

## **CABINET FOR HEALTH AND FAMILY SERVICES**

### **Department for Community Based Services**

#### **Division of Protection and Permanency**

**(Amended After Comments)**

#### **922 KAR 1:315. Standards for child-placing agencies placing children who are not in the custody of a state agency.~~the cabinet~~.**

RELATES TO: KRS 194A.060, 199.011, 199.470, 199.510, 199.520, 199.572, 199.640, 273.161(8), 600.020, 620.030, Chapter 625

STATUTORY AUTHORITY: KRS 194A.050(1), 199.640(5)(a), 605.150(1)

NECESSITY, FUNCTION, AND CONFORMITY: KRS 194A.050(1) requires the secretary of the Cabinet for Health and Family Services to promulgate, administer, and enforce those administrative regulations necessary to implement programs mandated by federal law or to qualify for the receipt of federal funds and necessary to cooperate with other state and federal agencies for the proper administration of the cabinet and its programs. KRS 199.640(5)(a) requires the secretary of the Cabinet for Health and Family Services to promulgate administrative regulations establishing basic standards of care and service for child-caring facilities and child-placing agencies relating to the health and safety of all children in care, including children who are not in the custody of the cabinet. KRS 605.150(1) authorizes the cabinet to promulgate administrative regulations to implement the provisions of KRS Chapter 605. This administrative regulation establishes basic standards for child-placing agencies who are placing children who are not in the custody of a state agency.~~the cabinet~~.

#### **Section 1. Definitions.**

(1) "Adequate supervision" means adult oversight of a child's activities with consideration of the child's past and current:

- (a) Incidents;
- (b) High risk behaviors; and
- (c) Needs.

(2) "Adoption" means the legal process by which a child becomes the child of a person or persons other than biological parents.

(3) "Adoptive home" means a home in which the family has been approved by the child-placing agency to adopt a child.

(4) "Aftercare" means services provided to the child after discharge from a child-placing agency.

(5) "Applicant" means an individual or a family subject to approval by the child-placing agency as a:

- (a) Foster home; or
- (b) Adoptive home.

(6) "Board of directors" is defined by KRS 273.161(8).

(7) "Case management" means a process whereby a state agency or child-placing agency assesses the individualized needs of a child or family, arranges for the provision of services, and maintains documentation of actions and outcomes.

(8) "Child" means a child as defined by KRS 199.011(4) and 600.020(9);

(9) "Child-placing agency" is defined by KRS 199.011(6).

(10) "Executive director" means the person employed by the board of directors to be responsible for the overall administration and management of a child-placing agency.

(11) "Foster home" means:

- (a) A "foster family home" as defined by KRS 199.011(10) and 600.020(30), if referring to a physical structure; or

- (b) Any individual approved as a foster parent by the child-placing agency, if referring to an individual.
- (12) "Home study" means an assessment done on a prospective foster or adoptive home by a social services worker that meets the requirements specified in Section 4(3) of this administrative regulation.
- (13) **"Independent living services" means services provided to an eligible child age fourteen (14) or older, as specified in 922 KAR 1:310, Section 15, to assist the child in the natural progression from adolescence to adulthood.**
- (14) "Individual treatment plan" or "ITP" means a plan of action developed and implemented to address the needs of a child.
- (15) ~~(14)~~ "Placement" means:
- (a) The physical relocation of a child removed from the child's home of origin with a provider of out-of-home services; or
  - (b) A foster or adoptive home that has been approved by completing an application process, home study, and required preparation.
- (16) ~~(15)~~ "Qualified mental health professional" is defined by KRS 600.020(52).
- (17) ~~(16)~~ "Respite care" means temporary care provided by another individual or family that meets requirements specified in Section 7 of this administrative regulation to meet the needs of the child or provide relief to a foster care parent with the expectation that the child shall return to the foster home.
- (18) ~~(17)~~ "Social services worker" means a person retained by a child-placing agency who meets the qualifications as specified in 922 KAR 1:310, Section 2(4)(c).
- (19) ~~(18)~~ "Supervision plan" means a written supplement to a child's ITP, developed pursuant to Section 6(7)(b)2 of 922 KAR 1:310, that details a child-placing agency's roles and responsibilities to assure adequate supervision of a child in the agency's care, including those roles and responsibilities delegated to a foster home parent.

