller insignificant sources would be exempt from permitting requirements.

(a) Direct and indirect costs or savings to those affected:
1. First year: Exempting these sources from obtaining construction and operating permits will typically save each affected industry $400 in fees for a construction permit and from $330 to $750 in fees for an operating permit. Indirect savings could also be realized from the reduction in paperwork required to obtain permits.
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition): N/A
(b) Reporting and paperwork requirements: The proposed amendments to this regulation would reduce source reporting and other paperwork requirements for the affected sources in that these sources or affected facilities would no longer be required to submit permit applications and reports associated with having a permit. However, the Division may still require sources to register under 401 KAR 50:030 which requires submission of quarterly information to allow the Division to determine if the exemption applies. No registration fee is required for such registrations. Although sources would be exempt from requirements of obtaining permits, sources
which voluntarily modify or replace their air pollution control equipment to provide an equivalent or more efficient control of air pollutants, sources which install air pollution control equipment where none was required, or sources which were required to install equipment, but where the emissions per permit is equal to or less than two tons per year would be required to notify the Cabinet of such changes.

(2) Effects on the promulgating administrative body:

(a) Direct and indirect costs or savings: The exemption of small sources would reduce the revenue derived from permit fees by approximately $48,000. This reduction in permitting work would, however, enable the growing number of permits for the larger sources to be more expeditiously processed, hopefully without the need for additional staff. This trade-off of reducing the N/A's workload and income from permit fees versus increasing personnel is therefore deemed a prudent decision.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs: N/A

(b) Reporting and paperwork requirements: The reporting and paperwork requirements of the Permit Review and Compliance Branches would be reduced in that the need to regularly inspect, review, and record data from the exempted sources would be reduced. The amendments in Section 3(2) would require the Cabinet to make its determination regarding the approval, conditional approval, or denial of operating permits within thirty or sixty days, depending on the size of the source, unless the Cabinet determines that additional time is necessary.

(3) Assessment of anticipated effect on state and local revenues: See (2)(a) above.

(4) Assessment of alternative methods; reasons why alternatives were rejected: Various source emission levels were evaluated for this exemption. It was determined that the twenty-five tons per year exemption was a reasonable choice since these sources contribute a very small amount of pollutants to the environment.

Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

(a) Necessity of proposed regulation if in conflict: N/A
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: Yes

(5) Any additional information or comments: The Cabinet is amending this regulation to primarily exempt small sources from permitting requirements. The purpose for these exemptions is to provide more time for the Cabinet to review and issue permits to larger sources of air pollutants, and to reduce the amount of time spent on sources that do not contribute significantly to air pollution. The Cabinet has determined that exempting these sources would not cause emissions to increase significantly. The emissions from the approximately 700 sources which would be exempt from permitting requirements contribute a very small percent of the total emissions from all sources in Kentucky. Exempting these small sources would not cause the air quality to deteriorate significantly. Having fewer sources to permit will enable the Division to speed up the permitting process. These amendments do not exempt sources from having to comply with the control requirements of other air pollution regulations. The Division may still require sources to register and may initiate an inspection to determine compliance with the applicable air pollution regulations.

The Division is also amending other provisions of this regulation to more correctly identify the procedures that the Division is presently following, and to specify the amount of time that the Division shall use in making its determination regarding operating permit. Specifically, the Division is deleting most references relating to compliance schedule applications and compliance schedules since the Cabinet no longer allows sources to apply for compliance schedules. Such schedules may still be issued by the Cabinet through an applicable permit, court order, or agreement.

Other revisions are being made as follows:
1. Section 1 is being amended to specify the conditions under which a source may operate;
2. Section 1 is being amended to move the paragraph on operating permit determinations (Section 1(2)(c) to Section 3(2)(a));
3. Section 1 is being amended to move the subsection regarding the termination date of a permit to Section 5, regarding terms and conditions;
4. Section 3 is being amended to add the requirement that the Cabinet shall complete its determination regarding the approval, conditional approval or denial of an operating permit with sixty days or thirty days, depending on the size of the source;
5. Section 7 is being amended to provide a longer length of time for: sources to commence construction of a source after receipt of the construction permit, extensions of the time required to commence construction, and phased construction projects. The new time limit would be eighteen months, in lieu of twelve months; and
6. Section 8 is being added to allow minor sources which already have an operating permit and are in compliance with all applicable regulations to relocate to a new site, provided that the source notifies the Cabinet at least two days prior to relocating. Also, the new site shall not cause the source to be subject to any other regulation.

Tiering:
Was tiering applied? Yes. The amendment increases the criteria level used for exempting sources, thereby increasing the number of sources which are not subject to the permitting requirements of the Cabinet.

CORRECTIONS CABINET
(Proposed Amendment)

501 KAR 6:020. Corrections policies and procedures.

RELATES TO: KRS Chapters 196, 197, 430
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity
with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14 [February 19] 1986 and hereinafter should be referred to as Corrections Policies and Procedures [or institutional policies and procedures]. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

[(1) The corrections policies and procedures:]

1.1 Legal Assistance for Corrections Staff
1.2 News Media
1.6 Extraordinary Occurrence Reports [(Amended 2/19/86)]
1.11 Population Counts and Reporting Procedures
2.1 Inmate Canteen
3.1 Code of Ethics
3.2 Inclimate Weather and Emergency Conditions Policy
3.3 Holding of Second Jobs by Bureau Employees
3.7 Employment of Relatives
3.10 Staff Clothing and Personal Appearance
3.12 Institutional Staff Housing
3.14 Corrections Cabinet Payroll Deduction Policy and Procedure
4.1 Attendance at Professional Meetings
4.2 Staff Training and Development
4.3 Firearms and Chemical Agents Training
4.4 Educational Assistance Program
6.1 Open Records Law
8.4 Emergency Preparedness
9.1 Use of Force
9.1 Transportation of Convicted Offenders
9.4 Transportation of Inmates to Funerals or Bedside Visits
9.5 Return of Escapees by Automobile
9.6 Contraband
9.7 Storage, Issue and Use of Weapons Including Chemical Agents
9.8 Search Policy
9.9 Transportation of Inmates
9.10 Security Inspections
9.15 Institutional Entry and Exit Policy and Procedures
9.18 Informants
10.1 Inmates Serving a Sentence of Death
10.2 Special Management Inmates
10.3 Safekeepers
10.4 Special Needs Inmates
11.2 Nutritional Adequacy of the Diet for Inmates
11.3 Special Diet Procedures
12.1 Resident Clothing
13.1 Pharmacy Policy and Formulary
13.2 Health Maintenance Services
13.3 Medical Alert System
13.4 Health Program Audits
14.2 Personal Hygiene Items
14.3 Marriages of Inmates [(Amended 2/19/86)]
14.4 Legal Services Program
14.6 Inmate Grievance Procedure (Added 4/14/86)
15.1 Hair and Grooming Standards
15.2 Offenses and Penalties
15.3 Meritorious Good Time
15.4 Governor's Meritorious Good Time Award
15.5 Restoration of Forfeited Good Time
15.6 Adjustment Procedures and Programs
16.1 General Inmate Visiting Procedure
16.2 Inmate Correspondence
16.3 Telephone Calls
16.7 Inmate Personal Property

[(2) The Kentucky State Reformatory Procedures Memorandum:]

KSR 01-00-09 Public Information and News Media Relations
KSR 01-00-10 Entry Authorization for All Cameras and Tape Recorders Brought into the Institution
KSR 01-00-14 Extraordinary Occurrence Report
KSR 01-00-15 Cooperation and Coordination with Oldham County Court
KSR 01-00-18 Assistant Duty Officers
KSR 01-00-19 Personal Service Contract Personnel
KSR 01-00-20 Contingency Decree Notification to Inmates
KSR 02-00-01 Inmate Canteen (Amended 2/19/86)
KSR 02-00-03 Screening Disbursements from Inmate Personal Accounts
KSR 02-00-11 Inmate Personal Accounts (Amended 2/19/86)
KSR 02-00-12 Institutional Funds and Issuance of Checks
KSR 03-00-01 Shift Assignment/Reassignment

Volume 12, Number 11 - May 1, 1986
KSP 20-00-03 Academic School Programs
KSP 20-00-04 Criteria for Participation in
Jefferson Community College Program
(Amended 2/19/86)
KSP 20-00-08 Integration of Vocational and
Academic Education Programs
KSP 21-00-01 Legal Aid Office and Law Library
Services and Services
KSP 21-00-02 Inmate Library Services
KSP 21-00-03 Library Services for Unit D
KSP 22-00-03 Inmate Organizations
KSP 23-00-02 Chaplain's Responsibility and
Inmate Access to Religious
Representatives
KSP 23-00-03 Religious Programming
KSP 25-00-01 Discharge of Residents to Hospital
or Nursing Home
KSP 25-00-02 Violations of Law or Code of
Conduct by Inmates on Parole
Furlough
KSP 25-00-03 Pre-Parole Progress Report

[(3) The Kentucky State Penitentiary Operations Memorandum:

KSP 000000-06 Administrative Regulations
(Amended 2/19/86)
KSP 010000-04 Public Information and Media
Communication (Amended 2/19/86)
KSP 020000-01 General Guidelines for KSP
Employees
KSP 020000-02 Service Regulations, Attendance, Hours of Work, Accumulation and
Use of Leave
KSP 020000-03 Work Planning and Performance
Review (WPPR)
KSP 020000-04 Employee Disciplinary Procedure
KSP 020000-05 Proper Dress for Uniformed and
Non-Uniformed Personnel
KSP 020000-06 Employee Grievance Procedure
(Amended 2/19/86)
KSP 020000-07 Personnel Registers and
Advertisements
KSP 020000-09 Maintenance, Confidentiality, and
Informational Challenge of Material Contained in Personnel
Files
KSP 020000-10 Overtime Policy
KSP 020000-15 Legal Assistance
KSP 020000-20 Equal Employment Opportunity
Complaints
KSP 020000-23 Recruitment and Employment of
Ex-Offenders
KSP 020000-24 Educational Assistance Program
KSP 020000-25 Mediation and Appeal Procedure
for WPPR
KSP 020000-29 Promotional Opportunity
Announcement Program
KSP 030000-01 Inventory Records and Control
KSP 030000-04 Requisition and Purchase of
Supplies and Equipment
KSP 030000-05 Inmate Personal Funds
KSP 030000-06 Inmate Commissary Program
KSP 040000-02 Inmate Records Section
KSP 040000-08 Inmate Equal Opportunity Policy
KSP 050000-14 Searches of Inmates, Visitors, Staff, Vehicles, Cells and Area
Shakedown and Preservation of Evidence
KSP 060000-01 Special Security Unit (Added
2/19/86)
KSP 060000-02 Operational Procedures for
Disciplinary Segregation, Administrative Segregation, Administrative Control and
Behavioral Control Units
KSP 060000-04 Operational Procedures for
Special Management Inmates Assigned to Protective Custody
(Amended 2/19/86)
KSP 060000-11 Criteria for Disciplinary
Segregation and Incentive Time Reduction Program
KSP 060000-12 Maximum Protective Custody
KSP 070000-01 Hospital Services
KSP 070000-02 Sick Call
KSP 070000-03 Health Evaluations
KSP 070000-04 Consultations
KSP 070000-05 Emergency Medical Procedure
KSP 070000-13 Pharmacy Procedures
KSP 070000-14 Medical Records
KSP 070000-16 Psychiatric and Psychological
Services
KSP 070000-17 Dental Services for Special
Management Units
KSP 070000-19 Optometric Services
KSP 070000-20 Menu Preparation and Planning
KSP 070000-24 Food Service, General Sanitation, Safety, and Protection Standards
and Requirements
KSP 070000-25 Food Service Inspections
KSP 070000-30 Therapeutic Diets
KSP 090000-01 Inmate Work Programs
KSP 090000-03 Correctional Industries
KSP 100000-02 Visiting Program
KSP 100000-03 Disposition of Unauthorized
Property
KSP 100000-04 Inmate Grooming and Dress Code
KSP 100000-05 Procedures for Providing
Clothing, Linens and Other Personal Items
KSP 100000-06 Mail
KSP 100000-07 Inmate Telephone Access
KSP 100000-08 Behavioral Counseling Record
KSP 100000-09 Due Process/Disciplinary
Procedures
KSP 100000-11 Authorized and Unauthorized
Property for Inmates
KSP 100000-14 Property Room: Clothing Storage
and Inventory
KSP 100000-15 Uniform Standards for Fire
Safety, Sanitation and Security of all Cells
KSP 100000-18 Inmate Grievance Committee
Hearings
KSP 100000-20 Legal Services Program
KSP 100000-21 Photocopies for Non-Indigent
Inmates with Special Court
Deadlines
KSP 100000-22 Special Management Unit Legal
Services Program
KSP 100000-24 Resident Legal Services Office
Library
KSP 100000-25 WKFC Resident Access to
Kentucky State Penitentiary Legal
Library
KSP 110000-03 Governor's Meritorious Good Time
Award Committee
KSP 110000-04 Pre-Parole Progress Report
KSP 110000-06 General Guidelines of the
Classification Committee
KSP 110000-07 Pre-Parole Progress Report
KSP 110000-08 Award of Meritorious Good Time
KSP 110000-10 Special Needs Inmates (Amended
2/19/86)
KSP 110000-11 Classification Committee – Transfer Requests
KSP 110000-12 Classification Committee – Inmate Work Assignments
KSP 110000-13 Classification Document
KSP 110000-14 Vocational School Placement
KSP 110000-15 Transfers to Kentucky Correctional Psychiatric Center (KCCP)
KSP 110000-16 Consideration of Further Treatment Requirements for Inmates Prior to Release
KSP 110000-19 Custody/Security Guidelines
KSP 120000-04 Academic Education
KSP 120000-07 Community Center Program
KSP 120000-08 Inmate Furloughs
KSP 120000-11 Religious Services – Staffing
KSP 120000-18 Religious Services – Religious Programming
KSP 120000-20 Marriage of Inmates
KSP 120000-24 Muslim Services
KSP 120000-31 Extended Furloughs
KSP 120000-32 Discharge of Inmates by Shock Probation
KSP 130000-10 Execution Plan

[(4) The Luther Luckett Correctional Complex Policies and Procedures:

LLCC 01-08-01 Institutional Legal Assistance
LLCC 01-09-01 Public Information and News Media Access
LLCC 01-12-01 Duty Officer Responsibilities
LLCC 02-01-02 Fiscal Management: Accounting Procedures
LLCC 02-01-03 Fiscal Management: Agency Funds
LLCC 02-01-04 Fiscal Management: Insurance
LLCC 02-03-01 Fiscal Management: Audits
LLCC 02-06-01 Property Inventory
LLCC 03-01-01 General Guidelines for LLC Employees
LLCC 03-01-02 Service Regulations, Attendance Accumulation and Use of Leave
LLCC 03-02-01 Proper Dress for Uniformed Personnel
LLCC 03-03-01 Employee Grievance Mechanism
LLCC 03-04-01 Employee Records
LLCC 03-05-01 Personnel Registers
LLCC 03-06-01 Work Planning: Employee Evaluations and Evaluation Control
LLCC 03-08-01 Shift Transfers
LLCC 03-08-02 Rotation of Correctional Officers Between Central Security and Unit Management Staff
LLCC 03-09-01 Promotion Board
LLCC 03-10-01 Affirmative Action: EEO
LLCC 03-12-01 Confidentiality of Information Roles and Services of Consultants, Contract Personnel and Volunteers
LLCC 08-01-01 Offender Records
LLCC 08-04-01 Storage of Expunged Records
LLCC 10-03-09 Duties and Responsibilities of Building 1 and 2 Officer
LLCC 11-03-01 LLCC Population Categories
LLCC 11-07-01 Adjustment Procedures for Minor Rule Violations
LLCC 11-09-01 Rules and Regulations of the Unit
LLCC 11-13-01 Inmate Dress and Use of Access Areas
LLCC 11-15-01 Post-Parole Furloughs
LLCC 11-16-01 Restoration of Forfeited Good Time
LLCC 11-18-02 Use of Monitor Telephone
LLCC 11-19-01 Unit Shakedowns/Control of Excess Property

LLCC 11-20-01 Program Services for "Special Needs"/Mentally Ill Inmates
LLCC 12-01-01 Special Management Inmates
LLCC 12-04-01 Guidelines for (7E) PC Unit/General Living Conditions
LLCC 13-04-01 Food Service: Meals
LLCC 13-04-02 Food Service: Menu, Nutrition and Special Diets
LLCC 13-05-02 Medical Screening of Food Handlers
LLCC 13-06-01 Food Service: Inspections and Sanitation
LLCC 13-07-01 Food Service: Purchasing, Storage and Farm Products
LLCC 14-01-01 Sanitation, Living Condition Standards, and Clothing Issue
LLCC 14-05-01 Institutional Inspections
LLCC 15-01-01 Health Maintenance Services; Sick Call and Pill Call
LLCC 15-02-01 Mental Health/Psychological Services
LLCC 15-03-01 Pharmacy
LLCC 15-03-02 Use of Psychotropic Medications
LLCC 15-04-01 Dental Services
LLCC 15-05-02 Licensure and Training Standards
LLCC 15-06-02 Specialized Health Services
LLCC 15-06-03 Emergency Medical/Dental Care Services
LLCC 15-06-04 First Aid/CPR Training Program
LLCC 15-06-05 Suicide Prevention and Intervention Program
LLCC 15-07-01 Health Records
LLCC 15-08-01 Special Diets
LLCC 15-12-01 Special Needs Unit
LLCC 15-14-01 Informed Consent
LLCC 15-15-01 Medical Restraints
LLCC 15-16-01 Health Education/Special Health Programs
LLCC 16-01-01 Inmate Rights and Responsibilities
LLCC 16-02-01 Inmate Grievance Procedure (Amended 2/19/86)
LLCC 16-03-01 Inmate Legal Services
LLCC 17-01-01 Due Process/Disciplinary Procedure
LLCC 18-01-01 Inmate Correspondence
LLCC 18-02-01 Inmate Visiting
LLCC 18-02-03 Extended Visit and Furloughs
LLCC 18-02-04 Meritorious Visits (Added 2/19/86)
LLCC 19-03-03 Inmate Visiting (DSU/ASU)
LLCC 20-01-01 Personal Property Control
LLCC 20-02-01 Authorized Inmate Personal Property
LLCC 20-03-01 Unauthorized Items
LLCC 20-04-02 Inmate Canteen
LLCC 20-05-01 Inmate Control of Personal Funds
LLCC 20-05-02 Storage and Disposition of Monies Received on Weekends, Holidays, and Between 4 p.m. and 8 a.m. Weekdays
LLCC 20-06-01 Procedure for Sending Appliances to Outside Dealers for Repair
LLCC 21-02-01 Classification/Security Levels
LLCC 21-03-01 Classification Process
LLCC 22-01-01 OJT/Job Assignments
LLCC 23-01-01 Academic School
LLCC 26-01-01 Religious Services
LLCC 28-01-01 Privileged Trips
LLCC 28-03-01 Temporary Release/Community Center Release
LLCC 28-04-01 Pre-Parole Progress Report
LLCC 28-04-02 Parole Eligibility Dates]

[(5) The Northpoint Training Center Policies and Procedures:

NTC 01-05-01 Extraordinary Occurrence Reports
NTC 01-10-01 Legal Assistance for Staff

Volume 12, Number 11 – May 1, 1986
ADMINISTRATIVE REGISTER - 1759

NTC 26-01-02 Certification of Volunteers and Guests

[(6) The Kentucky Correctional Institution for Women Policies and Procedures:

KCIW 01-06-01 Legal Assistance for Corrections Staff
KCIW 01-08-01 News Media Access
KCIW 02-01-01 Comprehensive Inmate Coverage
KCIW 02-02-01 Fiscal Management: Audits
KCIW 02-03-01 Fiscal Management: Checks
KCIW 02-03-01 Inventory Control of Non-Expendable Personal Property
KCIW 02-03-03 Criteria for Selection of Bidders and Vendors
KCIW 02-04-01 Accounting Procedures
KCIW 02-05-01 Inmate Canteen/Staff Canteen
KCIW 02-07-01 Release of C.E.T.A. Money Earned
KCIW 03-01-01 Travel Expense Reimbursement
KCIW 03-02-01 General Orders for all Staff
KCIW 03-03-01 Employee Grievance Procedure
KCIW 03-05-01 Employee Personnel File
KCIW 03-06-01 Affirmative Action EEO and the Equal Employment Opportunity Complaint Procedure
KCIW 03-08-01 Employee Performance Evaluations
KCIW 03-09-01 Payroll and Personnel Manning Records
KCIW 03-10-01 Promotion Committee
KCIW 03-11-01 Personnel Records
KCIW 03-12-01 Criminal History Checks on all Personnel and the Recruitment and Employment of Ex-Offenders
KCIW 05-01-01 Inmate Records
KCIW 05-01-02 Transfers to Community Centers and the Minimum Security Unit
KCIW 06-01-03 Storage of Registered Records
KCIW 10-01-01 Special Management Unit: General Operation and Regulations
KCIW 10-01-02 Special Management Unit Programs, Placement, and Review
KCIW 10-01-03 Food Service Operations Inspections
KCIW 11-01-02 Budgeting, Accounting, and Purchasing Procedures for Food Products
KCIW 11-02-01 Menu Preparation/Special Diets
KCIW 11-03-01 General Guidelines for Food Service Operations
KCIW 11-03-02 General Guidelines for Food Service Workers
KCIW 11-04-01 Health and Safety Standards, Regulations for Food Service Employees
KCIW 12-01-01 Control of Pests and Vermin
KCIW 12-02-01 Laundry Facilities/Clothing Issuance
KCIW 12-02-03 Donated Items
KCIW 12-04-01 Sanitation and General Living Conditions
KCIW 13-01-01 Provision of Medical and Dental Care
KCIW 13-01-02 Preliminary Health Screening and Appraisal
KCIW 13-01-03 Use of Pharmaceutical Products
KCIW 13-03-01 Emergency Care
KCIW 13-03-02 Infirmary Care and Outside Services
KCIW 13-03-03 Outside Hospital Security
KCIW 13-04-01 Medical Alert System
KCIW 13-04-02 Psychiatric/Psychological Services
KCIW 13-06-01 Informed Consent
KCIW 13-07-01 Detoxification and Alcohol or Chemical Dependency Guidelines
KCIW 13-08-01 Medical Exams for New Employees
KCIW 14-01-02 Inmate Rights

KCIW 14-02-01 Access to Attorneys and Designated Counsel Substitutes
KCIW 14-03-01 Inmates Are Not Subject to Discrimination Based on Race, Religion, National Origin, Sex, Handicap, or Political Beliefs
KCIW 14-04-01 Inmate Grievance Procedure
KCIW 15-01-01 Offenses and Penalties
KCIW 15-01-02 Adjustment Committee Procedures and Programs
KCIW 15-03-01 Inmate Rule Book
KCIW 15-04-01 Incentive Levels System
KCIW 16-01-01 Inmate Correspondence
KCIW 16-01-02 Inmate Mail Distribution
KCIW 16-01-03 Staff Mail
KCIW 16-02-01 Inmate Access to Telephone
KCIW 16-02-02 Intra-Institution Phone Calls
KCIW 16-03-01 Inmate Visiting Regulations
KCIW 16-03-02 Unauthorized Items for Picnic Lunches, Food Packages, and Regular Packages
KCIW 16-04-01 Inmate Indigent Fund
KCIW 16-05-01 Vendor Packages, Appliance Orders and Store Orders
KCIW 17-01-01 Assessment/Center Operation and Reception Programs
KCIW 17-01-02 Assessment/Classification Center Operations, Rules and Regulations
KCIW 17-01-03 Assessment and Classification Unit Property Guidelines
KCIW 17-02-01 Identification Department Admissions
KCIW 17-03-01 Notifying Inmates Families of Admission and Procedures for Mail and Visiting
KCIW 17-05-01 Inmate Personal Property
KCIW 18-01-02 Storage of Registered Records
KCIW 18-02-01 Special Needs Inmates
KCIW 18-06-01 Status Codes
KCIW 19-01-01 Inmate Work/Program Assignments
KCIW 19-03-01 Landscape and Maintenance Work Details
KCIW 20-01-01 Education Programs
KCIW 20-01-03 Vocational Education: Curriculum Flexible Schedule, up-grade programs and release preparation program
KCIW 20-01-04 Entry - Exit Vocational School
KCIW 20-01-05 Vocational Programs: Approved, assessed and contain guidelines for all vocational records
KCIW 20-01-06 Vocational Education: Staffing patterns/requirements
KCIW 20-01-07 Vocational Counselor
KCIW 20-01-08 Vocational Education: Community Resources and the integration with academic progress
KCIW 20-01-09 Vocational Education: Support Equipment
KCIW 20-01-10 Control of Flammable, Hazardous, Toxic and Caustic Materials in the Vocational Area
KCIW 22-01-04 Inmate Club Activities
KCIW 23-01-01 Religious Services
KCIW 25-01-01 Pre-Parole Progress Report
KCIW 25-02-01 Temporary Release/Community Center
KCIW 25-02-02 Furloughs
KCIW 25-03-01 Escorted Leave into the Community]

[(7) The Food Service Manual, Offender Records Manual, Classification Manual, and Livestock Procedures Manual were approved by the Secretary of the Corrections Cabinet as authorized by KRS 196.035, 197.020, 439.470,

Volume 12, Number 11 - May 1, 1986
Section 1. Appeals to the Board. (1) All appeals from rulings, orders or determinations of any state or county agency shall be filed with the board by filing a complaint or petition of appeal with the board at its offices at Frankfort, Kentucky, within thirty (30) days from the date [receipt by any aggrieved party] of the agency's ruling, order or determination. (2) Except as provided in subsection (3) of this section, such appeal shall be filed in quintuplicate and shall contain a brief statement of the law or facts in issue and the petitioner's position as to the law or facts. Said appeal shall have attached thereto a copy of the final ruling, order or determination of the agency appealed from. (3) All appeals from final rulings of a county Board of Assessment Appeals shall be appealed in the same like manner, except that such appeal shall be filed in triplicate.

Section 2. Hearings. (1) Hearings shall be held at the offices of the board at Frankfort, Kentucky, except that a case may be assigned for hearing in another place in the Commonwealth of Kentucky when deemed necessary to afford a taxpayer or interested party an opportunity to appear before the board with as little inconvenience and expense as practicable. (2) All appeals shall be heard by the full board, provided that one (1) member thereof may be authorized to hear an individual appeal pursuant to order entered of record by the board. (3) Appeals shall be assigned for hearing upon motion of any interested party or the board may, in its discretion, assign any appeal for hearing, having due regard for the convenience of the parties. Except where an appeal is assigned for hearing by agreement of the parties, all interested parties shall be given reasonable notice of a hearing date. (4) Parties to actions filed with this board may be represented at the hearings as follows: (a) An individual may represent himself in hearings before the board; (b) An individual who is not an attorney may not represent corporations or individuals before the board; (c) An attorney who is not licensed to practice in Kentucky may practice before the board if he obtains local counsel in compliance with RAP 3.030(b). (5) All hearings shall be formally reported by the reporter for the board.

Section 3. Procedures and Evidence. (1) The Rules of Civil Procedure shall govern in all procedural matters coming before this board. (2) [(1)] The rules of evidence governing civil proceedings in the Commonwealth of Kentucky shall, insofar as practicable, govern hearings before the board. (3) [(2)] Evidence may be introduced by oral testimony at a hearing before the board or by deposition. The provisions of the Rules of Civil Procedure shall apply to the taking of depositions. No depositions shall be considered, unless, within ten (10) days, after submission of the appeal, it has been filed with the board; provided, however, that the board may, for good
cause shown and upon motion filed within said ten (10) days grant an extension of time to file any deposition.

4. (3) The petitioner or appellant shall be required to complete his evidence in chief and so announce before respondent or appellee shall be required to introduce evidence, unless otherwise ordered by the board.

5. (4) The parties to an appeal may stipulate the facts in issue in whole or in part. Said stipulation shall be reduced to writing and filed with the board. All parties are encouraged to stipulate facts whenever possible.

6. Discovery by parties shall be concluded fifteen (15) days prior to the time assigned for hearing by the board.

Section 4. Parties' failure to appear at hearing. (1) Where petitioner or appellant fails to appear at the hearing of his case, and no good cause is shown for his failure to appear, the case may be ordered dismissed for lack of prosecution by the board.

(2) Where respondent or appellee fails to appear at the hearing of a case and no good cause is shown for his failure to appear, the board or any designated member thereof may proceed with the hearing of the case and it shall thereafter be submitted as provided by these rules.

Section 5. Appeal; when and how submitted. When all interested parties have announced through in presenting evidence or after all interested parties have had a reasonable opportunity to present evidence, the board may order the appeal to be submitted for a final ruling or order. Upon request of either party, or upon the board's own motion, the order of submission may give the parties time within which to file briefs. Upon motion of any party and for good cause, the order of submission may be set aside and leave given to any party to take additional evidence.

Section 6. Briefs. Briefs shall be typewritten or printed and filed in quadruplicate with the board. A certification shall accompany any brief to the effect that copies have been served upon all interested parties as provided by the Rules of Civil Procedure. Photostatic [Mimeograph or multigraph] copies will be accepted in lieu of typewritten copies. All copies of the brief must be clearly legible and double spaced except for quotations on paper eight and one-half (8 1/2) inches wide and eleven (11) inches long.

Section 7. Motions. The original and three (3) copies of any motion shall be filed with the board and said motion shall be accompanied by a certification that copies have been served on all interested parties as required by the Rules of Civil Procedure.

Section 8. Subpoenas. Any member of the board, on the request in writing of any party to the appeal before it, or his attorney, shall issue subpoenas requiring the attendance of witnesses and the giving of testimony and subpoena duces tecum requiring the production of any returns, books, papers, documents, correspondence, and other evidence pertaining to the matter under inquiry in accordance with the Rules of Civil Procedure.

Section 9. Records and Costs. (1) No record filed with the board is subject to withdrawal by any person, except on order of the board.

(2) Expenses of reporting hearings shall be paid by the state from the appropriation of the board. If any party desires to have the evidence at a hearing transcribed, he shall cause the reporter to prepare one (1) original transcript to be filed with the board and such additional copies as said party may desire. The party requesting a transcript of evidence shall pay for the original and any requested copy or copies. Any other interested party may request a copy or copies of said transcript and shall pay for the same.

MORRIS BUTLER, Chairman
APPROVED BY AGENCY: September 27, 1985
FILED WITH LRC: April 8, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing has been scheduled for May 21, 1986, at 10 a.m., in the Conference Room of the Kentucky Board of Tax Appeals offices, Capital City Airport Building, Frankfort, Kentucky. If anyone wishes to attend, they shall notify in writing at least five (5) days before the hearing the Executive Director, Kentucky Board of Tax Appeals, Capital City Airport Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Victoria C. Coleman
(1) Type and number of entities affected:
Affects parties appealing before the board.
(a) Direct and indirect costs or savings to those affected: None
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs (note any effects upon competition):
(b) Reporting and paperwork requirements:
(2) Effects on the promulgating administrative body: Make rules more specific.
(a) Direct and indirect costs or savings: None
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs:
(b) Reporting and paperwork requirements: See (2) above.
(3) Assessment of anticipated effect on state and local revenues:
(4) Assessment of alternative methods; reasons why alternatives were rejected: No alternatives.
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments: No

Tiering:
Was tiering applied? No. Not applicable.
PUBLICATION AND REGULATIONS CABINET
Department of Financial Institutions
Division of Securities
(Proposed Amendment)

808 KAR 10:210, Registration exemptions - Federal Regulation D.

RELATES TO: KRS 292.410(1)
PURSUANT TO: KRS 292.500(3)

NECESSITY AND FUNCTION: To declare that registration is not necessary in the public interest or for the protection of investors, the following transaction is determined to be exempt from the registration provisions of KRS 292.340 through KRS 292.330:

(1) Any offer or sale of securities offered or sold in compliance with Securities Act of 1933, Regulation D, Rules 230.501-230.503 and either 230.505 or 230.506 as made effective in Release No. 33-6389 and which satisfies the following further conditions and limitations:

(a) Persons receiving commissions, finder's fees, or other remuneration in connection with sales of securities in reliance on this regulation are not relieved of compliance with KRS 292.330.

(b) No exemption under this rule shall be available for the securities of any issuer, if any of the parties or interest described in Securities Act of 1933, Regulation A, Rule 230.252. Sections (c), (d), (e) or (f):

1. Has filed a registration statement which is the subject of a currently effective stop order entered pursuant to any state's law within five (5) years prior to the commencement of the offering.
2. Has been convicted within five (5) years prior to commencement of the offering of any felony or misdemeanor in connection with the purchase or sale of any security or any felony involving fraud or deceit including but not limited to forgery, embezzlement, obtaining money under false pretenses, larceny or conspiracy to defraud.
3. Is currently subject to any state's administrative order or judgment entered by that state's securities administrator within five (5) years prior to reliance on this exemption or is subject to any state's administrative order or judgment in which fraud or deceit was found and the order or judgment was entered within five (5) years of the expected offer and sale of securities in reliance upon this exemption.
4. Is currently subject to any state's administrative order or judgment which prohibits the use of any exemption from registration in connection with the purchase or sale of securities.
5. Is subject to any order, judgment or decree of any court of competent jurisdiction temporarily or preliminarily restraining or enjoining, or is subject to any order, judgment or decree of any court of competent jurisdiction, entered within five (5) years prior to the commencement of the offering permanently restraining or enjoining, such person from engaging in or continuing any conduct or practice in connection with the purchase or sale of any security or involving the making of any false filing with any state.
6. The prohibitions of subparagraphs 1 through 3 and subparagraph 5 of this paragraph shall not apply if the party or interest subject to the disqualifying order is duly licensed to conduct securities related business in the state in which the administrative order or judgment was entered against such party or interest prior to the disqualification is duly licensed or registered to conduct securities related business in the state in which the administrative order or judgment was entered against such person or if the broker/dealer employing such person is licensed or registered in that state and the Form D-F filed with this state discloses the order, conviction, judgment or decree relating to such person.
7. Any disqualification caused by this section is automatically waived if the state which created the basis for disqualification determines upon a showing of good cause that it is not necessary under the circumstances that the exemption be denied.

(c) The issuer shall file with the Division of Securities a notice on Form D (17 CFR 239.550):
1. No later than fifteen (15) days after the first sale of securities to an investor in this state from which results an offer being made in reliance upon this exemption.
2. No later than thirty (30) days after the completion date of the offering of the issue.
3. Every six (6) months after the first sale of securities from the issue made in reliance on this regulation unless the final notice required by subparagraph 2 of this paragraph has been filed.
4. Every notice on Form D shall be manually signed by a person duly authorized by the issuer.
5. Any information furnished by the issuer to offerees shall be filed with the notice required pursuant to subparagraph 1 of this paragraph and, if such information is altered in any way during the course of the offering, the Division of Securities shall be notified of such amendment within fifteen (15) days after an offer using such amended information.
6. If more than one (1) notice is required to be filed pursuant to subparagraph 1 through 3 of this paragraph, notices other than the original notice need only report the information required by Part C and any material change in the facts from those set forth in Parts A and B of the original notice.
7. There is no filing fee.

(d) In all sales to nonaccredited investors the issuer and any person acting on its behalf shall have reasonable grounds to believe and after making reasonable inquiry shall believe that both of the following conditions are satisfied:
1. The investment is suitable for the purchaser upon the basis of the facts, if any, disclosed by the purchaser as to his other security holdings and as to his financial situations and needs. For the limited purpose of this condition only, it may be presumed that if the investment does not exceed ten (10) percent of the investor's net worth, it is suitable.
2. The purchaser or his representative(s) has such knowledge and experience in financial and business matters that he/she is or they are capable of evaluating the merits and risk of the prospective investment.

Volume 12, Number 11 - May 1, 1986
(2) Offers and sales which are exempt under this rule may not be combined with offers and sales exempt under any other rule or section of this Act, however, nothing in this limitation shall act as an election. Should for any reason the offers and sales fail to comply with all of the conditions for this exemption, the issuer may claim the availability of any other applicable exemption.

(3) Nothing in this exemption is intended to or should be construed as in any way relieving issuers or persons acting on behalf of issuers from providing disclosure to prospective investors adequate to satisfy the anti-fraud provisions of this state’s securities law.

(4) In any proceeding involving this rule, the burden of proving the exemption or an exception from a definition or condition is upon the person claiming it.

(5) In view of the objective of this rule and the purpose and policies underlying the securities act, the exemption is not available to any issuer with respect to any transaction which, although technically compliant with this rule, is part of a plan or scheme to evade registration or the conditions or limitations explicitly stated in this rule.

RONDA S. PAUL, Director
APPROVED BY AGENCY: April 10, 1986
FILED WITH AGENCY: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 26, 1986, at 10 a.m., at the Department of Financial Institutions, Division of Securities, 911 Leawood Drive, Frankfort, Kentucky 40601. If no written notice of intent to attend and testify at the public hearing is received within five (5) days before the scheduled hearing, the hearing will be cancelled. Those interested in attending this hearing shall notify in writing: William E. Doyle, Legal Counsel, Division of Securities, Department of Financial Institutions, 911 Leawood Drive, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: William E. Doyle
(1) Type and number of entities affected: Persons utilizing Federal Regulation D of the Securities & Exchange Commission. Number indeterminable.
(2) Direct and indirect costs or savings to those affected:
   1. First year: None
   2. Continuing costs or savings: None
   3. Additional factors increasing or decreasing costs (note any effects upon competition): None
   (b) Reporting and paperwork requirements: Disclosure of existence of Securities law violations in other states.
   (2) Effects on the promulgating administrative body:
      (a) Direct and indirect costs or savings: None
         1. First year: None
         2. Continuing costs or savings: None
         3. Additional factors increasing or decreasing costs: None
      (b) Reporting and paperwork requirements: Disclosure of existence of Securities law violations in other states.
   (3) Assessment of anticipated effect on state and local revenues: None
   (4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
   (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
      (a) Necessity of proposed regulation if in conflict:
      (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
      (6) Any additional information or comments: These changes reduce the harshness of the present regulation in certain situations where the harshness is unnecessary.

Tiering:
Was tiering applied? Yes

PUBLIC PROTECTION AND REGULATION CABINET
Department of Financial Institutions
Division of Securities
(Proposed Amendment)

808 KAR 10:230. Fee payment - KRS 292.380(5).
RELATES TO: KRS 292.380(5)
PURSUANT TO: KRS 13A.350, 292.500(3)
NECESSITY AND FUNCTION: To provide rules to facilitate compliance with and the administration of KRS 292.380(5).

Section 1. This regulation applies to registration of redeemable securities issued by open-end management companies as those terms are defined by the Investment Company Act of 1940. The purpose of this regulation is to outline procedures for registrations, renewal of registrations, the payment of fees, and the reporting of sales by companies required to do so pursuant to KRS 292.380. For purposes of paying and reporting of sales, a registration and a renewal of registration are considered equivalent. Both a registration period and a renewed registration period shall be termed a registration period.

Section 2. Each company required to register its securities under this regulation, hereinafter also referred to as "registrant," shall pay to the director with the initial registration of its securities an examination fee of $125 and shall pay another examination fee of $125 each time it renew that registration. Said examination fee shall be paid by a separate check each separate time. This examination fee shall accompany the respective application for registration or renewal.

Section 3. A registrant shall elect either subsection (1) or (2) of this section, but not both, and shall comply with that elected provision.
(1) A registrant electing this subsection shall pay to the director a registration fee of $1,200 with the application for registration or renewal of a registration. Said registration fee shall accompany the application for registration or renewal of registration. No subsequent sales report for the period covered by the registration or renewal shall be required. The registration or renewal shall cover a period of one (1) year, beginning with the date it becomes effective in the Commonwealth of Kentucky.
(2) A registrant electing this subsection shall pay to the director a registration fee of sixty (60) dollars with the application for

Volume 12, Number 11 - May 1, 1986
registration or renewal. Said registration fee shall accompany the application for registration or renewal. The registration or renewal shall cover a period of one (1) year, beginning with the date it becomes effective in the Commonwealth of Kentucky.

(a) Within thirty (30) calendar days after the final day of the period of registration each registrant electing this subsection shall file with the director a sales report containing the total Kentucky sales in dollars for the registration period just ended.

