

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**Department for Community Based Services**  
**Division of Child Care**  
**(Amendment)**

**922 KAR 2:120. Child-care center health and safety standards.**

RELATES TO: KRS Chapter 151, 158.030, Chapter 186, 189.125, 199.011(3), 199.894(1), 199.8951, 199.896(2), (18), (19), 199.8962, ~~[199.898, 211.350-211.380,~~ Chapter 217, 311.646, 314.011(5), Chapter 318, 527.070(1), 620.030, ~~[7 C.F.R. 226.20, 16 C.F.R. 1219, 1220, 1221, 45 C.F.R. 98.2, 49 C.F.R. 571.213, 20 U.S.C. 6081-6084, 42 U.S.C. 9857-9858a]~~

STATUTORY AUTHORITY: KRS 194A.050(1), 199.896(2), 199.8962(2)

NECESSITY, FUNCTION, AND CONFORMITY: KRS 194A.050(1) requires the ~~secretary~~~~[Secretary]~~ of the Cabinet for Health and Family Services to promulgate administrative regulations necessary to operate programs and fulfill the responsibilities vested in the cabinet, qualify for the receipt of federal funds, and cooperate with other state and federal agencies for the proper administration of the cabinet and its programs. KRS 199.896(2) authorizes the Cabinet for Health and Family Services to promulgate administrative regulations and standards for child-care centers. KRS 199.8962(2) requires the Cabinet for Health and Family Services to promulgate administrative regulations to establish the requirements and procedures for the implementation of standards contained therein. This administrative regulation establishes health and safety standards for child-care centers.

**Section 1. Definitions.**

- (1) "Cabinet" is defined by KRS 199.011(3) and 199.894(1).
- (2) "Corporal physical discipline" is defined by KRS 199.896(18).
- (3) "Developmentally appropriate" means suitable for the specific age range and abilities of a child.
- (4) "Director" means an individual:
  - (a) Who meets the education and training requirements as specified in 922 KAR 2:090, Section 10;
  - (b) Whose primary full-time job responsibilities are to ensure compliance with 922 KAR 2:090, 922 KAR 2:280, and this administrative regulation; and
  - (c) Who is responsible for directing the program and managing the staff at the child-care center.
- (5) "Health professional" means a person currently licensed as a:
  - (a) Physician;
  - (b) Physician assistant;
  - (c) Advanced practice registered nurse; or
  - (d) Registered nurse as defined by KRS 314.011(5) under the supervision of a physician or advanced practice registered nurse.
- (6) "Infant" means a child who is less than twelve (12) months of age.
- (7) "Licensee" means the owner or operator of a child-care center to include:
  - (a) Sole proprietor;
  - (b) Corporation;
  - (c) Limited liability company;
  - (d) Partnership;
  - (e) Association; or
  - (f) Organization, such as:
    1. Board of education;

2. Private school;
  3. Faith-based organization;
  4. Government agency; or
  5. Institution.
- (8) "Nontraditional hours" means the hours of:
- (a) 7 p.m. through 5 a.m. Monday through Friday; or
  - (b) 7 p.m. on Friday until 5 a.m. on Monday.
- (9) "Parent" is defined by 45 C.F.R. 98.2.
- (10) "Premises" means the building and contiguous property in which child care is licensed.
- (11) "Preschool-age" means a child who is older than a toddler and younger than school-age.
- (12) "Protective surface" means loose surfacing material not installed over concrete, which includes:
- (a) Wood mulch;
  - (b) Double shredded bark mulch;
  - (c) Uniform wood chips;
  - (d) Fine sand;
  - (e) Coarse sand;
  - (f) Pea gravel, except for areas used by children under three (3) years of age;
  - (g) Certified shock absorbing resilient material; or
  - (h) Other material approved by the cabinet or designee, based on recommendation from a nationally recognized source.
- (13) "Related" means having one (1) of the following relationships with the operator of the child-care center:
- (a) Child;
  - (b) Grandchild;
  - (c) Niece;
  - (d) Nephew;
  - (e) Sibling;
  - (f) Stepchild; or
  - (g) Child in legal custody of the operator.
- (14) "School-age" means a child who meets the age requirements of KRS 158.030 or who attends kindergarten, elementary, or secondary education.
- (15) "Toddler" means a child between the age of twelve (12) months and thirty-six (36) months.
- (16) "Transition" means the changing from one (1) child care arrangement to another.
- (17) "Transition plan" means a document outlining the process to be used in moving a child from one (1) child care arrangement to another.
- (18) "Type I child-care center" means a child-care center licensed to regularly provide child care services for:
- (a) Four (4) or more children in a nonresidential setting; or
  - (b) Thirteen (13) or more children in a residential setting with designated space separate from the primary residence of a licensee.
- (19) "Type II child-care center" means the primary residence of the licensee in which child care is regularly provided for at least seven (7), but not more than twelve (12), children including children related to the licensee.