Section 2. Administration and Operation. A child-placing agency that places a child pursuant to this administrative regulation shall also meet the requirements established in 922 KAR 1:310, Section 2.

### Section 3. Interstate Placement.

- (1) A child-placing agency that accepts a child from another state or places a child outside of Kentucky shall meet the requirements established in 922 KAR 1:310, Section 3.
- (2) A child-placing agency that is assisting with an Interstate Compact Placement for a child who is placed due to a disrupted or dissolved adoption shall:
- (a) Assist in the obtainment of a therapeutic recommendation from a qualified mental health professional regarding the child being placed into the proposed placement;
  - (b) Ensure that the prospective adoptive parents have spoken with the qualified mental health professional that has been providing services to the child;
  - (c) Assist in the development of a thorough transition plan for the child adhering to the child's qualified mental health provider's recommendations;
  - (d) Assist in the procurement of services and providers within the receiving state, prior to the placement of the child;
  - (e) Provide a minimum of monthly face-to-face supervision in the home and support to the child and family if placed in Kentucky until the finalization of the adoption;
  - (f) Continually assess for any safety risks within the home and provide a written plan for safety, if necessary or requested; and
  - (g) Ensure that the child is placed for at least six (6) months prior to finalization of the adoption.

Section 4. Evaluation of an Applicant. A child-placing agency's staff shall recruit a prospective foster or adoptive home in accordance with 922 KAR 1:310, Section 4.

Section 5. Orientation and Preparation of a Foster Home for a Child not in the Custody of ~~a State Agency~~~~the Cabinet~~.

- (1) A child-placing agency shall meet the requirements established in 922 KAR 1:310, Section 5(1)(a) through (c).
- (2) Training provided in accordance with 922 KAR 1:495 may be utilized for a foster home that cares for a child not in the custody of the cabinet if the governmental agency or individual with oversight of the child approves the training.

Section 6. Expectations for a Foster Home Caring for a Child not in the Custody of ~~a State Agency~~~~the Cabinet~~. An approved foster home shall:

- (1) Provide a child placed by the child-placing agency with a family life, including:
  - (a) Nutritious food;
  - (b) Clothing comparable in quality and variety to that worn by other children with whom the child may associate;
  - (c) Affection;
  - (d) Life skills development;
  - (e) Recreational opportunities;
  - (f) Education opportunities;
  - (g) Nonmedical transportation;
  - (h) Opportunities for development consistent with the child's religious, ethnic, and cultural heritage;
  - (i) Adequate supervision;
  - (j) Independent living services for a child fourteen (14)~~twelve (12)~~ years of age or older; and
  - (k) Refraining from smoking in the direct presence of a child for whom their physician recommends, in writing, a smoke-free environment.
- (2) Permit ~~the approving~~~~at~~ child-placing agency and cabinet staff ~~of a state agency~~ to visit the home;
- (3) Share with the child-placing agency any information about the child placed by the child-placing agency;
- (4) Notify the child-placing agency fourteen (14) calendar days prior if the home is approved to provide foster or adoptive services through another private child-placing agency;
- (5) Notify the child-placing agency prior to:
  - (a) Leaving the state with a child placed by the child-placing agency for more than twenty-four (24) hours; or
  - (b) Allowing a child placed by the child-placing agency to be absent from the foster home for more than twenty-four (24) hours;
- (6) Report immediately to the child-placing agency through which the child is placed if there is:
  - (a) A hospitalization or life-threatening accident or illness;
  - (b) An absence without official leave;
  - (c) A suicide attempt;
  - (d) Criminal activity by the child;
  - (e) Death of any member in the household;
  - (f) A child's possession of a deadly weapon;
  - (g) Change in address;
  - (h) Change in the number of people living in the home;
  - (i) Significant change in circumstance in the foster home; or
  - (j) Failure of the foster child or foster parent to comply with the supervision plan;

(7) Cooperate with the child-placing agency if child-placing agency staff arranges for a child, placed in the foster home by the child-placing agency, and the child's birth family regarding:

- (a) Visits;
- (b) Telephone calls; or
- (c) Mail;

(8) Surrender a child or children to the authorized representative of the child-placing agency which has custody of the child, upon request;