(b) The sales report required in paragraph (a) of this subsection shall be accompanied with a payment to the director of a supplemental registration fee for the registration period just ended in the amount of the lesser of three-fifteenths (3/50) of one (1) percent of the total dollar amount of Kentucky sales made during the registration period reduced by sixty (60) dollars or $1,200 reduced by sixty (60) dollars. If this calculation results in a negative amount, no payment need be made to the director and no credit or refund shall be allowed for that negative amount.

Section 4. A registrant having securities registered must renew that registration on or before the closing date of the current registration period unless the registrant electing this subsection desires to terminate the registration before the registration period expires, it may do so at its option. A registrant opting to terminate under this section must file the sales report required by Section 3(1)(a) of this regulation and remit the registration fee required by Section 3(1)(b) of this regulation within thirty (30) calendar days of the date of termination of the registration. If a registrant has elected Section 3(1) of this regulation and desires to terminate the registration before the registration period expires, it may do so at its option by notifying the director in writing. The registrant electing Section 3(1) of this regulation and terminating the registration before it expires need not file a sales report or pay an additional fee.

Section 8. Whenever this regulation requires that a payment be made to the director, that payment shall be made payable to the order of the Kentucky State Treasurer and delivered to the director by United States Mail or any other suitable carrier to the business office of the Division of Securities of the Department of Financial Institutions.

Section 9. This regulation shall take effect April 1, 1986. All registrations applied for on or after April 1, 1986 and all renewals due on or after April 1, 1986 and applied for, shall be filed in accordance with the terms, procedures, and conditions herein.

RONDA S. PAUL, Director
APPROVED BY AGENCY: April 11, 1986
FILED WITH LRC: April 14, 1986 at 10 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for Monday, May 26, 1986, at 10 a.m., local prevailing time, at the Department of Financial Institutions, Division of Securities, 911 Leawood Drive, Frankfort, Kentucky 40601. If no written notice of intent to attend and testify at the public hearing is received within five days before the scheduled hearing, the hearing will be cancelled. Those interested in attending this hearing shall notify in writing: William E. Doyle, Department of Financial Institutions, Division of Securities, 911 Leawood Drive, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: William E. Doyle
(1) Type and number of entities affected: Between 400 and 500 mutual fund companies.
(a) Direct and indirect costs or savings to those affected:
   1. First year: Indeterminable.
2. Continuing costs or savings: Indeterminable.
3. Additional factors increasing or decreasing costs (note any effects upon competition):
   Entities electing to pay the maximum will save expense associated with maintaining separate
   records for and reporting Kentucky sales.
   (b) Reporting and paperwork requirements:
   Sales report (Kentucky sales) for entities not electing to pay the maximum "ees.
   (2) Effects on the promulgating administrative body:
      (a) Direct and indirect costs or savings:
         1. First year: Indeterminable.
      2. Continuing costs or savings: Indeterminable.
      3. Additional factors increasing or decreasing costs:
         With the decrease in required reporting, department will have corresponding decrease in
         processing work.
      (b) Reporting and paperwork requirements:
         Present load will be decreased.
   (3) Assessment of anticipated effect on state and local revenues: None
   (4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
   (5) Identify any statute, administrative regulation or government policy which may be in
      conflict, overlapping, or duplication: None
      (a) Necessity of proposed regulation if in conflict:
      (b) If in conflict, was effort made to harmonize the proposed administrative regulation
          with conflicting provisions:
      (6) Any additional information or comments:
      This regulation will greatly simplify compliance for affected entities.

Tiering:
Was tiering applied? Yes.

PUBLIC PROTECTION AND REGULATION CABINET
Kentucky Harness Racing Commission
(Proposed Amendment)

811 KAR 1:090. Stimulants and drugs.

RELATES TO: KRS 230.630(1), (3), 230.640, 230.700
PURSUANT TO: KRS 230.630(3), (4), (7)
NECESSITY AND FUNCTION: To provide for the testing of horses for stimulants and
and drugs and the regulation of stimulants and drugs.

Section 1. (1) At every meeting except as stated herein where pari-mutuel wagering is
permitted, the winning horse in every heat
and/or race shall be subjected to a urine test

and/or a blood test and the winning horse and
second place horse in every perfect or quinella
race may be subjected to a urine test and/or a
blood test for the purpose of determining
thereby the presence of any drug, stimulant,

sedative, depressant, or medicine. The winning
horse and/or the second and third horses in a
trifecta may be tested the same as in the rule
above. Also, the judges may order any horse in a
race to be subjected to a urine, blood and/or

saliva test. Such tests shall be made only by
qualified veterinarians and by laboratories
designated by the commission. In addition to the
above, the winning horse and second horse in
every heat or dash of a race at any track with a
total purse in excess of $5,000 may be subjected
to both blood and a urine test. Positive tests
during time trials shall be treated as a
violation. The winning time shall be disallowed
and the trainer of record may be fined, suspended or both.

(2) The commission may, in its discretion, or
at the request of a member, authorize or direct
a saliva, blood, urine or other test of any
horse racing at any meeting.

Section 2. (1) During the taking of the blood
and/or urine sample by the veterinarian, the
owner, trainer or authorized agent may be
present at all times. Samples so taken shall
be placed in two (2) containers and shall
immediately be sealed and the evidence of such
sealing indicated thereon by the signature of
the representative of the owner or trainer. One
(1) part of the sample is to be placed in a
depository under the supervision of the
presiding judge and/or any other agency the
commission may designate to be safeguarded until
such time as the report on the chemical analysis of
the other portion of the split sample is
received.

(2) Should a positive report be received, an
owner or trainer shall have the right to have
the other portion of the split sample inserted
in with a subsequent group being sent for
testing or may demand that it be sent to another
chemist for analysis, the cost of which will be
paid by the party requesting the test.

Section 3. (1) Whenever there is a positive
test finding the presence of any drug,
stimulant, sedative or depressant present, in
the post-race test, the laboratory shall
immediately notify the presiding judge who shall
immediately report such findings to the
commission.

(2) When a positive report is received from
the laboratory by the presiding judge, the
persons held responsible shall be notified and a
thorough investigation shall be conducted by or
on behalf of the judges. A time shall be set by
the judges for a hearing to dispose of the
matter. The time set for the hearing shall not
exceed four (4) hearing days after the
responsible persons were notified. The hearing
may be continued, if in the opinion of the
judges, circumstances justify such action.

(3) Should the chemical analysis of saliva,

blood, urine or other sample of the post-race
test taken from a horse indicate the presence of
a forbidden narcotic, stimulant, depressant, or
local anesthetic, it shall be considered prima
facie evidence that such has been administered
to the horse.

(4) Upon receipt of written notification of a
positive test finding, the judges shall not
cause the immediate suspension of the horse from
further participation in racing.

Section 4. Any person or persons who shall
administer or influence or conspire with any
other person or persons to administer to any
horse any drug, medication, stimulant,

depressant, narcotic or hypnotic to such horse
within forty-eight (48) hour of his race, shall
be subject to penalties provided in this rule.
No horse shall be tubbed in ice in the paddock
prior to their racing commitment.

Section 5. Whenever the post-race test or
tests prescribed in Section 1 disclose the presence in any horse of any drug, stimulant, depressant or sedative, in any amount, whatsoever, it shall be presumed that the same was administered by the person or persons having control and/or care and/or custody of such horse with the intent thereby to affect the speed or condition of such horse and the result of the race in which it participated.

Section 6. A trainer shall be responsible at all times for the condition of all horses trained by him. No trainer shall start a horse or permit a horse in his custody to be started if he knows, or if by the exercise of reasonable care he might have known or have cause to believe, that the horse has received any drug, stimulant, sedative, depressant, medicine or other substance that could result in a positive test. Every trainer must guard or cause to be guarded each horse trained by him in such manner and for such period of time prior to racing the horse so as to prevent any person not employed by the trainer and with the owner and/or trainer from administering any drug, stimulant, sedative, depressant, or other substance resulting in a post-race positive test.

Section 7. Any owner, trainer, driver or agent of the owner, having the care, custody and/or control of any horse who shall refuse to submit such horse to a saliva test or other tests as herein provided or ordered by the judges shall be guilty of a violation of this rule. Any horse that refuses to submit to a pre-race blood test shall be required to submit to a post-race saliva and urine test regardless of its finish.

Section 8. Any horse in which an offense was detected under any section of this rule shall be placed last in the order of finish and all winnings of such horse shall be forfeited and paid over to the commission for redistribution among the remaining horses in the race entitled to such proceeds. No such forfeiture and redistribution of winnings shall affect the distribution of the pari-mutuel pools at tracks where pari-mutuel wagering is conducted, when such distribution of pools is made upon the official placing at the conclusion of the heat or dash.

Section 9. Pre-Race Blood Test. Where there is a pre-race blood test which shows that there is an element present in the blood indicative of a stimulant, depressant or any unapproved medicament, the horse shall immediately be scratched from the race and an investigation conducted by the officials to determine if there was a violation of Section 4.

Section 10. Hypodermic Syringe Prohibited. No person except a licensed veterinarian approved by the commission shall have within the grounds of a licensed harness race track in or upon the premises on which he occupies, or has a right to occupy, or in his personal property or effects any hypodermic syringe, hypodermic needle, or other devices which can be used for the injection or other infusion into a horse of a drug, stimulant or narcotic. Every licensed harness racing association upon the grounds of which horses are lodged or kept, is required to use all reasonable effort to prevent violation of this rule.

Section 11. (1) All veterinarians practicing on the grounds of an extended pari-mutuel meeting shall keep a log of all their activities on a form provided by the commission and shall submit a copy of it to the commission office of the track each day of a race meeting. The log shall include:
   (a) Name of horse;
   (b) Nature of ailment;
   (c) Type of treatment;
   (d) Date and hour of treatment.
(2) It shall be the responsibility of the veterinarian to report to the presiding judge any internal medication given by him by injection or orally to any horse after he has been declared to start in any race.

Section 12. (1) Any veterinarian practicing veterinary medicine on a race track where a race meeting is in progress or any other person using a needle or syringe shall use only one (1) time disposable type needle and a disposable syringe shall not be reused. The disposable needles shall be kept in their own container and disposed of by him off the track. (2) No veterinarian, assistant veterinarian or employee of same shall leave a needle or syringe with anyone on a race track where a race meeting is in progress except upon written authorization from the commission.

Section 13. (1) The commission veterinarian or a practicing veterinarian, licensed by the Kentucky Harness Racing Commission, may prescribe the use of lasix for a bleeder, providing the veterinarian actually sees said horse bleed, or the horse is scoped by a veterinarian and declared a bleeder. A horse racing on lasix and coming from another state may race on lasix if a certificate of approval from that racing jurisdiction is presented to the Kentucky Harness Racing Commission or the use of lasix is noted on the eligibility papers of the horse.
(2) The aforementioned horse shall be treated and shall perform in a qualifying race and meet the standards of the meeting before being entered to race again.
(3) A lasix use form (blue) must be submitted to the commission office at the track for approval of the use of lasix.
(4) Each time the horse treated with lasix races, a form (yellow) must be submitted to the commission office at the track.
(5) If a trainer no longer wishes to use lasix, a form (white) must be submitted to the commission office at the track; and the horse must perform in a qualifying race without lasix and meet the standards of the meeting before being allowed to race without lasix. To be permitted to use lasix again the horse must meet the requirements of subsection (2) of this section. Said horse may again race on lasix, but must race with lasix the balance of the meeting.
(6) Horses racing on lasix at one (1) meeting in Kentucky and racing at another meeting in Kentucky need not qualify, but will have to submit the necessary forms to the commission office.
(7) It is the responsibility of the trainer to submit all necessary forms.
(8) The horse may be treated with lasix orally or systemically.
(9) Lasix found in the chemical test of a horse not registered to race with lasix shall be
judged a positive.]

Section 13. [14.] The penalty for violation of any section of this rule, unless otherwise provided, shall be a fine of not to exceed $5,000; suspension for a fixed or indeterminate time, or both; or expulsion.

Section 14. The effective date of this amendment shall be January 1, 1987.

CARL B. LARSEN, Executive Director
ROBERT DAVIS, Secretary
APPROVED BY AGENCY: April 3, 1986
FILED WITH LRC: April 15, 1986 at 10 a.m.
PUBLIC HEARING SCHEDULED: A public hearing has been scheduled on this regulation on May 21, 1986 at 10 a.m. at the office of the Kentucky Harness Racing Commission. Anyone interested in attending this hearing, shall notify in writing Carl B. Larsen, Executive Director, Kentucky Harness Racing Commission, Suite 300, 535 West Second Street, Lexington, Kentucky, 40508, at least five days before the hearing.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Carl B. Larsen
(1) Type and number of entities affected: N/A
(a) Direct and indirect costs or savings to those affected:
   1. First year:
   2. Continuing costs or savings:
   3. Additional factors increasing or decreasing costs (note any effects upon competition):
   (b) Reporting and paperwork requirements:
   (2) Effects on the promulgating administrative body:
      (a) Direct and indirect costs or savings: N/A
      1. First year:
      2. Continuing costs or savings:
      3. Additional factors increasing or decreasing costs:
      (b) Reporting and paperwork requirements:
      (3) Assessment of anticipated effect on state and local revenues: N/A
      (4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
      (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
      (6) Necessity of proposed regulation if in conflict:
      (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
      (6) Any additional information or comments:

Tiering:
Was tiering applied? No. N/A

PUBLIC PROTECTION AND REGULATION CABINET
Kentucky Harness Racing Commission
(Proposed Amendment)


RELATES TO: KRS 230.770
PURSUANT TO: KRS 230.770(5),(6)
NECESSITY AND FUNCTION: To regulate the eligibility of horses participating in races for which a portion of the purse is provided by the Kentucky Standardbred Development Fund. The function of this regulation is to establish eligibility standards and administrative practices to enforce such standards and to establish mandatory criteria for these races and the administration of purses and payments in such races.

Section 1. Beginning with the 1985 breeding season the owner or lessee of any standard bred stallion desiring to use him for breeding purposes and to have him qualify under the Kentucky Standardbred Development Fund must register said stallion by February 1 of the breeding season with the Kentucky Harness Racing Commission. The registration shall be on forms provided by the commission with a payment of ten (10) percent of the stud fee or a minimum of $100. A virgin standardbred stallion entering stud for the first time may be registered prior to his first breeding and must stand in the Commonwealth of Kentucky the remainder of the breeding season.

Section 2. Stallions remaining in the state for more than one (1) breeding season shall be renewed annually. A renewal form must be filed by February 1 of the breeding season on forms provided by the commission. The annual renewal fee for stallions to the Kentucky Standardbred Development Fund will be ten (10) percent of the stud fee with a minimum of $100.

Section 3. Owners of standardbred stallions registered with the commission shall submit by October 1 a report of mares bred during the preceding twelve (12) months. In addition, beginning with foals of 1985 owners shall submit to the Kentucky Standardbred Development Fund a copy of the Foaling Report Sheet sent to the United States Trotting Association of foals dropped.

Section 4. If the commission finds a registration to be incorrect, such registration may be cancelled and notice thereof shall be sent to the owner of the horse.

Section 5. (1) In order to qualify for the Kentucky Standardbred Development Fund, a foal must be the product of the mating of a mare with a Kentucky registered and resident stallion. The mare shall be bred on the farm on which the stallion stands or be shipped to the farm on which the stallion stands to be impregnated. The transporting or mailing of a Kentucky registered stallion’s semen to a broodmare is strictly prohibited.

(2) A foal conceived by semen which is frozen, desiccated, transported off the premises where it is produced or not implanted on the same day it is collected, is not eligible for nomination to the Kentucky Standardbred Development Fund.

Section 6. Upon failure of an owner or lessee of a registered stallion to furnish the commission requested information relative to the registration of a horse, the commission may suspend or cancel the registration.

Section 7. If the commission finds that an application for registration or transfer contains false or misleading information, the commission may suspend the person who executed said application and any other person who has knowledge thereof. Failure to respond to such
summons may cause the commission to suspend or cancel the registration of horses owned by such person. After a hearing, the commission may suspend, cancel, or bar a license for failure to comply with the requirements of the registration. Horses owned by the person who executed the false or misleading information.

Section 8. Any owner or lessee of a stallion eligible or the Kentucky Standardbred Development Fund shall designate a resident of Kentucky as an authorized agent who shall be responsible for the registrations and records of the farm and for complying with the requirements of the Kentucky Standardbred Development Fund on behalf of the owner or lessee.

Section 9. The authorized agent application is provided by the Kentucky Harness Racing Commission and must be filed together with the stallion registration.

Section 10. Sires stakes races in which any part of the purse is provided by the Kentucky Standardbred Development Fund shall be subject to the rules and regulations of the Kentucky Harness Racing Commission.

Section 11. Each colt, gelding or filly participating in a Kentucky sires stakes race must have been sired by a stallion registered with the Kentucky Harness Racing Commission, and maintained eligibility to the Kentucky Standardbred Development Fund.

Section 12. Each race shall be a one (1) mile dash.

Section 13. The race will split if more than twelve (12) declare to start. In the case of a split the event will be raced as follows: The nominating, sustaining, stallion and starting fees shall be added to the purse and each division shall race for an equal part of that purse.

Section 14. Gait must be specified by the first two (2) year old payment. Change of gait may be made at the time of declaration at the track, but sustaining payments remain in the funds of the original gait specified.

Section 15. All races will be raced in separate colt-gelding and filly divisions.

Section 16. All declaration fees will be added to the purse and will be made payable to the racing association at the time of declaration.

Section 17. The purse will be distributed on the following percentage basis: (1) 50–25–T2–8–5; five (5) starters or more; (2) 50–25–15–10; four (4) starters; (3) 60–30–10; three (3) starters; (4) 65–35; two (2) starters.

The nominating, sustaining, stallion, supplemental and starting fees shall be added to the purse and each division shall race for an equal part of the purse. In 1986, purses for three (3) and two (2) year olds will be $16,500 estimated. This will apply at each of the Kentucky pari-mutual tracks.

Section 18. Should circumstances prevent the racing of any event, if the race is not drawn, added monies will be equally divided among horses eligible for the uncontested event at the time of declaring off. In the event the race is drawn, the monies will be equally divided among the horses entered to start. This will include stake payments, declaration fees and purses provided by the Kentucky Standardbred Development Fund.

Section 19. Starters will declare in at each track at the time specified by the association conducting the event.

Section 20. At the time of the declaration, a started must show at least one (1) charted line with no breaks within the last six (6) starts and within thirty (30) days prior to the day of the race; a two (2) year old trotter must have been timed in 2:14 or faster; a two (2) year old pacer must have been timed in 2:12 or faster; a three (3) year old trotter must have been timed in 2:10 or faster and a three (3) year old pacer must have been timed in 2:08 or faster. A broken equipment break or an interference break will not be considered a break in this section. An eligible certificate or a clear photocopy of the eligibility certificate must be on deposit with the race secretary at the time of declaration or the declaration will be rejected. If the horse has a start subsequent to the eligibility certificate or photocopy being sent, the declarer must advise the race secretary of the committee to race for the horse may be scratched from the race. This rule shall be in effect for wagering and non-wagering races.

Section 21. The Kentucky Standardbred Development Fund will be distributed by the Kentucky Harness Racing Commission on an equitable basis to promote the purposes expressed in KRS 230.770. The commission may authorize expenditures at any time; however, the commission may authorize expenditures at any time; however, the commission may authorize expenditures at any time; however, the commission may authorize expenditures at any time; however, the commission may authorize expenditures at any time; however, the commission may authorize expenditures at any time.
TWO-YEAR OLD PAYMENTS

March 15th \hspace{1cm} $ 40
May 15th \hspace{1cm} $200
Declarer Fee (for each track) \hspace{1cm} $200

March 15th payment makes entry eligible as a 3-year old.

THREE-YEAR OLD PAYMENTS

February 15 \hspace{1cm} $ 80
March 15 \hspace{1cm} $200
Declarer Fee (for each track) \hspace{1cm} $200

CARL B. LARSEN, Executive Director
ROBERT DAVIS, Secretary
APPROVED BY AGENCY: April 3, 1986
FILED WITH LRC: April 15, 1986 at 10 a.m.
PUBLIC HEARING SCHEDULED: A public hearing has
been scheduled on this regulation on May 21, 1986 at 10 a.m. at the office of the Kentucky
Harness Racing Commission. Anyone interested in
attending this hearing, shall notify in writing
Carl B. Larsen, Executive Director, Kentucky
Harness Racing Commission, Suite 300, 535 West
Second Street, Lexington, Kentucky, 40508, at
least five days before the hearing.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Carl B. Larsen
(1) Type and number of entities affected: N/A
(a) Direct and indirect costs or savings to
those affected:
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing
costs (note any effects upon competition):
(b) Reporting and paperwork requirements:
(2) Effects on the promulgating administrative
body:
(a) Direct and indirect costs or savings: N/A
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing
costs:
(b) Reporting and paperwork requirements:
(3) Assessment of anticipated effect on state
and local revenues: N/A
(4) Assessment of alternative methods; reasons
why alternatives were rejected: N/A
(5) Identify any statute, administrative
regulation or government policy which may be in
conflict, overlapping, or duplication: None.
(a) Necessity of proposed regulation if in
conflict:
(b) If in conflict, was effort made to
harmonize the proposed administrative regulation
with conflicting provisions:
(6) Any additional information or comments:

Tiering:
Was tiering applied? No. N/A

CABINET FOR HUMAN RESOURCES
Department for Health Services
(Proposed Amendment)

902 KAR 8:020. Policies and procedures for local health department operations.

RELATES TO: KRS Chapter 212
PURSUANT TO: KRS 194.050, 211.090, 211.170, 211.160, 213.410
NECESSITY AND FUNCTION: KRS 211.170 directs
the Cabinet for Human Resources to establish
policies governing the activities of local
health departments. This regulation adopts
various manuals setting policies and standards
for health departments.

Section 1. Local Health Policy Manual. The
policies set forth in the February 15, 1986,
edition of the "Local Health Policy Manual"
governing the maintenance and operation of local
health departments are hereby adopted by
reference.

Section 2. Financial Management Manual. The
policies set forth in the February 15, 1986,
edition of the "Financial Management Manual"
governing the operation of the financial
management systems used by local health
departments are hereby adopted by reference.

Section 3. Patient Services Reporting System
Manual. The policies set forth in the May 1,
1984, edition of the "Patient Services Reporting
System Manual" governing the collection of
patient health/medical services delivered by
local health departments are hereby adopted by
reference.

Section 4. Medical Records System Policy and
Procedure Manual for Local Health Departments in
Kentucky. The policies and procedures set forth
in the May 1, 1984, edition of the "Medical
Records System Policy and Procedure Manual for
Local Health Departments in Kentucky" governing
the development and maintenance of medical
records in local health departments are hereby
adopted by reference.

Section 5. Planning Manual for Local Health
Departments. The policies set forth in the July,
1986 (March, 1985), edition of the "Planning
Manual for Local Health Departments" governing
the annual program planning process and
procedures of local health departments are
hereby adopted by reference.

Section 6. Standards Manual for Local Health
Departments. The policies set forth in the July,
1986 (March, 1985), edition of the "Standards
Manual for Local Health Departments" governing
the programmatic operations of local health
departments are hereby adopted by reference.

Section 7. Local Health Department
Environmental Data System Operational Procedures
for Weekly Environmental Activity Report,
Sanitation Programs Information Formulator, and
Local Health Annual Data Report. The policies
set forth in the September, 1982, edition of the
"Local Health Department Environmental Data
System Operational Procedures for Weekly
Environmental Activity Report, Sanitation
Programs Information Formulator, and Local
Health Annual Data Report" are hereby adopted
by reference.

Section 8. On-Line Environmental Health
Management Information System. The policies set
forth in the February 14, 1984, edition of the
"On-Line Environmental Health Management
Information System" manual are hereby adopted by
reference.

Section 9. MCH Maternity Manual. The policies
set forth in the July 1, 1985, edition of the
"MCH Maternity Manual" governing the operation of the prenatal program conducted by local health departments are hereby adopted by reference.

Section 10. Sudden Infant Death Syndrome Program. The policies set forth in the January 1, 1985, edition of the "Sudden Infant Death Syndrome Program" manual governing the operation of the Sudden Infant Death Syndrome Program conducted by local health departments are hereby adopted by reference.

Section 11. Standards for Genetic Disease Testing, Counseling and Education Services Program. The policies set forth in the October 15, 1985, edition of the "Standards for Genetic Disease Testing, Counseling and Education Services Program" manual governing the operation of genetic disease testing and counseling clinics conducted by local health departments are hereby adopted by reference.

Section 12. Standards for Regional Pediatric Clinics. The policies set forth in the October 15, 1985, edition of the "Standards for Regional Pediatric Clinics" manual governing the operation of well child programs conducted by local health departments are hereby adopted by reference.


Section 15. Location of Manuals Referenced in This Regulation. A copy of each manual referenced in this regulation is on file in the Office of the Commissioner for Health Services, 275 East Main Street, Frankfort, Kentucky, and is open to public inspection.

Section 16. Summary of Amendment. (1) In relation to Section 6 relating to the Planning Manual for local health departments, the Manual has been revised effective July 1, 1986 as follows:

(a) The planning format, as specified in the Introduction, has been adjusted for program areas where services are unapproved and offered on an "ad hoc" basis, and programs administered outside the constraints of the Cabinet for Human Resources will no longer require preparation of a formal plan.

(b) The Planning Schedule has been revised as the significant activities within the planning process have been rescheduled.

(1) Under categories of Environmental Plans, reporting area 685 Private Sewage has been added to provide a mechanism to recognize on-site waste systems previously installed.

(2) Under categories of Medical Plans, reporting areas 705 and 894 have received name changes, and 878 has been reinstated. (1 relating to the Local Health Policy Manual, LHP 600-61 "Private Water Supply Samples" is being amended to enable local health departments to collect water samples for bacteriological analysis from any private water supply suspected of being contaminated.)

(2) In relation to Section 6 relating to the Standards Manual for local health departments, this manual has been revised and republished in its entirety to be effective July, 1988. In addition to minor changes for clarity, updating statistical data and terminology, the following substantive changes were made:

(a) All existing Environmental Program Standards were revised for clarity without change in content except that the Private Water Standards were changed to restate bacteriological sample analysis upon request to the local health department.

(b) Standards were added for the newly created Private Sewage Program.

(c) Within the Genetic Disease Program Standards the following major changes were made:

1. The program mandate is no longer Federal SHNS funding.

2. Minimum service levels include a target of seven (7) scheduled patients per clinic, and

3. Service periodicity has been reduced to a total of four (4) clinics per year.

(d) The Hearing Conservation Program Standards were revised to clarify the role of local health departments and the Kentucky Commission for Handicapped Children in the delivery of services.

(e) Prenatal Program Standards have been revised to include a change in the requested format of the program plans reducing the size of the plan to be submitted, simplifying the planning process without any change in the scope of services.

(f) The major changes within the MCH-Nutrition Standards are as follows:

1. A copy of the assessment of nutritional needs of the area served shall be submitted with the original program plan;

2. Performance criteria and personnel operations were changed to guarantee that an appropriate level of services will be provided by a qualified nutritionist;

3. The Records Section was changed to require documentation of physician requests for therapeutic counseling; and

4. Submission of quarterly expenditure reports are no longer required.

(g) Program indicators within the Immunization Program Standards relating to the percentage of two (2) year olds immunized at the health department and vaccine supply utilization efficiency have been deleted since this information is now available at the state level.

(h) Prenatal Program Standards have been revised in their entirety. Specifically, both operational and performance criteria have been revised to reflect and promote the operation of a more efficient and cost effective home health program in conformance with Medicare's and Medicaid's stringent reimbursement and programmatic policy rules and regulations.

(i) Program Standards were revised to include the purpose, rationale and goals of the Health Education Program.

(j) The Preventatcare Program Standards have been deleted because federal funding has been eliminated.

(k) In addition to minor text changes.
significant revisions in the Family Planning Standards are as follows:
1. The target population now includes all women age thirteen (13) through nineteen (19).
2. The percentage of need to be met has been reduced from fifty (50) percent to at least forty (40) percent which is a more realistically attainable goal and
3. The cost efficiency level has been increased from sixty-eight (68) dollars to seventy (70) dollars per patient per year in keeping with the state average.
   (1) The Tuberculosis Program Standards were revised to reflect minor changes in reporting requirements and the listing of problem indicators was deleted since it is already included within the objectives.
   (m) Significant revisions in the WIC Program Standards are as follows:
   1. Section I, when a local agency reaches its authorized enrollment, the local agency shall contact the state agency to determine if additional funds are available for a case load increase prior to implementing the priority guidelines. Agencies shall strive to increase priority I - II individuals to eighty-one (81) percent as follows: priority I - twenty-five (25) percent, priority II - sixteen (16) percent, priority III - forty (40) percent, and the criterion that an annual participation rate of no less than ninety-two (92) percent shall be maintained has been deleted.
   2. Section II, to facilitate referral into other programs and to promote integration of services, it is strongly recommended that a physician or nurse perform the health assessment and, battery operated hemoglobinometers may not be used in obtaining hemoglobin levels as a part of the WIC certification process.
   (n) The Cancer Program Standards were revised to:
   1. Include information on smoking and its effect on health particularly lung cancer.
   2. Require an adult history to be completed on all persons receiving cancer services.
   3. Increase state allocations to local health departments from twenty (20) dollars to twenty-five (25) dollars for each woman receiving cancer services in the forty-five (45) and over age group and from twelve (12) dollars to fifteen (15) dollars for each screening in the under forty-five (45) age group.
   4. Increase the average allowable cost per patient from thirty (30) dollars to thirty-five (35) dollars; and
   5. Recommend that the specific nomenclature used in laboratory reports be used in negotiating with a cytology laboratory.
   (o) Significant revisions in the Diabetes Program Standards the following significant changes were made:
   1. The medical record will include an adult history form on all clients receiving comprehensive education and counseling through the local health department and a nutrition assessment when appropriate.
   2. Diagnosed diabetics will be reported on the PSRS using the ICD-9CM Code 250, and local health departments should use the 600 Code (monitoring) when providing individualized education and counseling to diabetics with special emphasis on the elimination of tobacco use and
   3. A method was established for reimbursing local health departments for direct monitoring of persons with diabetes at a rate not to exceed ten (10) dollars per visit for up to 180 visits per year.
   (p) Program Standards for the Well Child and EMT Program have been changed to reflect an automated reporting system and the coding requirements of that system.
   (q) Within the Hypertension Program, the Standards were changed to:
   1. Require an adult history to be completed for monitored patients and to recommend completing the Eating Habits Screening Checklist as part of the nutrition assessment.
   2. Delete requirement that health service code 800 be utilized to designate hypertensives not enrolled in the monitoring program and require that health service code 100 be utilized instead.
   3. Require ICD-9CM "491..." for reporting all hypertensive patients receiving health department services.
   4. Allowable average cost per patient monitored in the local health department is increased from sixty (60) dollars to eighty-five (85) dollars; and
   5. Local health departments are required to maintain a copy of "Salt: The Brand Name Guide to Sodium Content in their Libraries as a reference document.
   (r) New standards have been added for the Child Restraint Program to ensure services are provided in accordance with acceptable standards of practice.
   (s) Standards for Heart Disease and Rheumatic fever have been deleted because these programs are no longer administered by the health department.
   (t) Standards for Heart Disease and Rheumatic fever have been deleted because these programs are no longer administered by the health department.
   [2 relating to the Financial Management Manual. Section IV "Receipts Policies" is being revised by adding a policy which will place a limit on the amount of money that a local health department may accumulate in its Unrestricted Fund Account to a combination of fifteen (15) percent of non-fee programs annual expenditures plus twenty-five (25) percent of fee for service programs annual expenditures or $50,000, whichever is greater.]

C. HERNANDEZ, Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 4, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986 at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986 of their desire to appear and testify at the hearing; R. Hughes Walker General Counsel, Cabinet for Human Resources, 275 E. Main Street, 4 West, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
(Section 5, Planning Manual)

Agency Contact Person: Phillip R. Spangler
(1) Type and number of entities affected: 49 local health departments
(a) Direct and indirect costs or savings to those affected: Insignificant
1. First year: Insignificant
2. Continuing costs or savings: Insignificant
3. Additional factors increasing or decreasing costs (note any effects upon competition): Insignificant

Volume 12, Number 11 - May 1, 1986
(b) Reporting and paperwork requirements: No additional.
(2) Effects on the promulgating administrative body: Minimal
(a) Direct and indirect costs or savings: None
1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs: N/A
(b) Reporting and paperwork requirements: A slight decrease in paperwork is possible if a significant number of local health departments substitute services on demand for planned activity.
(3) Assessment of anticipated effect on state and local revenues: N/A
(4) Assessment of alternative methods; reasons why alternatives were rejected: None were considered. Revisions were necessary to update manual.
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict: N/A
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A
(6) Any additional information or comments: None

Tiering:
Was tiering applied? No. Not applicable.

REGULATORY IMPACT ANALYSIS
(Section 6, Standards Manual)
Agency Contact Person: Phillip R. Spangler
(1) Type and number of entities affected: 40 local health departments.
(a) Direct and indirect costs or savings to those affected: Minimal
1. First year: Minimal
2. Continuing costs or savings: Minimal
3. Additional factors increasing or decreasing costs (note any effects upon competition): Minimal
(b) Reporting and paperwork requirements: Additional reporting in some areas will be offset by reduction in paperwork in other programmatic areas.
(2) Effects on the promulgating administrative body: None
(a) Direct and indirect costs or savings: None
1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs: N/A
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: Changes in Cancer Standards will result in a significant increase in the transfer of cancer funds to local health departments.
(4) Assessment of alternative methods; reasons why alternatives were rejected: Revising the manual was determined to be the most economical way of notifying health delivery personnel regarding recent changes in the state of the art.
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict: N/A
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A
(6) Any additional information or comments: None

RELATES TO: KRS Chapter 210
PURSUANT TO: KRS 210.010
NECESSITY AND FUNCTION: KRS 210.010 directs the Secretary of the Cabinet for Human Resources to prescribe regulations for the institutions under the control of the cabinet. The function of this regulation is to adopt policies and procedures for such institutions.


Section 5. Central State Hospital Policy Manual. The policies and procedures set forth in the April 15 [January 15], 1986, edition of the "Central State Hospital Policy Manual" consisting of nineteen (19) volumes relating to the operation of Central State Hospital Facility are hereby adopted by reference.

Section 6. Western State Hospital Policy Manual. The policies and procedures set forth in the April 15 [March 15], 1986, edition of the "Western State Hospital Policy Manual" consisting of thirty-two (32) volumes relating to the operation of Western State Hospital Facility are hereby adopted by reference.

relating to the operation of Glasgow ICF Facility are hereby adopted by reference.

Section 8. Western State Hospital ICF Policy Manual. The policies and procedures set forth in the March 15, 1986, edition of the "Western State Hospital ICF Policy Manual" consisting of nine (9) volumes relating to the operation of Western State Hospital ICF Facility are hereby adopted by reference.


Section 11. Location of Manuals Referenced in This Regulation. A copy of each manual referenced in this regulation is on file in the Office of the Commissioner for Health Services, 275 East Main Street, Frankfort, Kentucky, and is open to public inspection.

Section 12. Summary of Amendments.

Section 4 is revised as follows:

**EASTERN STATE HOSPITAL POLICY MANUAL**

<table>
<thead>
<tr>
<th>Section</th>
<th>New Policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>01, Page 43</td>
<td>Hepatitis B Immunophrophylaxis - New Policy</td>
</tr>
<tr>
<td>01, Page 12</td>
<td>AWOL Policy</td>
</tr>
<tr>
<td>01, Page 22</td>
<td>Employee Health Screening Program - Revised Policy</td>
</tr>
<tr>
<td>05, Page 14</td>
<td>Engineering and Maintenance Policy revised to include emergency disaster tools.</td>
</tr>
</tbody>
</table>

Section 5 is revised as follows:

**CENTRAL STATE HOSPITAL POLICY MANUAL**

Section A, No. 1 | The Governing Body bylaws were revised to meet JCAH standards. The implementation of these bylaws should not result in any additional cost.

Section C, No. 10 | New policy added to the Fire & Safety Section as required by Joint Commission.

Section D, No. 1 | Proposed revisions of the Clinical Staff Bylaws. These revisions are proposed to place us in compliance on Chapter 3 - Professional Staff Organization. Should not result in any additional cost.

Section D, No. 3 | New departmental policy on clinical privileging to meet JCAH standards. Should not result in any additional cost.

Section E, No. 5 | New policy to be accepted to meet JCAH standards and no extra monies are needed.

Section E, No. 6 | New policy accompanying guidelines to be included in the Medical Services section and no increase in expenditure or time or money will be initiated as a result of this conclusion.

Section F, No. 3 | New policy to meet JCAH standards. Should not result in any additional cost.

**SECTION HH - TREATMENT PROGRAM**

Content Pages Added new policies to the content. Revised to more adequately and correctly reflect the Admissions process as it currently exists, to include procedures related to computerization, and to meet JCAH standards. No increase in time or expense is expected from this revision. Policies and forms revised to meet JCAH standards. No additional cost should result. Page 3 has been revised to add No. 16 to include information required by JCAH standards. Since this procedure is already in effect, no increase in time or expense is anticipated. Policy and form revised to meet JCAH standards. No increase in expenditures of time or money is expected from these changes. REMOVE SECTION HH, No. 8.75 from your manual.

The two policies were combined to define responsibilities and delete outdated material. No increase in expenditures of time or money is expected from these changes. REMOVE SECTION HH, No. 8.75 from your manual.

This policy has been revised to meet JCAH standards. No increase in expenditure or time or money is expected. This policy has been revised to meet JCAH standards and no increase in time or money is expected. This policy was revised to incorporate the use of a psychosocial worksheet. The outline for the evaluation was revised to include information required to meet JCAH standards. It should not impact on the expenditure of time.
Revisions were made to allow for the use of “Group Therapy Weekly Report” and for these reports to be placed in a separate section of the chart. These changes were made to ensure that documentation is completed as required. This revised policy should not result in any significant additional cost nor should it impact on the expenditure of time.

Policy revised to show more clearly the Activity Therapy referral process and the relationship of Activity Therapy staff in treatment process. This revised policy should not result in any additional cost and it will not impact the expenditure of time since it clarifies the current policy.

Policy was revised to meet JCAH standards. No added expenditure of time or money is expected.

Policy was written to document an existing patient education group. No added expenditure of time or money is expected.

New policy. The Clinical Executive Committee approved Hepatitis B testing for all first admission patients. This policy is essential to determine carriers of the Hepatitis B virus. The cost of testing is minimal.

Activity Therapy staff have developed a revision in their patient progress notes policy to include current information. This policy revision will not affect staffing, cost or efficiency in using time.

This policy was written at the request of the Accreditation Committee to address our provision of barber services to CSH patients. The services covered in this policy are already being provided. There will be no increase in cost.

New revised cover sheet and index.

On Page 12 in the first paragraph – ten years has been changed to 5 years.

Insert Page 36

Insert Pages 38 through 49.

Section 6 is revised as follows:

WESTERN STATE HOSPITAL POLICY MANUAL

F-1.

Policy No. 3

Procedure updated to comply with 902 KAR 12:020 Sections 1-9 and 902 KAR 12:040 Section 2.

F-1.

Policy No. 12

Updated to comply with 902 KAR 12:020 Section 6(4).


F-5.

Policy No. 1

Procedure updated to comply with 902 KAR 12:020 Sections 1-9 and 902 KAR 12:040 Section 2.

KENTUCKY CORRECTIONAL PSYCHIATRIC CENTER POLICY MANUAL

#J-4/27

PPD Skin Tests

The policy statement of this Policy and Procedure has been revised to allow more time to give the initial PPD (from 24 hours to one week).

#J-5/B-1

Patient Laundry and Tailor Shop

This policy was revised to state that state clothing for all patients would be sent to the ICEC main laundry and only personal clothing would be laundered in the KCPC mini-laundry as the washing machine in SA was wearing out too quickly and could not handle the volume of laundry being sent.

#J-5/B-30

Packages for Post-Convicted Patients

This is a new policy which was implemented to limit the amount of personal property the post-convicted patients accumulated during their stay at KCPC, and also to comply with policies of the Corrections Cabinet.