## Section 2. Child Care Services.

- (1) Services established in this administrative regulation shall be maintained during all hours of operation that child care is provided.

(2) For an operating child-care center, minimum staff-to-child ratios and group size shall be maintained as established in the table established in this subsection.

Age of Children	Ratio	Maximum Group Size*
Infant	1 staff for 5 children	10
Toddler 12 to 24 months	1 staff for 6 children	12
Toddler 24 to 36 months	1 staff for 10 children	20
Preschool-age 3 to 4 years	1 staff for 12 children	24
Preschool-age 4 to 5 years	1 staff for 14 children	28
School-age 5 to 7 years	1 staff for 15 children	30
School-age 7 and older	1 staff for 25 children (for before and after school)	30
	1 staff for 20 children (full day of care)	30

\*Maximum Group Size shall be applicable only to Type I child-care centers.

- (a) In a Type I child-care center, a group size shall:
    - 1. Be separately maintained in a defined area unique to the group; and
    - 2. Have specific staff assigned to, and responsible for, the group.
  - (b) The age of the youngest child in the group shall determine the:
    - 1. Staff-to-child ratio; and
    - 2. Maximum group size.
  - (c) This subsection and subsection (10) of this section shall not apply during traditional school hours to a center:
    - 1. Providing early childhood education to mixed-age groups of children whose ages range from thirty (30) months to six (6) years; and
    - 2. Accredited by or affiliated with a nationally-recognized education association that has criteria for group size and staff-to-child ratios contrary to the requirements of this subsection.
  - (d) If a child related to the director, employee, or person under the supervision of the licensee is receiving care in the center, the child shall be included in the staff-to-child ratio.
  - (e) A child-care center shall develop a written procedure to always maintain supervision, ratios, and group size including during:
    - 1. The beginning of the day;
    - 2. Staff breaks; and
    - 3. Movement from the classroom to another location.
- (3)
- (a) Each center shall maintain a child-care program that assures each child shall be:
    - 1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:
      - a. Within scope of vision and range of voice;~~[-or]~~
      - b. For a school-age child, within scope of vision or range of voice; and

- c. Accounted for during movement from one location to another using name-to-face recognition by visually identifying each child; and
  - 2. Protected from abuse and neglect.
- (b) The program shall include:
  - 1. A procedure to ensure compliance with and inform child care staff of the laws of the ~~commonwealth~~~~[Commonwealth]~~ pertaining to child abuse or neglect set forth in KRS 620.030; and
  - 2. Written policy that states that the procedures that were taught at the orientation training shall be implemented by each child-care center staff member.
- (4) The child-care center shall provide a daily planned program:
  - (a) Posted in writing in a conspicuous location with each age group and followed;
  - (b) Of activities that are individualized and developmentally appropriate for each child served;
  - (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and
  - (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:
    - 1. Art or music;
    - 2. Math or numbers;
    - 3. Dramatic play;
    - 4. Stories and books;
    - 5. Science or nature;
    - 6. Block building or stacking;
    - 7. Tactile or sensory activity;
    - 8. Multi-cultural exposure;
    - 9. Indoor and outdoor play in which a child makes use of both small and large muscles;
    - 10. A balance of active and quiet play, including group and individual activity;
    - 11. An opportunity for a child to:
      - a. Have some free choice of activities;
      - b. If desired, play apart from the group at times; and
      - c. Practice developmentally appropriate self-help procedures in respect to:
        - (i) Clothing;
        - (ii) Toileting;
        - (iii) Hand-washing; and
        - (iv) Eating; and
    - 12. The use of screen time, electronic viewing, and listening devices if the:
      - a. Material is developmentally appropriate to the child using the equipment;
      - b. Material is not a replacement for active play or a substitute for engagement and interaction with other children and adults;
      - c. Material does not include any violence, adult content viewing, or inappropriate language;
      - d. Child is over twenty-four (24) months of age;
      - e. Viewing or listening is discussed with parents beforehand; and
      - f. Viewing or listening is designed as an educational tool used to help children explore, create, problem solve, interact, and learn with and from one another.
- (5) Screen time shall be:
  - (a) Utilized for:
    - 1. A maximum of thirty (30) minutes per day in a half-day program;
    - 2. A maximum of sixty (60) minutes per day in a full-day program; or
    - 3. The time needed for school-age children to complete assigned non-traditional instruction; and