(9) Keep confidential all personal or protected health information as shared by the child-placing agency, in accordance with KRS 194A.060 and 45 C.F.R. Parts 160 and 164, concerning a child placed in a home or the child's birth family;

(10) Support an assessment of the service needs, including respite care, and the development of an ITP, including the supervision plan, of a child placed by the child-placing agency;

(11) Participate in a planning conference concerning a child placed by the child-placing agency;

(12) Cooperate with the implementation of the permanency planning established for a child placed by the child-placing agency;

(13) Facilitate the delivery of medical care to a child placed by the child-placing agency as needed, including:

- (a) Administration of medication to the child and daily documentation of the administration; and
- (b) Physicals and examinations for the child;

(14) Treat a child placed by the child-placing agency with dignity;

(15) Report suspected incidents of child abuse, neglect, and exploitation in accordance with KRS 620.030; and

(16) Comply with general supervision and direction of the child-placing agency concerning the care of the child placed by the child-placing agency.

**Section 7. Respite for a Foster Home Caring for a Child not in the Custody of a State Agency.**~~the Cabinet~~.

(1) The child-placing agency shall develop written policies and procedures to address the respite care needs of a child or a foster parent.

(2) Respite care shall not be used as a means of placement for a child.

(3) Respite care shall be in accordance with 922 KAR 1:310, Section 3(2).

(4) The child-placing agency shall not approve a respite care provider unless the provider meets requirements specified by 922 KAR 1:310, Section 4(3)(b), (d), and (m) through (u).

(5) A respite care provider shall:

- (a) Receive, from the agency or foster parent, preparation for placement of a child, including information regarding the child's needs and the supervision plan of the child;
- (b) Provide adequate supervision in accordance with the child's supervision plan;
- (c)
  - 1. Give relief to a foster parent caring for a child; or
  - 2. Provide for a de-escalation period for a child;

**Section 8. Private Foster Placement Process.** A child-placing agency shall follow the procedures established by this section if a private foster placement is conducted.

(1) For a child being placed with a child-placing agency, the child-placing agency shall obtain an:

- (a) Agreement for voluntary care signed by the custodian; or
- (b) Order from a court of competent jurisdiction placing the child into the custody of the child-placing agency.

- (2) The child-placing agency shall:
  - (a) Complete an intake assessment of the strengths and needs of the child and the child's family of origin; and
  - (b) Ascertain the appropriateness of the referral for the child.
- (3)
  - (a) The child-placing agency shall develop an ITP individualized for a child and the child's family based on an individualized assessment of the child's and family's needs:
    - 1. Within thirty (30) days of the child's placement with the child-placing agency; or
    - 2. Prior to the child being placed out of state.
  - (b) An exception to the requirement specified in paragraph (a) of this subsection may be made for a child:
    - 1. Under the age of twelve (12) months; and
    - 2. With no extraordinary needs.
  - (c) The assessment shall be revised as needed.
  - (d) The assessment and ITP shall include the type and extent of services to be provided to the child and the child's family.
  - (e) Assessment of the child shall include consideration of the following history:
    - 1. Behavioral health treatment;
    - 2. Trauma;
    - 3. Risk for harm to self or others; and
    - 4. Past behaviors or safety issues that could increase the likelihood of placement disruption.
- (4) Unless not in the best interest of the child, the child, parent, and foster parent shall be included in developing the assessment and ITP.
- (5)
  - (a) The foster home selected for placement shall be the most appropriate home based on the child's needs and the strengths of the foster family.
  - (b) The foster home shall be located as close as possible to the home of the family of origin, in order to facilitate visiting and reunification.
- (6)
  - (a) The social services worker and the foster parent shall work collaboratively to prepare the child prior to the placement.
  - (b) Unless a circumstance precludes preparation and the circumstance is documented in the case record, a child shall have a period of preparation prior to the placement in the foster home.
- (7) The child-placing agency shall:
  - (a) Provide or arrange for services to support reunification for a child for whom family reunification is the plan;
  - (b) Assess and document the parent's capacity for reunification quarterly;
  - (c) Provide for review of the child in order to evaluate the progress toward achieving the child's permanency plan every six (6) months; and
  - (d) Assess whether ~~Assure that~~ foster care continues to be the best placement for the child.
- (8)
  - (a) Services to the family of origin and to the child shall be adapted to their individual capacities, needs, and difficulties.
  - (b) A reasonable effort shall be made to return the child to the family of origin.
- (9) Planning for the child regarding treatment program matters, including visitation, health, education, and permanency plans, shall be developed in collaboration with the:
  - (a) Family of origin;
  - (b) The child's qualified mental health provider, if applicable;
  - (c) Social services worker; and