Duties of B-4 Nursing Shift Supervisor

Revised: Letter H - new duty added; letter C - deleted part due to change; letter D - deleted part due to change of responsibilities.

Duties of the 4-12 Nursing Shift Supervisor

Revised: Letter V added, new duty.

Revised: Letter H added, new duty.

Revised: Letter X added, new duty.

Revised: Letter D added, new duty.

Revised: Letter B changed for clarification.

Nursing Staff Meetings (Monthly)

Revised: Letter B deleted statement as we no longer have Pre-trial Coordinator.

Nursing Supply and Storage Area

Revised: Letter B deleted; Letter C changed to B and redefined for clarification.
Stripping Charts
Revised: Note deleted as there is no longer a Behavior Unit on 30.

Admission
Revised: Letter B, information deleted due to change of policy; letter D, word change for clarification, and last sentence deleted due to change of policy; letter E, new information added; letter H, new information added for clarification; letter K, new information added for medical clarification.

Admission Chart Packet
Revised: Letter B added new form; #5, changing all other numbers; letter C, new; #7 added. Also another NOTE added.

Chart Form Sequence
Revised: Letter A added to #1 (new), changing all the numbers; NOTED added new statement for clarification.

Charting on Inpatients
Revised: Letter L, word clarification; letter W, word clarification; #GG, new information added for clarification.

Cultures
Revised: Letter F, word change for clarification; letter G, #4, new information added.

Dental Consultations
Revised: Letter B, new information added (new dentist, procedure change); letter D, new information added for clarification; letter E, deleted due to no longer using the form; letter F, deleted part of sentence, no longer use forms; letter H, new information added for clarification; letter I, word added to information; letter L deleted due to change of procedure; letter M, information changed due to new procedure.

Discharge
Revised: Letter B, word change for clarification; letter C, deleted due to information change of policy; letter F, new information added; changing all the other numbers.

Discontinuation of Physicians Orders on Patients Admitted/Transferred to Other Hospitals for Treatment
Revised: Letter B, word changes for clarification.

Ear Irrigation
Revised: Letter N, #3, word changed for clarification.

EEG
Revised: Letter C, word changes and new information added for clarification; letter F, new information added; letter G, new information added for clarification.

Enema
Revised: Charting, letter D, word changes for clarification; letter E, new information added.

Fecal Impaction Removal
Revised: New word to the policy name; letter F, new information added.

First Aid
Revised: Letter F, under what to do; #3, new information added.

In-Hospital Incident Reports
Revised: Letter B, #2, grammar changed; letter C, word changed for clarification.

Intake and Output
Revised: Statement added to new information; letter J, #2, changed; #6 changed, #4 changed; and #5 and #6 the same.

Lab Procedures and EKG Procedures
Revised: Letter A, new information added.

Medical Emergency Plan - Transfer to Humana Hospital University of East End Medical Center.
Revised: The name of the policy and the policy statement were revised as new information was added; letter D, changed in wording due to policy change; letter E, added new statement; letter F, new information added; letter G, new information added; letter H, word changed; letter I, word change; letter J, #1, number changed.

General Rules for Preparing and Administering Medication
Revised: Letter A added as was not in old procedure, thus changing all the other numbers; letter J, new information added for clarification, under Charting; letter B, wording change for grammar.

Oral Medication
Revised: Letter A, new information added; letter G, #3, new information added; #5, word change for clarification.

Drugs Brought to KEEPC by Admitted Patients
Revised: Letter J, wording changes for clarification.

Nursing Assessment and History
Revised: Letters C, D, E, new information added to the policy.

Nursing Care/Treatment Plans
Revised: Numbers, changed to the alphabet, A thru Q, Letter A, new information added; letter C, new information added; letter D, one word deleted; letter G, new information added; letter M, new information added and word changed for clarification; letter Q, new information added.
Off-Grounds Clinic Consultations
#1-2/B-82

Oxygen (O2) Therapy
#1-2/B-84
Revised: NOTE, new information added for clarification of Oxygen.

Physician Log Sheet – Daily Restraint and Seclusion

Special Diets
#1-2/B-75

Sputum Collection
#1-2/B-76

IPR
#1-2/B-83
Revised: Letter A, IVAC thermometer added. NOTE, added under letter P-1: deleted IVAC policy and procedure from Index (J-2/B-38).

Transcribing Physicians’ Orders
Revised: Policy statement: letter D, new information added: letter D, statement deleted from policy and new information added due to protocol: letter I and J, both are new information added for clarification.

Transfer (Inter-Facility, KCPC)
#1-2/B-87
Revised: Letter A, #1, deleted due to policy change: #2, changed to #1, and new information added: also word change for grammar: #2, new information added, NOTE, added new information added: letter B, #1, word change: #2, word change, #3, word change, #4, word change (all for clarification), #5 deleted as N/A now.

Collection of Urine Specimen
#1-2/B-88
Revised: Letter A, #4, as new wording added: NOTE, new information added, under note #2, word change, under example #2, wording changed for clarification.

Vital Signs
#1-2/B-91

Height
#1-2/B-92
Revised: Letter A, #1, new information added: letter A, #6, new information added for clarification.

X-Ray Procedures
#1-2/B-93

Under NOTE, x-ray procedure of special diet has all been changed due to new information on procedure methods.

Sterile Instruments
#1-2/B-94
Extra step added to procedure.

Blood/Body Fluid Precautions
New policy in order to adhere to new recommendations from the Center for Disease Control.

Cleaning Thermometers
Deleted as we no longer use glass thermometers. We are using IVAC.

Contact Isolation
New policy to adhere to new recommendations from the Center for Disease Control.

Contagious Diseases in KCPC Employees
New policy.

Disinfection of Dirty Instruments
#1-2/B-95
#E change in supplies used: #K changed procedure: #L deleted.

Disposal of Contaminated Waste
From the Units.

Change in responsible person in
#C: change in #D of responsible person: #E deleted.

Disposal of Hypodermic Syringes,
Needles, and Contraband Items
Change in #E to #G and change in time.

Drainage/Secretion Precautions
New policy to adhere to new recommendations from the Center for Disease Control.

Employee Tuberculosis Skin Test
New policy, changes from "Employees' PPD's".

Enteric Precautions
Condensed into 6 tru G,

Infection Control

Change in isolation area and new Health Department requirements.


Isolation
New Policy.

Isolation Cart
Change in location of equipment.

Isolation of Laundry on the Units
#A added statement.

Needle-stick Injuries
New Policy.

Employee Responsibilities for Cleaning and Sanitizing of Work Spaces in the Preparation of Sterile Supplies.
Change in location of equipment.

PPD
Change in #F.

Respiratory Isolation
Change #A thru #N and shorten statements.

Sanitation of Medication Cabinets
and Medicine Refrigerators
Change in #A, #B, #C, #D, and #E.

Volume 12, Number 11 – May 1, 1986
F-3 – NURSING SERVICES POLICIES AND PROCEDURES

Revised cover sheet to indicate current review.

Table of Contents
Section I, Policy #9
In-Hospital Incident Report of Accidents, Injuries or Unusual Occurrences to Patients. Revised – omitted copies being sent to Director of Nursing. Under No. 4 of procedures, eliminates unnecessary copying of reports as original is reviewed by Director and initialed and dated.

Section I, Policy #10
Reporting of Errors in Medications and Treatments. Revised – allows Nursing Supervisor to be notified of error. Covers if error made by Registered Nurse.

Section I, Policy #12
Blood Transfusion Reaction Report. Omitted – No longer giving blood transfusions in this facility.

Section I, Policy #13
Reporting of Adverse Drug Reaction. Policy changed from 13 to 12 for sequence.

Section II, Policy #4

Section II, Policy #6
Checking Physician's Orders by Nursing Care Plan and Physician's Order Sheet. Reviewed. The word administering was substituted for dispensing in #1 under Procedure. Nurses do not dispense medication. Word change really did not alter current policy, just improved the wording.

Section II, Policy #8
Patients' Use of Telephone. Omitted the phone on the first floor under policy. No longer have phone in this area.

Section II, Policy #9
Emergency Codes. Revised Code D Procedure to more clearly identify persons to respond and to be more specific about role of respondents.

Volume 12, Number 11 – May 1, 1986
Section II, Policy #11  
Admission of Patients. Addition under procedure #9, now requires that any dangerous articles patient may have be identified and documented.

Section II, Policy #12  
Changing Admission Status on Face Sheets. Revised to comply with type of record now being generated by the computer.

Section II, Policy #16  
Patients Returning From Home Visit. Addition of #6 under Procedure to assure that patients returning to the hospital do not have any articles which could be used to harm themselves or others.

Section II, Policy #17  
Procedure for PPD Skin Test. Revised to do two-step skin testing on initial skin test of those 45 and over. Complies with current guidelines for long-term care facilities.

Section II, Policy #24  
Patient Charges for Central Supply Items. Omitted location of Pharmacy pick-up boxes as this changes often and can be covered by memo.

Section II, Policy #27  
Medicine Cart Key. Revision changed person able to carry key to licensed staff only to comply with change to only licensed staff administering meds.

Section III, Policy #2  
Maintenance and Arrangement of Patient's Ward Chart. Complete revision of arrangement of chart and clean-out schedule to improve system.

Section IV, Policy #1  
Specifications of Persons Administering Medications. Change under #2 Procedure to have Nurse Service Supervisor assign staff to give meds as this person knows where staff are available.

Section IV, Policy #2  
Times for Administering Medication and Treatments. Change in wording only under Procedure No. 1. Changed to read medication sheet, not medication card.

Section IV, Policy #6  
Allergies. Change in wording only. The word metal is removed from A, Policy section since this type chart is no longer used.

Section IV, Policy #7  
Transferring Physician's Orders for Medications and Treatment. Revised under Procedure No. 7 to allow only Registered Nurses access to Mini Drug Room.

Section IV, Policy #9  
Emergency Drug Boxes. Revised. Title now Drug Boxes as these boxes only contain emergency drugs. Location of boxes changed to Emergency Carts.

Section VI, Policy #3  
Ward Supplies Requisitions. Change in #4 under Procedure. Supplies are now delivered to wards and do not require pickup.

Section VI, Policy #14  
Referrals to Extended Care, Psychiatric Rehabilitation Service and Learning and Habilitation Services. Change in title of person receiving referral under Policy and #3 under Procedure. Referral now goes to Treatment Team Coordinator.

F-4 - DENTAL CLINIC POLICIES AND PROCEDURES

Cover Page - Reviewed and revised with signature change to present dentist on staff.

Table of Contents - Revised. Separated Dental Service and Dental Records. Deleted Appendix. Table of Contents changed to reflect these changes and renumbering of other sections.

II. Dental Services revised to a section separate from dental records. Also revised to reflect current dental services offered, which provide more services to the hospitals longer term/chronically ill patients.

III. New number for Dental Records.

IV. Types of Dental Treatment - New Number.  
A. Revised - Dental Emergencies to contact Physician instead of Dentist when a dental emergency occurs.


V. Dental Consultations - New Number.

VI. Dental Appointments - New Number.

VII. Daily Oral Care - New Number.

VIII. Infection Control - New Number.

IX. Supplies and Equipment - New Number.

F-9 - NURSING SERVICE EMPLOYEE HANDBOOK

Cover sheet revised to indicate current review date.

Changes in titles in Contents to correspond with changes in Policies #3 and 31.

Philosophy changed to reflect current organizational structure of state government. Now Department for Mental Health.

Standards changed to reflect current organization of state government. Now Department of Mental Health. Organizational Chart. Had to change title of physical therapy aide as these type persons can only work with physical therapist. Changed to Restorative Nursing Aide.

Nursing Service Orientation for New Employees. Had to change to reflect current state organization. Now Department for Mental Health.

Policy #3 Policy changed to allow all staff to exchange days, not just Aide staff.

Policy #3 Form changed to have Nursing Service Supervisor approve exchanged days as they have responsibility for staffing.

Volume 12, Number 11 - May 1, 1986
Policy #4 Holidays (Group Schedule). Changed typographical error in policy. Omitted statement about employees being expected to work on a specific day as we no longer schedule staff to be off on a specific day for working a holiday.

Policy #8 Omitted that no routine assignments of annual leave would be scheduled in December.

Policy #9 Nursing policy revised to reflect current departmental policy.

Policy #23 Nursing Service Policy revised to current facility policy.

Policy #33 Nursing Service Policy revised to current facility and departmental policy.

Policy #42 Nursing policy revised to current facility policy.

Nursing Service Job Description – Job title change to indicate employee does nursing care and is not an aide to the physical therapist.

F-11 – X-RAY CLINIC POLICY AND PROCEDURE MANUAL

Cover Page – Policy and Procedure Manual reviewed and revised – cover page changed to reflect same.

Job Description – Job relationship revised to better define and differentiate clinical and administrative supervision. Delete #18 under significant duties (employees are now photographed by personnel department for ID badges).

Policy #4 Procedure revised to reflect current clinic hours.

Policy #6: B. Procedure #10 Revised to meet current method of safety maintenance.

F-12 – DIET MANUAL

Replace entire manual with the following changes:


Page #4 Patient Meal Hours. Changed patient meal hours to comply with present procedure.

Page #10 Clear Liquid Diet. Changed to include Citroprotein to provide a more adequate diet.

Page #11 Clear Liquid Diet. Changed to reflect the addition of Citroprotein.

Page #12 Full Liquid. Delete statement, "It includes foods and liquids at room temperature," to provide a more accurate description of diet.

Page #18 Soft Diets. Add statement, "An extra portion of vegetable or starch will be given to increase the amount of B vitamins in the diet."

Page #21-22 Liberal Bland Diet. ADA position paper added on the use of bland diets in the treatment of Chronic Duodenal Ulcer Disease. Liberal bland diet revised to offer more variety in foods to the patients.

Page #92 1983 Metropolitan Height and Weight Tables, Ages 25-50. Changed to provide a more complete list.

Page #98 Caffeine Content of Selected Foods & Beverages. Changed to provide a more complete list.

Page #90-103 Some Common Food & Drug Interactions Changed to provide a more complete list.

Page #112 Bibliography. New sources.

F-18 – BARBER AND BEAUTICIAN SERVICES POLICIES AND PROCEDURES.

Manual reviewed and revised cover page changed to reflect same.

Beauty Shop Schedule – Schedules changed to facilitate more service time for patients within facility and WSH/ICF, and to schedule time for patient education program.

Barber Shop Schedule – Typographical error corrected and update schedule to current ward numbers.

Beauty Shop – Patient Education – Revised – Program changed to 2 x weekly x 2 weeks from 1 x weekly x 4 weeks. Documentation in record changes from responsibility of RT to Nursing Clinical Supervision changed to nursing.

Cosmetologist – Re-typed, deleted from job knowledge – knowledge of supervisory skills (#10) re-numbered remaining qualifications. Add to Responsibilities #21 – Maintain current cosmetologist license.

Job Description – Barber – V. Responsibilities revised No. 12 to include assigned inservice.

F-19 – ELECTROCARDIOGRAM, ELECTROENCEPHALOGRAM, AND PHYSICAL THERAPY POLICIES AND PROCEDURES

EEG, EKG Policies and Procedures reviewed. Cover page changed to reflect review.

Revised and name changed to Physical, Restorative, and Maintenance Therapy Policy and Procedures. Cover Page. Change to reflect review and revision.

Nursing Service Job Description Job Title – Physical Therapy Aide. Revised to Restorative Nursing Aide. Employee not directly supervised by Physical Therapist but by Nursing Service with general guidance of a physical therapist. Job title reflects a nursing title. Title also changed under job summary.

Policies and Procedures for Infection and Disease Control
A. Policy – Name of lab changed.
B. Procedures:
1. Name of lab changed
2. Job title changed
3. Name of lab changed
4. Name of lab changed

Physical, Restorative, and Maintenance Therapy Policy and Procedure Revised
2. Physical Therapy Lab changed to Restorative and Maintenance Lab
3. Physical therapy changed to restorative and maintenance therapy

Volume 12, Number 11 – May 1, 1986
Policy #19 The Mini Drug Room. Policy No. 19 is being revised dated May 1985. The pharmacy will monitor the controlled substances cabinet in the MDR when doses are replaced and nursing will monitor the total number of doses at each shift change to better control the withdrawal of abuse substances from the MDR.

Section II,
Policy #19 The Mini Drug Room. The list for the main cabinet in the MDR has been revised to include the drugs most needed during our off hours. The list for the controlled substances cabinet has been reduced to include the drugs most used or the drugs in the least strengths.

Section II,
Policy #31 Disinfecting of Pharmacy Countertops. Policy No. 31 is being deleted.

Section III,
Policy #16 Culturing the Laminar Hood Area. Policy No. 16 is being deleted.

Section IV,
Policy #4 Maintenance of the Emergency Box. Policy No. 4 is a revision. The location of the Emergency Boxes are being changed to make the medication more available during an emergency.

Section V,
Policy #1 Policies for Physicians Drug Orders. Change to bring up-to-date our list of current authorized prescribers for Western State Hospital.

Section VII,
Policy #5 Pharmacy Inventory Control. New Drug Usage Tallies Sheets were updated and revised due to pharmacy being placed on the computer. New drug code numbers were developed and placed in use.

Section XI,
Policy #4 Dating of Autoclaved Items. Policy No. 4 is being deleted.

Section XI Policies for Sterile Supplies and Equipment. List of Items Autoclaved is being revised. The pharmacy is no longer responsible for sterilizing reusable autoclavable items. All sterile central supply items are now purchased pre-packed for disposable use.

Section XI,
Policy #5 Control of Expired or Outdated Stock. Policy is revised. The pharmacy no longer stocks reusable sterilized items.

Section XI,
Policy #13 Culturing the Sterilizing Area and Autoclave Policies for sterile supplies equipment is being revised and retitled. The autoclave and sterilizing area no longer need to be periodically cultured according to the CDC regulations.

Section XII,
Policy #3 Patient Abuse. Policy No. 3, a new policy, discusses patient abuse and how our hospital and state law defines and deals with it or the withholding of any such information.
Section XII.
Policy #4 Inclement Weather. Policy No. 4, a new policy, states that all employees of the Department for Mental Health and Mental Retardation Services facilities are expected to report to work during all inclement weather.

Section XII.
Policy #5 Time and Attendance. Policy No. 5, a new policy, clarifies and supersedes any past policies on time and attendance as well as defines certain terms dealing with absences and tardiness.

Section 8 is revised as follows:

WESTERN STATE HOSPITAL INTERMEDIATE CARE FACILITY POLICY MANUAL

H-4 - EMPLOYEE POLICIES AND PROCEDURES

Section II,
Policy #7 Policy No. 7 revised to further clarify the use of sick time and the requirements in seeking approval for sick time.

Section II,
Policy #48 New Policy. To have a policy available to all licensed staff stating the requirement of having a valid license in order to eliminate any problems arising when licensed staff cannot furnish a current license.

Section 10 is revised as follows:

KENTUCKY CORRECTIONAL PSYCHIATRIC CENTER POLICY MANUAL

J-1/A-2 Policies and Procedures. Under Procedures "E" changed to read "Facility Director" on line three.

J-1/A-3 Staff and Patient Fingerprinting. Under procedures - "O". The word "patient" was deleted on first line. On third line, the word "staff" was added.

J-1/A-8 Replacement of Damaged Personal Property. Under Procedures "C" all clothing item prices have been increased.

J-1/A-40 Excess Copies/Copying of Medical Records. Under Procedures "A" the last sentence was added.

J-1/A-41 Sign In/Sign Out Log. Under Policy: The word "personally" was added to line one.

J-1/A-44 Work of Back Door in Nurses Station. Under Procedures "C" Clinical Director was added on line one.

J-1/A-45 Time and Attendance. Under Procedures "G" "The employee's certification may be accepted" was added.


J-1/B-4 Abuse of Patients by Employees. Under Procedures - Spells out the duties of the Director under "F".

J-1/B-35 "Disposable Razors," General Hospital. This policy was implemented to allow the patients of KCPC to use the disposable razors rather than the safety razors which were passed from patient to patient. This will ensure sanitary conditions for patients when shaving and will also delineate the guidelines for ensuring the safety and security of the razors.


J-1/B-37 Extended and Special Visits. New Policy.

J-1/B-38 Transportation of Patients to Funerals or Bedside Visits. New Policy.

J-2/A-13a "Memo Book," Nursing Department. This policy was implemented to ensure a systematic storage of information and regular review by the Nursing staff.

J-4/29a "Sanitation and Storage of Sharps," Infection Control. This policy was implemented to prevent cross-contamination and infections among patients.

J-5/A-44 "Shift Assignment/Transfer," Security Department. This policy and procedure was revised to allow the Correctional Officers to submit a request for shift transfer at any time, to be kept on file. It also changes the structure of the Shift Transfer Committee, and criteria for a shift transfer to ensure a more fair and impartial method.

J-12/17 "Marriage of Patients," Parapsychiatric Service Department. This policy was implemented to establish the guidelines to be followed at our facility. This is a new policy and procedure."

DENNIS D. BOYD, Commissioner
E. AUSTIN, JR., Secretary

APPROVED BY AGENCY: April 15, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986 at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following offices in writing by May 18, 1986 of their desire to attend and testify at the hearing: R. Hughes Walker, General Counsel, Cabinet for Human Resources, 275 E. Main Street, 4 West, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Verna Fairchild

(1) Type and number of entities affected: This regulation with the attached reference material is the on-going policy and procedure manual of the state facilities for the treatment of patients with mental illness and mental retardation. These facilities function with 2,880 staff members serving 1,850 residents.

(a) Direct and indirect costs or savings to those affected:
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs (note any effects upon competition): (b) Reporting and paperwork requirements:
4. Effects on the promulgating administrative body: This regulation usually does not affect the fiscal operation of these state facilities.

Volume 12, Number 11 – May 1, 1986
significantly. It affects the care and treatment of patients, compliance with JCAH standards, and Kentucky licensure regulations. The work environment of the staff is frequently the subject of this regulation also, along with the orderly management of the various programs.

(a) Direct and indirect costs or savings:
   1. First year:
   2. Continuing costs or savings:
   3. Additional factors increasing or decreasing costs:

(b) Reporting and paperwork requirements:

(3) Assessment of anticipated effect on state and local revenues: None

(4) Assessment of alternative methods; reasons why alternatives were rejected: Present procedure not previously adopted by regulation.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

(a) Necessity of proposed regulation if in conflict:

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:

(6) Any additional information or comments: None

Tiering:
Was tiering applied? Yes

CABINET FOR HUMAN RESOURCES
Department for Employment Services
Division of Unemployment Insurance
(Proposed Amendment)

903 KAR 5:200. Unemployment insurance procedures.

RELATES TO: KRS 341.005 through 341.990
PURSUANT TO: KRS 13A.100, 194.050(1), 341.115
NECESSITY AND FUNCTION: Title III of the Social Security Act authorizes the states to implement an unemployment insurance program. The Cabinet for Human Resources is authorized by KRS 194.050(1) to adopt such rules and regulations as are necessary to implement programs mandated by federal law or to qualify for receipt of federal funds and as are necessary to cooperate with federal agencies for the proper administration of the cabinet and its programs. The function of this regulation is to implement the procedures required to administer the unemployment insurance program in accordance with applicable state and federal laws and regulations.

Section I. In order to facilitate the administration of the unemployment insurance program as authorized by Title III of the Social Security Act and KRS Chapter 341, the following operating manuals are adopted by reference:

(1) Unemployment Insurance Local Office Manual as issued February, 1984 and last revised March 21, 1986 [February 28, 1986]. This manual includes procedures: for requiring proper identification of persons filing claims for benefits; for taking and processing initial, additional, reactivated and continued claims for benefits; for assigning claimants to the appropriate group for the eligibility review program; for conducting the eligibility review program; for stopping and releasing payment of benefits; for entering claim history and benefit payment information into the data base; for taking and processing interstate claims, combined wage claims, claims by former federal employees and ex-service members, and claims for extended benefits and federal supplemental compensation benefits; for conducting investigations and issuing determinations regarding a claimant's separation, ability to work, availability for work, active search for work, benefit entitlement, and deductions from benefits; for processing employers' protests to claims; for taking requests for reconsideration of monetary eligibility; for establishing benefit overpayments and initiating recovery or recoupment by processing partial payment agreements or issuing liens; for initiating action on lost or returned checks; for detecting and initiating recovery of fraudulent overpayments; for filing appeals to eligibility determinations; for reporting workload time spent; for compiling claims and nonmonetary determination statistics; and for ranking of local offices based on performance criteria.

(2) Unemployment Insurance Benefit Branch Procedures Manual issued May, 1982 and last revised March 7, 1985 [October 15, 1985]. This manual includes procedures for administering the payment of unemployment insurance benefits for maintaining accounts for all benefit income and expenditures; for detecting, establishing and initiating recovery of benefit overpayments; for assigning benefit charges to employer accounts; for conducting a quality review of nonmonetary determinations affecting the payment of benefits; for processing employment claims for former federal employees, ex-service members, combined wage claimants, interstate claims, claims for Disaster Unemployment Assistance, claims under the Trade Readjustment Act and claims under the Work Incentive Program; for reconsidering monetary rate determinations; for processing payment for lost or returned benefit checks; and for investigating potential fraud and recommendation of recovery action or criminal prosecution.

(3) Unemployment Insurance Tax Collection and Accounting Branch Manual issued November, 1982 and last revised August 1, 1986. This manual includes procedures: for setting up, transferring and cancelling employer contribution and reimbursement accounts; for collecting quarterly taxes from contributory employers and for billing reimbursing employers for benefits paid; for auditing quarterly wages and tax reports by making adjustments, assessing additional payment and penalties and crediting tax overpayments; for adjusting wages if required when a reconsideration of monetary benefit eligibility is filed; and for collecting delinquent taxes by filing tax liens, recommending suits and temporary restraining orders, garnishing wages, filing claims in bankruptcy or against assets due to delinquent employers from state agencies.

(4) Unemployment Insurance Administrative Support Branch Manual issued December, 1983 and last revised November 9, 1984. This manual includes procedures: for maintaining files of benefit claims, employer records, appeals, and unemployment insurance benefits; for maintaining mail security operations for all checks received by the division; for gathering statistics and conducting statistical studies; for verifying workload items for the budget process; for publishing statistical reports for the division and for general publication; for
maintaining and distributing federal and state-released procedures; for maintaining all procedures manuals; for conducting the unemployment insurance quality appraisal; for training division personnel; for retaining and disposing of records; for providing state liaison services; for preparing state and federal budgets; for operating the Cost Model Management System; for maintaining the Cost Information System; for controlling forms control; and for monitoring purchases, expenditures and repairs.

(5) Unemployment Insurance Field Audit Manual issued February, 1984 and last revised January 11, 1985. This manual includes procedures for handling matters which cannot be handled directly or expediently by the central office tax branch, such as procedures: for locating employers; for conducting investigations of employers; and their payrolls and employment records; for determining an employer's status under the law; for assessing contributions and collecting delinquent contributions; for serving legal papers; for conducting property investigations; for auditing employer records; and for furnishing technical assistance to employers.

(6) Unemployment Insurance Director's Office Manual issued November 18, 1983, and last revised December 12, 1984. This manual includes procedures for operating the Fraud Investigations and Internal Security Unit such as procedures: for: administering the unit; detecting fraud; prosecuting cases; closing out fraud cases; preventing fraud; maintaining internal security; and conducting other investigations.

(7) Kentucky Unemployment Insurance Commission Administrative Branch Manual issued September 1, 1985. This manual includes procedures for the daily operations of the branch. Such procedures include staff duties and responsibilities, the review of cases, the conduct of hearings, the preparation of decisions and the proper handling of records and reports.

Section 2. All documents incorporated by reference herein are on file for public inspection in the Office of the Commissioner for Employment Services, 275 East Main Street, Frankfort, Kentucky 40621 and in local unemployment insurance offices located throughout the state.

Section 3. Summary of Amendment. Unemployment Insurance Local Office Manual. (1) Chapter 2000 [1000], Initial Claims [Introduction], strike pages (2020-2020)(2) - (2020-2040) dated 1-31-86 [entire Chapter], and substitute in lieu thereof pages (2020-2020)(2) - (2020-2040) dated 3-5-86, which provides for identification of National guard and Reserve Armed Forces members in the Initial Claims establishing interview to assure that weekend drill pay is properly deducted from benefits [new chapter dated 1-31-86, which adds the subheading "Internal Security" emphasizing the legal requirement for confidentiality regarding claims for unemployment insurance benefits]. Strike contentions dated 10-30-85, substitute in lieu thereof contents dated 3-10-86. Strike pages (2010-2020) - (2020-2020) dated 8-30-85, and substitute in lieu thereof pages (2010-2020) - (2020-2020) dated 3-10-86, which provides that a claimant may request wages earned in the base period but paid subsequent to the base period would be reallocated to the base period if an invalid claim would thereafter become valid.

(2) Chapter 4000 [2000], Video Operation [Initial Claims], strike contents pages dated 10-30-85 (pages 2050-2060) - (2050-2060)(2) dated 8-30-85, and pages (2050-2070) - (2070-2070) dated 8-30-85, and substitute in lieu thereof contents page dated 3-11-86 (pages 2060-2060) - (2060-2060)(2) dated 2-7-86, and pages (2060-2070) - (2070-2070) dated 2-7-86 which adds a procedure to the claiming process to shorten the time required for staff to determine if an out-of-state employer is chargeable. Strike pages (4120-4120(3)) - (4120-4120(4)) dated 10-18-85, and pages (4120-4120(5)) - (4120-4120(6)) dated 1-31-86 [(2020-2020)(2)] - (2020-2040) dated 8-30-85, and insert in lieu thereof pages (4120-4120(3)) - (4120-4120(6)) dated 3-11-86, which provides instructions for entering specific transactions on Program 48 and entering the actual date a cash repayment is received to prevent a notice being generated by the Automated Recovery System due to a delay in entering [(2020-2020)(2)] - (2020-2040) dated 1-31-86, which will permit the U.S. Department of Labor to authorize acceptance of mail-in claims under unusual circumstances beyond the claimant's control. Strike pages (4215-4215(2)) - (4216-4230) dated 3-1-86, and insert in lieu thereof pages (4215-4215(2)) - (4216-4230) dated 3-11-86, which corrects a description of Benefit Payor Order Transaction 67 and provides instructions for maintaining an Equipment Operations Log.

(3) Chapter 6000 [3000], Claims Investigation [Continued Claims], strike pages (6032-6032) - (6033-6034) dated 9-16-85 [(3025-3040) - (3040-3050) dated 10-30-85, and substitute in lieu thereof pages (6032-6032) - (6033-6034) dated 3-5-86, which provides instructions for deduction of weekend drill pay for National Guard and Reserve Armed Forces members and amends a section regarding the allocation for deduction purposes of a lump-sum retirement payment [(3025-3040) - (3050-3050) dated 1-3-86, which will permit the Civilian Supervisor to authorize acceptance of mail-in claims under unusual circumstances beyond the claimant's control]. Strike pages (6103-6200) - (6200-6202) dated 2-12-86, pages (6202-6202) - (6206-6206) dated 9-16-85, and pages (6207-6207) - (6212-6222) dated 9-16-85, and insert in lieu thereof pages (6103-6200) - (6206-6206) dated 3-7-86, and pages (6207-6207) - (6222-6222) dated 3-7-86, which revises this section to include instructions that restitution of overpayments must not be sought or accepted after the statute of limitations has expired except on federal claims. Strike pages (6034-6034) - (6037-6040) dated 9-16-85, pages (6150-6175) - (6184-6190) dated 9-16-85, and pages (6190-6190) - (6190-6193) dated 11-22-85, and insert in lieu thereof pages (6034-6037) - (6039-6040) dated 3-10-86, and pages (6150-6175) - (6184-6190) dated 3-10-86, which provides instructions for handling appeals. Strike pages (6100-6101) - (6106-6106) dated 9-16-85, and insert in lieu thereof pages (6100-6101) - (6106-6106) dated 9-16-85, which adds instructions regarding labor dispute claims where affected claimants may be applying in two
(2) or more local offices. Strike page 1603-6033 and insert in lieu thereof page 1603-6034.
(3) or more local offices. Strike page 1603-6033 and insert in lieu thereof page 1603-6034.
(4) or more local offices. Strike page 1603-6033 and insert in lieu thereof page 1603-6034.
(5) or more local offices. Strike page 1603-6033 and insert in lieu thereof page 1603-6034.
(6) or more local offices. Strike page 1603-6033 and insert in lieu thereof page 1603-6034.

(4) Chapter 14000, Charts, Forms, Letters, Reference Materials [Video Operations, strike Wage Reduction Table (pages 4120-4120(5)) - 4120-4120(6) dated 10-18-85, and substitute in lieu thereof new Wage Reduction Table (pages 4120-4120(5)) - 4120-4120(6) dated 1-31-85, which reflects a new message on program 4B Overpayments and Restitution Page indicating when a partial payment agreement is voluntary].
(5) Chapter 15000 [6000], Local Office Ranking [Claims Investigation], strike contents and entire Chapter dated 11-15-85 (pages 6193-6200) - 6200-6202(dated 9-16-85), and substitute in lieu thereof contents and entire Chapter dated 3-12-86, which provides revision of the point accumulation schedule and deletes the Quarterly Quality Review which is no longer a factor in the ranking system. Strike pages 6193-6200 - 6200-6202(dated 2-12-86), which amends the section Establishing Overpayments to insure a claimant is not overbilled due to an unrecovered overpayment established on a prior adjusted determination]. Strike pages 15000-15010 - 15055-15060(dated 3-12-86), and substitute in lieu thereof pages 15000-15010 - 15055-15060(dated 3-21-86), which is far "housekeeping" purposes and corrects obsolete references to regional supervisors and the Fiscal Section.

(6) Chapter 10000, Unemployment Compensation for Ex-Servicepersons, strike entire chapter 10000 and insert in lieu thereof new chapter 10000 dated 3-15-86, which provides the latest instructions for preparation of a request for military information and revises the chapter's format to the standardized Department for Employment Services Manual format.


JAMES P. DANIELS, Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 9, 1086
FILED WITH LRC: April 15, 1086 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1086, at 9 a.m. in the Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 10, 1086, of their desire to appear and testify at the hearing: R. Hughes Walker, General Counsel, Office of General Counsel, Cabinet for Human Resources, 275 East Main Street, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: James Daniels
(1) Type and number of entities affected: Thousands of U.I. claimants.
(a) Direct and indirect costs or savings to those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods: reasons why alternatives were rejected: None
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict: N/A
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A
(6) Any additional information or comments: None

CABINET FOR HUMAN RESOURCES
Department for Medicaid Services
(Programmed Amendment)
904 KAR 1:009. Physicians' services.
RELATES TO: KRS 205.520
PURSUANT TO: KRS 104.050
NECESSITY AND FUNCTION: The Cabinet for Human Resources has responsibility to administer the program of Medical Assistance in accordance with Title XIX of the Social Security Act. KRS 205.520 empowers the cabinet, by regulation, to comply with any requirement that may be imposed or opportunity presented by federal law for the provision of medical assistance to Kentucky's indigent citizenry. This regulation sets forth the provisions relating to physicians' services for which payment shall be made by the Medical Assistance Program in behalf of both the categorically needy and the medically needy.

Section 1. Physicians' Services. Covered services shall include those furnished by physicians through direct physician-patient contact in the office, the patient's home, a hospital, a skilled nursing or intermediate care facility or elsewhere. For purposes of the Medical Assistance Program, oral surgeons shall be treated in the same manner as physicians with regard to coverage for services within their scope of licensed practice, and the term
"physician" shall be construed to include oral surgeons unless the context in which it is used is to the contrary.

Section 2. Limitations. (1) Coverage for initial and extensive visits shall be limited to one (1) visit per patient per physician per twelve (12) month period [calendar year].

(2) Payment for outpatient psychiatric services rendered by other than board-eligible and board-certified psychiatrists shall be limited to four (4) such services per patient per physician per twelve (12) month period.

(3) A patient placed in "lock-in" status due to over-utilization is to receive services only from his/her lock-in provider except in the case of emergency or referral.

(4) Coverage for laboratory procedures performed in the physician's office shall be limited to those procedures listed on the agency's physician laboratory benefit schedule. Physician laboratory procedures are limited to those specified as payable, except that the professional component of laboratory procedures performed by board-certified pathologists in a hospital setting or an outpatient clinical are covered so long as the physician has an agreement with the hospital or outpatient surgical clinic for the provision of laboratory procedures.

(5) The cost of preparations used in injections shall not be considered a covered benefit.

(6) Telephone contacts with patients shall not be considered a covered benefit.

CABINET FOR HUMAN RESOURCES
Department for Medicaid Services
(Proposed Amendment)

904 KAR 1:019. Pharmacy services.

RELATES TO: KRS 205.520
PURSUANT TO: KRS 194.050
NECESSITY AND FUNCTION: The Cabinet for Human Resources has responsibility to administer the program of Medical Assistance under Title XIX of the Social Security Act. KRS 205.520 empowers the cabinet, by regulation, to comply with any requirement that may be imposed or opportunity prescribed by federal law for the provision of medical assistance to Kentucky's indigent citizenry. This regulation sets forth the provisions relating to pharmacy services for which payment shall be made by the Medical Assistance Program in behalf of both the categorically needy and medically needy.

Section 1. Prescribed Drugs. Drugs prescribed by a physician, osteopath, or dentist shall be provided with the following limitations:

(1) The drug must be included on the Kentucky Medical Assistance Program Outpatient Drug List;

(2) Prescribing quantities may be limited by the program;

(3) Patients placed in "lock-in" status due to over-utilization are to receive services only from their lock-in provider except in the case of emergency or referral;

(4) Practitioner authorization, i.e., actual signature of the prescriber, shall be required on all prescriptions not phoned in, on all Schedule II controlled substances prescriptions, and when the physician override (certification of brand name necessity) procedure is being used. For telephone prescriptions (but not including the preceding) the pharmacist shall enter on the prescription form the name of the prescriber and the initials of the pharmacist;

(5) No prescription shall be refilled more than five (5) times, or more than six (6) months after the original prescription is written;

(6) Drugs, provided to recipients in institutions in which drugs are considered a part of the reasonable allowable costs under the Kentucky Medical Assistance Program, shall not be billed as an outpatient pharmacy benefit;

(7) Effective May 1, 1975, legend drugs, of a type not included on the Kentucky Medical Assistance Program Outpatient Drug List, and which meet established criteria, shall be considered covered when preauthorized by qualified medical professionals within the

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Roy Butler

(1) Type and number of entities affected: All physicians participating in the Medicaid Program.

(a) Direct and indirect costs or savings to those affected: None

1. First year:

2. Continuing costs or savings:

3. Additional factors increasing or decreasing costs (note any effects upon competition):

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body:

(a) Direct and indirect costs or savings: None

1. First year:

2. Continuing costs or savings:

3. Additional factors increasing or decreasing costs:

(b) Reporting and paperwork requirements: None

(3) Assessment of anticipated effect on state and local revenues: None

(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication:

(a) Necessity of proposed regulation if in conflict:

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:

(6) Any additional information or comments: None

Tiering:
Was tiering applied? No. Not applicable for Medicaid regulations.
3. Additional factors increasing or decreasing costs: None
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(5) Identify any statute, administrative regulation or governmental policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments:
This is a preliminary estimate which assumes minimal savings; the extent to which misreporting of drug pricing information has occurred is not known.

Tiering:
Was tiering applied? No. Not applicable for Medicaid regulations.

CABINET FOR HUMAN RESOURCES
Department for Medicaid Services
(Proposed Amendment)

904 KAR 1:038. Hearing and vision services.

RELATES TO: KRS 205.520
PURSUANT TO: KRS 194.050
NECESSITY AND FUNCTION: The Cabinet for Human Resources has responsibility to administer the program of Medical Assistance in accordance with Title XIX of the Social Security Act. KRS 205.520 empowers the cabinet, by regulation, to comply with any requirement that may be imposed or opportunity presented by federal law for the provision of medical assistance to Kentucky's indigent citizenry. This regulation sets forth the provisions relating to the hearing services and vision care services for which payment shall be made by the Medical Assistance Program in behalf of both categorically needy and medically needy.

Section 1. Hearing Services. (1) Audiological benefits: Coverage shall be limited to the following services provided to children under age twenty-one (21) by certified audiologists:
(a) Complete hearing evaluation;
(b) Hearing aid evaluation;
(c) A maximum of three (3) follow-up visits within the six (6) month period immediately following fitting of a hearing aid, such visits to be related to the proper fit and adjustment of that hearing aid;
(d) One (1) follow-up visit six (6) months following fitting of a hearing aid, to assure patient's successful use of the aid.