- (b) Prohibited for a child under twenty-four (24) months of age.
- (6) A child who does not wish to use an electronic device during the planned program shall be offered other appropriate activities.
- (7) Regularity of routines shall be implemented to afford the child familiarity with the daily schedule of activity.
- (8) Sufficient time shall be allowed for an activity so that a child may progress at his or her own developmental rate.
- (9) A child shall not be required to stand or sit for a prolonged period of time:
  - (a) During an activity;
  - (b) While waiting for an activity to start; or
  - (c) As discipline.
- (10) If school-age care is provided:
  - (a) A separate area or room shall be provided in a Type I child-care center; and
  - (b) Each child shall be provided a snack after school.
- (11) A child shall not be subjected to:
  - (a) Corporal physical discipline pursuant to KRS 199.896(18);
  - (b) Loud, profane, threatening, frightening, humiliating, or abusive language; or
  - (c) Discipline that is associated with:
    - 1. Rest;
    - 2. Toileting;
    - 3. Play time; or
    - 4. Food.
- (12) If nontraditional hours of care are provided:
  - (a) Including time spent in school, a child shall not be permitted to spend more than sixteen (16) hours in the child-care center during one (1) twenty-four (24) hour period;
  - (b) At least one (1) staff member shall be assigned responsibility for each sleeping room;
  - (c) A child present for an extended period of time during waking hours shall receive a program of well-balanced and constructive activity that is developmentally appropriate for the child;
  - (d) A child sleeping three (3) hours or more shall sleep in:
    - 1. Pajamas; or
    - 2. A nightgown;
  - (e) A child who attends school from the child-care center shall be offered breakfast prior to leaving for school; and
  - (f) Staff shall:
    - 1. If employed by a Type I child-care center, remain awake while on duty; or
    - 2. If employed by or is the operator of a Type II child-care center, remain awake until every child in care is asleep.
- (13)
  - (a) Care for a child with a special need shall be consistent with the nature of the need as documented by the child's health professional.
  - (b) A child may include a person eighteen (18) years of age if the person has a special need for which child care is required.
- (14) A child-care center shall ensure all staff members ensure precautions are taken to prevent shaken baby syndrome, abusive head trauma, and child maltreatment.

### Section 3. General Requirements.

- (1) Screen time, electronic viewing, and listening devices shall only be used in the center as a part of the child's planned program of activity as established in Section 2(4) and (5) of this administrative regulation.

- (2) Activity areas, equipment, and materials shall be arranged so that the child's activity is adequately supervised by staff.
- (3) Computer equipment shall be equipped with a monitoring device that limits access by a child to items inappropriate for a child to view or hear.
- (4) A child shall:
  - (a) Be helped with personal care and cleanliness based upon his or her developmental skills;
  - (b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:
    - 1.
      - a. Upon arrival at the center; or
      - b. Within thirty (30) minutes of arrival for school-age children;
    - 2. Before and after eating or handling food;
    - 3. After toileting or diaper change;
    - 4. After handling animals;
    - 5. After touching an item or an area of the body soiled with body fluids or wastes; and
    - 6. After outdoor or indoor play time; and
  - (c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.
- (5) Staff shall:
  - (a) Maintain personal cleanliness;
  - (b) Conform to hygienic practices while on duty;
  - (c) Except as established in paragraph (d) of this subsection, wash their hands with liquid soap and running water:
    - 1. Upon arrival at the center;
    - 2. After toileting or assisting a child in toileting;
    - 3. Before and after diapering each child;
    - 4. After wiping or blowing a child's or own nose;
    - 5. After handling animals;
    - 6. After caring for a sick child;
    - 7. Before and after feeding a child or eating;
    - 8. Before dispensing medication;
    - 9. After smoking or vaping; and
    - 10. If possible, before administering first aid; and
  - (d) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (c) of this subsection. The staff shall wash the staff's hands as soon as practicable once liquid soap and warm running water are available.
- (6) A staff person suspected of being infected with a communicable disease shall:
  - (a) Not perform duties that could allow for the transmission of the disease until the infectious condition can no longer be transmitted; and
  - (b) Provide a statement of fitness to return to work from a health professional, if requested.
- (7) The following shall be inaccessible to a child in care:
  - (a) Toxic cleaning supplies, poisons, and insecticides;
  - (b) Matches, cigarettes, lighters, and flammable liquids; and
  - (c) Personal belongings and medications of staff.
- (8) The following shall be inaccessible to a child in care unless under direct supervision and part of planned program of instruction:

- (a) Knives and sharp objects;
  - (b) Litter and rubbish;
  - (c) Bar soap; and
  - (d) Plastic bags not used for personal belongings.
- (9) In accordance with KRS 527.070(1), firearms and ammunition shall be stored separately from each other in a locked area outside of the designated child care area.
- (10) Smoking or vaping shall:
- (a) Be permitted in accordance with local ordinances;
  - (b) Be allowed only in outside designated areas; and
  - (c) Not be permitted in the presence of a child.
- (11) While bottle feeding a child, the:
- (a) Child shall be held; and
  - (b) Bottle or beverage container shall not be:
    - 1. Propped;
    - 2. Left in the mouth of a sleeping child; or
    - 3. Heated in a microwave.
- (12) A fire drill shall be:
- (a) Conducted during hours of operation at least monthly; and
  - (b) Documented, detailing the date and time.
- (13) An earthquake drill, shelter-in-place drill, ~~for~~ lockdown drill, and tornado drill shall be:
- (a) Conducted during hours of operation at least quarterly; and
  - (b) Documented, detailing the date and time.
- (14) A written plan shall be in place to communicate reunification with families and accommodations in the event of a natural disaster, fire, shelter-in-place, lockdown, or other emergency for:
- (a) Infants and toddlers;
  - (b) Children with disabilities; and
  - (c) Children with chronic medical conditions.

#### Section 4. Premises Requirements.

- (1) The premises shall be:
- (a) Suitable for the purpose intended;
  - (b) Kept clean and in good repair; and
  - (c) Equipped with:
    - 1. A working telephone accessible to a room used by a child; and
    - 2. A list of emergency numbers posted by the telephone or maintained in the telephone's contact, including numbers for the:
      - a. Police department;
      - b. Fire department;
      - c. Emergency medical care and rescue squad; and
      - d. Poison control center.
- (2) A child-care center shall be in compliance with the codes administered by the Kentucky Fire Marshal and the local zoning laws.
- (3) Fire and emergency exits shall be kept clear of debris.
- (4) A working carbon monoxide detector shall be required in a licensed child-care center that is in a home if the home:
- (a) Uses fuel burning appliances; or
  - (b) Has an attached garage.
- (5) The building shall be constructed to ensure the:
- (a) Building is:
    - 1. Dry;

2. Ventilated; and
3. Well lit, including clean light fixtures that are:
  - a. In good repair in all areas; and
  - b. Shielded or have shatter-proof bulbs installed; and
- (b) Following are protected:
  1. Windows;
  2. Doors;
  3. Stoves;
  4. Heaters;
  5. Furnaces;
  6. Pipes; and
  7. Stairs.
- (6)
  - (a) A minimum of thirty-five (35) square feet of indoor space is required per child, exclusive of the:
    1. Kitchen;
    2. Bathroom;
    3. Hallways; and
    4. Storage areas; and
  - (b) The final total capacity of the center shall be calculated and approved by the cabinet ~~[Exclusive of the kitchen, bathroom, hallway, and storage area, there shall be a minimum of thirty-five (35) square feet of space per child].~~
- (7) Measures shall be utilized to control the presence of:
  - (a) Rodents;
  - (b) Flies;
  - (c) Roaches; and
  - (d) Other vermin.
- (8) An opening to the outside shall be effectively protected against the entrance of vermin by:
  - (a) Self-closing doors;
  - (b) Closed windows;
  - (c) Screening;
  - (d) Controlled air current; or
  - (e) Other effective means.
- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.
- (10) The water supply shall be:
  - (a) Potable;
  - (b) Protected from contamination;
  - (c) Adequate in quality and volume;
  - (d) Under sufficient pressure to permit unrestricted use; and
  - (e) Obtained from an approved public water supply or a source approved by the local health department.
- (11) Groundwater supplies for a child-care center caring for:
  - (a) More than twenty-five (25) children shall comply with requirements of the Energy and Environment Cabinet, Division of Water, established in KRS Chapter 151 and 401 KAR Chapter 8, as applicable; or
  - (b) Twenty-five (25) children or less shall secure approval from the:
    1. Energy and Environment Cabinet; or
    2. Local health department.
- (12) Sewage shall be properly disposed by a method approved by the:
  - (a) Energy and Environment Cabinet; or