- (d) Foster home.
- (10)
- (a) The child-placing agency shall work with a foster home to promote stability and avoid disruption for a child, to include:
1. Services specified in Section 6(1) through (3), and (7) through (11) of 922 KAR 3:010; and
  2. Reevaluation of the foster home in accordance with Section ~~14:9~~ of this administrative regulation.
- (b) A request for the removal of a child from a foster home shall be explored immediately and shall be documented by the social services worker.
- (c) If disruption is unavoidable, the child-placing agency and foster home shall develop a plan for the smooth transition of the child to a new placement.
- (11)
- (a) Preparation for the return of a child to the family of origin shall be supervised by a social services worker.
- (b) The child's family shall participate in planning for the child's return.
- (c) If regular contact with the child's family does not occur, a plan for the child's return shall include at least one (1):
1. Prior visit between the child and the family; and
  2. Preliminary visit of the child to the child's family home.
- (12) The child-placing agency shall recommend a plan for aftercare services for a child and the child's family.

~~Section 9. [Reevaluation of an Approved Foster or Adoptive Home. A child-placing agency shall reevaluate an approved foster home or approved adoptive home awaiting placement in accordance with 922 KAR 1:310, Section 14.]~~

~~[Section 10.]~~ Maintenance of a Foster Care Record. The child-placing agency shall maintain a record on each child and foster home in accordance with 922 KAR 1:310, Section 16.

**Section 10.** ~~[Section 11.]~~ Orientation and Preparation of an Adoptive Home for a Child not in the Custody of a State Agency~~[the Cabinet]~~. For a child not in the custody of a state agency~~[the cabinet]~~, a child-placing agency shall:

- (1) Prepare and maintain the orientation and preparation curriculum on file;
- (2) Provide orientation and preparation to a prospective adoptive home in accordance with the child-placing agency's policies and procedures to include the following:
  - (a) An example of an actual experience from a parent who has adopted a child;
  - (b) Challenging behavior characteristics of an adoptive older child;
  - (c) Referral resources for a developmental delay;
  - (d) Transition issues with focus on stages of grief, and a honeymoon period, if applicable;
  - (e) Loss and the long-term effects on a child;
  - (f) Attachment and identity issues of the child;
  - (g) Cultural competency;
  - (h) Medical issues including referral resources;
  - (i) Family functioning, family values, and expectations of an adoptive home;
  - (j) Identification of changes that may occur in the family unit upon the placement of a child to include:
    1. Family adjustment and disruption;
    2. Identity issues; and
    3. Discipline; and
  - (k) Financial assistance available to an adoptive home; and

- (3) Ensure that an approved adoptive home awaiting the placement of a child receives adoptive home training annually in accordance with the child-placing agency's established policies and procedures.

**Section 11.** ~~**Section 12.**~~ Adoption Placement Process for a Child not in the Custody of **a State Agency**~~**the Cabinet**~~.