(2) Hearing aid benefits: Effective June 1, 1981, coverage shall be provided to children under age twenty-one (21), on the pre-authorized basis for any monaural hearing aid model recommended by a certified audiologist so long as that model is available through a participating hearing aid dealer. Binaural hearing aids are not covered.

Section 2. Vision Care Services. Coverage for all age groups shall be limited to prescription services, services to frames and lenses, and
diagnostic services provided by ophthalmologists and optometrists, to the extent the optometrist is licensed to perform the services and to the extent the services are covered by the ophthalmologist's portion of the physician's program. Eyeglasses are provided only to children under age twenty-one (21) on a pre-authorized basis. Coverage for eyeglasses is limited to two (2) pairs of eyeglasses per year per person. This limitation includes the initial eyeglasses and one (1) replacement per year or two (2) replacements per year. Coverage for initial and extensive visits shall be limited to one (1) visit per patient per provider per twelve (12) month period.

Section 3. If the funds allocated in the budget for eye examinations, prescriptions (for glasses), and other services are exhausted for the group aged twenty-one (21) and over, vision care services provided by ophthalmologists and optometrists will be terminated for that age group; this limitation shall not be interpreted to limit treatment of diseases of the eye by ophthalmologists. Vision care services for the group aged twenty-one (21) and over, if terminated, shall be re instituted at such time as funds again become available.

FONTAINE BANKS, Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 8, 1986
FILED FOR AGENCY: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986, at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986, of their desire to appear and testify at the hearing: R. Hughes Walker, General Counsel, Office of General Counsel, Cabinet for Human Resources, 275 East Main Street, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Roy Butler
(1) Type and number of entities affected: All ophthalmologists and optometrists providing vision care services.
(2) Direct and indirect costs or savings to those affected: None
(1) First year:
(2) Continuing costs or savings:
(3) Additional factors increasing or decreasing costs (note any effects upon competition):
(4) Reporting and paperwork requirements: None
(5) Effects on the promulgating administrative body:
(1) Direct and indirect costs or savings: None
(1) First year:
(2) Continuing costs or savings:
(3) Additional factors increasing or decreasing costs:
(4) Reporting and paperwork requirements: None
(5) Assessment of anticipated effect on state and local revenues: None
(6) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(7) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(8) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments: None

Tiering:
Was tiering applied? No. Not applicable for Medicaid regulations.

CABINET FOR HUMAN RESOURCES
Department for Medicaid Services
(Proposed Amendment)

904 KAR 1:220. Terms and conditions of provider participation; provider appeals.

RELATES TO: KRS 205.510 to 205.990
PURSUANT TO: KRS 194.050, 205.520
NECESSITY AND FUNCTION: Through KRS 205.520, the Secretary for Human Resources may establish regulations to comply with requirements imposed or opportunities presented by federal law to take advantage of funds available for medical assistance. Through an amendment to 42 U.S.C. 1395c and 1395j, Section 2175 of the Omnibus Budget Reconciliation Act of 1981 allows each state to restrict provider participation in its Medical Assistance Program if it is determined the provider committed fraud or abused the program. To allow the state the ability to respond for good cause against a provider through an administrative action short of adjudication of criminal liability and thereby assuring the continued integrity of the Medical Assistance Program, this regulation is enacted. This provision reiterates the authority of the cabinet to determine the terms and conditions of vendor participation in the Kentucky Medical Assistance Program, and sets forth the procedure by which an aggrieved provider may appeal the termination, suspension, denial or nonrenewal of his or her provider agreement.

Section 1. The Cabinet for Human Resources may determine the terms and conditions for participation of vendors in the Kentucky Medical Assistance Program. The cabinet may suspend, terminate, deny or not renew a vendor's provider agreement for good cause. For purposes of this regulation, "good cause" is defined as:
(1) Misrepresenting or concealing facts in order to receive or to enable others to receive benefits;
(2) Furnishing or ordering services under Medicaid that are substantially in excess of the recipient's needs or that fail to meet professionally recognized health care standards;
(3) Misrepresenting factors concerning a facility's qualifications as a provider for medical assistance;
(4) Failure to comply with the terms and conditions for vendor participation in the program and to effectively render service to recipients;
(5) Submitting false or questionable charges to the agency.

Section 2. Suspension Based on Felony Conviction. When a provider participating in the Kentucky Medical Assistance Program (KMAP) is convicted of a felony involving fraudulent practice relating to the KMAP, such provider is to be suspended from participation in the KMAP.
for a minimum of two (2) years. At the end of the suspension period, the provider may initiate enrollment procedures with the MAP.

Section 3. [2.] Upon notice of a decision to suspend, terminate, deny or not renew a provider agreement by the cabinet, any individual provider aggrieved thereby may file an appeal. The following procedure will govern the appeal of any such individual provider who has received said notice from the cabinet of termination, suspension, denial or nonrenewal of his or her provider agreement or of his or her suspension from the Kentucky Medical Assistance Program, except in the case of an adverse action taken under Title XVIII (Medicare), binding upon the Medical Assistance Program. Adverse action taken against an individual provider under Medicare must be appealed through the mechanism set forth in 42 CFR, Part 405 Subpart O.

Section 4. [3.] Notification. The Kentucky Medical Assistance Program shall notify a provider in writing at least fifteen (15) days prior to the effective date of any decision to terminate, suspend, deny or not renew a provider agreement. The notice will state:

(1) The reasons for the decision;
(2) The effective date;
(3) The extent of its applicability to participation in the Medical Assistance Program;
(4) The earliest date on which the cabinet will accept a request for reinstatement;
(5) The requirements and procedures for reinstatement; and
(6) The appeal rights available to the excluded party.

Section 5. [4.] Evidentiary Hearing. Any provider aggrieved by a decision by the cabinet to suspend, terminate, deny or not renew a provider agreement pursuant to Section 1 of this regulation may, upon written request made within five (5) days from receipt of the written consideration decision, request an evidentiary hearing. Such hearing shall be held within thirty (30) days of receipt of the written request, and a decision shall be rendered within thirty (30) days from the date all evidence and testimony is submitted. Technical rules of evidence shall not apply. The hearing shall be held before an impartial decision-maker appointed by the Secretary for Human Resources. When an evidentiary hearing is held under this section, a provider shall be entitled to the following:

(1) Timely written notice as to the basis of the adverse decision and disclosure of the evidence upon which the decision was based;
(2) An opportunity to appear in person and introduce evidence to refute the basis of the adverse decision;
(3) A provider may be represented by counsel;
(4) A provider shall have an opportunity to be heard in person, to call witnesses, and to introduce documentary and other demonstrative evidence;
(5) A provider shall have an opportunity to cross-examine witnesses;
(6) The decision of the impartial hearing officer shall be in writing and shall set forth the reasons for the decision and the evidence upon which the determination is based.

Section 6. [5.] The decision of the hearing officer shall be the final decision of the Cabinet for Human Resources.

Section 7. [6.] The rights upon appeal by skilled nursing facilities (SNF's), intermediate care facilities (ICF's), and intermediate care facilities for the mentally retarded (ICF/MR's) shall be governed by the provisions of 42 CFR 431.154 (informal reconsideration), and thereafter, 42 CFR 431.153 (evidentiary hearing).

DONATE BANKS, Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 8, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986, at 9 a.m., in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986, of their desire to appear and testify at the hearing:
R. Hughes Walker, General Counsel, Office of General Counsel, Cabinet for Human Resources, 275 East Main Street, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Roy Butler

(1) Type and number of entities affected: All providers participating in the Medicaid Program.
(a) Direct and indirect costs or savings to those affected: None
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs (note any effects upon competition):
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings: None
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs:
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(5) Administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments: None

Tiering: Was tiering applied? No. Not applicable for Medicaid regulations.
CABINET FOR HUMAN RESOURCES
Department for Social Insurance
Division of Management & Development
(Proposed Amendment)

904 KAR 2:190. Incorporation by reference of materials relating to the Refugee Assistance Program.

RELATES TO: KRS 194.030(6)
PURSUANT TO: KRS 194.050

NECESSITY AND FUNCTION: The Cabinet for Human Resources is authorized by KRS 194.050 to administer programs to qualify for the receipt of federal funds providing cash and medical assistance to eligible Kentucky residents. This regulation incorporates into regulatory form, by reference, materials used by the cabinet in the implementation of the Refugee Assistance Program.

Section 1. Incorporation by Reference. The cabinet shall incorporate by reference materials used in the implementation of the Refugee Assistance Program, subject to the provisions contained in 904 KAR 2:140, Section 1, Supplementary Policies for Programs Administered by the Department for Social Insurance.

Section 2. Listing of Incorporated Materials. The following materials are hereby incorporated by reference, effective on the date shown:
(1) Federal regulations at 45 CFR Parts 460-461, which set forth the federal requirements and guidelines for the administration of the Refugee Assistance Program, effective April 1, 1986 [May 1, 1984];
(2) Federal Office of Refugee Resettlement action transmittals which set forth the federal requirements and guidelines for the administration of the Refugee Assistance Program, as follows: ORR-87-80-5, 80-6 80-7, 81-1, 82-3, 83-1, 83-2, 83-6, 83-6A, and 84-2, effective October 1, 1984; and

Section 3. All documents included by reference herein may be reviewed during normal working hours at the Division of Mangement and Development, Department for Social Insurance, 275 East Main Street, Frankfort, Kentucky.

MIKE ROBINSON, Deputy Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 2, 1986
FILED WITH LRC: April 8, 1986 at 10 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986 at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986 of their desire to appear and testify at the hearing. For further information, contact Hughes Wallace, General Counsel, Cabinet for Human Resources, 275 East Main Street, 4 West, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Roy Butler

(1) Type and number of entities affected: None. The rule incorporated formalizes policies currently governing the program.
(a) Direct and indirect costs or savings to those affected:
1. First year:
2. Continuing costs or savings:
3. Additional factors increasing or decreasing costs (note any effects upon competition):
(b) Reporting and paperwork requirements:
(2) Effects on the promulgating administrative body: None
(a) Direct and indirect costs or savings:
1. First year:
2. Continuing costs or savings:
(b) Additional factors increasing or decreasing costs:
(b) Reporting and paperwork requirements:
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments:

Tiering:
Was tiering applied? No. Not applicable to the Refugee Resettlement Program.

CABINET FOR HUMAN RESOURCES
Department for Social Insurance
Division of Management and Development
(Proposed Amendment)

904 KAR 3:035. Certification process.

RELATES TO: KRS 194.050
PURSUANT TO: KRS 194.050

NECESSITY AND FUNCTION: The Cabinet for Human Resources has responsibility to administer a Food Stamp Program as prescribed by the Food Stamp Act of 1977, as amended, and 7 CFR Part 270 through 280. KRS 194.050 provides that the secretary shall, by regulation, develop policies and operate programs concerned with the welfare of the citizens of the Commonwealth. This regulation sets forth the certification process used by the cabinet in the administration of the Food Stamp Program.

Section 1. Eligibility and Benefit Levels. Eligibility and benefit levels shall be determined by the cabinet by considering the households circumstances for the entire month(s) for which each household is certified. Procedures specified in 7 CFR Part 273 and any waivers thereto approved by the federal Food and Nutrition Service (FNS) shall be used to determine eligibility and calculate net income and benefit levels. The criteria set forth in this section shall be applicable to all households. In addition, certain households require special/additional certification procedures as specified in Section 5 of this
regulation.

Section 2. Certification Periods. The cabinet shall establish a definite period of time within which a household shall be eligible to receive benefits. At the expiration of each certification period entitlement to food stamp benefits ends. Further eligibility shall be established only upon a recertification based upon a newly completed application, interview, and verification. Certification periods for non-public assistance households shall be in accordance with those specified in 7 CFR Parts 273.2(k)(1)(ii)(B), 273.10(f)(3)(4)(5)(6), and 273.21(a)(3). Households in which all members are included in a PA grant shall be certified for a period of time which ensures, to the extent possible, that the recertification date and the PA reinvestigation date coincide.

Section 3. Certification Notices to Households. The cabinet shall provide applicants with one (1) of the following written notices as soon as a determination is made, but no later than thirty (30) days after the date of the initial application:
(1) Notice of eligibility.
(2) Notice of denial.
(3) Notice of pending status.

Section 4. Application for Recertification. The cabinet shall process applications for recertification in accordance with 7 CFR Part 273.10(g)(2), Part 273.14 and Part 273.21(q), unless specifically waived by FNS.

Section 5. Certification Process for Specific Households. The following households have circumstances that are substantially different from other households and therefore require special/additional certification procedures:
(1) Households with self-employed members shall have their cases processed in accordance with 7 CFR Part 273.11(a).
(2) Households with boarders shall have their case processed in accordance with 7 CFR Part 273.11(b).
(3) Households with excluded household members which have been disqualified from program participation due to intentional program violation, failure to provide a Social Security number, because they are ineligible aliens, or because they have not verified their citizenship or alien status prior to certification, shall have their case processed in accordance with 7 CFR Part 273.11(c).
(4) Households with non-household members shall be processed in accordance with 7 CFR Part 273.11(d).
(5) Residents of drug/alcoholic treatment and rehabilitation programs in a private, non-profit organization or a publicly operated community mental health center shall have their case processed in accordance with 7 CFR Part 273.11(e).
(6) Residents of group living arrangements who are blind or disabled who receive benefits under Title II or Title XVI of the Social Security Act shall have their case processed in accordance with 7 CFR Part 273.11(f), which allows residents to apply in their own behalf or through the use of an authorized/certified facility's authorized representative.
(7) Residents of shelters for battered women and children shall have their case processed in accordance with 7 CFR 273.11(g).
(8) Households consisting only of Supplemental Security Income (SSI) applicants or recipients shall have their case processed in accordance with 7 CFR 273.2(k).
(9) Households with a member who is on strike shall have their case processed in accordance with 7 CFR 273.11(g).
(10) Households requesting replacement allotments shall be processed in accordance with 7 CFR 273.11(i), 274.2(h) and 274.3(c).
(11) Student households or households containing a member(s) who is a student shall have their case processed in accordance with 7 CFR Part 273.5.
(12) Households containing a sponsored alien(s) shall have their case processed in accordance with 7 CFR Part 273.11(h).
(13) Households which are required to comply with mandatory monthly reporting criteria shall have their case processed and shall comply with reporting requirements in accordance with 7 CFR Part 273.21 and waivers thereto approved by FNS, as elected or opt-in.
(a) A two (2) month system shall be used whereby the issuance month is the second month following its corresponding budget month. This system includes two (2) or three (3) beginning months, the month of application and/or month of approval and the following month. Eligibility and benefits qualification shall be determined prospectively for the beginning months.
(b) For households reporting monthly, ongoing eligibility shall be determined by considering all factors of eligibility prospectively. However, any factor which causes ineligibility prospectively. After a period longer than one (1) month, shall be acted upon immediately. Households reporting less frequently than monthly, ongoing eligibility and benefits shall be determined prospectively.
(c) The amount of the PA grant which was issued in the base month shall be considered in the corresponding budget month.
(d) The recertification form shall serve as the report required for that month in which a household must be recertified.
(f) All households specified in 7 CFR Part 273.21(p)(2), as well as any others exempted by the appropriate federal agency, shall be excluded from mandatory monthly reporting.

Section 6. Reporting Changes. Certified households are required to report those changes in household circumstances specified in 7 CFR Part 273.12(a) within ten (10) days of the date the change becomes known to the household. An applying household shall report all changes related to its food stamp eligibility and benefits at the certification interview, or for changes occurring after the interview but prior to receipt of the notice of eligibility, within ten (10) days of the date of the notice. The cabinet shall act on reported changes in accordance with 7 CFR Part 273.12(c). The cabinet shall comply with other change reporting provisions outlined in 7 CFR Part 273.12. Households which are required to report monthly, shall not be required to submit any reports of changes other than the reports required under Section 5(13) of
this regulation.

[Section 7. Provisions contained in this regulation shall become effective January 16, 1985.]

MIKE ROBINSON, Deputy Commissioner  
E. AUSTIN, JR., Secretary  
APPROVED BY AGENCY: April 7, 1986  
FILED WITH LRC: April 15, 1986 at 11 a.m.

PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986, at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986, of their desire to appear and testify at the hearing: R. Hughes Walker, General Counsel, Office of General Counsel, Cabinet for Human Resources, 275 East Main Street, Frankfort, Kentucky 40621.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Roy Butler  
(1) Type and number of entities affected: The change to this regulation will allow food stamp participation by publicly operated community mental health centers, of which there are none in the state of Kentucky.

(a) Direct and indirect costs or savings to those affected:  
1. First year: None  
2. Continuing costs or savings: None  
3. Additional factors increasing or decreasing costs (note any effects upon competition): None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Minimal; this change merely incorporates federal material.

(a) Direct and indirect costs or savings: None  
1. First year: None  
2. Continuing costs or savings: None  
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: None

(3) Assessment of anticipated effect on state and local revenues: No significant impact on state or local revenues.

(4) Assessment of alternative methods; reasons why alternatives were rejected: Federal requirements do not allow for alternatives, so none considered.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

(a) Necessity of proposed regulation if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

Tiering:  
Was tiering applied? No. Federal requirements must be applied uniformly, statewide, therefore tiering was not necessary/possible.
REGULATORY IMPACT ANALYSIS

Agency Contact Person: Margaret Hockenstine

(1) Type and number of entities affected: All contractors providing meal services.
   (a) Direct and indirect costs or savings to those affected: None
   1. First year:
   2. Continuing costs or savings:
   3. Additional factors increasing or decreasing costs (note any effects upon competition):
   (b) Reporting and paperwork requirements: None
   (2) Effects on the promulgating administrative body: None
   (a) Direct and indirect costs or savings:
   1. First year:
   2. Continuing costs or savings:
   3. Additional factors increasing or decreasing costs:

PROPOSED REGULATIONS RECEIVED THROUGH APRIL 15

FINANCE AND ADMINISTRATION CABINET
Board of Pharmacy

201 KAR 1:067. Quality enhancement program.

RELATES TO: KRS 325.240
PURSUANT TO: KRS 325.240
NECESSITY AND FUNCTION: To promulgate administrative regulations of the State Board of Accountancy. This regulation pertains to the quality enhancement program.

Section 1. Definitions. (1) "Board" means the State Board of Accountancy of Kentucky.
   (2) "Office" means each place of business of a Kentucky practice unit which constitutes a separate geographical location where the practice unit engages in the practice of public accountancy.
   (3) "Issuer" means individuals, partnerships, professional service corporations, associations or other entities.
   (4) "Practice unit" means:
      (a) Each certified public accountant or public accountant as defined in KRS 325.220 engaged in the practice of public accountancy as sole proprietors;
      (b) All partnerships, professional service corporations, associations or other entities engaged in the practice of public accountancy; or
      (c) All out-of-state certified public accountants or firms of certified public accountants, issued or applying for a temporary permit pursuant to KRS 325.390(2) for the purpose of enabling such individuals or firms to perform public accounting services in Kentucky.
   (5) "Steering committee" means a committee of licensees appointed by the board pursuant to KRS 325.240 which is responsible for the conduct of the program subject to the approval of the board.

Section 2. (1) All offices shall be required to provide the board annually with legible copies of an audit, a review and a compilation as part of the annual renewal of their firm registration or individual permit to practice public accountancy under KRS 325.330.
   (2) Out-of-state practice units shall be required to provide the board with legible copies of an audit, a review and a compilation at the time of their application for renewal of the temporary permit and annually thereafter.
   (3) If a practice unit does not issue a particular level of report, a notice to that effect may be filed with the board and that practice unit will be exempted from submitting that level of report for that fiscal year renewal cycle. Exemptions granted under this subsection are valid for one (1) fiscal year.
   (4) The reports to be submitted are to be selected by the practice unit and confidential client information may be obscured or deleted from the reports prior to submission to the board, so long as it does not impair the readability of such reports.
   (5) Reports must be submitted with a transmittal form approved by the board.

Section 3. (1) Upon receipt of the reports by the board, file numbers will be assigned to the reports and the identity of the issuer will be obscured or deleted.
   (2) All reports, or a percentage thereof, shall receive an initial review by a program coordinator or other designee, who shall be a certified public accountant skilled in accounting and auditing.
   (3) The initial review and all subsequent reviews shall seek to determine adherence or lack thereof with applicable standards of reporting.
   (4) Issuers of reports deemed by the program coordinator to adhere to applicable standards of reporting following the initial review will be so notified. The remaining reports will be assigned to volunteer reviewers for desk reviews.
   (5) If a particular report submitted goes unreviewed for a fiscal year review cycle, a notice to that effect shall be mailed to the issuer.

Section 4. (1) A desk review will consist of an in-depth review of the report by a volunteer reviewer, who shall be skilled in accounting and auditing and who has participated in a training program sponsored by the board.
(2) Upon completion of the desk review, the volunteer reviewer shall submit his comments to the program coordinator and the steering committee for consideration.

(3) The steering committee shall review the comments for materiality and substance and determine what, if any, comments will be forwarded to the issuer.

(4) Following approval by the steering committee, letters of constructive comment shall be mailed to issuers regarding the perceived departures from standards detected in their reports. Such correspondence shall include authoritative citations to support the conclusions drawn.

(5) The issuer shall be requested to respond to the findings of the steering committee and provide rationale for areas of disagreement.

(6) The steering committee shall review responses from the issuers and determine whether or not the areas of disagreement warrant a field review of the supporting workpapers and documentation.

Section 5. (1) The steering committee, or a representative thereof, shall regularly report to the board on the status of the Quality Enhancement Program, and, where appropriate, recommend that field reviews of workpapers be performed, clarify discrepancies and disagreements in specific cases.

(2) The results of the field review of the workpapers and documentation shall be reported to the issuer and to the steering committee. The results shall be the basis for discussions concerning remedies to upgrade the reporting.

(3) Failure of a practice unit to submit the reports required by this regulation shall not prevent the renewal of an individual permit or a firm registration; but will result in the initiation of an investigation by the board under KRS 325.360.

JAMES T. AHLER, Executive Director
APPROVED BY AGENCY: March 21, 1986
FILED WITH LRC: April 2, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation will be held on May 28, 1986 at 10 a.m. in the Administrative Offices of the Board located at 332 W. Broadway, Suite 310, Louisville, Kentucky. However, this hearing will be canceled and persons notified of the following office in writing by May 23, 1986 of their desire to appear and testify at the hearing: James T. Ahler, Executive Director, Kentucky State Board of Accountancy, 332 W. Broadway, Suite 310, Louisville, Kentucky 40202.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: James T. Ahler
(1) Type and number of entities affected: Approximately 500 partnerships, professional service corporations and sole proprietorships which issue reports will be affected.

(2) Direct and indirect costs or savings: See (1)(a).

(3) Additional factors increasing or decreasing costs: The impact of this regulation will affect all practice units equally.

(4) Identification of any statutes, regulations or governmental policy which may be in conflict, overlapping or duplication: N/A

(5) Identification of any statute, administrative regulation or governmental policy which may be in conflict, overlapping or duplication: N/A

(6) Any additional information or comments: It is anticipated that the quality of work performed by practice units providing accounting and auditing services in this state will be enhanced by this program. The goal of the Quality Enhancement Program is to improve adherence to reporting standards through educational rather than punitive means.

Tiering: Was tiering applied? No. The impact of this regulation will affect all practice units equally.

TOURISM CABINET
Department of Fish and Wildlife Resources
301 KAR 4:050. Swan Lake Wildlife Management Area restrictions.

RELATES TO: KRS 150.010, 150.015, 150.025, 150.300, 150.600, 219.370
PURSUANT TO: KRS 13A.350, 150.025
NECESSITY AND FUNCTION: This regulation is necessary to prescribe appropriate use restrictions for the Swan Lake Wildlife Management Area. Its function is to prevent disturbance of wintering migratory waterfowl and to provide for the protection and maintenance of the property and its associated wildlife.

Section 1. Access. The Swan Lake Wildlife Management Area, except such portions as are designated wildlife observation areas, is closed to all public access, including by boat during periods of high water, from October 15 through

Volume 12, Number 11 - May 1, 1986
March 15. Only authorized Department or U.S. Fish and Wildlife Service employees on official business shall enter the premises during this period.

Section 2. Hunting Restrictions. (1) Wild turkey. Turkey hunting is prohibited.
   (2) Frogs. Firearms may not be used for hunting frogs.
   (3) Waterfowl hunting is prohibited on the body of water known as Swan Lake.

Section 3. Dog Training. Dog training is prohibited.

Section 4. Camping Restrictions. (1) Location. Camping is restricted to designated areas.
   (2) Length of time. No one shall camp on this management area for more than fourteen (14) consecutive days.
   (3) Pets. All pets in the camping area must be leashed.
   (4) Only primitive camping is permitted. Recreational vehicle camping is prohibited.

G. WENDELL COMBS, Secretary
DON R. MCCORMICK, Commissioner
CHARLES E. PALMER, JR., Chairman
APPROVED BY AGENCY: March 27, 1986
FILED WITH LRC: March 27, 1986 at 1 p.m.
PUBLIC HEARING SCHEDULED: A public hearing will be held on May 23, 1986 at 3 p.m. in the Commission Room of the Department of Fish and Wildlife Resources central offices at Frankfort, Kentucky. Those interested in attending this hearing shall contact: William D. Graves, Director, Wildlife Division, Department of Fish and Wildlife Resources, Arnold L. Mitchell Building, #1 Game Farm Road, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Don R. McCormick
(1) Type and number of entities affected: This regulation will affect an unknown number of waterfowl and dove hunters and other outdoor recreationists who will utilize the Swan Lake Wildlife Management Area.
   (a) Direct and indirect costs or savings to those affected: There are no direct or indirect costs or savings imposed by this regulation.
      1. First year: Same as above.
      2. Continuing costs or savings: Same as first year.
   (b) Reporting and paperwork requirements: None
   (2) Effects on the promulgating administrative body: Requires time and effort in developing, publishing, and enforcing the proposed regulation.
   (a) Direct and indirect costs or savings:
      Primary costs are associated with enforcing the regulation.
      1. First year: $5,000.
      2. Continuing costs or savings: Same as first year.
   (3) Additional factors increasing or decreasing costs (note any effects upon competition): None
   (b) Reporting and paperwork requirements: None
   (3) Assessment of anticipated effect on state and local revenues: A strongly positive effect upon state and local revenues may be anticipated as tourism and hunting opportunity are increased by the protection this regulation will provide toward enhancing waterfowl population growth in the area. The presence of large waterfowl concentrations will also cause adjacent land values to increase as the potential for commercial exploitation is realized.
   (4) Assessment of alternative methods; reasons why alternatives were rejected: This regulation is necessary to provide protection to the property and its associated wildlife population during the period in which planning is being developed. Once waterfowl movements and public use are better understood, alternative approaches may be taken. This regulation is an interim measure while alternative approaches are being considered. Alternatives have been rejected until better information is available.
   (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None known.
      (a) Necessity of proposed regulation if in conflict:
      (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
      (6) Any additional information or comments: None.

Tiering:
Was tiering applied? No. This type of regulation does not appear to be adaptable to the tiering process.

NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION CABINET
Department for Environmental Protection
Division of Air Pollution

401 KAR 50:042. Good engineering practice stack height.

RELATES TO: KRS 224.320, 224.330, 224.340
PURSUANT TO: KRS 224.033
NECESSITY AND FUNCTION: KRS 224.033 requires the Natural Resources and Environmental Protection Cabinet to prescribe regulations for the prevention, abatement, and control of air pollution. This regulation defines good engineering practice stack height which shall be used in establishing emissions limitations.

Section 1. Applicability. The provisions of this regulation shall apply to all stacks or all dispersion techniques commencing on or after the classification date defined below, or to those stack heights in existence, or dispersion techniques implemented before the classification date, where pollutants are being emitted from such stacks or using such techniques by stationary sources which were constructed or reconstructed or for which major modifications were carried out or after the classification date.

Section 2. Definitions. As used in this regulation, all terms not defined herein shall have the meaning given them in 401 KAR 50:010, 401 KAR 51:017, or 401 KAR 51:052.
(1) "Emission limitation" and "emission standard" mean requirements established by the cabinet or the U.S. EPA which limit the quantity, rate, or concentration of emissions of air pollutants on a continuous basis, including
any requirements which limit the level of opacity, prescribe equipment, set fuel specifications, or prescribe operation or maintenance procedures for a source to assure continuous emission reduction.

(2) "Stack" means any point in a source design at which exhaust air pollutants into the atmosphere, including a pipe or duct but not including flares.

(3) "A stack in existence" means that the owner or operator had:
   a. Begun, or caused to begin, a continuous program of physical on-site construction of a stack;
   b. Entered into binding agreements or contractual obligations, which could not be cancelled or modified without substantial loss to the owner or operator, to undertake a program of construction of a stack to be completed in a reasonable time.

5. Techniques which increase final exhaust gas plume rise by manipulating source process parameters, exhaust gas parameters, stack parameters, or combining exhaust gases from several existing stacks into one (1) stack; or other selective handling of exhaust gas streams so as to increase the exhaust gas plume rise where the resulting allowable emissions of sulfur dioxide from the facility do not exceed 5,000 tons per year.

(5) "Good engineering practice (GEP) stack height" means the greater of:
   a. Sixty-five (65) meters, measured from the ground-level elevation at the base of the stack;
   b. The following equation, provided that the cabinet or the U.S. EPA may require the use of a field study or fluid model to verify GEP stack height for the source:

   \[ H_{GEP} = H + 1.5L \]

   where:
   \[ H \] = GEP stack height measured from the ground-level elevation at the base of the stack.
   \[ H \] = height of nearby structure(s) measured from the ground-level elevation at the base of the stack.
   \[ L \] = lesser dimension (height or projected width) of nearby structure(s); or

   (c). The height demonstrated by a fluid model or field study to be adequate for the containment of any pollutant as a result of atmospheric downwash, wakes, or eddy effects created by the source itself, nearby structures, or nearby terrain features. The demonstration shall be conducted in accordance with guidelines published by the U.S. EPA in "Guideline for Use of Fluid Modeling to Determine Good Engineering Practice Stack Height," July 1981, U.S. EPA Office of Air Quality Planning and Standards, EPA-450/4-81-003; and "Guideline for Fluid Modeling of Atmospheric Diffusion," April 1981, U.S. EPA Environmental Sciences Research Laboratory, EPA-600/8-81-009, filed by reference in 401 KAR 50:015.

(6) "Nearby" as used in subsection (5) of this section is defined for a specific structure or terrain feature; and means:
   a. For purposes of applying the equations provided in subsection (5)(b) of this section, that distance up to five (5) times the lesser of the height or the width dimension of a structure, but not greater than eight-tenths (0.8) km (five-tenths (0.5) mile); and
   b. For conducting demonstrations under subsection (5)(c) of this section, not greater than eight-tenths (0.8) km (five-tenths (0.5) mile) except that the portion of a terrain feature may be considered to be nearby if it falls within a distance of up to ten (10) times
the maximum height (H_v) of the feature, not to exceed two (2) miles if such feature achieves a height (H_v) eight-tenths (0.8) km from the stack that is at least forty (40) percent of the GEP stack height determined by the equations provided in subsection (5)(b)2 of this section or twenty-six (26) meters, whichever is greater, as measured from the ground-level elevation at the base of the stack. The height of the structure or terrain feature is measured from the ground-level elevation at the base of the stack.

(7) "Excessive concentration" is defined for the purpose of determining good engineering practice stack height under subsection (5)(c) of this section and means:

(a) For sources seeking credit for stack height exceeding that established under subsection (5)(b) of this section, a maximum ground-level concentration due to emissions from a stack due in whole or in part to downwash, wakes, and eddy effects produced by nearby structures or terrain features which individually is at least forty (40) percent in excess of the maximum concentration experienced in the absence of such downwash, wakes, or eddy effects and which contributes to a total concentration due to emissions from all sources that is greater than an ambient air quality standard. For sources subject to 401 KAR 5:13-7, an excessive concentration alternatively means a maximum ground-level concentration due to emissions from a stack due in whole or in part to downwash, wakes, or eddy effects produced by nearby structures or terrain features which individually is at least forty (40) percent in excess of the maximum concentration experienced in the absence of such downwash, wakes, or eddy effects and greater than a prevention of significant deterioration increment. The allowable emission rate to be used in making demonstrations under subsection (5)(c) of this section shall be prescribed by the new source performance standard that is applicable to the source category unless the owner or operator demonstrates that this emission rate is infeasible. Where such demonstrations are approved by the cabinet, an alternative emission rate shall be established in consultation with the source owner or operator.

(b) For sources seeking credit after October 11, 1983, for increases in existing stack heights up to the heights established under subsection (5)(b) of this section; either:

1. A maximum ground-level concentration due in whole or in part to downwash, wakes, or eddy effects as provided in paragraph (a) of this subsection, except that the emission rate specified by any applicable State Implementation Plan (or, in the absence of such a limit, the actual emission rate) shall be used; or

2. The actual presence of a local nuisance caused by the existing stack as determined by the cabinet.

(c) For sources seeking credit after January 12, 1979, for a stack height determined under subsection (5)(b) of this section where the cabinet requires the use of a field study or fluid model to verify GEP stack height, for sources seeking stack height credit after November 9, 1984, based on the aerodynamic influence of cooling towers, and for sources seeking stack height credit after December 31, 1970, based on the aerodynamic influence of structures not adequately represented by the equations in subsection (5)(b) of this section, a maximum ground-level concentration due in whole or in part to downwash, wakes, or eddy effects that is at least forty (40) percent in excess of the maximum concentration experienced in the absence of such downwash, wakes, or eddy effects.

(8) "Classification date" means January 1, 1971.

Section 3. Emissions Limitations. No stack height in excess of GEP height, nor any other dispersion techniques, shall be used to determine the emissions limitations required for control of any air pollutant regulated by the cabinet or the U.S. EPA. This regulation does not in any manner restrict the actual physical stack height of any source.

Section 4. Public Notice. Before submitting to the U.S. EPA a proposal for revised emission limitations that is based on GEP stack height that exceeds the stack height allowed by Section 2(5)(a) or (b) of this regulation, the cabinet shall notify the public of the availability of the demonstration study and shall provide opportunity for public hearing on it.

CHARLOTTE E. BALDWIN, Secretary
APPROVED BY AGENCY: April 14, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing to receive comments on this proposed regulation will be conducted on May 27, 1986, at 10 a.m. (EDT) in Room 6-2 of the Capital Plaza Tower, Frankfort, Kentucky. Those persons interested in attending this meeting shall contact, in writing at least five days prior to the hearing, Mr. William S. Cakley, Manager, Program Development Branch, Division of Air Pollution Control, 18 Reilly Road, Fort Boone Plaza, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Roger B. McCann
(1) Type and number of entities affected: This proposed regulation is intended to affect two types of sources. The first type of sources affected is those sources with stacks taller than 65 meters (213 feet) constructed after December 31, 1970, whose emission limitations were established by modeling but where such modeling did not use Good Engineering Practice (GEP) stack height. The Division is currently reviewing all stacks taller than 65 meters and to date has identified one source which will need to remodel. The second type is those sources which took credit for other dispersion techniques commenced after December 31, 1970. The Division is currently reviewing sources with allowable emissions of sulfur dioxide greater than 5000 tons per year to determine if any are affected.

In the future, all modeling and dispersion techniques will be required to conform to the provisions of this regulation. Section 123 of the Clean Air Act requires the United States Environmental Protection Agency (U.S. EPA) to promulgate regulations to assure that the degree of emission limitation required for the control of any air pollutant is not affected by that portion of any stack height which exceeds GEP or by any other dispersion technique. Any source
would be subject to the requirements in the federal regulation, irrespective of its location. (a) Direct and indirect costs or savings to those affected: The cost of remodeling to determine allowable emission limits can be as high as $70,000 for computer modeling depending on the extent of remodeling necessary. Fluid modeling, if required by the U.S. EPA or selected by the source, could cost from $50,000 to $300,000. If the allowable emission limit is lowered, there is a potential for additional costs to comply with the lower limit. There should be no additional cost to future sources in Kentucky because GEP is now routinely used in the review process.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition): N/A

(b) Reporting and paperwork requirements: The source is required to submit an acceptable demonstration of intent in order to obtain credit for merging of stacks. If there is a change in allowable emission limits due to remodeling, the source will be required to apply for an amended permit.

(2) Effects on the promulgating administrative body: (a) Direct and indirect costs or savings: Funds have been requested from the U.S. EPA to defray the costs to the Division for implementing the stack height regulation.
1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: There is no additional reporting and paperwork required in this regulation.

(3) Assessment of anticipated effect on state and local revenues: None

(4) Assessment of alternative methods: reasons why alternatives were rejected: The Clean Air Act mandates the use of GEP stack height equations in the calculation of emission limits and no other alternatives to the requirements of this regulation are possible.

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

(a) Necessity of proposed regulation if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: The Clean Air Act requires the U.S. EPA to promulgate regulations which would specify the stack height that would be considered as Good Engineering Practice (GEP). This GEP stack height would be the height used in modeling a source to determine the maximum allowable emission limitation for that source. The purpose was to limit emissions from sources that, because of their tall stacks (in excess of the GEP stack height), could otherwise emit pollutants at a much higher rate without causing violations of any national ambient air quality standards (NAAQS) at ground level in their vicinity. These higher emissions would result in additional undesirable atmospheric pollutant loadings with resultant adverse environmental effects.

This regulation is similar to the federal requirements in 40 CFR 51.1, 51.12, and 51.18 as amended by U.S. EPA in the Federal Register of July 8, 1985 (50 FR 27906), and applies to stacks constructed after December 31, 1970. The GEP stack height for stacks constructed between January 1, 1971, and January 12, 1979, has been set at the traditional good engineering height of two and one-half times the height of the nearest structure. For stacks constructed after January 12, 1979, the GEP stack height equation has been refined to include the height or projected width, whichever is less, of nearby structure(s).

The regulation on the prevention of significant deterioration (PSD) 401 KAR 51:017, requires the use of GEP stack heights which would be calculated by the equations in this regulation.

The regulation does not affect the actual physical stack height at a source.

Tiering:

Was tiering applied? Yes. The regulation does not apply to those stack heights and dispersion techniques in existence before January 1, 1971, unless the pollutants are being emitted from such stacks or using such dispersion techniques by sources which were constructed, or reconstructed, or for which major modifications were carried out on or after this date. Further, stacks with heights less than 65 meters are not affected by the definition of GEP stack height, although they could still be affected by the definition of dispersion techniques. The equations for GEP stack heights are different for those stacks constructed between January 1, 1971, and January 12, 1979, and those constructed after January 12, 1979. Techniques which increase final exhaust gas plume rise by manipulating source process parameters, exhaust gas parameters, stack parameters, or combining exhaust gases from several existing stacks into one stack, or other selective handling of exhaust gas streams so as to increase the exhaust gas plume rise where the resulting allowable emissions of sulfur dioxide from the facility do not exceed 5,000 tons per year are exempt.