- (b) Cabinet.
- (13) All plumbing shall comply with the State Plumbing Code established in KRS Chapter 318.
- (14) Solid waste shall be kept in a suitable receptacle in accordance with local, county, and state law, as governed by KRS 211.350 to 211.380.
- (15) If a portion of the building is used for a purpose other than child care:
  - (a) Necessary provisions shall be made to avoid interference with the child-care program; and
  - (b) A separate restroom shall be provided for use only by those using the building for its child care purpose.
- (16) The temperature of the indoor area of the premises shall be sixty-five (65) to eighty-two (82) degrees Fahrenheit.
- (17) Outdoor activity shall be restricted based upon:
  - (a) Temperature;
  - (b) Weather conditions;
  - (c) Weather alerts, advisories, and warnings issued by the National Weather Service; or
  - (d) Age or temperament of the child.
- (18) A kitchen shall not be required if:
  - (a) The only food served is an afternoon snack to school-age children; and
  - (b) Adequate refrigeration is maintained.
- (19) The Department of Housing, Buildings and Construction, the Kentucky Fire Marshal's Office, and cabinet shall be contacted concerning a planned new building, addition, or major renovation prior to construction.
- (20) An outdoor play area shall have constant and active supervision and shall be:
  - (a) Except for an after-school child-care program, located on the premises of a public or state-accredited nonpublic school, fenced for the safety of the children;
  - (b) A minimum of sixty (60) square feet per child, separate from and in addition to the thirty-five (35) square feet minimum pursuant to subsection (6) of this section;
  - (c) Free from:
    - 1. Litter;
    - 2. Glass;
    - 3. Rubbish; and
    - 4. Flammable materials;
  - (d) Safe from foreseeable hazard;
  - (e) Well drained;
  - (f) Well maintained;
  - (g) In good repair;~~and~~
  - (h) Visible to staff at all times; and
  - (i) Protected by physical or natural barriers from:
    - 1. Traffic;
    - 2. Gullies; and
    - 3. Other hazards.
- (21) A protective surface shall:
  - (a) Be provided for outdoor play equipment used to:
    - 1. Climb;
    - 2. Swing; and
    - 3. Slide; and
  - (b) Have a fall zone equal to the height of the equipment.
- (22) If a child-care center does not have access to an outdoor play area, an indoor space shall:
  - (a) Be used as a play area;

- (b) Have a minimum of sixty (60) square feet per child, separate from and in addition to the thirty-five (35) square feet minimum pursuant to subsection (6) of this section;
  - (c) Include equipment for gross motor skills; and
  - (d) Have a protective surface of at least two (2) inches thick around equipment intended for climbing.
- (23) While attending, a child shall:
- (a) Have moderate to vigorous activity each day, including active play that:
    - 1. Includes outdoor play unless unavailable pursuant to subsections (17) or (22) of this section;
    - 2. Shall occur for a minimum of;
      - a. Thirty (30) minutes per day in a half-day program; or
      - b. Sixty (60) minutes per day in a full-day program; and
    - 3. May be broken into smaller increments of time throughout a day; and
  - (b) Not be punished or rewarded in regards to play time.
- (24) Fences shall be:
- (a) Constructed of safe material;
  - (b) Stable; and
  - (c) In good condition.
- (25) Supports for climbing apparatus and large equipment shall be securely fastened to the ground.
- (26) Crawl spaces, such as tunnels, shall be short and wide enough to permit access by adults.
- (27) A sandbox shall be:
- (a) Constructed to allow for drainage;
  - (b) Covered while not in use;
  - (c) Kept clean; and
  - (d) Checked for vermin prior to use.
- (28) Constant and active supervision shall be maintained around any body of water and shall be inaccessible by secured physical or natural barriers of adequate height and appropriately secured.
- (29) Bodies of water that shall not be utilized include:
- (a) Portable wading pools;
  - (b) Natural bodies of water; and
  - (c) Unfiltered, nondisinfected containers.
- (30) ~~[(29)]~~ A child-care center shall have enough toys, play apparatus, and developmentally appropriate materials to provide each child with a variety of activities during the day, as specified in Section 2 of this administrative regulation.
- (31) ~~[(30)]~~ Storage space shall be provided:
- (a) In the form of:
    - 1. Shelves; or
    - 2. Other storage device accessible to the children; and
  - (b) In sufficient quantity for each child's personal belongings.
- (32) ~~[(31)]~~ Supplies shall be stored so that the adult can reach them without leaving a child unattended.