- (1) A child shall not be placed for adoption until the:
- (a) Adoptive home has been approved; and
  - (b)
    - 1. Parental rights of the mother, legal or birth father, and putative father of the child, if applicable and not the same person as the legal father, are terminated by a circuit court order entered pursuant to KRS Chapter 625; or
    - 2. The mother, legal or birth father, and putative father of the child, if applicable and not the same person as the legal father, have consented to placement with the child-placing agency for the purpose of adoption placement.
- (2) A child's parent shall not be persuaded to terminate parental rights by a promise of financial aid or other consideration.
- (3)
- (a) A child-placing agency licensed by the cabinet shall not use the authority authorizing the agency to place a child for adoption to facilitate an adoptive placement planned by a doctor, lawyer, clergyman, or person or entity outside the child-placing agency.
  - (b) The child-placing agency shall comply with provisions of 922 KAR 1:010.
- (4) The child-placing agency shall obtain the following:
- (a) A developmental history of the adoptive child to include:
    - 1. Birth and health history;
    - 2. Early development;
    - 3. Characteristic ways the child responds to people and situations;
    - 4. Any deviation from the range of normal development;
    - 5. The experiences of the child prior to the decision to place the child for adoption;
    - 6. Maternal attitude during pregnancy and early infancy;
    - 7. Continuity of parental care and affection;
    - 8. Out-of-home placement history;
    - 9. Separation experiences; and
    - 10. Information about the mother, legal father, and putative father, if applicable and not the same person as the legal father, and family background:
      - a. That may affect the child's normal development in order to determine the presence of a significant hereditary factor or pathology; and
      - b. Including an illness of the biological mother or father;
  - (b) A social history of the biological or legal parent, to include:
    - 1. Name;
    - 2. Age;
    - 3. Nationality;
    - 4. Education;
    - 5. Religion or faith; and
    - 6. Occupation;
  - (c) Information obtained from direct study and observation of the child by a:
    - 1. Social services worker; and
    - 2. Physician or other health professional;
  - (d) If indicated, information obtained from direct study and observation of the child by a:
    - 1. Foster parent;

2. Nurse;
  3. Psychologist; or
  4. Other consultants; and
- (e) Information from the mother, if possible, identifying the biological father, or legal father, if different from the biological father, for the purpose of:
1. Determining the father's parental rights; and
  2. Establishment of possible hereditary endowments.
- (5) If either biological or legal parent is unavailable, unwilling, or unable to assist with the completion of information necessary to comply with KRS 199.520 and 199.572, the child-placing agency shall document information, to the extent possible, from the existing case record.
- (6) Prior to finalization of the adoptive placement, a licensed physician or other health professional shall make a medical examination to determine:
- (a) The state of the child's health;
  - (b) Any significant factor that may interfere with normal development; and
  - (c) The implications of any medical problem.
- (7) The condition under which an adoptive home agrees to accept the child shall be decided upon, prior to placement of the child. The written agreement between the child-placing agency and the adoptive home shall embody the following provisions:
- (a) The adoptive home shall agree to:
    1. Comply with KRS 199.470;
    2. File an adoptive petition at a time agreeable to the adoptive home and the child-placing agency; and
    3. Permit supervision by the child-placing agency in accordance with the child-placing agency's policies and procedures:
      - a. After placement; and
      - b. Preceding a final judgment of adoption by the circuit court;
  - (b) The child-placing agency shall be responsible for providing the adoptive home with written information regarding the child's:
    1. Background;
    2. Medical history;
    3. Current behavior; and
    4. Medical information necessary to comply with KRS 199.520(4)(a); and
  - (c) The adoptive home and the child-placing agency shall agree that the child may be removed from the placement, at the request of either party, before the filing of the adoptive petition.
- (8)
- (a) The child-placing agency shall arrange preplacement ~~{Preplacement}~~ visits ~~{shall be arranged}~~ for the adoptive home and a child.
  - (b) The pattern and number of visits shall be based on the child's:
    1. Age;
    2. Development; and
    3. Needs.
- (9) During preparation, the child-placing agency shall discuss the child's readiness to accept the selected placement with the child, in accordance with the child's age and ability to understand.
- (10)
- (a) Unless the child-placing agency and, if applicable, the state agency which has custody of a child belonging to a sibling group, determines that it is more beneficial for siblings to be placed in separate adoptive homes, siblings who have had a relationship with each other shall be placed together.
  - (b) If siblings have been separated in placements:



1. The case record shall reflect a valid basis for the separation;
  2. The decision to separate siblings shall be made by the executive director of the child-placing agency; and
  3. Continued contact between siblings shall be maintained, if possible.
- (11) A child-placing agency shall comply with Section 6(1)(b) of 922 KAR 1:310 during the process of placing a child in a prospective adoptive home.
- (12) If a prospective adoptive home is awaiting an international adoption, the child-placing agency shall conduct a reevaluation of the home once every eighteen (18) months.

**Section 12. ~~Section 13.~~** Supervision of an Adoptive Placement of a Child not in the Custody of **a State Agency**~~**the Cabinet**~~.