CORRECTIONS CABINET


RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Kentucky State Reformatory Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.
KSP 17-00-07  Inmate Personal Property
KSP 18-00-01 Special Management Inmates - Unit Classification
KSP 18-00-04 Returns from Other Institutions
KSP 18-00-05 Transfer of Residents to Kentucky Correctional Psychiatric Center
KSP 18-00-06 Classification
KSP 18-00-07 Special Notice Form
KSP 19-00-01 Inmate Work Incentives
KSP 19-00-02 On-the-Job Training Program
KSP 19-00-03 Safety Inspections of Inmate Work Assignment Locations
KSP 20-00-01 Vocational School Referral and Release Process
KSP 20-00-03 Academic School Programs
KSP 20-00-04 Criteria for Participation in Jefferson Community College Program
KSP 20-00-08 Integration of Vocational and Academic Education Programs
KSP 21-00-01 Legal Aide Office and Law Library Services and Supervision
KSP 21-00-02 Inmate Library Services
KSP 21-00-03 Library Services for Unit D
KSP 22-00-03 Inmate Organizations
KSP 23-00-02 Chaplain's Responsibility and Inmate Access to Religious Representatives
KSP 23-00-03 Religious Programming
KSP 25-00-01 Discharge of Residents to Hospital or Nursing Home
KSP 25-00-02 Violations of Law or Code of Conduct by Inmates on Parole Furlough
KSP 25-00-03 Pre-Parole Progress Report

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH LRC: April 11, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 22, 1986 at 9 a.m. at the auditorium in the State Office Building. Those interested in attending this hearing shall notify in writing: Barbara Jones, Office of General Counsel, 5th Floor, State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS
Agency Contact Person: Barbara W. Jones
(1) Type and number of entities affected: 506 employees of the Kentucky State Reformatory, 1,445 inmates, and all visitors to state correctional institutions.
(2) Direct and indirect or savings to those affected:
   1. First year: None
   2. Continuing costs or savings: None
   3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(3) Effects on the promulgating administrative body:
   (a) Direct and indirect costs or savings: None
   1. First year: None. All of the costs involved with the implementation of the regulation are included in the operational budget.
   2. Continuing costs or savings: Same as (2)(a).1.
   3. Additional factors increasing or decreasing costs: Same as (2)(a).1.
   (b) Reporting and paperwork requirements: None
(4) Assessment of anticipated effect on state and local revenues: None
(5) Assessment of alternative methods: reasons why alternatives were rejected: None
(6) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(c) Any additional information or comments:

CORRECTIONS CABINET
RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.500, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.500, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Kentucky State Penitentiary Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

KSP 000000-06 Administrative Regulations
KSP 010000-04 Public Information and Media Communication
KSP 020000-01 General Guidelines for KSP Employees
KSP 020000-02 Service Regulations, Attendance, Hours of Work, Accumulation and Use of Leave
KSP 020000-03 Work Planning and Performance Review (WPFR)
KSP 020000-04 Employee Disciplinary Procedure
KSP 020000-05 Proper Dress for Uniformed and Non-Uniformed Personnel
KSP 020000-06 Employee Grievance Procedure
KSP 020000-07 Personnel Records and Advertisements
KSP 020000-09 Maintenance, Confidentiality, and Informational Challenge of Material Contained in Personnel Files
KSP 020000-10 Overtime Policy
KSP 020000-15 Legal Assistance
KSP 020000-20 Equal Employment Opportunity Complaints
KSP 020000-23 Recruitment and Employment of Ex-Offenders
KSP 020000-24 Educational Assistance Program
KSP 020000-29 Promotional Opportunity Announcement Program
KSP 030000-01 Inventory Records and Control
KSP 030000-01 Regulation of Purchase of Supplies and Equipment
KSP 030000-05 Inmate Personal Funds

Volume 12, Number 11 - May 1, 1986
KSP 030000-06  Inmate Commissary Program
KSP 040000-02  Inmate Records Section
KSP 040000-08  Inmate Equal Opportunity Policy
KSP 050000-14  Searches of Inmates, Visitors, Staff, Vehicles, Cells and Area
Shakedown and Preservation of Evidence
KSP 060000-01  Special Security Unit
KSP 060000-02  Operational Procedures for Disciplinary Segregation, Administrative Segregation, Administrative Control and Behavioral Control Units
KSP 060000-04  Protective Custody Unit
KSP 060000-11  Criteria for Disciplinary Segregation and Incentive Time Reduction Program
KSP 060000-12  Maximum Protective Custody
KSP 070000-01  Hospital Services
KSP 070000-02  Sick Call
KSP 070000-03  Health Evaluations
KSP 070000-04  Consultations
KSP 070000-05  Emergency Medical Procedures
KSP 070000-13  Pharmacy Procedures
KSP 070000-14  Medical Records
KSP 070000-16  Psychiatric and Psychological Services
KSP 070000-17  Dental Services for Special Management Units
KSP 070000-19  Optometric Services
KSP 070000-20  Menu Preparation and Planning
KSP 070000-24  Food Service, General Sanitation, Safety, and Protection Standards and Requirements
KSP 070000-25  Food Service Inspections
KSP 070000-30  Therapeutic Diets
KSP 050000-01  Inmate Work Programs
KSP 090000-03  Correctional Industries
KSP 100000-02  Visiting Program
KSP 100000-03  Disposition of Unauthorized Property
KSP 100000-04  Inmate Grooming and Dress Code
KSP 100000-05  Procedures for Providing Clothing, Linens and Other Personal Items
KSP 100000-06  Mail
KSP 100000-07  Inmate Telephone Access
KSP 100000-08  Behavioral Counseling Record
KSP 100000-09  Due Process/Disciplinary Procedures
KSP 100000-11  Authorized and Unauthorized Property for Inmates
KSP 100000-14  Property Room: Clothing Storage and Inventory
KSP 100000-15  Uniform Standards for Fire Safety, Sanitation and Security of All Cells
KSP 100000-18  Inmate Grievance Committee Hearings
KSP 100000-20  Legal Services Program
KSP 100000-21  Photocopies for Non-Indigent Inmates with Special Court Deadlines
KSP 100000-22  Special Management Unit Legal Services Program
KSP 100000-24  Resident Legal Services Office Library
KSP 100000-25  WKFC Resident Access to Kentucky State Penitentiary Legal Library
KSP 110000-03  Governor's Meritorious Good Time Award Committee
KSP 110000-04  Pre-Parole Progress Report
KSP 110000-06  General Guidelines of the Classification Committee

KSP 110000-07  Statutory Good Time Restoration
KSP 110000-08  Award of Meritorious Good Time
KSP 110000-10  Special Needs Inmates
KSP 110000-11  Classification Committee - Transfer Requests
KSP 110000-12  Classification Committee - Inmate Work Assignments
KSP 110000-13  Classification Document
KSP 110000-14  Vocational School Placement
KSP 110000-15  Transfers to Kentucky Correctional Psychiatric Center (K CPC)
KSP 110000-16  Consideration of Further Treatment Requirements for Inmates Prior to Release
KSP 110000-19  Custody/Security Guidelines
KSP 120000-04  Academic Education
KSP 120000-07  Community Center Program
KSP 120000-08  Inmate Furloughs
KSP 120000-11  Religious Services - Staffing
KSP 120000-18  Religious Services - Religious Programming
KSP 120000-20  Marriage of Inmates
KSP 120000-24  Muslim Services
KSP 120000-31  Extended Furloughs
KSP 120000-32  Discharge of Inmates by Shock Probation
KSP 130000-10  Execution Plan

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH LRC: April 11, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 22, 1986 at 9 a.m. at the auditorium in the State Office Building. Those interested in attending this hearing shall notify in writing: Barbara Jones, Office of General Counsel, 5th Floor, State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones

(1) Type and number of entities affected: 300 employees of the Kentucky State Penitentiary, 787 inmates, and all visitors to state correctional institutions.
(a) Direct and indirect costs or savings to those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings:
1. First year: None. All of the costs involved with the implementation of the regulation are included in the operational budget.
2. Continuing costs or savings: Same as (2)(a).
3. Additional factors increasing or decreasing costs: Same as (2)(a).
(b) Reporting and paperwork requirements: Monthly submission of policy revisions.
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: None
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions?

(6) Any additional information or comments: None

CORRECTIONS CABINET

501 KAR 6:050. Luther Luckett Correctional Complex.

RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Luther Luckett Correctional Complex Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

LLCC 01-08-01 Institutional Legal Assistance
LLCC 01-09-01 Public Information and News Media Access
LLCC 01-12-01 Duty Officer Responsibilities
LLCC 02-01-02 Fiscal Management: Accounting Procedures
LLCC 02-01-03 Fiscal Management: Agency Funds
LLCC 02-01-04 Fiscal Management: Insurance
LLCC 02-03-01 Fiscal Management: Audits
LLCC 02-06-01 Property Inventory
LLCC 03-01-01 General Guidelines for LLCC Employees
LLCC 03-01-02 Service Regulations, Attendance Accumulation and Use of Leave
LLCC 03-02-01 Proper Dress for Uniformed Person
LLCC 03-03-01 Employee Grievance Mechanism
LLCC 03-04-01 Employee Records
LLCC 03-05-01 Personnel Registers
LLCC 03-06-01 Work Planning: Employee Evaluations and Evaluation Control Shift Transfers
LLCC 03-08-01 Rotation of Correctional Officers Between Central Security and Unit Management Staff
LLCC 03-09-01 Promotion Board
LLCC 03-10-01 Affirmative Action: EEO
LLCC 03-12-01 Confidentiality of Information Rules and Services of Consultants, Contract Personnel and Volunteers
LLCC 08-01-01 Offender Records
LLCC 08-04-01 Storage of Expunged Records
LLCC 10-03-09 Duties and Responsibilities of Building 1 and 2 Officer
LLCC 11-03-01 LLCC Population Categories
LLCC 11-07-01 Adjustment Procedures for Minor Rule Violations

LLCC 11-09-01 Rules and Regulations of the Unit Areas
LLCC 11-13-01 Inmate Dress and Use of Access Areas
LLCC 11-15-01 Post-Parole Furloughs
LLCC 11-16-01 Restoration of Forfeited Good Time
LLCC 11-18-02 Use of Monitor Telephone
LLCC 11-19-01 Unit Shake-downs/Control of Excess Property
LLCC 11-20-01 Program Services for "Special Needs" Mentally Ill Inmates
LLCC 12-01-01 Special Management Inmates
LLCC 12-04-01 Guidelines for (7E) PC Unit/General Living Conditions
LLCC 13-04-01 Food Service: Meals
LLCC 13-04-02 Food Service: Menu, Nutrition and Special Diets
LLCC 13-05-02 Medical Screening of Food Handlers
LLCC 13-06-01 Food Service: Inspections and Sanitation
LLCC 13-07-01 Food Service: Purchasing, Storage and Farm Products
LLCC 14-01-01 Sanitation, Living Condition Standards, and Clothing Issue
LLCC 14-05-01 Institutional Inspections
LLCC 15-01-01 Health Maintenance Services; Sick Call and Pill Call
LLCC 15-02-01 Mental Health/Psycho logical Services
LLCC 15-03-01 Pharmacy
LLCC 15-03-02 Use of Psychotropic Medications
LLCC 15-04-01 Dental Services
LLCC 15-05-02 Licensure and Training Standards
LLCC 15-06-02 Specialized Health Services
LLCC 15-06-03 Emergency Medical/Dental Care Services
LLCC 15-06-04 First Aid/CPR Training Program
LLCC 15-06-05 Suicide Prevention and Intervention Program
LLCC 15-07-01 Health Records
LLCC 15-08-01 Special Diets
LLCC 15-12-01 Special Needs Unit
LLCC 15-14-01 Informed Consent
LLCC 15-15-01 Medical Restraints
LLCC 15-16-01 Health Education/Special Health Programs
LLCC 16-01-01 Inmate Rights and Responsibilities
LLCC 16-02-01 Inmate Grievance Procedure
LLCC 16-03-01 Inmate Legal Services
LLCC 17-01-01 Due Process/Disciplinary Procedure
LLCC 18-01-01 Inmate Correspondence
LLCC 18-02-01 Inmate Visiting
LLCC 18-02-03 Extended Visit and Furloughs
LLCC 18-02-04 Meritorious Visits
LLCC 18-03-03 Inmate Visiting (OSU/ASU)
LLCC 20-01-01 Personal Property Control
LLCC 20-02-01 Authorized Inmate Personal Property
LLCC 20-03-01 Unauthorized Items
LLCC 20-04-02 Inmate Canteen
LLCC 20-05-01 Inmate Control of Personal Funds
LLCC 20-05-02 Storage and Disposition of Monies Received on Weekends, Holidays, and Between 4 p.m. and 8 a.m. Weekdays
LLCC 20-06-01 Procedure for Sending Appliances to Outside Dealers for Repair
LLCC 21-02-01 Classification/Security Levels
LLCC 21-03-01 Classification Process
LLCC 22-01-01 OJT/Job Assignments
LLCC 23-01-01 Academic School
LLCC 26-01-01 Religious Services
LLCC 28-01-01 Privileged Trips
LLCC 28-03-01 Temporary Release/Community Center Release
LLCC 28-04-01 Pre-Parole Progress Report

Volume 12, Number 11 - May 1, 1986
REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones

1. Type and number of entities affected: 206 employees of the Luther Luckett Correctional Complex, 580 inmates, and all visitors to state correctional institutions.

(a) Direct and indirect costs or savings to those affected:
   1. First year: None
   2. Continuing costs or savings: None
   3. Additional factors increasing or decreasing costs (note any effects upon competition): None
   (b) Reporting and paperwork requirements: None
   (2) Effects on the promulgating administrative body:
   (a) Direct and indirect costs or savings:
       1. First year: None. All of the costs involved with the implementation of the regulation are included in the operational budget.
       2. Continuing costs or savings: Same as (2)(a).
       3. Additional factors increasing or decreasing costs: Same as (2)(a).
   (b) Reporting and paperwork requirements: Monthly submission of policy revisions.
   (3) Assessment of anticipated effect on state and local revenues: None
   (4) Assessment of alternative methods: reasons why alternatives were rejected: None
   (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
   (a) Necessity of proposed regulation if in conflict:
   (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
   (5) Any additional information or comments: None

Tiering:
Was tiering applied? No. All policies are administered in a uniform manner.

CORRECTIONS CABINET


RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet, the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Northpoint Training Center Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

NCT 01-05-01Extraordinary Occurrence Reports
NCT 01-10-01Legal Assistance for Staff
NCT 01-11-01Political Activities of Merit Employees
NCT 01-15-01Establishment of the Warden as Chief Executive Officer
NCT 01-17-01Relationships with Public, Media and Other Agencies
NCT 02-01-02Fiscal Management: Accounting Procedures
NCT 02-01-03Fiscal Management: Checks
NCT 02-01-04Fiscal Management: Insurance
NCT 02-03-01Fiscal Management: Audits
NCT 02-08-01Inmate Canteen
NCT 02-12-01Inmate Personal Accounts
NCT 03-01-01Employee Dress Code
NCT 03-02-01General Guidelines for NCT Employees
NCT 03-03-01Staff Members Suspected of Being Under the Influence of Intoxicants
NCT 03-04-01Shift Assignments and Transfer
NCT 03-05-01Work Planning and Performance Review
NCT 03-06-01Worker’s Compensation
NCT 03-07-01System Registers and Placement of Advertisements
NCT 03-08-02Employee Identification Cards
NCT 03-09-01Maintenance, Confidentiality and Challenge of Information Contained in Employee Personnel File
NCT 03-10-01Employment of Ex-Offenders
NCT 03-13-01Travel Reimbursement for Official Business and Professional Meetings
NCT 03-14-01Selection, Retention, Promotion, and Lateral Transfer of Merit System Employees
NCT 03-14-02Promotional Opportunities
NCT 03-15-01Time and Attendance; Accumulation and Use of Accrued Time
NCT 03-15-02Sick Leave Abuse
NCT 03-15-03Inclination Weather and Emergency Conditions
NCT 03-16-01EOO - Affirmative Action
NCT 03-17-01Employee Grievance Procedure
NCT 03-17-02Review Committee
NCT 03-18-01Educational Assistance Program
NCT 03-19-01Holding of Second Jobs by Employees
NCT 04-01-01Training and Staff Development
NCT 06-01-01Orders
NCT 06-01-02Offends - Release of Information
NCT 06-01-03Taking Offender Record Folders onto the Yard
NCT 08-05-01Duties of Fire Safety Officer
NCT 08-05-02Fire Procedures
NCT 08-05-03Fire Prevention
NCT 08-05-04Storage of Flammables and Dangerous Chemicals and Their Use
NCT 08-05-05Control of Flammables, Toxic, Caustic, and Other Hazardous Chemicals and Janitorial Supplies
NCT 10-01-01Special Management Inmates
NCT 10-01-02Legal Aid Visits for Special Management Inmates
NCT 11-03-01Food Services: General Guidelines
NCT 11-04-01Food Service: Meals
NCT 11-04-02Menu, Nutrition and Special Diets
NTC 11-05-02 Health Standards/Regulations for Food Service Employees
NTC 11-05-01 Inspections and Sanitation Products
NTC 11-07-01 Purchasing, Storage and Farm Products
NTC 12-01-01 Institutional Inspections
NTC 12-02-01 Personal Hygiene for Inmates: Clothing and Linens
NTC 12-02-02 Personal Hygiene Items
NTC 13-01-01 Emergency Medical Care Plan
NTC 13-01-02 Emergency and Specialized Health Services
NTC 13-02-01 Administration and Authority for Health Services
NTC 13-03-01 Sick Call and Pill Call
NTC 13-04-01 Pharmacy
NTC 13-05-01 Dental Services
NTC 13-06-01 Licensure and Training Standards
NTC 13-07-01 Provisions for Health Care Delivery
NTC 13-08-01 Medical and Dental Records
NTC 13-09-01 Special Diets
NTC 13-11-01 Inmate Health Screening and Evaluation
NTC 13-12-01 Disabled and Infirm Inmates
NTC 13-13-01 Medical Alert System
NTC 13-14-01 Management of Chemically Dependent Inmates
NTC 13-15-01 Health Education for Inmates
NTC 13-16-01 Continuity of Health Care
NTC 13-17-01 Inmates Assigned to Health Services Psychological Services
NTC 13-19-01 Inmates Assigned to Health Services Mental Health
NTC 13-19-02 Mentally Retarded Inmates
NTC 13-19-03 Suicide Prevention and Intervention Program
NTC 14-01-01 Legal Services Program
NTC 14-02-01 Inmate Grievance Procedure
NTC 14-03-01 Inmate Rights and Responsibilities
NTC 15-01-01 Restoration of Forfeited Good Time
NTC 15-02-01 Due Process/Disciplinary Procedures
NTC 15-02-02 Extra Duty Assignments
NTC 15-03-01 Rules for Inmates Assigned to Outside Detail
NTC 15-03-02 Rules and Regulations for Dormitories
NTC 15-04-01 Inmate Identification
NTC 15-04-01 Mail Regulations
NTC 15-06-02 Visiting
NTC 16-02-02 Extended and Special Visits
NTC 16-02-03 Honor Dorm Visiting
NTC 16-03-01 Inmate Furloughs
NTC 16-05-01 Telephone Use and Control
NTC 17-01-01 Personal Property Control
NTC 17-01-02 Authorized Inmate Personal Property
NTC 17-01-03 Unauthorized Inmate Property
NTC 17-01-04 Disposition of Unauthorized Property
NTC 17-03-01 Assessment/Orientation
NTC 18-01-01 Pre-Parole Progress Report
NTC 18-01-02 Parole Eligibility Dates
NTC 18-02-01 Classification
NTC 18-03-01 Special Notice Form
NTC 18-05-01 Transfers to Other Institutions
NTC 18-05-02 Transfer of Inmates to Kentucky Correctional Psychiatric Center
NTC 19-01-01 Inmate Work Program
NTC 20-01-01 Academic School Program
NTC 21-01-01 Library Services
NTC 22-03-01 Conducting Inmate Organizational Meetings and Programs
NTC 23-01-01 Religious Services
NTC 23-03-01 Marriage of Inmates
NTC 24-04-01 Honor Status
NTC 24-05-01 Unit Management
NTC 25-01-01 Release Preparation Program

NTC 25-01-02 Temporary Release/Community Center Release
NTC 25-02-01 Funeral Trips and Bedside Visits
NTC 25-03-01 Inmate Release Procedure
NTC 26-01-02 Certification of Volunteers and Guests

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH LRC: April 11, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 22, 1986 at 9 a.m. at the auditorium in the State Office Building. Those interested in attending this hearing shall notify in writing Barbara Jones, Office of General Counsel, 5th Floor, State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones
(1) Type and number of entities affected: 219 employees of the Northpoint Training Center, 658 inmates, and all visitors to state correctional institutions.
(a) Direct and indirect costs or savings to those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings: None
(b) Reporting and paperwork requirements: None
(c) Effects on the budget: None
3. Additional factors increasing or decreasing costs: Same as (2)(a).
(b) Reporting and paperwork requirements: None
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods: None
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(c) Any additional information or comments: None

Tiering:
Was tiering applied? No. All policies are administered in a uniform manner.

CORRECTIONS CABINET


RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations
necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Kentucky Correctional Institution for Women Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

KCIW 01-06-01 Legal Assistance for Corrections Staff
KCIW 01-08-01 News Media Access
KCIW 02-01-01 Comprehensive Insurance Coverage
KCIW 02-02-01 Fiscal Management: Audits
KCIW 02-02-03 Fiscal Management: Checks
KCIW 02-02-04 Institution Purchasing Procedures
KCIW 02-03-01 Inventory Control of Non-Expendable Personal Property
KCIW 02-03-03 Criteria for Selection of Bidders and Vendors
KCIW 02-04-01 Accounting Procedures
KCIW 02-05-01 Innate Canteen/Staff Canteen
KCIW 02-07-01 Release of C.E.T.A. Money Earned
KCIW 03-01-01 Travel Expense Reimbursement
KCIW 03-02-01 General Orders for Staff
KCIW 03-02-02 Inclement Weather and Emergency Conditions
KCIW 03-03-01 Employee Grievance Procedure
KCIW 03-06-01 Employee Personnel File
KCIW 03-06-01 Affirmative Action and the Equal Employment Opportunity Complaint Procedure
KCIW 03-08-01 Employee Performance Evaluations
KCIW 03-09-01 Payroll and Personnel Manning Records
KCIW 03-10-01 Promotion Committee
KCIW 03-11-01 Personnel Registers
KCIW 03-12-01 Criminal History Checks on all Personnel and the Recruitment and Employment of Ex-Offenders
KCIW 06-01-01 Inmate Records
KCIW 06-01-02 Transfers to Community Centers and the Minimum Security Unit
KCIW 06-01-03 Storage of Expunged Records
KCIW 10-01-01 Special Management Unit General Operation and Regulations
KCIW 10-01-02 Special Management Unit Programs, Placement and Review
KCIW 11-01-01 Food Service Operation Inspections
KCIW 11-01-01 General Guidelines for Food Service Operations Manager
KCIW 11-01-02 General Guidelines for Food Service Workers
KCIW 11-04-01 Health Standards, Regulations for Food Service Workers
KCIW 12-01-01 Control of Pests and Vermin
KCIW 12-02-01 Laundry Facilities/Clothing Issuance
KCIW 12-02-03 Donated Items
KCIW 12-04-01 Sanitation and General Living Conditions
KCIW 13-01-01 Provision of Medical and Dental Care
KCIW 13-01-02 Preliminary Health Screening and Appraisal
KCIW 13-01-03 Use of Pharmaceutical Products
KCIW 13-03-01 Emergency Care
KCIW 13-03-02 Infirmary Care and Outside Services
KCIW 13-03-03 Outside Hospital Security
KCIW 13-04-01 Medical Alert System
KCIW 13-04-02 Psychiatric/Psychological Services
KCIW 13-06-01 Informed Consent
KCIW 13-07-01 Detoxification and Alcohol or Chemical Dependency Guidelines
KCIW 13-08-01 Medical Exams for New Employees
KCIW 14-01-02 Inmate Rights
KCIW 14-02-01 Access to Attorneys and Designated Counsel Substitutes
KCIW 14-03-01 Inmates Are Not Subject to Discrimination Based on Race, Religion, National Origin, Sex, Handicap, or Political Beliefs
KCIW 14-04-01 Inmate Grievance Procedure
KCIW 15-01-01 Offenses and Penalties
KCIW 15-01-02 Adjustment Committee Procedures and Programs
KCIW 15-03-01 Inmate Rule Book
KCIW 15-04-01 Incentive Levels System
KCIW 16-01-01 Inmate Correspondence
KCIW 16-01-02 Inmate Mail Distribution
KCIW 16-03-01 Staff Mail
KCIW 16-02-01 Inmate Access to Telephone
KCIW 16-02-02 Intra-Institution Phone Calls
KCIW 16-03-01 Inmate Visiting Regulations
KCIW 16-03-02 Unauthorized Items for Picnic Lunches, Food Packages and Regular Packages
KCIW 16-04-01 Inmate Indigent Fund
KCIW 16-05-01 Vendor Packages, Appliance Orders and Drug Store Orders
KCIW 17-01-01 Assessment Center Operation and Reception Programs
KCIW 17-01-02 Assessment/Classification Center Operations, Rules and Regulations
KCIW 17-01-03 Assessment and Classification Unit Property Guidelines
KCIW 17-02-01 Identification Department Admissions
KCIW 17-03-01 Notifying Inmates of Admission and Procedures for Mail and Visiting
KCIW 17-05-01 Inmate Personal Property
KCIW 18-01-02 Institutional Housing Assignments
KCIW 18-02-01 Classification Procedures
KCIW 18-05-01 Special Needs Inmates
KCIW 18-06-01 Institutional Status Codes
KCIW 19-01-01 Inmate Work/Program Assignments
KCIW 19-03-01 Landscape and Maintenance Work Details
KCIW 20-01-01 Education Programs
KCIW 20-01-03 Vocational Education: Curriculum Flexible Schedule, Up-grade Programs and Release Preparation Program
KCIW 20-01-04 Entry - Exit Vocational School
KCIW 20-01-05 Vocational Programs: Approved, Assessed and Contain Guidelines for Vocational Records
KCIW 20-01-06 Vocational Education: Staffing Patterns/Requirements
KCIW 20-01-07 Vocational Counselor
KCIW 20-01-08 Vocational Education: Community Resources and the Integration with Academic Progress
KCIW 20-01-09 Vocational Education: Support Equipment
KCIW 20-01-10 Control of Flammable, Hazardous, Toxic and Caustic Materials in the Vocational Area
KCIW 22-01-04 Inmate Club Activities
KC IW 23-01-01 Religious Services
KC IW 25-01-01 Pre-Parole Progress Report
KC IW 25-02-01 Temporary Release/Community Center
KC IW 25-02-02 Furloughs
KC IW 25-03-01 Escort Leave into the Community

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH AGENCY: April 11, 1986 at 3 p.m.
PUBLGIC HEARING SCHEDULED: A public hearing on
this regulation has been scheduled for May 22, 
1986 at 9 a.m. at the auditorium in the State
Office Building. Those interested in attending
this hearing shall notify in writing: Barbara
Jones, Office of General Counsel, 5th Floor,
State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones
(1) Type and number of entities affected: 77
employees of the Kentucky Correctional
Institution for Women, 161 inmates, and all
visitors to state correctional institutions. 
(a) Direct and indirect costs or savings to
those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing
costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative
body:
(a) Direct and indirect costs or savings:
1. First year: None. All of the costs involved
with the implementation of the regulation are
included in the operational budget.
2. Continuing costs or savings: Same as
(2)(a).
3. Additional factors increasing or decreasing
costs: Same as (2)(a).
(b) Reporting and paperwork requirements:
Monthly submission of policy revisions.
(3) Assessment of anticipated effect on state
and local revenues: None
(4) Assessment of alternative methods; reasons
why alternatives were rejected: None
(5) Identify any statute, administrative
regulation or government policy which may be in
conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in
conflict:
(b) If in conflict, was effort made to
harmonize the proposed administrative regulation
with conflicting provisions:
6. Any additional information or comments:
None

Tiering:
Was tiering applied? No. All policies are
administered in a uniform manner.

CORRECTIONS CABINET

501 KAR 6:000. Corrections Cabinet Manuals.

RELATES TO: KRS Chapters 196, 197, 439
Pursuant TO: KRS 196.035, 197.020, 439.470, 
439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 
439.470, 439.590, and 439.640 authorize the
secretary to adopt, amend or rescind regulations
necessary and suitable for the proper
administration of the cabinet or any division
therein. These regulations are in conformity
with those provisions.

Section 1. Pursuant to the authority vested in
the Corrections Cabinet the following policies
and procedures are incorporated by reference on
April 14, 1986 and hereinafter should be
referred to as Corrections Cabinet Manuals.
Copies of the procedures may be obtained from
the Office of the General Counsel, Corrections
Cabinet, State Office Building, Frankfort,
Kentucky 40601.

Offender Records Manual – None.
Stock Procedure Manual – None.
Food Services Manual – None.
Classification Manual – None.

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH AGENCY: April 11, 1986 at 3 p.m.
PUBLGIC HEARING SCHEDULED: A public hearing on
this regulation has been scheduled for May 22, 
1986 at 9 a.m. at the auditorium in the State
Office Building. Those interested in attending
this hearing shall notify in writing: Barbara
Jones, Office of General Counsel, 5th Floor,
State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones
(1) Type and number of entities affected: 2,087 employees of the Corrections Cabinet,
4,724 inmates, 3,386 parolees, 5,256
probationers, and all visitors to state
 correctional institutions.
(a) Direct and indirect costs or savings to
those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing
costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative
body:
(a) Direct and indirect costs or savings:
1. First year: None. All of the costs involved
with the implementation of the regulation are
included in the operational budget.
2. Continuing costs or savings: Same as
(2)(a).
3. Additional factors increasing or decreasing
costs: Same as (2)(a).
(b) Reporting and paperwork requirements:
Monthly submission of policy revisions.
(3) Assessment of anticipated effect on state
and local revenues: None
(4) Assessment of alternative methods; reasons
why alternatives were rejected: None
(5) Identify any statute, administrative
regulation or government policy which may be in
conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in
conflict:
(b) If in conflict, was effort made to
harmonize the proposed administrative regulation
with conflicting provisions:
6. Any additional information or comments:
None

Tiering:
Was tiering applied? No. All policies are
administered in a uniform manner.
CORRECTIONS CABINET

501 KAR 6:090. Frankfort Career Development Center.

RELATES TO: KRS Chapters 196, 197, 439
PURSUANT TO: KRS 196.035, 197.020, 439.470, 439.590, 439.640
NECESSITY AND FUNCTION: KRS 196.035, 197.020, 439.470, 439.590, and 439.640 authorize the secretary to adopt, amend or rescind regulations necessary and suitable for the proper administration of the cabinet or any division therein. These regulations are in conformity with those provisions.

Section 1. Pursuant to the authority vested in the Corrections Cabinet the following policies and procedures are incorporated by reference on April 14, 1986 and hereinafter should be referred to as Frankfort Career Development Center Policies and Procedures. Copies of the procedures may be obtained from the Office of the General Counsel, Corrections Cabinet, State Office Building, Frankfort, Kentucky 40601.

FCDC 16-01-01 Inmate Visiting
FCDC 17-01-01 Inmate Property Control
FCDC 22-01-01 Privilege Trips

GEORGE W. WILSON, Secretary
APPROVED BY AGENCY: April 11, 1986
FILED WITH LRC: April 11, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 22, 1986 at 9 a.m. at the auditorium in the State Office Building. Those interested in attending this hearing shall notify in writing: Barbara Jones, Office of General Counsel, 5th Floor, State Office Building, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: Barbara W. Jones
(1) Type and number of entities affected: 23 employees of the Frankfort Career Development Center, 67 inmates, and all visitors to state correctional institutions.
(a) Direct and indirect costs or savings to those affected:
1. First year: None
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs (note any effects upon competition): None
(b) Reporting and paperwork requirements: None
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings:
1. First year: None. All of the costs involved with the implementation of the regulation are included in the operational budget.
2. Continuing costs or savings: Same as (2)(a).
3. Additional factors increasing or decreasing costs: Same as (2)(a).
(b) Reporting and paperwork requirements: Monthly submission of policy revisions.
(3) Assessment of anticipated effect on state and local revenues: None
(4) Assessment of alternative methods; reasons why alternatives were rejected: None
(5) Identify any state, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
Any additional information or comments: None
Tiering: Was tiering applied? No. All policies are administered in a uniform manner.

PUBLIC PROTECTION AND REGULATION CABINET
Department of Financial Institutions
Division of Securities


RELATES TO KRS 292.410(1)(g)
PURSUANT TO: KRS 13A.350, 292.500(3)
NECESSITY AND FUNCTION: To declare that registration is not necessary in the public interest for certain business transactions pursuant to KRS 292.410(1)(g).

Section 1. Pursuant to KRS 292.410(1)(g), the director having found that registration is not necessary or appropriate in the public interest on or for the protection of investors, the following class of transactions is determined to be exempt from the registration provisions of KRS 292.330 through 292.390: The offer or sale of 100 percent of the ownership interest in any corporation provided that:
(1) No less than 100 percent of the stock of the corporation is either offered or sold; and
(2) The stock is sold to no more than one (1) individual or pre-existing entity.

RONDA S. PAUL, Director
APPROVED BY AGENCY: April 10, 1986
FILED WITH LRC: April 10, 1986 at 3 p.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for Monday, May 26, 1986, at 10 a.m., Department of Financial Institutions, Division of Securities, 911 Leawood Drive, Frankfort, Kentucky 40601. If no written notice of intent to attend and testify at the public hearing is received within five days before the scheduled hearing, the hearing will be cancelled. Those interested in attending this hearing shall notify in writing: William E. Doyle, Department of Financial Institutions, Division of Securities, 911 Leawood Drive, Frankfort, Kentucky 40601.

REGULATORY IMPACT ANALYSIS

Agency Contact Person: William E. Doyle
(1) Type and number of entities affected: Small businesses will be easier to sell in compliance with KRS Chapter 292. Number indeterminable.
(a) Direct and indirect costs or savings to those affected:
1. First year: Indeterminable.
2. Continuing costs or savings: Indeterminable.
3. Additional factors increasing or decreasing costs (note any effects upon competition): Self executing exemption facilitates the sale of small businesses. Producing the Securities Division requirements for the transaction.
(b) Reporting and paperwork requirements: Eliminated.
(2) Effects on the promulgating administrative body:
   (a) Direct and indirect costs or savings:
       1. First year: Indeterminable.
       2. Continuing costs or savings: Indeterminable.
       3. Additional factors increasing or decreasing costs: Costs of processing exemption claims eliminated.
   (b) Reporting and paperwork requirements: Eliminated.
   (3) Assessment of anticipated effect on state and local revenues: None
   (4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
   (5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
       (a) Necessity of proposed regulation if in conflict:
       (b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
   (6) Any additional information or comments: This regulation will facilitate the sale of small businesses without causing any harm or lack of protection for the general public.

Tiering:
Was tiering applied? Yes.

PUBLIC PROTECTION AND REGULATION CABINET
Kentucky Harness Racing Commission

811 KAR 1:225. Substance abuse by commission employees and licensees.

RELATES TO: KRS 230.630, 230.640
PURSUANT TO: KRS Chapter 13A, 230.640(2)

NECESSITY AND FUNCTION: To regulate the eligibility of officials and licensees to participate in harness racing. The function of the regulation is to provide for the testing of officials and licensees for alcohol and drugs.

Section 1. (1) No licensee of this commission nor employee of any racing association licensed by this commission shall have present within his/her body while on the grounds of said licensed association any amount of alcohol which would constitute legal impairment or intoxication.

(2) A breathalyzer reading of .05 percent alcohol content or more shall constitute legal impairment or intoxication.

(3) Every extended pari-mutuel track shall be equipped with a breathalyzer device for the detection of alcohol.

(4) The deputy commissioner or his assistant may in his discretion direct all drivers, judges, starters and marshals licensed by this commission to submit to a blanket breathalyzer test. In the event such a blanket test is ordered by the deputy commissioner or his assistant, it must be administered across the board to all members of the class of licensees who are on the premises of the association. All licensees in the affected class of licensees must, when so ordered, submit to the breathalyzer examination. In the event a licensee refuses to take the breathalyzer examination, the licensee shall not be permitted to continue his/her duties for the remainder of the day. In the event a licensee takes the test as directed and the results thereof show a reading of .05 percent alcohol content or more, said licensee shall likewise not be permitted to continue his/her duties for that day.

(5) A harness racing judge or a designated harness racing commission representative may with reasonable cause direct any licensee of this commission or employee of an association licensed by this commission to submit to a breathalyzer test for the detection of alcohol. In the event a licensee or employee refuses to take the breathalyzer examination, the licensee or employee shall not be permitted to continue his/her duties for the remainder of the day. In the event said licensee or employee takes the test as directed and the results thereof show a reading of .05 percent alcohol content or more, said licensee or employee shall not be permitted to continue his/her duties for that day.

(6) Any licensee or employee who is suspended for one (1) day by the deputy commissioner, or his assistant or by a judge or harness racing commission representative, either for failure to take a breathalyzer examination or because the breathalyzer examination has a 0.05 percent alcohol content or more, shall, after a hearing before the judges or commission, be subject to fine or suspension, or both, by the judges or commission for alcohol intoxication. A refusal by a licensee or employee to submit to the breathalyzer test may be introduced as evidence against the licensee or employee involved at this hearing. In the event the harness racing judges render such a decision, the ruling is appealable to the commission, as is the case in any other fine suspension imposed by the judges.

(7) For the second violation of subsection (1) of this section, the licensee or employee shall be suspended from racing until such time as his/her condition has been professionally evaluated. If said professional evaluation shows that the licensee's or employee's condition is non-addictive and the commission concurs with this evaluation, then the commission shall permit the said licensee or employee to participate in racing so long as the participation would not be detrimental to the best interest of racing, provided that he/she can produce a negative test result and agrees to further testing in the discretion of the judges or designated Harness Racing Commission representative, so as to ensure his/her unimpairment. If said professional evaluation reveals that the licensee's or employee's condition is addictive, and this commission concurs with this evaluation and further determines that participation by the licensee or employee would be detrimental to the best interests of racing, then this commission shall suspend the license or employment from harness racing until such time as he/she can produce a negative test result and introduce documentary proof that he/she has successfully completed a certified alcohol rehabilitation program approved by the Harness Racing Commission. Said licensee or employee must agree to further testing in the discretion of the judges or Harness Racing Commission representative, to ensure his/her unimpairment.

(8) For the third violation of subsection (1) of this section, the licensee or employee involved shall have his/her license revoked. The licensee or employee shall be allowed to enroll in a certified alcohol rehabilitation program approved by the Kentucky Harness Racing Commission and shall apply for reinstatement.
only after completion of the rehabilitation program. The reinstatement of the licensee or employee and conditions of reinstatement are within the discretion of the Harness Racing Commission.

Section 2. (1) No licensee of this commission or employee of any racing association licensed by this commission shall have present within his/her body while on the grounds of said licensed association any controlled substance as listed in KRS Chapter 218A, or in Schedules I-V of Title 21 U.S. Code 812. No licensee of this commission or employee of any racing association licensed by this commission shall have present within his/her body while on the grounds of said licensed association any prescription legend drugs, unless said prescription legend drug was obtained directly from or pursuant to a valid prescription of a duly licensed physician who is acting in the course of his/her professional practice.

(A) Harness racing judge or a designated Harness Racing Commission representative may, upon reasonable cause, direct any licensee or employee of an association licensed by this commission to deliver a specimen of urine in the presence of the said harness racing judge or commission representative or subject himself/herself to the taking of a sample of blood or other body fluid by the track physician or other duly licensed physician appointed by the Harness Racing Commission for the detection of controlled substances or drugs. In the event a licensee or employee shall refuse to deliver a specimen of urine in the presence of the harness racing judge or commission representative or subject himself/herself to the taking of a sample of blood or other body fluid by the track physician or other duly licensed physician appointed by the Harness Racing Commission, the licensee or employee shall not be permitted to continue his/her duties for the remainder of the day. In the event said licensee or employee of an association licensed by this commission shall take the urine or blood test as directed by the judge or Harness Racing Commission representative, the licensee or employee shall be suspended from his/her duties for that day. The test results shall be filed with harness racing judges at the racing association involved.

(3) In the event a licensee or employee is suspended by a judge or harness racing commission representative for a day, said licensee or employee shall, after a hearing before the judges or commission, be subject to a fine or suspension, or both, by the judges or commission, for the presence of the illegal drug in his/her body. A refusal by a licensee or employee to deliver a specimen of urine in the presence of the harness racing judge or commission representative or subject himself/herself to the taking of a sample of blood or other body fluid by the track physician or other duly licensed physician appointed by the Harness Racing Commission may be introduced as evidence against the licensee or employee involved. In the event said decision is rendered by the harness racing judges, it is appealable to the commission as is the case with any other fine or suspension imposed by the judges.