#### Section 5. Infant and Toddler Play Requirements.

- (1) Indoor areas for infants and toddlers under twenty-four (24) months of age shall:
  - (a) Be separate from an area used by an older child;
  - (b) Not be an exit or entrance; and
  - (c) Have adequate crawling space for an infant or toddler away from general traffic patterns of the center.

(2) While awake, an infant shall have short periods of supervised tummy time throughout each day.

(3) Except in accordance with subsection (4) of this section or Section 2(2)(c) of this administrative regulation, an infant or toddler under twenty-four (24) months of age shall not participate in an activity with an older child for more than one (1) hour per day.

(4) If a toddler is developmentally appropriate for a transition to a preschool age group, a toddler may participate in an activity with an older child for more than one (1) hour per day if:

- (a) Space for the toddler is available in the preschool-age group;
- (b) The staff-to-child ratios and group sizes are maintained based on the age of the youngest child;
- (c) The center has a procedure for listing a transitioning toddler on attendance records, including a specific day and time the toddler is with either age group; and
- (d) The ~~child-care~~~~child-care~~ center has obtained the signature and approval of the toddler's parent on the toddler's transition plan.

(5) If a child-care center provides an outdoor play area for an infant or toddler under twenty-four (24) months of age, the outdoor area shall be:

- (a) Shaded; and
- (b) In a separate area or scheduled at a different time than an older child.

(6) Playpens and play yards shall:

- (a) Meet federal standards as issued by the Consumer Product Safety Commission, including 16 C.F.R. 1221;
- (b) Be manufactured for commercial use; and
- (c) Not be used for sleeping or napping.

#### Section 6. Sleeping and Napping Requirements.

(1) An infant shall sleep or nap on the infant's back unless the infant's health professional signs a waiver that states the infant requires an alternate sleeping position.

(2) Rest time shall be provided for each child who is not school-age and who is in care for more than four (4) hours.

(3) Rest time shall occur in an adequate space according to the child's age as follows:

(a) For an infant:

- 1. An individual non-tiered crib that meets Consumer Product Safety Commission standards established in 16 C.F.R. 1219-1220;
- 2. A firm crib mattress in good repair with a clean tight-fitted sheet that shall be changed:
  - a. Weekly; or
  - b. Immediately if it is soiled or wet;
- 3. No bedding other than a clean tight-fitted sheet; and
- 4. No toys or other items except the infant's pacifier; or

(b) For a toddler or preschool-age child:

- 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and
- 2. Bedding that is in good repair and is changed:
  - a. Weekly; or
  - b. Immediately if it is soiled or wet.

(4) Rest time shall not exceed two (2) hours for a preschool-age child unless the child is attending the child-care center during nontraditional hours.

(5) A child who does not sleep shall be permitted to play quietly and shall be visually supervised.

(6) Cots, equipment, and furnishings used for sleeping and napping shall be spaced twelve (12) inches apart to allow free and safe movement by a person.

(7) If cots or mats are used, floors shall be free from:

- (a) Drafts;
  - (b) Liquid substances;
  - (c) Dirt; and
  - (d) Dampness.
- (8)
- (a) Cots or mats not labeled for individual use by a child shall be cleaned after each use.
  - (b) Cots or mats labeled for individual use by a child shall be:
    - 1. Cleaned at least weekly; and
    - 2. Disinfected immediately if it is soiled or wet.
- (9) Individual bedding shall be stored in a sanitary manner.