- (1) For a child not in the custody of **a state agency**~~**the cabinet**~~, the child-placing agency placing a child shall remain responsible for the child until the adoption has been granted. This responsibility shall involve the following:
- (a) A minimum of two (2) meetings by the social services worker with the child and the adoptive home, including both adoptive parents if not a single parent adoption, one (1) visit of which shall be in the adoptive home before filing of the adoption petition;
  - (b) The continuation of case management, visits, and telephone contacts based upon the needs of the child until the adoption is legally granted; and
  - (c) Awareness of a change in the adoptive home including health, education, or behavior.
- (2) For a placement made through the Interstate Compact on the Placement of Children, supervision shall occur in accordance with Section 3 of this administrative regulation.
- (3) The child-placing agency shall:
- (a) Provide information pursuant to KRS 199.510, as necessary to report to the court to proceed with adoption;
  - (b) Prepare and provide the original confidential report to the court; and
  - (c) Forward to the cabinet a copy of:
    1. The confidential report that was provided to the court; and
    2. Information required by KRS 199.520 and 199.572.
- (4) If the court finds the adoptive home to be unsuitable and refuses to grant a judgment, the child-placing agency shall remove the child from the home.

**Section 13. ~~Section 14.~~** Maintenance of Adoptive Case Record. ~~**(1)**~~ The child-placing agency shall maintain a case record in accordance with 922 KAR 1:310, Section 17.

**Section 14. Reevaluation of an Approved Foster or Adoptive Home. A child-placing agency shall reevaluate an approved foster home or approved adoptive home awaiting placement in accordance with 922 KAR 1:310, Section 14.**

**Section 15. Closure of an Approved Foster or Adoptive Home. ~~(1)~~** A child-placing agency shall close a foster or adoptive home in accordance with 922 KAR 1:310, Section 18.

*MARTA MIRANDA-STRAUB, Commissioner*  
*ERIC C. FRIEDLANDER, Secretary*

APPROVED BY AGENCY: June 28, 2022  
FILED WITH LRC: July 11, 2022 at 10:30 a.m.

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## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

**Contact Person:** Laura Begin and Krista Quarles

**(1) Provide a brief summary of:**

**(a) What this administrative regulation does:**

This administrative regulation establishes the standards for child-placing agencies who provide contracted foster care and adoptive placements for children not in the custody of the state, consistent with federal model standards.

**(b) The necessity of this administrative regulation:**

The federal government issued model standards for all foster homes that the cabinet needed to incorporate into administrative regulation to ensure conformity to federal guidelines, including foster homes caring for children not in the custody of the state.

**(c) How this administrative regulation conforms to the content of the authorizing statutes:**

The administrative regulation conforms to KRS 194A.050(1), 199.640(5)(a), and 605.150(1) by establishing standards for child-placing agencies.

**(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:**

This administrative regulation will ensure conformity to federal model standards for foster homes certified through child-placing agencies for children not in the custody of the state.

**(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:**

**(a) How the amendment will change this existing administrative regulation:**

This is a new administrative regulation that contains standards for child-placing agencies providing services to children not in the custody of a state agency (not in the custody of DCBS or the Kentucky Department of Juvenile Justice). The administrative regulation is being amended in response to public comments received from the Children's Alliance to include a definition for "independent living services" and make a related correction, specify affected entities and better clarify requirements, and move a section to a more logical place as requested.

**(b) The necessity of the amendment to this administrative regulation:**

This is a new administrative regulation.

**(c) How the amendment conforms to the content of the authorizing statutes:**

This is a new administrative regulation.

**(d) How the amendment will assist in the effective administration of the statutes:**

This is a new administrative regulation.

**(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:**

According to information from the Office of the Inspector General, approximately fifty agencies are licensed by Kentucky to place children who are either in the custody or not in the custody of the cabinet.

**(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:**

**(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment:**

Private child placing agencies will need to request that potential foster and adoptive parents submit to the requirements contained in this administrative regulation to become certified for the placement of children not in the custody of DCBS or DJJ.

**(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3):**

There will be no additional costs to child-placing agencies as a result of this administrative regulation. Many of the requirements contained herein were previously contained in 922 KAR 1:310.

**(c) As a result of compliance, what benefits will accrue to the entities identified in question (3):**

This administrative regulation will benefit children who are placed outside of their home, but not through the custody of the cabinet or DJJ.