(4) In the event the commission determines that there has been violation of subsection 2 of this section, the commission shall suspend said licensee or employee until such time as he/she shall provide a professional evaluation to this commission. In the event said professional evaluation states that the licensee's or employee's condition is non-addictive, and this commission concurs with this conclusion and further determines that the licensee's or employee's participation in racing is not detrimental to the best interests of harness racing, then said commission shall permit said licensee or employee to participate in harness racing, provided he/she can produce a negative test result and agrees to further testing in the discretion of the judges or designated Harness Racing Commission representative to ensure his/her unimpaired. In the event said professional evaluation indicates that the licensee's or employee's condition is addictive, and this commission after having considered same concurs with the evaluation and further determines that the licensee's or employee's continued participation in racing is detrimental to the best interests of racing, then this commission shall suspend said licensee or employee from participation in harness racing until such time as he/she can produce a negative test result and show documentary proof that he/she has successfully completed a certified drug rehabilitation program approved by the Harness Racing Commission. Said licensee or employee must agree to further testing at the discretion of the judges or Harness Racing Commission representative to ensure his/her unimpaired.

(B) For the second violation of subsection (1) of this section, the licensee or employee involved shall have his/her license revoked. The licensee or employee shall be allowed to enroll in a certified drug rehabilitation program approved by the Kentucky Harness Racing Commission, and shall apply for reinstatement only after completion of the rehabilitation program. The reinstatement of the licensee or employee and conditions of reinstatement are within the discretion of the Harness Racing Commission.

(6) In the event a sample of blood or other body fluids is taken, the quantity shall be sufficient to enable the sample to be split.

Section 3. The expense of the testing conducted to enforce this regulation shall be borne either by the licensed racing association, or, the individual licensee or employee involved. In the event the tests produce a negative result, the expense shall be paid by the licensed racing association involved. In the event the testing produces a positive result showing the presence of .05 percent alcohol content, or the presence of any controlled substance or legend drug, then the expense shall be borne by the licensee or employee involved.

CARL B. LARSEN, Executive Director
ROBERT DAVIS, Secretary
APPROVED BY AGENCY: April 3, 1986
FILED WITH LRC: April 14, 1986 at 10 a.m.
PUBLIC HEARING SCHEDULED: A public hearing has been scheduled on this regulation on May 21, 1986 at 10 a.m. at the office of the Kentucky Harness Racing Commission. Anyone in attending this hearing, shall notify in writing Carl B. Larsen, Executive Director, Kentucky Harness Racing Commission, Suite 300, 535 West Second Street, Lexington, Kentucky, 40508, at least five days before the hearing.
REGULATORY IMPACT ANALYSIS

Agency Contact Person: Carl B. Larsen

(1) Type and number of entities affected: N/A
(a) Direct and indirect costs or savings to those affected:
  1. First year:
  2. Continuing costs or savings:
  3. Additional factors increasing or decreasing costs (note any effects upon competition):
(b) Reporting and paperwork requirements:
(2) Effects on the promulgating administrative body:
(a) Direct and indirect costs or savings: N/A
  1. First year:
  2. Continuing costs or savings:
  3. Additional factors increasing or decreasing costs:
(b) Reporting and paperwork requirements:
(3) Assessment of anticipated effect on state and local revenues: N/A
(4) Assessment of alternative methods; reasons why alternatives were rejected: N/A
(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
(a) Necessity of proposed regulation if in conflict:
(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions:
(6) Any additional information or comments:

Tiering:
Was tiering applied? No. N/A

CABINET FOR HUMAN RESOURCES
Office of Inspector General

902 KAR 20:210. General health services licensure.

RELATES TO: KRS 216B.010 to 216B.131, 216B.090(1),(2)
PURSUANT TO: KRS 194.050, 216B.040(2), 216.105, Executive Order 85-761
NECESSITY AND FUNCTION: KRS 216B.040 and 216B.105 mandate that the Cabinet for Human Resources regulate health facilities. This regulation provides minimum licensure requirements for persons who have been issued a certificate of need to establish certain health services for which there is not a distinct licensure category under the provisions of 902 KAR 20:008 and there are no licensure regulations promulgated pursuant to KRS 216B.040.

Section 1. Definitions:
(1) "Authority" means the Kentucky Certificate of Need Authority.
(2) "Cabinet" means the Kentucky Cabinet for Human Resources.
(3) "Licensure agency" means the Division for Licensing and Regulation in the Office of Inspector General.

Section 2. Applicability. Persons who have been issued a certificate of need for the establishment of a health service for which there is, in the opinion of the cabinet, not an appropriate licensure regulation, shall be licensed through one (1) of the procedures specified in Section 4 and 5 of this regulation, or until the licensure agency develops specific licensure regulations applicable to the health service in question.

Section 3. General Licensure Procedures. The following provisions shall apply to all applicants seeking licensure under this regulation:
(1) Expiration. Licenses issued under the provisions of this regulation shall expire and not be renewed upon the first annual relicensure date following promulgation of specific licensure regulations by the licensure agency which are applicable to the health service in question. A new license shall be issued if the applicant for licensure complies with the requirements of the licensure regulation promulgated by the licensure agency applicable to the health service in question.
(2) License procedures and fees. The applicant for licensure shall follow the licensure procedures specified in 902 KAR 20:008. Licenses procedures and fee schedule, in addition to the procedures specified in this regulation. The annual fee for services licensed under Section 4 of this regulation shall be the full amount specified in 902 KAR 20:008. License procedures and fee schedule, for the specific category under which it is licensed. The annual licensure fee (including renewals) for services licensed under the procedures in Section 5 of this regulation shall be $100.

Section 4. Alternative Licensure Procedure One. The licensure agency may elect to license the service utilizing a portion of the requirements of one of its existing licensure regulations which is determined to be applicable by the licensure agency. In such cases the licensure agency, following a site visit or visits to the applicant's facility, shall ascertain which of the requirements of the existing regulations are applicable and which requirements shall be waived due to being nonapplicable to the service in question. Licenses issued in this manner shall cite the applicable existing health service licensure regulation as the authority for licensure and bear the title of that category of health service with an indication that waivers have been granted and that the service is of a special or limited purpose.

Section 5. Alternative Licensure Procedure Two. (1) Licensure. If the procedures specified in Section 4 of this regulation are not appropriate, in the opinion of the cabinet, the licensure agency may elect to license the service under the requirements contained in this section. Licenses issued in such manner shall cite this regulation as the authority for licensure and bear the title of the category of health service specified on the applicant's certificate of need.
(2) Administration and operation.
(a) Licensee.
1. The licensee shall be responsible for the management and operation of the service and for compliance with federal, state and local laws and regulations pertaining to its operation.
2. The licensee shall appoint an administrator whose qualifications, responsibilities, authority and accountability are defined in writing.
(b) Administrator.
1. The administrator shall be responsible for
the daily management and operation of the service.
2. In the absence of the administrator, responsibility shall be delegated to a similarly qualified staff person.

(c) Administrative records and reports.
1. Administrative reports shall be established, maintained and utilized as necessary to guide the operation, measure productivity and reflect the programs of the facility. Such reports shall include financial records and reports, personnel records, incident investigation reports and other pertinent reports made in the regular course of business.
2. Licensor's inspection reports and findings of correction shall be made available to the public upon request at the administrative offices of the service.

(d) Policies. The licensee shall adopt written administrative and patient care policies covering all aspects of operation including:
1. A description of the organizational structure, staffing and allocation of responsibility and accountability;
2. A description of referral linkages with physician(s), inpatient facilities and other providers;
3. Policies and procedures for the guidance and control of personnel performances;
4. A description of the services provided;
5. Procedures for maintenance of administrative and patient care records and reports;
6. A policy approved by a physician concerning procedures for handling emergency medical situations;
7. Procedures to be followed in the storage, handling, and administration of drugs and biologicals, if applicable;
8. Procedures for review and evaluation of the services provided at least annually.

(e) Personnel.
1. The facility shall employ a sufficient number of qualified personnel to provide effective patient care and all other related services. The licensee shall establish written personnel policies which are available to all employees and are reviewed and revised on an annual basis. If the staff/patient ratio does not meet the needs of the patients, the Division of Licensing and Regulation shall determine and inform the program administrator in writing how many additional personnel are to be added and of what job classification, and shall give the basis for this determination.
2. There shall be a written job description for each position which shall be reviewed and revised as necessary.
3. There shall be an individual personnel record for each person employed which shall include: documentation of education, training and experience of the individual, a copy of the current license or certification credentials, if applicable, and records of performance evaluation.
4. Personnel shall be legally and professionally qualified to perform the duties and functions assigned to them as defined in their respective licensure laws and regulations and accepted professional standards established by their peers.

(f) Medical record.
1. A medical record shall be maintained, in accordance with accepted professional principles, for every patient served by the facility. 2. The medical record shall contain sufficient information to identify the patient, justify the diagnosis and treatment, and document the results of treatment. The records shall contain the following information:
a. Identification data and consent forms;
b. Pertinent medical history;
c. Description of each medical visit or contact including the reason for the contact, assessment of health status and health needs of the patient;
d. Reports of all physical examinations, laboratory, x-ray and other test findings;
e. Diagnosis;
f. Signed orders for diagnostic tests and treatments including medications prescribed;
g. Record of services provided including medications and treatments prescribed;
h. Progress notes documenting the results of treatment;
i. Documentation of all referrals made including the reason for the referral, name of person or service to whom patient was referred and any information obtained from the referral source;
j. Discharge summary or information on final disposition of the patient completed within fifteen (15) days of the last patient contact;
3. All entries into the medical record shall be signed by the person making the entry.
4. Confidentiality of medical records shall be maintained at all times.
5. Provision shall be made for written designation of specific locations for the storage of medical records in the event the facility ceases to operate because of disaster, or for any other reason. The licensee shall safeguard the record and its content against loss, defacement and tampering.
6. All medical records shall be retained for a minimum of five (5) years from the conclusion of treatment, or in the case of a minor, three (3) years after the patient reaches the age of majority under state law, whichever is longer.

(3) Scope. The scope of services provided by the licensee shall be limited to those described in the licensee's certificate of need application and approved by the authority.

(4) Physical environment.
(a) Accessibility. The facility shall meet requirements for making buildings and facilities accessible to and usable by the physically handicapped pursuant to KRS 1988.260 and regulations promulgated thereunder.
(b) Fire safety. The facility shall be approved by the Fire Marshall's office before licensing and relicensure is granted by the licensure agency.
(c) Maintenance. The licensee shall establish a written preventive maintenance program to ensure that:
1. Equipment is operative and properly calibrated. If the licensee provides radiology services it shall have a current license or registration and operators shall be appropriately certified pursuant to KRS 211.852 and any regulations promulgated thereunder; and
2. The interior and exterior of the building shall be clean and maintained free of any defects which are a potential hazard to patients, personnel and the public.

WILLIAM M. GARDNER, Inspector General
E. AUSTIN, JR., Secretary

Volume 12, Number 11 - May 1, 1986
Resources has responsibility to administer the program of Medical Assistance in accordance with Title XIX of the Social Security Act. KRS 205.520 empowers the cabinet, by regulation, to comply with any requirement that may be imposed or opportunity presented by federal law for the provision of medical assistance to Kentucky's indigent citizenry. This regulation sets forth provisions relating to the requirement for documentation of medical assistance services provided by providers participating in the medical assistance program to eligible medical assistance recipients.

Section 1. Requirement for Documentation. All providers participating in the Kentucky Medical Assistance Program (KMAP) must maintain documentation of medical assistance recipients when such services are billed to the KMAP. Documentation must be retained for not less than five (5) years from the date of service. Copies of claims submitted to the KMAP must be retained for not less than two (2) years following the date of submittal.

Section 2. Adequacy of Documentation. Pursuant to federal regulations, the KMAP pays only for services which are medically indicated. Minimal required documentation consists of the following items:

1. The patient's medical record (or other provider file, as appropriate) must show that the service billed for was actually performed.
2. The record should show that the service provided was medically indicated. Medical necessity should be apparent from the diagnosis indicated and/or laboratory tests performed.
3. For hospital (inpatient and outpatient), pharmacy, home health agency, and nursing home (skilled nursing, intermediate care, and intermediate care for the mentally retarded) services, appropriate provider orders must be in the record to substantiate the necessity of and order for the service.

Section 3. Refunds Based on Lack of Documentation. When a provider fails to maintain adequate documentation that a billed service was actually performed and that medical necessity existed, the KMAP shall be entitled to a refund from the provider of any reimbursement made for such service by the KMAP.

Section 4. Fraud Related to Documentation. When it appears to the cabinet that a participating provider has deliberately acted in such a manner as to contravene the requirements relating to documentation of medical assistance services contained in this regulation, the cabinet may refer the matter to the Medicaid Fraud Control Unit of the Attorney General's Office for resolution.

FONTAINE BANKS, Acting Deputy Commissioner
E. AUSTIN, JR., Secretary
APPROVED BY AGENCY: April 2, 1986
FILED WITH LRC: April 15, 1986 at 11 a.m.
PUBLIC HEARING SCHEDULED: A public hearing on this regulation has been scheduled for May 23, 1986 at 9 a.m. in the Department for Health Services Auditorium, 275 East Main Street, Frankfort, Kentucky. However, this hearing will be cancelled unless interested persons notify the following office in writing by May 18, 1986 of their desire to appear and testify at the hearing: Hughes Walker, General Counsel, Cabinet for Human Resources, 275 East Main Street, Frankfort, Kentucky 40621.
The April meeting of the Administrative Regulation Review Subcommittee was held on Thursday, April 17, 1986 at 10:00 a.m. in Room 103. Representative Jim Bruce, Acting Chairman, called the meeting to order, and the secretary called the roll. On motion of Senator Pat McEijson, seconded by Representative Ed Holloway, the minutes of the March 3-4, 1986 meeting were approved.

Present were:

Members: Representative Jim Bruce, Acting Chairman; Senators Harold Haering and Pat McCuiston; Representatives Ed Holloway and Joe Meyer.

Guests: David Terry, University of Kentucky; Agricultural Experiment Station; William F. Sparrow, C. Richard Warner, J. C. Warner, Kentucky Fertilizer & Ag. Chemical Association; Sarah M. Jackson, Board of Ophthalmic Dispensers; and Board of Examiners for Speech Pathology and Audiology; Nathan Goldman, Board of Veterinary Examiners; Dave Nicholas, Division of Occupations and Professions; Mary Romelfanger, Bill Shouse, Bernadette Sutherland, Pamela Wells, Board of Nursing; William Kline, Department of State Police; Cathy Snell, Justice Cabinet; Gary Bale, Department of Education; Howard Jones, Charles McDowell, Sue C. Simon, Department of the Blind; Patrick Watts, Department of Insurance; George Geoghegan, Harness Racing Commission; Betty Beshear, Roy Butler, Barbara Coleman, Red R. Fitzpatrick, Vic Gausepohl, Gary Grubbs, Randall Hancock, Margaret Hockensmith, Cliff Howard, Janet Johnson, Eugenia Jump, Peggy S. Kidd, Ellis D. Maggard, Patrick Rickard, Lydia Roberts, Mike Robinson, Jesse Rowe, Gary L. Smith, Phillip R. Spangler, Larry Taylor, Mark Yancey, Cabinet for Human Resources

LRC Staff: Susan Wunderlich, Joe Hood, Gregory Karambellas, Donna Valencia, and Carla Arnold.

Press: Jack Bramer, Lexington Herald-Leader; Ken Marshall, WKYT-TV; Barry Peel, Leslie Shearer, WTVO-TV; Bill Straub, Kentucky Post

The Administrative Regulation Review Subcommittee met on April 17, 1986, and submits the following report:

The Subcommittee determined that the following regulations, amended as agreed by the subcommittee and promulgating body, complied with KRS Chapter 13A:

Agricultural Experiment Station: Fertilizer 12 KAR 4:140 (Monetary penalties.) The subcommittee and agency agreed to the following substantive amendment to clarify the number of investigational allowances: "Two (2) deficiencies that are less than three (3) investigational allowances; or, no more than one (1) deficiency that is equal to or greater than three (3) but less than four (4) investigational allowances."

Justice Cabinet: Peace Officer Death Benefits 500 KAR 1:010 (Definitions.) This regulation was technically amended by the agency and subcommittee to clarify the definition of "full time'.

Education and Humanities Cabinet: Department for the Blind: Blind 720 KAR 1:010 (Federal vocational rehabilitation program.) The subcommittee and agency agreed to technically amend the reference material adopted in this regulation.

The Subcommittee determined that the following regulations complied with KRS Chapter 13A:

Agricultural Experiment Station: Fertilizer 12 KAR 4:110 (Terms and definitions.)

General Government Cabinet: Board of Ophthalmic Dispensers 201 KAR 13:011 (Regulations to be posted.) 201 KAR 13:070 (License revocation.)
Board of Veterinary Examiners
201 KAR 16:020 (Examination for licensing; reciprocity; fees; re-examinations.)
201 KAR 16:000 (Procedure for denial, suspension, nonrenewal or revocation hearings.)
Representatives Bruce and Meyer stated that a review of this and other administrative regulations relating to hearings before administrative bodies pointed out the need for a uniform act governing administrative hearings. The Subcommittee approve a motion for a bill request relating to an administrative practices act. They stated that the Subcommittee may hold hearings on such legislation and would welcome comments and suggestions from agencies.

Finance and Administration Cabinet: Division of Occupations and Professions: Board of Examiners for Speech Pathology and Audiology
201 KAR 17:070 (Complaint procedure.)
201 KAR 17:080 (Procedures for disciplinary hearings.)

General Government Cabinet: Board of Nursing
200 KAR 30:045 (Adoption of registered nurse practitioner registration, program requirements, recognition of a national certifying organization.)

Justice Cabinet: Peace Officer Death Benefits
500 KAR 1:020 (Filing and processing of death benefit claims.)
500 KAR 1:030 (Request for hearing.)

Department of State Police: Law Information Network of Kentucky
502 KAR 40:010 (Law Information Network of Kentucky.)
502 KAR 40:020 (Agreements for the legal exchange of criminal justice information.)
502 KAR 40:030 (Criminal Justice Information Exchange User Agreement.)
502 KAR 40:040 (LINK User Agencies to Initiate Agreement with Satellite Agencies.)

Education and Humanities Cabinet: Department of Education: Office of Education for Exceptional Children: Exceptional and Handicapped Programs
707 KAR 1:003 (Annual program plan for the administration of the Education of the Handicapped Act.)

Public Protection and Regulation Cabinet: Department of Insurance: Health Maintenance Organizations
806 KAR 38:030 (Contract filing, approval.)
Harness Racing Commission: Harness Racing Rules
811 KAR 1:220 (Harness racing at county fairs.)

Cabinet for Human Resources: Department for Health Services: Maternal and Child Health
902 KAR 40:060 (Kentucky state plan of program operations and administration for the Special Supplemental Food Program for Women, Infants, and Children (WIC).)
Local Boards of Health
902 KAR 8:020 (Policies and procedures for local health department operations.)
Representative Bruce asked what the change to state testing of private water sources would cost the state in personnel and administrative costs. The agency representative replied that the cost would be minimal.

Hospitalization of Mentally Ill and Mentally Retarded
902 KAR 12:080 (Policies and procedures for mental health/mental retardation facilities.)

Department for Employment Services: Unemployment Insurance
903 KAR 5:260 (Unemployment insurance procedures.)
903 KAR 5:290 (Employer contribution rates.)

Department for Social Insurance: Medical Assistance
904 KAR 1:250 (Incorporation by reference of materials relating to the Medical Assistance Program.)
904 KAR 1:320 (Kentucky patient access and care system.)

Public Assistance
904 KAR 2:140 (Supplementary policies for programs administered by the Department for Social Insurance.)
904 KAR 2:150 (Incorporation by reference of materials relating to the Aid to Families with Dependent Children Program.)
904 KAR 2:170 (Incorporation by reference of materials relating to the Child Support Program.)

Food Stamp Program
904 KAR 3:090 (Incorporation by reference of materials relating to the Food Stamp Program.)

Department for Social Services: Child Welfare
905 KAR 1:180 (DSS policy and procedures manual.)

Children's Residential Services
905 KAR 7:090 (Children's treatment services facility manual.)
905 KAR 7:200 (Re-Ed Treatment policy/procedural manual.)
905 KAR 7:210 (Central Kentucky Re-Ed Center policy and procedural manual.)

Office of Inspector General
906 KAR 1:000 (Hearings concerning employment agencies.)

The administrative agency withdrew the following regulations:

Cabinet for Human Resources: Department for Social Insurance: Medical Assistance
904 KAR 1:013 (Payments for acute care and mental hospital inpatient services.)
904 KAR 1:036 (Amounts payable for skilled nursing and intermediate care facility services.)

The Subcommittee had no objections to emergency regulations which had been filed.

Other Business:

House Bill 310
Representative Bruce and Senator McCuiston recommended that subcommittee staff prepare an analysis of House Bill 310 for discussion at the next meeting of the Subcommittee.

The Subcommittee adjourned at 11:15 a.m. until May 5, 1986.
CUMULATIVE SUPPLEMENT

Locator Index - Effective Dates..................K2
KRS Index.............................................K11
Subject Index to Volume 12......................K20
**LOCATOR INDEX -- EFFECTIVE DATES**

**NOTE:** Emergency regulations expire 90 days from publication or upon replacement or repeal.

**VOLUME 11**

<table>
<thead>
<tr>
<th>Regulation</th>
<th>11 Ky.R. Page No.</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 KAR 1:010</td>
<td>169</td>
<td></td>
</tr>
<tr>
<td>Amended 405 KAR 7:020</td>
<td>228</td>
<td>10-9-84</td>
</tr>
<tr>
<td>Amended 405 KAR 7:080</td>
<td>1791</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 12:010</td>
<td>1800</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 16:050</td>
<td>1831</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 16:170</td>
<td>1850</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 18:050</td>
<td>1851</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 18:170</td>
<td>1864</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 405 KAR 20:030</td>
<td>1865</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 804 KAR 4:250</td>
<td>360</td>
<td>9-11-84</td>
</tr>
<tr>
<td>Amended 804 KAR 11:030</td>
<td>1893</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 812 KAR 1:050</td>
<td>1968</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended 902 KAR 20:132</td>
<td>1505</td>
<td>12-17-85</td>
</tr>
<tr>
<td>Amended 78</td>
<td>10-9-84</td>
<td></td>
</tr>
<tr>
<td>Amended 1933</td>
<td>8-13-85</td>
<td></td>
</tr>
</tbody>
</table>

**VOLUME 12**

<table>
<thead>
<tr>
<th>Emergency Regulation</th>
<th>12 Ky.R. Page No.</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>101 KAR 1:051E</td>
<td>643</td>
<td>10-29-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1228</td>
<td>1-3-86</td>
</tr>
<tr>
<td>101 KAR 1:120E</td>
<td>1205</td>
<td>1-15-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1351</td>
<td>3-4-86</td>
</tr>
<tr>
<td>101 KAR 1:145E</td>
<td>1207</td>
<td>1-15-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1595</td>
<td>3-4-86</td>
</tr>
<tr>
<td>101 KAR 1:160E</td>
<td>1580</td>
<td>3-10-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1208</td>
<td>1-15-86</td>
</tr>
<tr>
<td>201 KAR 9:021E</td>
<td>646</td>
<td>11-4-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>668</td>
<td>1-3-86</td>
</tr>
<tr>
<td>301 KAR 2:044E</td>
<td>313</td>
<td>8-2-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>347</td>
<td>10-8-85</td>
</tr>
<tr>
<td>301 KAR 2:220E</td>
<td>468</td>
<td>10-1-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>631</td>
<td>12-10-85</td>
</tr>
<tr>
<td>301 KAR 4:050E</td>
<td>1716</td>
<td>3-28-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>99</td>
<td>7-2-85</td>
</tr>
<tr>
<td>302 KAR 1:030E</td>
<td>345</td>
<td>9-10-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>101</td>
<td>7-2-85</td>
</tr>
<tr>
<td>302 KAR 20:010E</td>
<td>102</td>
<td>7-2-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>221</td>
<td>9-10-85</td>
</tr>
<tr>
<td>302 KAR 20:065E</td>
<td>1209</td>
<td>1-13-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1358</td>
<td>3-4-86</td>
</tr>
<tr>
<td>302 KAR 31:010E</td>
<td>648</td>
<td>10-29-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1093</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 7:020E</td>
<td>1139</td>
<td>12-2-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1280</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 8:050E</td>
<td>1147</td>
<td>12-2-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1310</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 20:070E</td>
<td>1152</td>
<td>12-2-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1339</td>
<td>2-4-86</td>
</tr>
<tr>
<td>501 KAR 3:150E</td>
<td>1581</td>
<td>2-21-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1584</td>
<td>2-10-85</td>
</tr>
<tr>
<td>Resubmitted</td>
<td>1716</td>
<td>4-14-86</td>
</tr>
<tr>
<td>502 KAR 45:010E</td>
<td>1722</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:020E</td>
<td>1723</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:030E</td>
<td>1723</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:040E</td>
<td>1724</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:050E</td>
<td>1724</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:060E</td>
<td>1725</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:070E</td>
<td>1726</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:080E</td>
<td>1726</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:090E</td>
<td>1727</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:100E</td>
<td>1727</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:110E</td>
<td>1728</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:120E</td>
<td>1729</td>
<td>3-17-86</td>
</tr>
<tr>
<td>502 KAR 45:130E</td>
<td>1729</td>
<td>3-17-86</td>
</tr>
<tr>
<td>600 KAR 1:045E</td>
<td>315</td>
<td>7-26-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>293</td>
<td>9-10-85</td>
</tr>
<tr>
<td>603 KAR 5:070E</td>
<td>104</td>
<td>7-8-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>231</td>
<td>9-10-85</td>
</tr>
<tr>
<td>702 KAR 3:190E</td>
<td>1211</td>
<td>1-10-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1164</td>
<td>2-4-86</td>
</tr>
<tr>
<td>702 KAR 5:060E</td>
<td>1590</td>
<td>3-12-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>962</td>
<td>1-3-86</td>
</tr>
<tr>
<td>702 KAR 7:070E</td>
<td>1153</td>
<td>11-18-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>704 KAR 3:355E</td>
<td>391</td>
</tr>
<tr>
<td>Replaced</td>
<td>417</td>
<td>7-13-85</td>
</tr>
<tr>
<td>704 KAR 10:022E</td>
<td>1211</td>
<td>1-13-86</td>
</tr>
<tr>
<td>Replaced</td>
<td>1166</td>
<td>2-4-86</td>
</tr>
<tr>
<td>704 KAR 20:045E</td>
<td>107</td>
<td>6-26-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>239</td>
<td>9-10-85</td>
</tr>
<tr>
<td>815 KAR 7:013E</td>
<td>472</td>
<td>9-25-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>638</td>
<td>12-10-85</td>
</tr>
<tr>
<td>902 KAR 6:060E</td>
<td>1093</td>
<td>7-10-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>258</td>
<td>9-10-85</td>
</tr>
<tr>
<td>902 KAR 8:030E</td>
<td>1212</td>
<td>12-17-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1172</td>
<td>2-4-86</td>
</tr>
<tr>
<td>902 KAR 10:081E</td>
<td>1213</td>
<td>12-23-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>1457</td>
<td>3-4-86</td>
</tr>
<tr>
<td>902 KAR 12:030E</td>
<td>110</td>
<td>6-27-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>260</td>
<td>9-10-85</td>
</tr>
<tr>
<td>902 KAR 12:080E</td>
<td>1154</td>
<td>11-18-85</td>
</tr>
<tr>
<td>Replaced</td>
<td>609</td>
<td>12-10-85</td>
</tr>
<tr>
<td>903 KAR 2:010E</td>
<td>1</td>
<td>6-11-85</td>
</tr>
<tr>
<td>Expired</td>
<td>903 KAR 5:270E</td>
<td>110</td>
</tr>
<tr>
<td>Replaced</td>
<td>272</td>
<td>9-10-85</td>
</tr>
<tr>
<td>Emergency Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>----------------------</td>
<td>------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>903 KAR 2:190E</td>
<td>1729</td>
<td>4-8-86</td>
</tr>
<tr>
<td>904 KAR 3:090E</td>
<td>124</td>
<td>9-10-85</td>
</tr>
<tr>
<td>905 KAR 1:105E</td>
<td>216</td>
<td>12-10-85</td>
</tr>
<tr>
<td>908 KAR 3:110E</td>
<td>135</td>
<td>12-10-85</td>
</tr>
<tr>
<td>910 KAR 1:160E</td>
<td>1693</td>
<td>3-4-86</td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>101 KAR 1200</td>
<td>492</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>101 KAR 1205</td>
<td>1451</td>
<td>3-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 7:020</td>
<td>666</td>
<td>1-3-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 20:010</td>
<td>1193</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 27:080</td>
<td>1156</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 28:050</td>
<td>651</td>
<td>11-12-85</td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 28:051</td>
<td>458</td>
<td>11-12-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 KAR 30:190</td>
<td>1601</td>
<td>11-12-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>200 KAR 14:080</td>
<td>83</td>
<td>8-13-85</td>
</tr>
<tr>
<td>201 KAR 1:035</td>
<td>497</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 1:062</td>
<td>84</td>
<td>6-28-85</td>
</tr>
<tr>
<td>Withdrown</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 1:067</td>
<td>1792</td>
<td>12-10-85</td>
</tr>
<tr>
<td>201 KAR 2:010</td>
<td>498</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 2:125</td>
<td>498</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 2:135</td>
<td>499</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 2:140</td>
<td>499</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 2:155</td>
<td>500</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 3:065</td>
<td>86</td>
<td>8-13-85</td>
</tr>
<tr>
<td>201 KAR 9:021</td>
<td>668</td>
<td>1-3-86</td>
</tr>
<tr>
<td>Amended</td>
<td>630</td>
<td>12-10-85</td>
</tr>
<tr>
<td>201 KAR 11:190</td>
<td>1603</td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td>670</td>
<td>1-3-86</td>
</tr>
<tr>
<td>201 KAR 12:050</td>
<td>670</td>
<td>1-3-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 12:105</td>
<td>671</td>
<td>1-3-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 12:110</td>
<td>1731</td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 12:120</td>
<td>672</td>
<td>1-3-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 13:011</td>
<td>1556</td>
<td>4-17-86</td>
</tr>
<tr>
<td>201 KAR 13:070</td>
<td>1521</td>
<td>4-17-86</td>
</tr>
<tr>
<td>Amended</td>
<td>409</td>
<td>11-12-85</td>
</tr>
<tr>
<td>201 KAR 16:010</td>
<td>1152</td>
<td>4-17-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1557</td>
<td>4-17-86</td>
</tr>
<tr>
<td>201 KAR 16:060</td>
<td>1558</td>
<td>4-17-86</td>
</tr>
<tr>
<td>201 KAR 17:070</td>
<td>1559</td>
<td>4-17-86</td>
</tr>
<tr>
<td>201 KAR 17:080</td>
<td>291</td>
<td>9-10-85</td>
</tr>
<tr>
<td>201 KAR 18:150</td>
<td>1695</td>
<td>9-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td>17</td>
<td>7-9-85</td>
</tr>
<tr>
<td>201 KAR 20:030</td>
<td>17</td>
<td>7-9-85</td>
</tr>
<tr>
<td>Repealed</td>
<td>17</td>
<td>7-9-85</td>
</tr>
<tr>
<td>201 KAR 20:056</td>
<td>1523</td>
<td>4-17-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1452</td>
<td>3-4-86</td>
</tr>
<tr>
<td>201 KAR 20:115</td>
<td>87</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>328</td>
<td>8-13-85</td>
</tr>
<tr>
<td>201 KAR 20:162</td>
<td>17</td>
<td>7-9-85</td>
</tr>
<tr>
<td>Amended</td>
<td>215</td>
<td>9-10-85</td>
</tr>
<tr>
<td>201 KAR 22:031</td>
<td>217</td>
<td>9-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>201 KAR 22:052</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>-----------------</td>
<td>---------------</td>
</tr>
<tr>
<td>401 KAR 5:060</td>
<td>528</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 5:065</td>
<td>540</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 5:070</td>
<td>550</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 5:075</td>
<td>554</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 5:080</td>
<td>559</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 5:085</td>
<td>566</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 6:300</td>
<td>143</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 6:310</td>
<td>144</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 30:010</td>
<td>674</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 30:020</td>
<td>684</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 30:070</td>
<td>686</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 30:080</td>
<td>1093</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:010</td>
<td>687</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:040</td>
<td>1231</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:060</td>
<td>1499</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:070</td>
<td>707</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:120</td>
<td>710</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:160</td>
<td>713</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:170</td>
<td>715</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 31:190</td>
<td>718</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:010</td>
<td>1093</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:020</td>
<td>723</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:030</td>
<td>724</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:040</td>
<td>725</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:050</td>
<td>1242</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:100</td>
<td>727</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 32:100</td>
<td>729</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:010</td>
<td>730</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:020</td>
<td>736</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:030</td>
<td>738</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:040</td>
<td>742</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:050</td>
<td>744</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:060</td>
<td>746</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:070</td>
<td>749</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 34:090</td>
<td>757</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 38:180</td>
<td>761</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 38:170</td>
<td>860</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 38:180</td>
<td>862</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>401 KAR 38:170</td>
<td>862</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>401 KAR 38:200 Amended</td>
<td>863</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 38:210 Amended</td>
<td>865</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 39:010 Amended</td>
<td>867</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 39:020 Amended</td>
<td>868</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 39:080</td>
<td>1104</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 42:010</td>
<td>1104</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1262</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 50:035 Amended</td>
<td>1748</td>
<td></td>
</tr>
<tr>
<td>401 KAR 50:042 Amended</td>
<td>1794</td>
<td></td>
</tr>
<tr>
<td>401 KAR 51:017 Amended</td>
<td>869</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 51:052 Amended</td>
<td>1263</td>
<td>2-4-86</td>
</tr>
<tr>
<td>401 KAR 51:052 Amended</td>
<td>879</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Reprint</td>
<td>1273</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 7:015 Amended</td>
<td>1711</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 7:020 Amended</td>
<td>569</td>
<td>12-10-85</td>
</tr>
<tr>
<td>405 KAR 7:060 Amended</td>
<td>888</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1280</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 7:090 Amended</td>
<td>897</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 8:030 Amended</td>
<td>571</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td>158</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>900</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1288</td>
<td>2 4 86</td>
</tr>
<tr>
<td>405 KAR 8:040 Amended</td>
<td>170</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>330</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>911</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1299</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 8:050 Amended</td>
<td>924</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1310</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 10:030 Amended</td>
<td>579</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td>184</td>
<td>8-13-85</td>
</tr>
<tr>
<td>405 KAR 10:030 Amended</td>
<td>1310</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>579</td>
<td>12-10-85</td>
</tr>
<tr>
<td>405 KAR 12:020 Amended</td>
<td>184</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>184</td>
<td>8-13-85</td>
</tr>
<tr>
<td>405 KAR 16:010 Amended</td>
<td>931</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>931</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 16:060 Amended</td>
<td>933</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>933</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 16:080 Amended</td>
<td>936</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>936</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 16:110 Amended</td>
<td>1319</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>187</td>
<td>8-13-85</td>
</tr>
<tr>
<td>405 KAR 16:130 Amended</td>
<td>189</td>
<td>8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>189</td>
<td>8-13-85</td>
</tr>
<tr>
<td>405 KAR 16:190 Amended</td>
<td>939</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1322</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 18:060 Amended</td>
<td>944</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1326</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 18:080 Amended</td>
<td>948</td>
<td>2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1329</td>
<td>2-4-86</td>
</tr>
<tr>
<td>405 KAR 18:110 Amended</td>
<td>197</td>
<td>8-13-85</td>
</tr>
<tr>
<td>405 KAR 18:130 Amended</td>
<td>198</td>
<td>8-13-85</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>---------</td>
<td>----------------</td>
</tr>
<tr>
<td>503 KAR  1:070</td>
<td>1627</td>
<td>Amended 1969 - 1-6-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1628</td>
<td>11-12-85</td>
</tr>
<tr>
<td>503 KAR  1:110</td>
<td>1629</td>
<td>Amended 1969 - 1-6-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1641</td>
<td>11-12-85</td>
</tr>
<tr>
<td>600 KAR  1:040</td>
<td>315</td>
<td>Repealed 7-26-85</td>
</tr>
<tr>
<td>Amended</td>
<td>9-10-85</td>
<td>Amended 1969 - 1-6-86</td>
</tr>
<tr>
<td>601 KAR  1:020</td>
<td>10-8-85</td>
<td>Amended 365</td>
</tr>
<tr>
<td>Amended</td>
<td>1367</td>
<td>3-4-86</td>
</tr>
<tr>
<td>602 KAR  50:100</td>
<td>1632</td>
<td>Amended 357</td>
</tr>
<tr>
<td>Amended</td>
<td>1643</td>
<td>3-4-86</td>
</tr>
<tr>
<td>603 KAR  3:030</td>
<td>231</td>
<td>Amended 206</td>
</tr>
<tr>
<td>Amended</td>
<td>1644</td>
<td>3-4-86</td>
</tr>
<tr>
<td>603 KAR  5:070</td>
<td>206</td>
<td>Amended 8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1646</td>
<td>3-4-86</td>
</tr>
<tr>
<td>603 KAR  5:096</td>
<td>1597</td>
<td>Amended 410</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>603 KAR  7:040</td>
<td>1194</td>
<td>Amended 1508</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>700 KAR  1:010</td>
<td>1196</td>
<td>Amended 1456</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>701 KAR  7:010</td>
<td>1456</td>
<td>Amended 1597</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  1:010</td>
<td>1508</td>
<td>Amended 1597</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  3:020</td>
<td>412</td>
<td>Amended 413</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  3:100</td>
<td>413</td>
<td>Amended 1164</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  3:190</td>
<td>206</td>
<td>Amended 357</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  5:060</td>
<td>462</td>
<td>Amended 410</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  5:140</td>
<td>962</td>
<td>Amended 1164</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  7:070</td>
<td>208</td>
<td>Amended 414</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>702 KAR  7:090</td>
<td>208</td>
<td>Amended 655</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:005</td>
<td>414</td>
<td>Amended 12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:025</td>
<td>234</td>
<td>Amended 1164</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:035</td>
<td>1164</td>
<td>Amended 1164</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:175</td>
<td>237</td>
<td>Amended 209</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:292</td>
<td>209</td>
<td>Amended 8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:304</td>
<td>209</td>
<td>Amended 8-13-85</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:345</td>
<td>417</td>
<td>Amended 963</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  3:355</td>
<td>1341</td>
<td>Amended 2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  4:010</td>
<td>238</td>
<td>Amended 396</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  7:020</td>
<td>396</td>
<td>Amended 964</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  7:020</td>
<td>1341</td>
<td>Amended 2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  10:022</td>
<td>238</td>
<td>Amended 964</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>704 KAR  10:022</td>
<td>1341</td>
<td>Amended 2-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td>1647</td>
<td>3-4-86</td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>------------------</td>
<td>------------------</td>
</tr>
<tr>
<td>807 KAR 5:001</td>
<td>127</td>
<td>7-9-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Withdrawn</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 808 KAR 3:050</td>
<td>1380</td>
<td>3-4-86</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 808 KAR 10:210</td>
<td>358</td>
<td>10-8-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 810 KAR 10:013</td>
<td>433</td>
<td>11-12-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 811 KAR 7:010</td>
<td>134</td>
<td>7-9-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 815 KAR 7:013</td>
<td>638</td>
<td>12-10-85</td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amended 815 KAR 7:020</td>
<td>1655</td>
<td>11-12-85</td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repealed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------------</td>
<td>-------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>902 KAR 100:005</td>
<td>Amended</td>
<td>978</td>
</tr>
<tr>
<td>902 KAR 100:010</td>
<td>Amended</td>
<td>979</td>
</tr>
<tr>
<td>902 KAR 100:012</td>
<td>Amended</td>
<td>1388</td>
</tr>
<tr>
<td>902 KAR 100:015</td>
<td>Amended</td>
<td>987</td>
</tr>
<tr>
<td>902 KAR 100:017</td>
<td>Amended</td>
<td>989</td>
</tr>
<tr>
<td>902 KAR 100:020</td>
<td>Amended</td>
<td>991</td>
</tr>
<tr>
<td>902 KAR 100:021</td>
<td>Amended</td>
<td>1123</td>
</tr>
<tr>
<td>902 KAR 100:022</td>
<td>Amended</td>
<td>1128</td>
</tr>
<tr>
<td>902 KAR 100:025</td>
<td>Amended</td>
<td>1000</td>
</tr>
<tr>
<td>902 KAR 100:030</td>
<td>Amended</td>
<td>1011</td>
</tr>
<tr>
<td>902 KAR 100:035</td>
<td>Amended</td>
<td>1013</td>
</tr>
<tr>
<td>902 KAR 100:040</td>
<td>Amended</td>
<td>1017</td>
</tr>
<tr>
<td>902 KAR 100:045</td>
<td>Amended</td>
<td>1020</td>
</tr>
<tr>
<td>902 KAR 100:050</td>
<td>Amended</td>
<td>1023</td>
</tr>
<tr>
<td>902 KAR 100:051</td>
<td>Amended</td>
<td>1030</td>
</tr>
<tr>
<td>902 KAR 100:052</td>
<td>Amended</td>
<td>1033</td>
</tr>
<tr>
<td>902 KAR 100:057</td>
<td>Repealed</td>
<td>1035</td>
</tr>
<tr>
<td>902 KAR 100:058</td>
<td>Amended</td>
<td>1035</td>
</tr>
<tr>
<td>902 KAR 100:060</td>
<td>Amended</td>
<td>1042</td>
</tr>
<tr>
<td>902 KAR 100:065</td>
<td>Amended</td>
<td>1043</td>
</tr>
<tr>
<td>902 KAR 100:070</td>
<td>Amended</td>
<td>1044</td>
</tr>
<tr>
<td>902 KAR 100:075</td>
<td>Amended</td>
<td>1056</td>
</tr>
<tr>
<td>902 KAR 100:077</td>
<td>Repealed</td>
<td>1056</td>
</tr>
<tr>
<td>902 KAR 100:080</td>
<td>Amended</td>
<td>1058</td>
</tr>
<tr>
<td>902 KAR 100:085</td>
<td>Amended</td>
<td>1059</td>
</tr>
<tr>
<td>902 KAR 100:090</td>
<td>Amended</td>
<td>1061</td>
</tr>
<tr>
<td>902 KAR 100:095</td>
<td>Amended</td>
<td>1063</td>
</tr>
<tr>
<td>902 KAR 100:100</td>
<td>Amended</td>
<td>1065</td>
</tr>
<tr>
<td>902 KAR 100:105</td>
<td>Amended</td>
<td>1389</td>
</tr>
<tr>
<td>902 KAR 100:110</td>
<td>Amended</td>
<td>1391</td>
</tr>
<tr>
<td>902 KAR 100:115</td>
<td>Amended</td>
<td>1392</td>
</tr>
<tr>
<td>902 KAR 100:120</td>
<td>Amended</td>
<td>1395</td>
</tr>
<tr>
<td>902 KAR 100:125</td>
<td>Amended</td>
<td>1397</td>
</tr>
<tr>
<td>902 KAR 100:130</td>
<td>Amended</td>
<td>1401</td>
</tr>
<tr>
<td>902 KAR 100:136</td>
<td>Amended</td>
<td>1402</td>
</tr>
<tr>
<td>902 KAR 100:137</td>
<td>Amended</td>
<td>1406</td>
</tr>
<tr>
<td>Regulation</td>
<td>12 Ky.R. Page No.</td>
<td>Effective Date</td>
</tr>
<tr>
<td>------------</td>
<td>------------------</td>
<td>---------------</td>
</tr>
<tr>
<td>904 KAR 1:019</td>
<td>Amended</td>
<td>1079</td>
</tr>
<tr>
<td>904 KAR 1:020</td>
<td>Amended</td>
<td>451</td>
</tr>
<tr>
<td>904 KAR 1:022</td>
<td>Amended</td>
<td>1081</td>
</tr>
<tr>
<td>904 KAR 1:026</td>
<td>Amended</td>
<td>1082</td>
</tr>
<tr>
<td>904 KAR 1:027</td>
<td>Amended</td>
<td>370</td>
</tr>
<tr>
<td>904 KAR 1:031</td>
<td>Amended</td>
<td>274</td>
</tr>
<tr>
<td>904 KAR 1:036</td>
<td>Amended</td>
<td>371</td>
</tr>
<tr>
<td>Withdrawn</td>
<td>Amended</td>
<td>615</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1182</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1540</td>
</tr>
<tr>
<td>904 KAR 1:038</td>
<td>Amended</td>
<td>1786</td>
</tr>
<tr>
<td>904 KAR 1:045</td>
<td>Amended</td>
<td>280</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>378</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>282</td>
</tr>
<tr>
<td>Amended</td>
<td>Reprint</td>
<td>464</td>
</tr>
<tr>
<td>904 KAR 1:150</td>
<td>Amended</td>
<td>1787</td>
</tr>
<tr>
<td>904 KAR 1:220</td>
<td>Amended</td>
<td>284</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>621</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1084</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1546</td>
</tr>
<tr>
<td>904 KAR 1:300</td>
<td>384</td>
<td>10-8-85</td>
</tr>
<tr>
<td>904 KAR 1:310</td>
<td>386</td>
<td>10-8-85</td>
</tr>
<tr>
<td>904 KAR 1:320</td>
<td>1571</td>
<td>10-8-85</td>
</tr>
<tr>
<td>904 KAR 2:006</td>
<td>Amended</td>
<td>453</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1434</td>
</tr>
<tr>
<td>904 KAR 2:015</td>
<td>Amended</td>
<td>1188</td>
</tr>
<tr>
<td>904 KAR 2:020</td>
<td>Amended</td>
<td>1085</td>
</tr>
<tr>
<td>904 KAR 2:022</td>
<td>Amended</td>
<td>623</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>286</td>
</tr>
<tr>
<td>904 KAR 2:116</td>
<td>Amended</td>
<td>626</td>
</tr>
<tr>
<td>904 KAR 2:140</td>
<td>Amended</td>
<td>1548</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>287</td>
</tr>
<tr>
<td>904 KAR 2:150</td>
<td>Amended</td>
<td>1549</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>288</td>
</tr>
<tr>
<td>904 KAR 2:170</td>
<td>Amended</td>
<td>1550</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>627</td>
</tr>
<tr>
<td>904 KAR 2:190</td>
<td>Amended</td>
<td>288</td>
</tr>
<tr>
<td>Amended</td>
<td>Amended</td>
<td>1789</td>
</tr>
<tr>
<td>904 KAR 2:200</td>
<td>Amended</td>
<td>1550</td>
</tr>
<tr>
<td>Amended</td>
<td>73</td>
<td>8-13-85</td>
</tr>
</tbody>
</table>
# KRS INDEX