#### Section 7. First Aid and Medicine.

- (1) First aid supplies shall:
- (a) Be available to provide prompt and proper first aid treatment;
  - (b) Be stored out of reach of a child;
  - (c) Be periodically inventoried to ensure the supplies have not expired;
  - (d) If reusable, be:
    - 1. Sanitized; and
    - 2. Maintained in a sanitary manner; and
  - (e) Include:
    - 1. Liquid soap;
    - 2. Adhesive bandages;
    - 3. Sterile gauze;
    - 4. Medical tape;
    - 5. Scissors;
    - 6. A thermometer;
    - 7. Flashlight;
    - 8. Cold pack;
    - 9. First aid book;
    - 10. Disposable gloves; and
    - 11. A cardiopulmonary resuscitation mouthpiece protector.
- (2) A child showing signs of an illness or condition that could be communicable shall not be admitted to the regular child-care program.
- (3) If a child becomes ill while at the child-care center:
- (a) The child shall be placed in a supervised area isolated from the rest of the children;
  - (b) The parent shall be contacted immediately;~~and~~
  - (c) Arrangements shall be made to remove the child from the child-care center as soon as practicable;
  - (d) Biological contaminants, such as bodily fluids, blood, or excretions, shall be handled with disposable gloves;
  - (e) Contaminated clothing or other absorbent materials shall be placed in a sealed plastic container or bag labeled with the child's name and returned to the parent; and
  - (f) Soiled surfaces shall be cleaned and disinfected.
- (4) Prescription and nonprescription medication shall be administered to a child in care:
- (a)
    - 1. With a written request of the child's parent or the child's prescribing health professional; and
    - 2. According to the directions or instructions on the medication's label; or
  - (b) For epinephrine, in accordance with KRS 199.8951 and 311.646.
- (5) The child-care center shall keep a written record of the administration of medication, including:

- (a) Time of each dosage;
  - (b) Date;
  - (c) Amount;
  - (d) Name of staff person giving the medication;
  - (e) Name of the child; and
  - (f) Name of the medication.
- (6) Medication, including refrigerated medication, shall be:
- (a) Stored in a separate and locked place, out of the reach of a child unless the medication is:
    - 1. A first aid supply and is maintained in accordance with subsection (1) of this section;
    - 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;
    - 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:
      - a. An epinephrine auto-injector shall be inaccessible to a child;
      - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;
      - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and
      - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 13(1)(b), if an epinephrine auto-injector is administered to a child; or
    - 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;
  - (b) Kept in the original bottle; and
  - (c) Properly labeled.
- (7) Medication shall not be given to a child if the medication's expiration date has passed.
- (8) Each center shall ensure that every staff member has received training on first aid and cardiopulmonary resuscitation (CPR).
- (9) Waste and biological contaminants, such as bodily fluids, blood, or excretions, shall be:
- (a) Disposed of in a manner that prevents exposure to children;
  - (b) Inaccessible to children; and
  - (c) In a covered plastic-lined receptacle with a close-fitting lid.
- (10) The child-care center shall ensure each child's food or other allergies and allergy care plan are posted prominently where food is served with the permission of the parent or guardian, including:
- (a) Instructions regarding the allergy, including identifying symptoms;
  - (b) Steps taken to avoid and prevent the allergen; and
  - (c) A plan of treatment in the event of an allergic reaction, including medication and doses, and that all epinephrine pens shall be administered in accordance with subsection (6)(a)3. of this section.

#### Section 8. Kitchen Requirements.

- (1) The kitchen shall:
  - (a) Be clean;
  - (b) Be equipped for proper food:
    - 1. Preservation;
    - 2. Storage;