**(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:**

**(a) Initially:**

There are no additional costs to implement this administrative regulation.

**(b) On a continuing basis:**

There are no additional costs to implement this administrative regulation. Many of the provisions contained in this administrative regulation were previously contained in 922 KAR 1:310 and are not new.

**(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation:**

This program is enforced through the use of General Funds.

**(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment:**

No increase in fees or funding is necessary.

**(8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:**

This new administrative regulation does not establish any fees.

**(9) TIERING: Is tiering applied?**

Tiering is not applied because this administrative regulation will be implemented in a like manner statewide for agencies that place children not in the custody of a state agency.

## FEDERAL MANDATE ANALYSIS COMPARISON

**(1) Federal statute or regulation constituting the federal mandate.**

16 C.F.R. 1219-1220, 45 C.F.R. Parts 160, 164, 1355.34, 8 U.S.C. 1151, 42 U.S.C. 671, 42 U.S.C. 677(a), 14901-14954

**(2) State compliance standards.**

KRS 194A.050(1), 199.640(5)(a), 605.150(1)

**(3) Minimum or uniform standards contained in the federal mandate.**

16 C.F.R. 1219-1220, 45 C.F.R. Parts 160, 164, 1355.34, 8 U.S.C. 1151, 42 U.S.C. 671, 42 U.S.C. 677(a), 14901-14954

**(4) Will this administrative regulation impose stricter requirements, or additional or different responsibilities or requirements, than those required by the federal mandate?**

This administrative regulation does not impose stricter requirements, or additional or different responsibilities or requirements, than those required by the federal mandate.

**(5) Justification for the imposition of the stricter standard, or additional or different responsibilities or requirements.**

This administrative regulation does not impose stricter requirements, or additional or different responsibilities or requirements, than those required by the federal mandate.

## **FISCAL NOTE**

**(1) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation?**

The Cabinet for Health and Family Services, Department for Community Based Services and the Office of the Inspector General, will be impacted by this administrative regulation.

**(2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation.**

KRS 194A.050(1), 199.640(5)(a), 605.150(1), 16 C.F.R. 1219-1220, 45 C.F.R. Parts 160, 164, 1355.34, 8 U.S.C. 1151, 42 U.S.C. 671, 42 U.S.C. 677(a), 14901-14954

**(3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.**

**(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year?**

The amendment to this administrative regulation will not generate any revenue for the cabinet in the first year.

**(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years?**

The administrative regulation will not generate any new revenue in subsequent years.

**(c) How much will it cost to administer this program for the first year?**

There are no additional costs to administer this program.

**(d) How much will it cost to administer this program for subsequent years?**

There are no additional costs to administer this program.

**Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.**

**Revenues (+/-):**

**Expenditures (+/-):**

**Other Explanation:**

**(4) Estimate the effect of this administrative regulation on the expenditures and cost savings of regulated entities for the first full year the administrative regulation is to be in effect.**

**(a) How much cost savings will this administrative regulation generate for the regulated entities for the first year?**

There are no cost savings associated with this administrative regulation, but there are not costs either. Many of the requirements contained herein were previously contained in 922 KAR 1:310.

**(b) How much cost savings will this administrative regulation generate for the regulated entities for subsequent years?**

There are no cost savings associated with this administrative regulation.

**(c) How much will it cost the regulated entities for the first year?**

Private child-placing agencies are already meeting the requirements contained in this administrative regulation. There are no additional costs associated with this administrative regulation.

**(d) How much will it cost the regulated entities for subsequent years?**

Private child-placing agencies are already meeting the requirements contained in this administrative regulation. There are no additional costs associated with this administrative regulation.

**Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.**

**Cost Savings (+/-):**

**Expenditures (+/-):**

**Other Explanation:**

**(5) Explain whether this administrative regulation will have a major economic impact, as defined below.**

"Major economic impact" means an overall negative or adverse economic impact from an administrative regulation of five hundred thousand dollars (\$500,000) or more on state or local government or regulated entities, in aggregate, as determined by the promulgating administrative bodies. [KRS 13A.010(13)] This administrative regulation will not have a major economic impact. The requirements of this administrative regulation were previously established in 922 KAR 1:310 and are already being met.