<table>
<thead>
<tr>
<th>KRS Section</th>
<th>Regulation</th>
<th>KRS Section</th>
<th>Regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chapter 7</td>
<td>1 KAR 5:010</td>
<td>Chapter 41</td>
<td>200 KAR 14:080</td>
</tr>
<tr>
<td>Chapter 13A</td>
<td>600 KAR 1:045</td>
<td>Chapter 42</td>
<td>200 KAR 14:080</td>
</tr>
<tr>
<td>13A.130</td>
<td>401 KAR 30:070</td>
<td>45.350-45.359</td>
<td>1 KAR 4:005</td>
</tr>
<tr>
<td>13A.190</td>
<td>401 KAR 30:070</td>
<td>Chapter 48</td>
<td>1 KAR 5:010</td>
</tr>
<tr>
<td>15.330</td>
<td>503 KAR 1:070</td>
<td>58.150</td>
<td>702 KAR 3:020</td>
</tr>
<tr>
<td></td>
<td>503 KAR 1:110</td>
<td>60.315</td>
<td>500 KAR 1:010</td>
</tr>
<tr>
<td>15.440</td>
<td>503 KAR 1:110</td>
<td></td>
<td>500 KAR 1:020</td>
</tr>
<tr>
<td></td>
<td>503 KAR 5:000</td>
<td></td>
<td>500 KAR 1:030</td>
</tr>
<tr>
<td>16.040</td>
<td>502 KAR 45:010</td>
<td>61.710-61.780</td>
<td>801 KAR 1:110</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:020</td>
<td>61.870-61.884</td>
<td>1 KAR 5:010</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:030</td>
<td>61.874</td>
<td>20 KAR 1:010</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:050</td>
<td>95A.040</td>
<td>201 KAR 20:115</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:070</td>
<td>96A.010-96A.370</td>
<td>815 KAR 45:030</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:090</td>
<td>131.345</td>
<td>603 KAR 7:040</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:100</td>
<td>136.070</td>
<td>802 KAR 1:010</td>
</tr>
<tr>
<td>16.050</td>
<td>502 KAR 45:010</td>
<td>139.050</td>
<td>103 KAR 20:010</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:020</td>
<td>139.090</td>
<td>103 KAR 27:080</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:030</td>
<td>139.100</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:040</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:060</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:080</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:090</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:100</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:110</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:120</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 45:130</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td>16.060</td>
<td>502 KAR 40:010</td>
<td>139.120</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:020</td>
<td>139.130</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:030</td>
<td>139.150</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:040</td>
<td>139.210</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:090</td>
<td>139.260</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:100</td>
<td>139.290</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:200</td>
<td>139.310</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:300</td>
<td>139.320</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 40:400</td>
<td>139.330</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:010</td>
<td>139.340</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:020</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:030</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:040</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:050</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:060</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:070</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:080</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:090</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:100</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:110</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:120</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:130</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:140</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:150</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:160</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:170</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td></td>
<td>502 KAR 35:180</td>
<td></td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td>Chapter 18A</td>
<td>600 KAR 1:045</td>
<td>139.620</td>
<td>103 KAR 28:051</td>
</tr>
<tr>
<td>18A.005</td>
<td>101 KAR 1:070</td>
<td>140.250</td>
<td>103 KAR 7:020</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:120</td>
<td>140.990</td>
<td>103 KAR 7:020</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:051</td>
<td></td>
<td>301 KAR 1:055</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:070</td>
<td></td>
<td>301 KAR 1:057</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:140</td>
<td></td>
<td>301 KAR 1:145</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:145</td>
<td></td>
<td>301 KAR 1:150</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:205</td>
<td></td>
<td>301 KAR 1:170</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:051</td>
<td></td>
<td>301 KAR 1:180</td>
</tr>
<tr>
<td>18A.075</td>
<td>101 KAR 1:120</td>
<td></td>
<td>301 KAR 2:040</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:140</td>
<td></td>
<td>301 KAR 2:044</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:145</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td>18A.095</td>
<td>101 KAR 1:051</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:120</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:140</td>
<td></td>
<td>301 KAR 2:111</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:145</td>
<td></td>
<td>301 KAR 2:140</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:170</td>
<td></td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:180</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:205</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:051</td>
<td></td>
<td>301 KAR 3:010</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:120</td>
<td></td>
<td>301 KAR 3:030</td>
</tr>
<tr>
<td></td>
<td>101 KAR 1:200</td>
<td></td>
<td>301 KAR 3:040</td>
</tr>
<tr>
<td>18A.120</td>
<td>101 KAR 1:205</td>
<td>150.015</td>
<td>301 KAR 2:044</td>
</tr>
<tr>
<td>18A.140</td>
<td>101 KAR 1:051</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td>18A.155</td>
<td>101 KAR 1:120</td>
<td></td>
<td>301 KAR 4:050</td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>-------------</td>
<td>------------</td>
<td>-------------</td>
<td>------------</td>
</tr>
<tr>
<td>150.025</td>
<td>301 KAR 1:015</td>
<td>150.330 (cont'd)</td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:122</td>
<td></td>
<td>301 KAR 3:030</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:145</td>
<td></td>
<td>301 KAR 2:044</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:150</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:170</td>
<td></td>
<td>301 KAR 2:047</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:180</td>
<td></td>
<td>301 KAR 2:111</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:040</td>
<td></td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:044</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 2:044</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 2:047</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 2:111</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:210</td>
<td></td>
<td>301 KAR 2:140</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:220</td>
<td></td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 3:010</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>301 KAR 3:030</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>301 KAR 4:050</td>
<td></td>
<td>301 KAR 3:030</td>
</tr>
<tr>
<td>150.105</td>
<td>301 KAR 2:210</td>
<td>150.365</td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td>150.120</td>
<td>301 KAR 1:145</td>
<td></td>
<td>301 KAR 2:140</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:150</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td>150.170</td>
<td>301 KAR 2:044</td>
<td></td>
<td>301 KAR 2:047</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td></td>
<td>301 KAR 2:111</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 2:045</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 3:030</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td>150.175</td>
<td>301 KAR 1:057</td>
<td>150.415</td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:122</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:145</td>
<td>150.416</td>
<td>301 KAR 2:170</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:150</td>
<td></td>
<td>301 KAR 2:210</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:040</td>
<td>150.445</td>
<td>301 KAR 1:057</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 1:145</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 1:150</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 1:145</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:210</td>
<td></td>
<td>301 KAR 1:150</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:220</td>
<td></td>
<td>301 KAR 3:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td>150.450</td>
<td>301 KAR 1:055</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 1:180</td>
</tr>
<tr>
<td>150.176</td>
<td>301 KAR 1:122</td>
<td>150.460</td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>301 KAR 1:170</td>
<td>150.470</td>
<td>301 KAR 4:050</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 2:044</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td>150.190</td>
<td>301 KAR 1:122</td>
<td>150.620</td>
<td>301 KAR 1:015</td>
</tr>
<tr>
<td>150.235</td>
<td>301 KAR 1:122</td>
<td></td>
<td>301 KAR 3:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:220</td>
<td></td>
<td>301 KAR 1:015</td>
</tr>
<tr>
<td>150.240</td>
<td>301 KAR 2:220</td>
<td>150.625</td>
<td>301 KAR 1:015</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:044</td>
<td></td>
<td>301 KAR 2:220</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td>150.630</td>
<td>301 KAR 2:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 3:010</td>
<td>150.640</td>
<td>301 KAR 2:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 3:050</td>
<td>150.990</td>
<td>301 KAR 1:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 4:050</td>
<td>156.010</td>
<td>301 KAR 1:010</td>
</tr>
<tr>
<td>150.305</td>
<td>301 KAR 2:044</td>
<td></td>
<td>301 KAR 1:010</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td></td>
<td>301 KAR 1:020</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 1:003</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 4:050</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 1:010</td>
</tr>
<tr>
<td>150.320</td>
<td>301 KAR 2:044</td>
<td>156.070</td>
<td>301 KAR 4:050</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 3:029</td>
</tr>
<tr>
<td>150.330</td>
<td>301 KAR 2:044</td>
<td>156.095</td>
<td>301 KAR 156.101</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:045</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:047</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:111</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:140</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:170</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:210</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td></td>
<td>301 KAR 2:210</td>
<td></td>
<td>301 KAR 3:035</td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>-----------------</td>
<td>------------</td>
<td>-----------------</td>
<td>------------</td>
</tr>
<tr>
<td>156.152</td>
<td>702 KAR 5:060</td>
<td>164.744</td>
<td>11 KAR 3:050</td>
</tr>
<tr>
<td>156.153</td>
<td>702 KAR 5:060</td>
<td>164.748</td>
<td>11 KAR 6:010</td>
</tr>
<tr>
<td>156.154</td>
<td>702 KAR 5:060</td>
<td>164.753</td>
<td>11 KAR 3:050</td>
</tr>
<tr>
<td>156.160</td>
<td>702 KAR 5:060</td>
<td>164.780</td>
<td>11 KAR 5:090</td>
</tr>
<tr>
<td>156.611</td>
<td>704 KAR 3:304</td>
<td>164.785</td>
<td>11 KAR 6:010</td>
</tr>
<tr>
<td>156.613</td>
<td>704 KAR 4:010</td>
<td>164.785</td>
<td>11 KAR 5:010</td>
</tr>
<tr>
<td>157.360</td>
<td>704 KAR 15:100</td>
<td></td>
<td>11 KAR 5:010</td>
</tr>
<tr>
<td>157.370</td>
<td>707 KAR 1:003</td>
<td>183.861–183.990</td>
<td>11 KAR 5:010</td>
</tr>
<tr>
<td>157.390</td>
<td>707 KAR 1:051</td>
<td>186.021</td>
<td>11 KAR 5:080</td>
</tr>
<tr>
<td>157.420</td>
<td>704 KAR 3:025</td>
<td>186A.040</td>
<td>11 KAR 5:090</td>
</tr>
<tr>
<td>157.622</td>
<td>707 KAR 1:00</td>
<td>189.221</td>
<td>11 KAR 5:100</td>
</tr>
<tr>
<td>Chapter 158</td>
<td>189.271</td>
<td>189.222</td>
<td>725 KAR 2:020</td>
</tr>
<tr>
<td>158.036</td>
<td>902 KAR 2:090</td>
<td>190.080</td>
<td>600 KAR 1:020</td>
</tr>
<tr>
<td>158.037</td>
<td>902 KAR 2:090</td>
<td>177.020</td>
<td>603 KAR 3:030</td>
</tr>
<tr>
<td>158.070</td>
<td>704 KAR 3:035</td>
<td>183.861–183.990</td>
<td>602 KAR 50:100</td>
</tr>
<tr>
<td>158.650–158.740</td>
<td>704 KAR 3:025</td>
<td>186.021</td>
<td>806 KAR 39:070</td>
</tr>
<tr>
<td>158.750</td>
<td>704 KAR 3:035</td>
<td>186A.040</td>
<td>806 KAR 39:070</td>
</tr>
<tr>
<td>158.795</td>
<td>700 KAR 1:010</td>
<td>189.221</td>
<td>601 KAR 1:020</td>
</tr>
<tr>
<td>158.805</td>
<td>701 KAR 7:010</td>
<td>189.222</td>
<td>601 KAR 1:020</td>
</tr>
<tr>
<td>161.020</td>
<td>704 KAR 20:005</td>
<td>194.030</td>
<td>603 KAR 5:070</td>
</tr>
<tr>
<td>161.025</td>
<td>704 KAR 20:045</td>
<td>194.050</td>
<td>603 KAR 5:096</td>
</tr>
<tr>
<td>161.030</td>
<td>704 KAR 20:120</td>
<td>194.060</td>
<td>601 KAR 1:020</td>
</tr>
<tr>
<td>161.044</td>
<td>704 KAR 20:230</td>
<td></td>
<td>902 KAR 17:010</td>
</tr>
<tr>
<td>161.100</td>
<td>704 KAR 20:235</td>
<td></td>
<td>903 KAR 6:020</td>
</tr>
<tr>
<td>162.080–162.100</td>
<td>704 KAR 20:245</td>
<td></td>
<td>904 KAR 2:120</td>
</tr>
<tr>
<td>162.120–162.290</td>
<td>704 KAR 20:245</td>
<td></td>
<td>904 KAR 2:150</td>
</tr>
<tr>
<td>162.300</td>
<td>704 KAR 20:245</td>
<td></td>
<td>904 KAR 2:190</td>
</tr>
<tr>
<td>163.020</td>
<td>704 KAR 20:245</td>
<td></td>
<td>904 KAR 2:200</td>
</tr>
<tr>
<td>163.030</td>
<td>704 KAR 20:250</td>
<td></td>
<td>904 KAR 3:000</td>
</tr>
<tr>
<td>163.140</td>
<td>704 KAR 20:005</td>
<td>194.060</td>
<td>905 KAR 1:180</td>
</tr>
<tr>
<td>163.160</td>
<td>704 KAR 20:045</td>
<td>Chapter 196</td>
<td>902 KAR 4:060</td>
</tr>
<tr>
<td>163.450–163.470</td>
<td>704 KAR 20:120</td>
<td>501 KAR 6:020</td>
<td>903 KAR 2:010</td>
</tr>
<tr>
<td>164.740–164.785</td>
<td>704 KAR 20:245</td>
<td>501 KAR 6:060</td>
<td>905 KAR 6:000</td>
</tr>
<tr>
<td>Chapter 197</td>
<td>704 KAR 15:080</td>
<td>501 KAR 6:080</td>
<td>905 KAR 6:090</td>
</tr>
<tr>
<td>Chapter 198B</td>
<td>704 KAR 20:05</td>
<td>Chapter 202A</td>
<td>815 KAR 7:013</td>
</tr>
<tr>
<td>199.011</td>
<td>702 KAR 3:020</td>
<td>202A.201</td>
<td>904 KAR 12:030</td>
</tr>
<tr>
<td>199.011–199.375</td>
<td>702 KAR 3:020</td>
<td>Chapter 205</td>
<td>904 KAR 2:060</td>
</tr>
<tr>
<td>199.335</td>
<td>702 KAR 3:020</td>
<td>205.010</td>
<td>904 KAR 2:060</td>
</tr>
<tr>
<td>199.420–199.990</td>
<td>702 KAR 3:020</td>
<td>205.200</td>
<td>904 KAR 2:140</td>
</tr>
<tr>
<td>200.080–200.120</td>
<td>702 KAR 3:020</td>
<td>205.200</td>
<td>904 KAR 2:150</td>
</tr>
<tr>
<td>Chapter 202A</td>
<td>706 KAR 1:010</td>
<td>205.200</td>
<td>904 KAR 2:150</td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>-------------</td>
<td>------------</td>
<td>-------------</td>
<td>------------</td>
</tr>
<tr>
<td>205.201</td>
<td>905 KAR 8:040</td>
<td>211.842-211.822 (cont'd)</td>
<td>902 KAR 100:020</td>
</tr>
<tr>
<td>205.201-205.204</td>
<td>905 KAR 8:060</td>
<td>902 KAR 100:021</td>
<td></td>
</tr>
<tr>
<td>205.204</td>
<td>905 KAR 1:180</td>
<td>902 KAR 100:022</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 8:030</td>
<td>902 KAR 100:025</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 8:040</td>
<td>902 KAR 100:030</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 8:060</td>
<td>902 KAR 100:035</td>
<td></td>
</tr>
<tr>
<td>205.220</td>
<td>904 KAR 2:150</td>
<td>902 KAR 100:040</td>
<td></td>
</tr>
<tr>
<td>205.231</td>
<td>904 KAR 2:150</td>
<td>902 KAR 100:045</td>
<td></td>
</tr>
<tr>
<td>205.245</td>
<td>904 KAR 2:015</td>
<td>902 KAR 100:050</td>
<td></td>
</tr>
<tr>
<td>205.455-205.465</td>
<td>905 KAR 8:120</td>
<td>902 KAR 100:051</td>
<td></td>
</tr>
<tr>
<td>205.510-205.990</td>
<td>904 KAR 1:220</td>
<td>902 KAR 100:052</td>
<td></td>
</tr>
<tr>
<td>205.520</td>
<td>904 KAR 1:004</td>
<td>902 KAR 100:058</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:009</td>
<td>902 KAR 100:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:011</td>
<td>902 KAR 100:065</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:012</td>
<td>902 KAR 100:070</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:013</td>
<td>902 KAR 100:075</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:015</td>
<td>902 KAR 100:080</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:019</td>
<td>902 KAR 100:085</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:022</td>
<td>902 KAR 100:090</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:026</td>
<td>902 KAR 100:095</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:027</td>
<td>902 KAR 100:100</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:031</td>
<td>902 KAR 100:105</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:036</td>
<td>902 KAR 100:110</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:038</td>
<td>902 KAR 100:115</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:045</td>
<td>902 KAR 100:120</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:055</td>
<td>902 KAR 100:125</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:150</td>
<td>902 KAR 100:130</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:250</td>
<td>902 KAR 100:135</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:300</td>
<td>902 KAR 100:136</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:320</td>
<td>902 KAR 100:137</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:320</td>
<td>902 KAR 100:140</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:320</td>
<td>902 KAR 100:142</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:320</td>
<td>902 KAR 100:143</td>
<td></td>
</tr>
<tr>
<td>205.550</td>
<td>904 KAR 1:010</td>
<td>902 KAR 100:145</td>
<td></td>
</tr>
<tr>
<td>205.560</td>
<td>904 KAR 1:020</td>
<td>902 KAR 100:150</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:010</td>
<td>902 KAR 100:155</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 1:020</td>
<td>902 KAR 100:160</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 2:020</td>
<td>902 KAR 100:165</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 2:020</td>
<td>902 KAR 100:170</td>
<td></td>
</tr>
<tr>
<td></td>
<td>904 KAR 2:170</td>
<td>902 KAR 105:010</td>
<td></td>
</tr>
<tr>
<td>205.900-205.920</td>
<td>905 KAR 8:090</td>
<td>902 KAR 105:020</td>
<td></td>
</tr>
<tr>
<td>Chapter 208</td>
<td>905 KAR 1:180</td>
<td>902 KAR 105:030</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:030</td>
<td>902 KAR 105:040</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:060</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:080</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:110</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:120</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:130</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:140</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:150</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:160</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:170</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:180</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:190</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:200</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:210</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 7:220</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 1:180</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 5:040</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 5:050</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td>209.160</td>
<td>905 KAR 5:050</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 5:040</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td></td>
<td>905 KAR 5:050</td>
<td>902 KAR 105:060</td>
<td></td>
</tr>
<tr>
<td>Chapter 210</td>
<td>902 KAR 12:080</td>
<td>902 KAR 100:005</td>
<td></td>
</tr>
<tr>
<td>210.370-210.460</td>
<td>902 KAR 6:060</td>
<td>902 KAR 100:010</td>
<td></td>
</tr>
<tr>
<td>211.170</td>
<td>902 KAR 8:030</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td>211.180</td>
<td>902 KAR 2:090</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 4:060</td>
<td>902 KAR 100:012</td>
<td></td>
</tr>
<tr>
<td>211.350-211.380</td>
<td>902 KAR 10:081</td>
<td>902 KAR 100:022</td>
<td></td>
</tr>
<tr>
<td>211.840-211.852</td>
<td>902 KAR 100:012</td>
<td>902 KAR 100:025</td>
<td></td>
</tr>
<tr>
<td>211.842-211.852</td>
<td>902 KAR 100:012</td>
<td>902 KAR 100:025</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 100:005</td>
<td>902 KAR 100:025</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 100:010</td>
<td>902 KAR 100:030</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 100:015</td>
<td>902 KAR 100:035</td>
<td></td>
</tr>
<tr>
<td></td>
<td>902 KAR 100:017</td>
<td>902 KAR 100:040</td>
<td></td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>-------------</td>
<td>------------</td>
<td>-------------</td>
<td>------------</td>
</tr>
<tr>
<td>211.990 (cont'd)</td>
<td>902 KAR 100:051</td>
<td>224.020 (cont'd)</td>
<td>401 KAR 5:075</td>
</tr>
<tr>
<td>902 KAR 100:052</td>
<td>401 KAR 5:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:058</td>
<td>401 KAR 5:085</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:060</td>
<td>401 KAR 5:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:065</td>
<td>401 KAR 5:055</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:070</td>
<td>401 KAR 5:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:075</td>
<td>401 KAR 5:065</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:080</td>
<td>401 KAR 5:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:085</td>
<td>401 KAR 5:075</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:090</td>
<td>401 KAR 5:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:095</td>
<td>401 KAR 5:085</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:100</td>
<td>401 KAR 30:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:105</td>
<td>401 KAR 30:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:110</td>
<td>401 KAR 30:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:115</td>
<td>401 KAR 30:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:120</td>
<td>401 KAR 31:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:125</td>
<td>401 KAR 31:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:130</td>
<td>401 KAR 32:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:136</td>
<td>401 KAR 32:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:137</td>
<td>401 KAR 34:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:140</td>
<td>401 KAR 34:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:142</td>
<td>401 KAR 34:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:145</td>
<td>401 KAR 34:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:150</td>
<td>401 KAR 34:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:155</td>
<td>401 KAR 34:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:160</td>
<td>401 KAR 34:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:165</td>
<td>401 KAR 34:090</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 100:170</td>
<td>401 KAR 34:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:010</td>
<td>401 KAR 34:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:020</td>
<td>401 KAR 34:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:030</td>
<td>401 KAR 34:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:040</td>
<td>401 KAR 34:220</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:050</td>
<td>401 KAR 34:230</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:060</td>
<td>401 KAR 34:240</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:070</td>
<td>401 KAR 35:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:080</td>
<td>401 KAR 35:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:090</td>
<td>401 KAR 35:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:100</td>
<td>401 KAR 35:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:110</td>
<td>401 KAR 35:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:120</td>
<td>401 KAR 35:190</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:130</td>
<td>401 KAR 35:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:140</td>
<td>401 KAR 35:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:150</td>
<td>401 KAR 35:220</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:160</td>
<td>401 KAR 35:230</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:170</td>
<td>401 KAR 35:240</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:180</td>
<td>401 KAR 35:250</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:190</td>
<td>401 KAR 36:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:200</td>
<td>401 KAR 36:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:210</td>
<td>401 KAR 36:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:220</td>
<td>401 KAR 36:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:230</td>
<td>401 KAR 38:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:240</td>
<td>401 KAR 38:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:250</td>
<td>401 KAR 38:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:260</td>
<td>401 KAR 38:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:270</td>
<td>401 KAR 38:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:280</td>
<td>401 KAR 38:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:290</td>
<td>401 KAR 38:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:300</td>
<td>401 KAR 38:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:310</td>
<td>401 KAR 38:160</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:320</td>
<td>401 KAR 38:170</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:330</td>
<td>401 KAR 38:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:340</td>
<td>401 KAR 38:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:350</td>
<td>401 KAR 38:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:360</td>
<td>401 KAR 39:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:370</td>
<td>401 KAR 39:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:380</td>
<td>401 KAR 39:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:390</td>
<td>401 KAR 42:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:400</td>
<td>405 KAR 7:000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:410</td>
<td>401 KAR 5:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:420</td>
<td>401 KAR 5:055</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:430</td>
<td>401 KAR 5:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:440</td>
<td>401 KAR 5:065</td>
<td></td>
<td></td>
</tr>
<tr>
<td>902 KAR 105:450</td>
<td>401 KAR 5:070</td>
<td>224.034</td>
<td></td>
</tr>
<tr>
<td>KRS Section (cont'd)</td>
<td>Regulation</td>
<td>KRS Section</td>
<td></td>
</tr>
<tr>
<td>---------------------</td>
<td>------------</td>
<td>-------------</td>
<td></td>
</tr>
<tr>
<td>224.034</td>
<td>401 KAR 5:070</td>
<td>224.083</td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:075</td>
<td>224.085</td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:080</td>
<td>224.087</td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:085</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:090</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:160</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:170</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:050</td>
<td>224.320</td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:055</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:065</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:080</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:085</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:190</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:220</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:230</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:240</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 32:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 32:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 32:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 32:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 32:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:030</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:090</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:180</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:190</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:220</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:230</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 34:240</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:010</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:020</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:070</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:090</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:100</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:160</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:200</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 38:210</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:085</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 5:087</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 36:040</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 36:050</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 36:060</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>401 KAR 36:070</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

KRS Section 224.073

KRS Section 224.081
<table>
<thead>
<tr>
<th>KRS Section</th>
<th>Regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td>224.830-224.877 (cont'd)</td>
<td>224.994 (cont'd)</td>
</tr>
<tr>
<td>401 KAR 38:020</td>
<td>401 KAR 36:060</td>
</tr>
<tr>
<td>401 KAR 38:030</td>
<td>401 KAR 36:070</td>
</tr>
<tr>
<td>401 KAR 38:040</td>
<td>401 KAR 38:020</td>
</tr>
<tr>
<td>401 KAR 38:050</td>
<td>401 KAR 38:030</td>
</tr>
<tr>
<td>401 KAR 38:060</td>
<td>401 KAR 38:040</td>
</tr>
<tr>
<td>401 KAR 38:070</td>
<td>401 KAR 38:050</td>
</tr>
<tr>
<td>401 KAR 38:090</td>
<td>401 KAR 38:060</td>
</tr>
<tr>
<td>401 KAR 38:100</td>
<td>401 KAR 38:070</td>
</tr>
<tr>
<td>401 KAR 38:160</td>
<td>401 KAR 38:090</td>
</tr>
<tr>
<td>401 KAR 38:170</td>
<td>401 KAR 38:100</td>
</tr>
<tr>
<td>401 KAR 38:180</td>
<td>401 KAR 38:160</td>
</tr>
<tr>
<td>401 KAR 38:200</td>
<td>401 KAR 38:170</td>
</tr>
<tr>
<td>401 KAR 38:210</td>
<td>401 KAR 38:180</td>
</tr>
<tr>
<td>401 KAR 39:010</td>
<td>401 KAR 38:200</td>
</tr>
<tr>
<td>401 KAR 39:080</td>
<td>401 KAR 38:210</td>
</tr>
<tr>
<td>401 KAR 39:010</td>
<td>401 KAR 39:010</td>
</tr>
<tr>
<td>401 KAR 30:020</td>
<td>401 KAR 39:020</td>
</tr>
<tr>
<td>401 KAR 30:070</td>
<td>401 KAR 39:080</td>
</tr>
<tr>
<td>401 KAR 30:080</td>
<td>227.570</td>
</tr>
<tr>
<td>401 KAR 42:010</td>
<td>815 KAR 25:010</td>
</tr>
<tr>
<td>401 KAR 39:020</td>
<td>229.071</td>
</tr>
<tr>
<td>401 KAR 39:020</td>
<td>815 KAR 25:020</td>
</tr>
<tr>
<td>401 KAR 39:020</td>
<td>229.081</td>
</tr>
<tr>
<td>401 KAR 39:020</td>
<td>201 KAR 27:010</td>
</tr>
<tr>
<td>401 KAR 5:055</td>
<td>229.091</td>
</tr>
<tr>
<td>401 KAR 5:055</td>
<td>201 KAR 27:015</td>
</tr>
<tr>
<td>401 KAR 5:065</td>
<td>229.171</td>
</tr>
<tr>
<td>401 KAR 5:065</td>
<td>201 KAR 27:010</td>
</tr>
<tr>
<td>401 KAR 30:010</td>
<td>229.991</td>
</tr>
<tr>
<td>401 KAR 30:010</td>
<td>201 KAR 27:015</td>
</tr>
<tr>
<td>401 KAR 230.210-230.360</td>
<td>810 KAR 1:013</td>
</tr>
<tr>
<td>401 KAR 30:020</td>
<td>810 KAR 1:018</td>
</tr>
<tr>
<td>401 KAR 30:070</td>
<td>811 KAR 1:070</td>
</tr>
<tr>
<td>401 KAR 30:080</td>
<td>811 KAR 1:090</td>
</tr>
<tr>
<td>401 KAR 30:080</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 31:010</td>
<td>811 KAR 1:225</td>
</tr>
<tr>
<td>401 KAR 30:090</td>
<td>230.630</td>
</tr>
<tr>
<td>401 KAR 31:010</td>
<td>811 KAR 1:075</td>
</tr>
<tr>
<td>401 KAR 30:090</td>
<td>811 KAR 1:090</td>
</tr>
<tr>
<td>401 KAR 31:010</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 31:060</td>
<td>811 KAR 1:225</td>
</tr>
<tr>
<td>401 KAR 31:070</td>
<td>230.640</td>
</tr>
<tr>
<td>401 KAR 31:070</td>
<td>811 KAR 1:075</td>
</tr>
<tr>
<td>401 KAR 31:120</td>
<td>811 KAR 1:090</td>
</tr>
<tr>
<td>401 KAR 31:160</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 31:170</td>
<td>811 KAR 1:225</td>
</tr>
<tr>
<td>401 KAR 31:170</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 31:190</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 32:010</td>
<td>230.680</td>
</tr>
<tr>
<td>401 KAR 32:020</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 32:030</td>
<td>230.700</td>
</tr>
<tr>
<td>401 KAR 32:040</td>
<td>811 KAR 1:070</td>
</tr>
<tr>
<td>401 KAR 32:050</td>
<td>811 KAR 1:090</td>
</tr>
<tr>
<td>401 KAR 32:100</td>
<td>811 KAR 1:070</td>
</tr>
<tr>
<td>401 KAR 34:010</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 34:020</td>
<td>811 KAR 1:215</td>
</tr>
<tr>
<td>401 KAR 34:030</td>
<td>811 KAR 1:220</td>
</tr>
<tr>
<td>401 KAR 34:040</td>
<td>804 KAR 4:280</td>
</tr>
<tr>
<td>401 KAR 34:050</td>
<td>804 KAR 4:280</td>
</tr>
<tr>
<td>401 KAR 34:060</td>
<td>Chapter 246</td>
</tr>
<tr>
<td>401 KAR 34:070</td>
<td>302 KAR 20:010</td>
</tr>
<tr>
<td>401 KAR 34:090</td>
<td>247.610-247.685</td>
</tr>
<tr>
<td>401 KAR 34:100</td>
<td>302 KAR 1:030</td>
</tr>
<tr>
<td>401 KAR 34:090</td>
<td>250.396</td>
</tr>
<tr>
<td>401 KAR 34:100</td>
<td>12 KAR 4:140</td>
</tr>
<tr>
<td>401 KAR 34:100</td>
<td>12 KAR 4:110</td>
</tr>
<tr>
<td>401 KAR 34:100</td>
<td>302 KAR 34:040</td>
</tr>
<tr>
<td>401 KAR 34:180</td>
<td>302 KAR 34:040</td>
</tr>
<tr>
<td>401 KAR 34:190</td>
<td>302 KAR 20:010</td>
</tr>
<tr>
<td>401 KAR 34:200</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 34:210</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 34:220</td>
<td>302 KAR 20:180</td>
</tr>
<tr>
<td>401 KAR 34:220</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 34:230</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 34:230</td>
<td>302 KAR 20:180</td>
</tr>
<tr>
<td>401 KAR 34:240</td>
<td>257.020</td>
</tr>
<tr>
<td>401 KAR 35:010</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 35:020</td>
<td>257.030</td>
</tr>
<tr>
<td>401 KAR 35:030</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 35:050</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 35:070</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 35:150</td>
<td>302 KAR 20:055</td>
</tr>
<tr>
<td>401 KAR 35:150</td>
<td>905 KAR 6:020</td>
</tr>
<tr>
<td>401 KAR 35:150</td>
<td>1 KAR 4:005</td>
</tr>
<tr>
<td>401 KAR 35:150</td>
<td>905 KAR 3:040</td>
</tr>
<tr>
<td>401 KAR 35:200</td>
<td>1 KAR 4:005</td>
</tr>
<tr>
<td>401 KAR 35:210</td>
<td>807 KAR 5:002</td>
</tr>
<tr>
<td>401 KAR 35:220</td>
<td>807 KAR 5:006</td>
</tr>
<tr>
<td>401 KAR 35:230</td>
<td>807 KAR 5:067</td>
</tr>
<tr>
<td>401 KAR 35:240</td>
<td>808 KAR 1:070</td>
</tr>
<tr>
<td>401 KAR 35:240</td>
<td>808 KAR 1:120</td>
</tr>
<tr>
<td>401 KAR 35:250</td>
<td>287.061</td>
</tr>
<tr>
<td>401 KAR 35:250</td>
<td>287.095</td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>-------------</td>
<td>------------</td>
</tr>
<tr>
<td>287.100</td>
<td>808 KAR 01:080</td>
</tr>
<tr>
<td>287.103</td>
<td>808 KAR 01:080</td>
</tr>
<tr>
<td>287.180</td>
<td>808 KAR 01:060</td>
</tr>
<tr>
<td>287.900</td>
<td>808 KAR 01:010</td>
</tr>
<tr>
<td>287.905</td>
<td>808 KAR 01:020</td>
</tr>
<tr>
<td>288.450</td>
<td>808 KAR 01:070</td>
</tr>
<tr>
<td>289.051</td>
<td>808 KAR 01:070</td>
</tr>
<tr>
<td>289.061</td>
<td>808 KAR 01:060</td>
</tr>
<tr>
<td>290.015</td>
<td>808 KAR 01:070</td>
</tr>
<tr>
<td>290.055</td>
<td>808 KAR 01:060</td>
</tr>
<tr>
<td>290.070</td>
<td>808 KAR 03:050</td>
</tr>
<tr>
<td>290.100</td>
<td>808 KAR 03:050</td>
</tr>
<tr>
<td>290.225</td>
<td>808 KAR 03:050</td>
</tr>
<tr>
<td>290.585</td>
<td>808 KAR 03:050</td>
</tr>
<tr>
<td>290.715</td>
<td>808 KAR 03:050</td>
</tr>
<tr>
<td>291.440</td>
<td>808 KAR 01:070</td>
</tr>
<tr>
<td>292.380</td>
<td>808 KAR 10:230</td>
</tr>
<tr>
<td>292.410</td>
<td>808 KAR 10:210</td>
</tr>
<tr>
<td>304.4-010</td>
<td>808 KAR 38:030</td>
</tr>
<tr>
<td>304.12-020</td>
<td>808 KAR 39:070</td>
</tr>
<tr>
<td>304.18-040</td>
<td>808 KAR 18:020</td>
</tr>
<tr>
<td>304.32-080</td>
<td>808 KAR 18:020</td>
</tr>
<tr>
<td>304.38-050</td>
<td>808 KAR 38:030</td>
</tr>
<tr>
<td>304.38-180</td>
<td>808 KAR 38:030</td>
</tr>
<tr>
<td>304.39-080</td>
<td>808 KAR 39:070</td>
</tr>
<tr>
<td>304.39-085</td>
<td>808 KAR 39:070</td>
</tr>
<tr>
<td>311.271</td>
<td>201 KAR 9:021</td>
</tr>
<tr>
<td>311.530-311.620</td>
<td>201 KAR 9:021</td>
</tr>
<tr>
<td>311.990</td>
<td>201 KAR 9:021</td>
</tr>
<tr>
<td>314.011</td>
<td>201 KAR 20:056</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:115</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:310</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td>314.031</td>
<td>201 KAR 20:115</td>
</tr>
<tr>
<td>314.041</td>
<td>201 KAR 20:115</td>
</tr>
<tr>
<td>314.042</td>
<td>201 KAR 20:056</td>
</tr>
<tr>
<td>314.051</td>
<td>201 KAR 20:115</td>
</tr>
<tr>
<td>314.071</td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td>314.091</td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td>314.101</td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td>314.111</td>
<td>201 KAR 20:310</td>
</tr>
<tr>
<td>314.131</td>
<td>201 KAR 20:056</td>
</tr>
<tr>
<td>314.161</td>
<td>201 KAR 20:056</td>
</tr>
<tr>
<td>314.991</td>
<td>201 KAR 20:162</td>
</tr>
<tr>
<td>315.050</td>
<td>201 KAR 2:010</td>
</tr>
<tr>
<td>317A.010</td>
<td>201 KAR 12:120</td>
</tr>
<tr>
<td>317A.060</td>
<td>201 KAR 12:105</td>
</tr>
<tr>
<td>317A.090</td>
<td>201 KAR 12:110</td>
</tr>
<tr>
<td>317A.100</td>
<td>201 KAR 12:050</td>
</tr>
<tr>
<td></td>
<td>201 KAR 12:050</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:010</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:110</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:120</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:130</td>
</tr>
<tr>
<td></td>
<td>201 KAR 20:191</td>
</tr>
<tr>
<td></td>
<td>201 KAR 16:020</td>
</tr>
<tr>
<td></td>
<td>201 KAR 16:020</td>
</tr>
<tr>
<td></td>
<td>201 KAR 16:020</td>
</tr>
<tr>
<td>KRS Section</td>
<td>Regulation</td>
</tr>
<tr>
<td>--------------</td>
<td>------------</td>
</tr>
<tr>
<td>350.100 (cont'd)</td>
<td>405 KAR 18:110</td>
</tr>
<tr>
<td>350.110</td>
<td>405 KAR 18:00</td>
</tr>
<tr>
<td>350.113</td>
<td>405 KAR 00:12</td>
</tr>
<tr>
<td>350.130</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.151</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.255</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.405</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.410</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.415</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.420</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.421</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.440</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.445</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.450</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.465</td>
<td>405 KAR 00:10</td>
</tr>
<tr>
<td>350.610</td>
<td>405 KAR 00:10</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>KRS Section</th>
<th>Regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td>350.990</td>
<td>405 KAR 12:02</td>
</tr>
<tr>
<td>351.102</td>
<td>805 KAR 07:00</td>
</tr>
<tr>
<td>351.105</td>
<td>805 KAR 07:00</td>
</tr>
<tr>
<td>350.400-350.530</td>
<td>904 KAR 02:00</td>
</tr>
<tr>
<td>Chapter 439</td>
<td>501 KAR 02:00</td>
</tr>
<tr>
<td>Chapter 441</td>
<td>501 KAR 03:15</td>
</tr>
<tr>
<td>HCR 5 (1985 S. Session) 702</td>
<td>501 KAR 05:14</td>
</tr>
</tbody>
</table>
ACCOUNTANCY
Certification standards; 201 KAR 1:062
Examination application; 201 KAR 1:035
Quality enhancement program; 201 KAR 1:067

AERONAUTICS
Airport Zoning
Marking, lighting standards; 602 KAR 50:100

AGRICULTURAL EXPERIMENT STATION
(See also particular subject)
Fertilizer; 12 KAR Chapter 4

AGRICULTURE
Grain insurance, dealers; 302 KAR Chapter 34
Livestock sanitation; 302 KAR Chapter 20
Pesticides; 302 KAR Chapter 31
Referendums; 302 KAR Chapter 1

AIR POLLUTION
General Administrative Procedures
Permits; 401 KAR 50:035
Stack height; 401 KAR 50:042
New Source Requirements; Non-Attainment Areas
Prevention of significant deterioration; 401 KAR 51:017
Review; 401 KAR 51:052

ALCOHOLIC BEVERAGE CONTROL
Licensing
Affiliated businesses; 804 KAR 4:280

ATHLETIC COMMISSION
Fees, fines, forfeitures; payment; 201 KAR 27:015
General requirements; 201 KAR 27:010

AUCTIONEERS
License, active military duty; 201 KAR 3:065

BLIND, DEPARTMENT FOR
Federal program; 720 KAR 1:010

BLOCK GRANTS
Oversight procedures; 1 KAR 4:005

CORRECTIONS
Jails
Hearings, procedures, dispositions; 501 KAR 3:150 and E
Policies and Procedures
Cabinet; 501 KAR 6:020 and E
Correctional Institute for Women; 501 KAR 6:070
Frankfort Career Development Center; 501 KAR 6:090
Luther Luckett Complex; 501 KAR 6:050
Northpoint Training Center; 501 KAR 6:060
State Penitentiary; 501 KAR 6:040
State Reformatory; 501 KAR 6:030

COMPUTER INFORMATION ACCESS
(See Legislative Research Commission)

EDUCATION (AND HUMANITIES)
Blind; 720 KAR Chapter 1
Exceptional Children
Programs; 707 KAR Chapter 1
Instruction
Elementary, secondary; 704 KAR Chapter 10
Health, PE programs; 704 KAR Chapter 4

EDUCATION (cont’d)
Instructional services; 704 KAR Chapter 3
Student services; 704 KAR Chapter 7
Teacher certification; 704 KAR Chapter 20
Teacher education; 704 KAR Chapter 15
Libraries, archives; 725 KAR Chapter 2
Literacy Commission
Adult program fund; 700 KAR 1:010
Adult program plan; 700 KAR 1:020
Local Services
Administration, general; 702 KAR Chapter 1
Pupil transportation; 702 KAR Chapter 5
School district finance; 702 KAR Chapter 3
School terms, attendance, operation; 702 KAR Chapter 7
Superintendent’s Office
Innovation incentive grants; 701 KAR 7:010
Vocational Education
Administration; 705 KAR Chapter 1
Instructional programs; 705 KAR Chapter 4

EDUCATION ASSISTANCE AUTHORITY
(See Higher Education Assistance Authority)

ELECTION FINANCE
(See Registry of Election Finance)

EMPLOYEES, STATE
Personnel Rules
Compensation plan; 101 KAR 1:051 and E
Employee evaluation plan; 101 KAR 1:145 and E; 101 KAR 1:205 and E
Employee grievances; 101 KAR 1:170
Political activities, merit system; 101 KAR 1:160 and E
Registers; 101 KAR 1:070
Separations, disciplinary actions; 101 KAR 1:120 and E
Service regulations; 101 KAR 1:140
Unclassified service; 101 KAR 1:200

EMPLOYMENT SERVICES
Employment Services
Weatherization; 903 KAR 6:020 and E
Human Services
Weatherization; 903 KAR 2:010 and E
Unemployment Insurance
Appeals; 903 KAR 5:130
Benefit rates, maximum weekly; 903 KAR 5:270
and E
Employer contribution; 903 KAR 5:290 and E
Procedures; 903 KAR 5:260

ENGINEERS, LAND SURVEYORS
Mortgage inspection waivers; 201 KAR 18:160
Standards of practice; 201 KAR 18:150

ENVIRONMENTAL PROTECTION
(See Natural Resources, Environmental Protection)

EXCEPTIONAL CHILDREN (EDUCATION)
Annual program plan; 707 KAR 1:003
Programs; 707 KAR 1:051

FERTILIZER
Monetary penalties; 12 KAR 4:140
Terms, definitions; 12 KAR 4:110

FINANCE, ADMINISTRATION
State Investment Commission; 200 KAR Chapter 14
FINANCIAL INSTITUTIONS
Administration
Application, hearing procedure; 808 KAR 1:070
Investments by state-chartered banks; 808 KAR 1:080
Remote service units; 808 KAR 1:060
Banking
Procedures; 808 KAR 11:020
Multibank Companies
Acquisitions; 808 KAR 11:010
Procedures; 808 KAR 11:020
Securities
Federal regulation D exemptions; 808 KAR 10:210
Fee payment; 808 KAR 10:230
NASDAQ/NHS exemption; 808 KAR 10:220
Sale of business; 808 KAR 10:240
Thrift Institutions
Conduct; 808 KAR 3:050

FISH, WILDLIFE RESOURCES
Fish
Angling; 301 KAR 1:055
Boats, motors; 301 KAR 1:015
Commercial fishing; 301 KAR 1:145
Commercial fishing, waters; 301 KAR 1:150
Fisheries management; 301 KAR 1:180
Grass carp; 301 KAR 1:170
Live fish; 301 KAR 1:122
Trotlimes; 301 KAR 1:057
Game
Antlerless deer permits; 301 KAR 2:210
Birds, small game; 301 KAR 2:047
Deer seasons; 301 KAR 2:170
Deer, turkey hunting; special areas; 301 KAR 2:111
Migratory birds; 301 KAR 2:220 and E
Migratory wildlife; 301 KAR 2:044 and E
Shooting preserves; 301 KAR 2:040
Upland game birds; seasons, limits; 301 KAR 2:045
Wild turkey; 301 KAR 2:140
Hunting, Fishing
Wildlife area depredation; 301 KAR 3:010
Year-round season; 301 KAR 3:030
Wildlife
Swan Lake; 301 KAR 4:050 and E

GRAIN INSURANCE, DEALERS
Receivership; 302 KAR 34:040

HAIRDRESSERS, COSMETOLOGISTS
Reciprocity; 201 KAR 12:050
School districts; 201 KAR 12:105
School faculty; 201 KAR 12:120
School license; 201 KAR 12:110

HARNESS RACING
(See Racing)

HAZARDOUS SUBSTANCES
(See Health Services)

HEALTH SERVICES
Office of Inspector General
Ambulatory surgical centers; 902 KAR 20:106
Licensure, general health services; 902 KAR 20:210
Renal dialysis facilities; 902 KAR 20:018
Tuberculosis testing, long term care; 902 KAR 20:200
Communicable Diseases
Tuberculosis testing; 902 KAR 2:000
Controlled Substances
Schedule I; 902 KAR 55:015
Schedule II: 902 KAR 55:020
Schedule IV: 902 KAR 55:030

HEALTH SERVICES (cont'd)
Emergency Medical Technicians
Applicant requirements, training; 902 KAR 13:020
Fees; 902 KAR 13:030
Instructors, trainers; 902 KAR 13:070
Procedures; 902 KAR 13:080
Training, examination, certification; 902 KAR 13:050
Hazardous Substances
Flammable fabric, products; 902 KAR 47:070
Lead-containing substances; 902 KAR 47:050
Toys, children's products; 902 KAR 47:060
Local Boards of Health
Merit system; 902 KAR 8:030 and E
Policies, procedures; 902 KAR 8:020
Maternal, Child Health
WIC; 902 KAR 4:060
Mental Health-Mental Retardation Boards
Budget manuals; 902 KAR 6:060 and E
Mentally Ill/Mentally Retarded
Facilities' policies, procedures; 902 KAR 12:080 and E
Inmates of penal institutions; 902 KAR 12:030
and E
Radiation Operators Certification
Curricula, institutions; 902 KAR 105:030
Definitions; 902 KAR 105:010
General requirements; 902 KAR 105:020
Supervision; 902 KAR 105:040; 902 KAR 105:060
Radiology
Applicability, general; 902 KAR 100:005
Cabinet systems; 902 KAR 100:145
Classifications, group; 902 KAR 100:075
Concentrations, exempt; 902 KAR 100:085
Concentrations, specific; 902 KAR 100:025
Definitions; 902 KAR 100:010
Exemptions; 902 KAR 100:045
Fee schedule; 902 KAR 100:012
Leak testing; 902 KAR 100:060
Licenses, broad limits; 902 KAR 100:090
Licenses, broad scope; 902 KAR 100:052
Licenses, general; 902 KAR 100:050
Licenses, specific; 902 KAR 100:040; 902 KAR 100:051; 902 KAR 100:059
Notices, reports, instructions; 902 KAR 100:165
Particle accelerator; 902 KAR 100:155
Plan review; 902 KAR 100:160
Posting, disposal; 902 KAR 100:030
Proceedings; 902 KAR 100:170
Quantities, exempt; 902 KAR 100:080
Radiation protection; 902 KAR 100:020
Radioactive material, disposal; 902 KAR 100:021
Radioactive material; receiving, tests; 902 KAR 100:035
Radioactive material, transportation; 902 KAR 100:070
Radioactive waste, land disposal; 902 KAR 100:022
Radiography, industrial; 902 KAR 100:100
Recognition, reciprocal; 902 KAR 100:065
Requirements, general; 902 KAR 100:015
Sealed sources; 902 KAR 100:095
Teletherapy licenses; 902 KAR 100:017
Therapeutic systems; 902 KAR 100:136; 902 KAR 100:137
Veterinarians; 902 KAR 100:140
Wireline service; 902 KAR 100:142
X-ray, dental; 902 KAR 100:130
X-ray, diagnostic; 902 KAR 100:115
X-ray, fluoroscopic; 902 KAR 100:125
X-ray, general; 902 KAR 100:105
X-ray, microscopic analytic; 902 KAR 100:150
X-ray, registration; 902 KAR 100:110
X-ray, special; 902 KAR 100:120
HEALTH SERVICES (cont'd)
Sanitation
  Onsite sewage disposal; 902 KAR 10:081 and E
State Health Plan
  Plan; 902 KAR 17:010

HIGHER EDUCATION ASSISTANCE AUTHORITY
Authority
  Set off of claims; 11 KAR 4:050
Grant Programs
  Disbursement procedures; 11 KAR 5:080
  Name of programs, purpose; 11 KAR 5:010
  Records, reports; 11 KAR 5:100
  Refund, repayment; 11 KAR 5:090
Incentive Loan Program
  Mathematics, science; 11 KAR 7:010
Loan Program
  Student eligibility; 11 KAR 3:050
  Work study program; 11 KAR 6:010

HIGHER EDUCATION STUDENT LOAN CORPORATION
Guaranteed Student Loans, Loans to Parents
  Repealer; 15 KAR 1:021

HIGHWAYS
Maintenance
  Road classification, primary; 603 KAR 3:030
Mass Transportation
  Public transportation capital assistance program; 603 KAR 7:040
Traffic
  Highway classifications; 603 KAR 5:096
  Truck dimension limits; 603 KAR 5:070 and E

HOUSING, BUILDINGS AND CONSTRUCTION
Building Code
  Building code; 815 KAR 7:020
  Plan review fees; 815 KAR 7:013 and E
  Repealer; 815 KAR 7:031
Fire Marshal
  Fire protection instructor; 815 KAR 45:030
Mobile Homes, Recreational Vehicles
  Mobile homes; 815 KAR 25:010
  Recreational vehicles; 815 KAR 25:020
Plumbing
  Definitions; 815 KAR 20:010
  Installation; 815 KAR 20:130
  Minimum fixture requirements; 815 KAR 20:191
  Traps, clean-outs; 815 KAR 20:110
  Water supply, distribution; 815 KAR 20:120

HUMAN RESOURCES
Employment Services
  Employment services; 903 KAR Chapter 6
  Human services; 903 KAR Chapter 2
  Unemployment insurance; 903 KAR Chapter 5
Health Services
  Certificate of need and licensure; 902 KAR Chapter 20
  Communicable diseases; 902 KAR Chapter 2
  Controlled substances; 902 KAR Chapter 55
  Emergency medical technicians; 902 KAR Chapter 13
  Hazardous substances; 902 KAR Chapter 47
Local Boards of Health; 902 KAR Chapter 8
Maternal, child health; 902 KAR Chapter 4
Mentally ill/mentally retarded; 902 KAR Chapter 12
  Mental health-mental retardation boards; 902 KAR Chapter 6
Radiation operators certification; 902 KAR Chapter 105
Radiology; 902 KAR Chapter 100
  Incentive; 902 KAR Chapter 10
  Sanitation; 902 KAR Chapter 17
  State health plan; 902 KAR Chapter 17

HUMAN RESOURCES (cont'd)
Inspector general; 906 KAR Chapter 1
Social Insurance
  Food stamp program; 904 KAR Chapter 3
  Medical assistance; 904 KAR Chapter 1
  Public Assistance; 904 KAR Chapter 2
Social Services
  Aging services; 905 KAR Chapter 8
  Child welfare; 905 KAR Chapter 1
  Children's residential services; 905 KAR Chapter 7
  Community action agencies; 905 KAR Chapter 6
  Program Management; 905 KAR Chapter 3
  Spouse abuse; 905 KAR Chapter 5

INSPECTOR GENERAL (HUMAN RESOURCES)
Employment agency hearings; 906 KAR 1:030 and E

INSTRUCTION, EDUCATION
Elementary, Secondary
  School standards; 704 KAR 10:022 and E
Health, PE Programs
  Physical education; 704 KAR 4:010
Instructional Services
  Classroom units; 704 KAR 3:025
  ECIA migrant plan; 704 KAR 3:292
  Educational Improvement Act; 704 KAR 3:005
  Essential skills remediation; 704 KAR 3:355
  Evaluation guidelines; 704 KAR 3:345
  In-service plan; 704 KAR 3:035
  Required program of studies; 704 KAR 3:304
  School psychologist; 704 KAR 3:175
Student Services
  Counselor; 704 KAR 7:020
  Teacher certification
    Early elementary level; 704 KAR 20:290
    Emergency; 704 KAR 20:120
    Hearing impaired; 704 KAR 20:230
    Learning, behavior disorders; 704 KAR 20:235
    Mentally handicapped, trainable; 704 KAR 20:245
    Preparation program approval; 704 KAR 20:005
    Rank I classification; 704 KAR 20:015
    Testing prerequisites, certificate, internship program; 704 KAR 20:045
Teacher education
  Loan/scholarship program; 704 KAR 15:100
  Paraprofessional employees, volunteers; 704 KAR 15:080

INSURANCE
  Group, Blanket Health Insurance
  Provider arrangements; 806 KAR 18:020
  Health Maintenance Organizations
    Contract filing, approval; 806 KAR 38:030
  Motor Vehicle Reparation (No-Fault)
    Proof of insurance; 806 KAR 39:070

INVESTMENT COMMISSION (STATE)
Repurchase agreement; 200 KAR 14:080

JAILS
(See Corrections)

JUSTICE
Police officer death benefits; 500 KAR Chapter 1
State Police
  Candidate selection; 502 KAR Chapter 45
  Driver training; 502 KAR Chapter 10
Services Division; 502 KAR Chapter 35
Law information network; 502 KAR Chapter 40
Training
  Foundation program; 503 KAR Chapter 5
  Law enforcement council; 503 KAR Chapter 1
KENTUCKY STATE UNIVERSITY
Board of Regents
Annual audit; 745 KAR 1:020
Bond issuance; 745 KAR 1:050
Financial management; 745 KAR 1:060
Funds; acquisition, disbursement; 745 KAR 1:010
Property; 745 KAR 1:030
Property proceeds, title; 745 KAR 1:040

LABOR
Occupational safety, health; 803 KAR Chapter 2

LAW ENFORCEMENT TRAINING
Foundation Program Fund
Participation; 503 KAR 5:090
KLEC Training
Application, qualifications; 503 KAR 1:070
Graduation, records; 503 KAR 1:110

LEGISLATIVE RESEARCH COMMISSION
Block Grants; 1 KAR Chapter 4
Computer information access; 1 KAR Chapter 5

LIBRARIES, ARCHIVES
Librarian certification; 725 KAR 2:020

LIVESTOCK SANITATION
Brucellosis vaccination; 302 KAR 20:055
Definitions; 302 KAR 20:010 and E
Equine viral arteritis; 302 KAR 20:180 and E
Sale, exhibition; 302 KAR 20:065 and E

LOCAL SERVICES, EDUCATION
Administration, General
Facilities surveys, plans; 702 KAR 1:010
Pupil Transportation
Bus specification, purchases; 702 KAR 5:060 and E
Midday reimbursement; 702 KAR 5:140
School District Finance
Bond issue approval; 702 KAR 3:020
Class sizes; 702 KAR 3:190 and E
Data form; 702 KAR 3:100
School Terms, Attendance, Operation
Athletic program staff; 702 KAR 7:090
Interscholastic athletics; 702 KAR 7:070 and E

MEDICAID SERVICES
Documentation of services; 907 KAR 1:007

MEDICAL LICENSURE
Schools; 201 KAR 9:021 and E

MINES, MINERALS
Training, Education, Certification
Annual retraining program; 805 KAR 7:030
Newly hired miners; 805 KAR 7:040
New work assignments; 805 KAR 7:050
Reporting procedures, record maintenance; 805 KAR 7:070

NATURAL RESOURCES, ENVIRONMENTAL PROTECTION
Environmental Protection
Air pollution; 401 KAR Chapters 50 and 51
Waste management; 401 KAR Chapters 30 thru 49
Natural Resources
Sanitary engineering; 401 KAR Chapter 6
Water quality; 401 KAR Chapter 5
Reclamation
Bonds, insurance; 405 KAR Chapter 10
Inspection, enforcement; 405 KAR Chapter 12
Permits; 405 KAR Chapter 8
Provisions, general; 405 KAR Chapter 7
Special standards; 405 KAR Chapter 20

NATURAL RESOURCES, ENVIRONMENTAL PROTECTION (cont'd)
Surface mining, standards for; 405 KAR Chapter 16
Underground mining, standards for; 405 KAR Chapter 18
Unsuitable areas; 405 KAR Chapter 24

NURSING
Advanced registered nurse practitioner; 201 KAR 20:056
Disciplinary hearings; 201 KAR 20:162
Faculty; 201 KAR 20:310
Limited licensure; 201 KAR 20:115

OCCUPATIONAL SAFETY, HEALTH
Discrimination complaint; 803 KAR 2:240
General industry standards; 803 KAR 2:015
29 CFR Part 1910; 803 KAR 2:020

OCCUPATIONS AND PROFESSIONS
Accountancy; 201 KAR Chapter 1
Athletic Commission; 201 KAR Chapter 27
Auctioneers; 201 KAR Chapter 3
Engineers, land surveyors; 201 KAR Chapter 18
Hairdressers, cosmetologists; 201 KAR Chapter 12
Medical licensure; 201 KAR Chapter 9
Nursing; 201 KAR Chapter 20
Ophthalmic dispensers; 201 KAR Chapter 13
Pharmacy; 201 KAR Chapter 2
Physical therapists; 201 KAR Chapter 22
Real estate commission; 201 KAR Chapter 11
Social workers; 201 KAR Chapter 23
Speech pathologists, audiologists; 201 KAR Chapter 17
Veterinary examiners; 201 KAR Chapter 16

OPHTHALMIC DISPENSERS
License revocation; 201 KAR 13:070
Regulation posting; 201 KAR 13:011

PERSONNEL
(See also Employees, State)
Personnel rules; 101 KAR Chapter 1

PESTICIDES
Hearings; 302 KAR 31:010 and E

PHARMACY
Aerosol-nebulizer delivery systems; 201 KAR 2:125
Bioinequivalence problems; 201 KAR 2:135
Drug standard deficiencies; 201 KAR 2:140
School approval; 201 KAR 2:010
Suppositories, enemas, 201 KAR 2:155

PHYSICAL THERAPISTS
Assistant certification; 201 KAR 22:106
Foreign trained; 201 KAR 22:070
License refusal, revocation, suspension, probation; 201 KAR 22:052
Licensing procedure; 201 KAR 22:031

PLUMBING
(See Housing, Buildings & Construction)

POLICE OFFICER DEATH BENEFITS
Claims; 500 KAR 1:020
Definitions; 500 KAR 1:010
Hearing request; 500 KAR 1:030
PUBLIC PROTECTION, REGULATION
Alcoholic Beverage Control
  Licensing; 804 KAR Chapter 4
Electoral Finance, Registry of
  Report forms; 801 KAR Chapter 1
Financial Institutions
  Administration; 808 KAR Chapter 1
  Banking; 808 KAR Chapter 11
  Multibank companies; 808 KAR Chapter 11
  Securities; 808 KAR Chapter 10
  Thrift institutions; 808 KAR Chapter 3
Housing, Buildings and Construction
  Building code; 815 KAR Chapter 7
  Fire Marshall's Office; 815 KAR Chapter 45
  Mobile homes, RVs; 815 KAR Chapter 25
  Plumbing; 815 KAR Chapter 20
Insurance
  Group, blanket health insurance; 806 KAR Chapter 18
  Health maintenance organizations; 806 KAR Chapter 38
  Motor vehicle reparation; 806 KAR Chapter 39
Mines and Minerals
  Training, education, certification; 805 KAR Chapter 7
Public service commission; 807 KAR Chapter 5
Racing
  Harness racing rules; 811 KAR Chapter 1
  Thoroughbred racing rules; 810 KAR Chapter 1
PUBLIC RECORDS
LRC access; 1 KAR 5:010
  Treasury access; 20 KAR 1:010
PUBLIC SERVICE COMMISSION
General rules; 807 KAR 5:006
Organization; 807 KAR 5:002
Purchased water adjustment clause; 807 KAR 5:067
PUPIL TRANSPORTATION
(See Local Services, Education)
RACING
Harness Racing Rules
  County fairs; 811 KAR 1:220
  Licensing; 811 KAR 1:070
  Racing, track rules; 811 KAR 1:075
  Stimulants, drugs; 811 KAR 1:090
  Standardbred Development Fund; 811 KAR 1:215
  Substance abuse by employees; 811 KAR 1:225
Thoroughbred Racing Rules
  Entries, subscriptions, declarations; 810 KAR 1:013
  Medication testing; 810 KAR 1:018
RADIOLOGY
(See Health Services)
REAL ESTATE COMMISSION
Practice, procedure; 201 KAR 11:190
RECLAMATION, ENFORCEMENT
Bonds, Insurance
  Types, terms, conditions; 405 KAR 10:030
Inspection, Enforcement
  Enforcement; 405 KAR 12:020
Permits
  Mining, special categories; 405 KAR 8:050 and E
  Surface coal mining; 405 KAR 8:030
  Underground coal mining; 405 KAR 8:040
Provisions, General
  Definitions, abbreviations; 405 KAR 7:020 and E
  Experimental practices; 405 KAR 7:050
  Hearings; 405 KAR 7:090
  Reference documents; 405 KAR 7:015
RECLAMATION, ENFORCEMENT (cont'd)
Special Standards
  Offsite plants; 405 KAR 20:070 and E
  Prime farmland; 405 KAR 20:040
Surface Mining Standards
  Backfilling, grading; 405 KAR 16:190
  Diversion; 405 KAR 16:080
  Excess spoil, disposal; 405 KAR 16:130
  General provisions; 405 KAR 16:010
  Hydrologic requirements; 405 KAR 16:060
  Surface, ground water monitoring; 405 KAR 16:110
Underground Mining Standards
  Backfilling, grading; 405 KAR 18:190
  Diversion; 405 KAR 18:080
  Excess spoil, disposal; 405 KAR 18:130
  Hydrologic requirements; 405 KAR 18:060
  Surface, ground water monitoring; 405 KAR 18:110
Unsuitable Areas
  Designating process, criteria; 405 KAR 24:030
REFERENDUMS
  Bovine animals; 302 KAR 1:030 and E
REGISTRY OF ELECTION FINANCE
Report Forms
  Financial disclosure; 801 KAR 1:110
REVENUE
(Also see Taxation)
  Ad Valorem Tax
    Local assessment; 103 KAR Chapter 7
  Corporations license tax; 103 KAR Chapter 20
  Sales and Use Tax
    General exemptions; 103 KAR Chapter 30
    Retailer occupations; 103 KAR Chapter 27
    Retail transactions; 103 KAR Chapter 28
SOCIAL INSURANCE
Food Stamp Program
  Certification process; 904 KAR 3:035
  Reference materials; 904 KAR 3:090 and E
  Medicaid Services
    Acute care, mental hospitals; 904 KAR 1:013 and E
    Alternative home, community based services; 904 KAR 1:150
      Mentally retarded; 904 KAR 1:150
    Dental services; 904 KAR 1:026; 904 KAR 1:027
    Drug payments; 904 KAR 1:020 and E
    Hearing, vision services; 904 KAR 1:038
    Home health services; 904 KAR 1:031 and E
    Inpatient hospital services; 904 KAR 1:012
    Medical assistance reference materials; 904 KAR 1:250 and E
    Medically needy; 904 KAR 1:004
    Mental health center services; 904 KAR 1:045 and E
    Outpatient services, hospital; 904 KAR 1:015 and E
    Patient access and care; 904 KAR 1:320 and E
    Pharmacy services; 904 KAR 1:019
    Physicians' services; 904 KAR 1:009; 904 KAR 1:010 and E
    Primary care center services; 904 KAR 1:055 and E
    Provider participation, appeals; 904 KAR 1:220
    Repeat; 904 KAR 1:310 and E
    Skilled nursing facility services; 904 KAR 1:022 and E
    Skilled nursing, intermediate care; 904 KAR 1:036 and E
SOCIAL INSURANCE (cont'd)

Technical eligibility requirements; 904 KAR 1:011 and E

Withholding federal share of payments; 904 KAR 1:300

Public Assistance

AFDC, reference material; 904 KAR 2:150 and E

AFDC, technical requirements; 904 KAR 2:006 and E

Aged, blind, disabled; 904 KAR 2:015

Child support; 904 KAR 2:020; 904 KAR 2:022

Child support program reference materials; 904 KAR 2:170 and E

Collections program; 904 KAR 2:200 and E

Low income home energy assistance; 904 KAR 2:116 and E

Reference materials; 904 KAR 2:190 and E

Supplementary policies; 904 KAR 2:140 and E

SOCIAL SERVICES

Aging Services

Allocation formula; 905 KAR 8:040

Homemakers policy manual; 905 KAR 8:120

Meal standards; 905 KAR 8:030

Personal care; 905 KAR 8:090

State plan; 905 KAR 8:060

Child Welfare

"Baby Doe" regulation; 905 KAR 1:150 and E

DSS policy, procedures manual; 905 KAR 1:180 and E

Children's Residential Services

Cardinal Treatment Center; policy, procedure manual; 905 KAR 7:170

Central Kentucky Re-Ed Center; policy, procedure manual; 905 KAR 7:210

Central Kentucky Treatment Center, policy manual; 905 KAR 7:140

Facilities capacities; 905 KAR 7:060 and E

Facilities manuals; 905 KAR 7:030 and E; 905 KAR 7:080 and E

Green River Boys' Camp, policy manual; 905 KAR 7:150

Lake Cumberland Boys' Camp, policy, procedure manual; 905 KAR 7:120

Lincoln Village; policy, procedure manual; 905 KAR 7:190

Morehead Treatment Center, policy manual; 905 KAR 7:130

Northern Kentucky Treatment Center; policy, procedures manual; 905 KAR 7:110

Owensboro Treatment Center; policy manual; 905 KAR 7:220

Re-Ed Treatment; policy, procedure manual; 905 KAR 7:200

Rice-Audubon; policy, procedure manual; 905 KAR 7:180

Woodbend Boys' Camp; policy, procedure manual; 905 KAR 7:160

Community Action Agencies

CSBG program, state plan; 905 KAR 6:020 and E

Program Management

Allocation formula; 905 KAR 3:040

Spouse Abuse

Funding; 905 KAR 5:050

Standards; 905 KAR 5:040

SOCIAL WORKERS

Equivalency standards; 201 KAR 23:010

SPEECH PATHOLOGISTS, AUDIOLOGISTS

Complaint procedure; 201 KAR 17:070

Disciplinary hearings; 201 KAR 17:080

STATE POLICE

Candidate Selection

Appeals; 502 KAR 45:130 and E

Application; 502 KAR 45:050 and E

Appointment; 502 KAR 45:120 and E

Background investigations; 502 KAR 45:000 and E

Definitions; 502 KAR 45:010 and E

Disqualifications; 502 KAR 45:030 and E

Oral interview; 502 KAR 45:080 and E

Physical examination; 502 KAR 45:050 and E

Psychological assessment; 502 KAR 45:100 and E

Qualifications; 502 KAR 45:020 and E

Register; 502 KAR 45:110 and E

Vision examination; 502 KAR 45:070 and E

Written examination; 502 KAR 45:060 and E

Driver Training

Advertising; 502 KAR 10:060

Contracts, agreements; 502 KAR 10:050

Definitions; 502 KAR 10:010

Facilities, department; 502 KAR 10:020

Facilities, training schools; 502 KAR 20:040

Hearings; 502 KAR 10:090

Instructor's license; 502 KAR 10:030

License suspension, revocation, denial; 502 KAR 10:080

Vehicle inspection; 502 KAR 10:070

Law Information Network

Information exchange agreements; 502 KAR 40:020

Network; 502 KAR 40:010

Satellite agencies; 502 KAR 40:040

User agreement; 502 KAR 40:030

Services Division

Definitions; 502 KAR 35:010

Missing child information center; 502 KAR 35:020; 502 KAR 35:030

Reporting of missing children; 502 KAR 35:040

Statistical analysis; 502 KAR 35:050

TAX APPEALS BOARD

Practice and procedure; 802 KAR 1:010

TAXATION

Ad Valorem; Local Assessment

Funds, release of; 103 KAR 7:020

Corporations License Tax

Gross income defined; 103 KAR 20:010

Sales and Use; General Exemptions

Interstate, foreign commerce; 103 KAR 30:100

Sales and Use; Retailer Occupations

Meals on public transportation; 103 KAR 27:080

Sales and Use; Retail Transactions

Leases, rentals; 103 KAR 28:051

TOURISM

Fish, Wildlife Resources

Fish; 301 KAR Chapter 1

Game; 301 KAR Chapter 2

Hunting, fishing; 301 KAR Chapter 3

Wildlife; 301 KAR Chapter 4

TRANSPORTATION

Administration

Disciplinary, separation procedures; 600 KAR 1:045 and E

Aeronautics

Airport zoning; 602 KAR Chapter 50

Highways

Maintenance; 603 KAR Chapter 3

Mass transportation; 603 KAR Chapter 7

Traffic; 603 KAR Chapter 5

Vehicle Regulation

Motor carriers; 601 KAR Chapter 1
TREASURY
Access to public records; 20 KAR 1:010

VEHICLE REGULATION
Motor Carriers
Industrial materials hauling, fee, bond; 601 KAR 1:020

VETERINARY EXAMINERS
Code of conduct; 201 KAR 16:010
Hearings; 201 KAR 16:060
Fees; 201 KAR 16:020
Licensing exam; 201 KAR 16:020
Reciprocity; 201 KAR 16:020

VOCATIONAL EDUCATION
Administration
Three year plan; 705 KAR 1:010
Instructional programs
Diploma requirements; 705 KAR 4:210

VOCATIONAL REHABILITATION
Administration
Independent living plan; 706 KAR 1:020
Three-year plan; 706 KAR 1:010

WASTE MANAGEMENT
Administrative Procedures
Definitions; 401 KAR 30:010
General provisions; 401 KAR 30:020
Reference material; 401 KAR 30:070
Variances standards; 401 KAR 30:080
Hazardous Waste; Fees
Delisting, exemption; 401 KAR 39:020
General provisions; 401 KAR 39:010
Generator registration; 401 KAR 39:010
Recycling; 401 KAR 39:080
Hazardous Waste; Generator Standards
Appendix, manifest, instructions; 401 KAR 32:100
General provisions; 401 KAR 32:010
Manifest; 401 KAR 32:020
Pre-transport requirements; 401 KAR 32:030
Recordkeeping, reporting; 401 KAR 32:040
Special conditions; 401 KAR 32:050
Hazardous Waste; Identification, Listing
Appendix, basis for listing; 401 KAR 31:160
Appendix, chemical analysis test methods; 401 KAR 31:120
Appendix, chlorinated dibenzo-p-dioxins and dibenzofurans; 401 KAR 31:190
Appendix, hazardous constituents; 401 KAR 31:170
Delisted streams; 401 KAR 31:070
General provisions; 401 KAR 31:010
Lists; 401 KAR 31:040
Rulemaking petitions; 401 KAR 31:060
Hazardous Waste; Permitting Process
Application procedures; 401 KAR 38:070
Approval provisions; 401 KAR 38:500
Interim status provisions; 401 KAR 38:020
Part B application; 401 KAR 38:090
Part B requirements, groundwater; 401 KAR 38:100
Part B requirements, land treatment; 401 KAR 38:200
Part B requirements, landfills; 401 KAR 38:210
Part B requirements, surface impoundments; 401 KAR 38:170
Part B requirements, tanks; 401 KAR 38:160
Part B requirements, waste piles; 401 KAR 38:180
Permit changes; 401 KAR 38:040

WASTE MANAGEMENT (cont'd)
Permit conditions; 401 KAR 38:030
Permits, special; 401 KAR 38:060
Public information procedures; 401 KAR 38:050
Hazardous Waste Storage, Treatment, Disposal
Facilities: Interim Status Standards
General provisions; 401 KAR 35:010
Appendix, drinking water; 401 KAR 35:310
Appendix, potentially incompatible waste; 401 KAR 35:330
Appendix, recordkeeping; 401 KAR 35:290
Appendix, test for significance; 401 KAR 35:320
Chemical, physical, biological treatment; 401 KAR 35:260
Closure, post-closure; 401 KAR 35:070
Containers; 401 KAR 35:180
Facility standards, general; 401 KAR 35:020
General provisions; 401 KAR 35:010
Incinerators; 401 KAR 35:240
Land treatment; 401 KAR 35:220
Landfill; 401 KAR 35:230
Manifest system, recordkeeping, reporting; 401 KAR 35:050
Surface impoundments; 401 KAR 35:200
Tanks; 401 KAR 35:190
Underground injection; 401 KAR 35:270
Waste piles; 401 KAR 35:210
Hazardous Waste Storage, Treatment, Disposal
Facilities: Owner and Operator Standards
Closure, post-closure; 401 KAR 34:070
Containers; 401 KAR 34:180
Contingency plan, emergency procedure; 401 KAR 34:040
Facility standards, general; 401 KAR 34:020
Financial requirements, closure; 401 KAR 34:090
Financial requirements, post-closure; 401 KAR 34:100
General provisions; 401 KAR 34:010
Ground water protection; 401 KAR 34:060
Incinerators; 401 KAR 34:240
Landfills; 401 KAR 34:230
Land treatment; 401 KAR 34:220
Manifest system; recordkeeping, reporting; 401 KAR 34:050
Preparedness, prevention; 401 KAR 34:030
Surface impoundments; 401 KAR 34:200
Tanks; 401 KAR 34:190
Waste piles; 401 KAR 34:210
Specific Hazardous Wastes and Types of Hazardous
Waste Management Facilities
Energy recovery; 401 KAR 36:040
Lead-acid batteries; 401 KAR 36:070
Recyclable materials, disposal; 401 KAR 36:030
Recyclable materials, precious metal recovery; 401 KAR 36:060
Underground Storage Tanks
General provisions; 401 KAR 42:010

WATER
Sanitary Engineering
Water well construction; 401 KAR 6:310
Water well drillers; 401 KAR 6:330
Water Quality
Application requirements; 401 KAR 5:060
Criteria, standards; 401 KAR 5:080
Definitions, general provisions; 401 KAR 5:050
Permit conditions; 401 KAR 5:065
Permit provisions; 401 KAR 5:070
Permit, variance fees; 401 KAR 5:085
Permits; 401 KAR 5:005
Review procedures; 401 KAR 5:075
Scope, applicability; 401 KAR 5:055