- 3. Preparation; and
- 4. Service;
- (c) Be adequately ventilated~~[to the outside air]~~; and
- (d) Except in a Type II child-care center when a meal is not being prepared, not be used for the activity of a child.
- (2) A child-care center required to have a food service permit shall be in compliance with 902 KAR 45:005 and this administrative regulation.
- (3) Convenient and suitable sanitized utensils shall be:
  - (a) Provided; and
  - (b) Used to minimize handling of food during preparation.
- (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:
  - (a) Have an indicating thermometer or other appropriate temperature measuring device;
  - (b) Be in a safe environment for preservation; and
  - (c) Be forty (40) degrees Fahrenheit or below.
- (5) Frozen food shall be:
  - (a) Kept at a temperature of zero degrees Fahrenheit or below; and
  - (b) Thawed:
    - 1. At refrigerator temperatures;
    - 2. Under cool, potable running water;
    - 3. As part of the cooking process; or
    - 4. By another method in accordance with the Department for Public Health's food safety standards and permits, established in KRS Chapter 217.
- (6) Equipment, utensils, and surfaces contacting food shall be:
  - (a) Smooth;
  - (b) Free of breaks, open seams, cracks, and chips;
  - (c) Accessible for cleaning; and
  - (d) Nontoxic.
- (7) The following shall be clean and sanitary:
  - (a) Eating and drinking utensils;
  - (b) Kitchenware;
  - (c) Food contact surfaces of equipment;
  - (d) Food storage utensils;
  - (e) Food storage containers;
  - (f) Cooking surfaces of equipment; and
  - (g) Nonfood contact surfaces of equipment.
- (8) A single-service item shall be:
  - (a) Stored;
  - (b) Handled and dispensed in a sanitary manner; and
  - (c) Used only once.
- (9) Bottles shall be:
  - (a) Individually labeled;
  - (b) Promptly refrigerated;
  - (c) Covered while not in use; and
  - (d) Consumed within one (1) hour of being heated or removed from the refrigerator.

Section 9. Food and Drink Requirements for All Child-Care Centers.

- (1) Food shall be:
  - (a) Clean;
  - (b) Free from:
    - 1. Spoilage;
    - 2. Adulteration; and

- 3. Misbranding;
  - (c) Safe for human consumption;
  - (d) Withheld from service or discarded if the food is hermetically sealed, nonacidic, or low-acidic food that has been processed in a place other than a commercial food-processing establishment;
  - (e) Obtained from a source that is in compliance with the Department for Public Health's food safety standards and permits, established in KRS Chapter 217;
  - (f) Acceptable if from an established commercial food store;
  - (g) Served in a quantity that is developmentally appropriate for the child with additional portions provided upon request of the child; and
  - (h) Protected against contamination from:
    - 1. Dust;
    - 2. Flies;
    - 3. Rodents and other vermin;
    - 4. Unclean utensils and work surfaces;
    - 5. Unnecessary handling;
    - 6. Coughs and sneezes;
    - 7. Cuts in skin;
    - 8. Communicable disease;
    - 9. Flooding;
    - 10. Drainage; and
    - 11. Overhead leakage.
- (2) Food shall not be:
  - (a) Used for reward;
  - (b) Used for discipline;
  - (c) Withheld until all other foods are consumed; or
  - (d) Served while viewing electronic devices.
- (3) A serving of milk shall consist of:
  - (a) Breast milk or iron-fortified formula for a child:
    - 1. Age birth to twelve (12) months; or
    - 2. Beyond twelve (12) months of age as documented by the parent or the child's physician;
  - (b) Pasteurized unflavored whole milk for children ages twelve (12) months to twenty-four (24) months; or
  - (c) Pasteurized unflavored low fat one (1) percent or fat-free skim milk for children ages twenty-four (24) months to school-age.
- (4) Formula or breast milk provided by the parent shall be prepared and labeled.
- (5) A child-care center may participate in the Child and Adult Care Food Program (CACFP).
- (6) A serving of bread shall only consist of whole or enriched grain.
- (7) Drinking water shall be freely available to a child throughout the day.
- (8) Food shall be stored on:
  - (a) Clean racks;
  - (b) Clean shelves;
  - (c) Other clean surfaces; or
  - (d) If maintained in a sanitary condition, in nonabsorbent labeled containers a minimum of six (6) inches off the floor.
- (9) Fruits and vegetables shall be washed before cooking or serving.
- (10) Children shall not be served food that has been deep-fried on-site.
- (11) Meat salads, poultry salads, and cream-filled pastries shall be:
  - (a) Prepared with utensils that are clean; and
  - (b) Refrigerated unless served immediately.

- (12) An individual portion of food served to a child or adult shall not be served again.
- (13) Wrapped food that is still wholesome and has not been unwrapped may be reserved.
- (14) Meals shall be:
  - (a) Served every two (2) to three (3) hours; and
  - (b) Served to a child:
    - 1. Seated with sufficient room to manage food and tableware; and
    - 2. Supplied with individual eating utensils designed for use by a child.
- (15) Drinks served to children shall not have added sugar. Children shall drink water, milk, or 100% juice with meals.
- (16) Juice shall:
  - (a) Not include added sugar;
  - (b) Not be served more than once per day;
  - (c) Not be served to children under the age of twelve (12) months; and
  - (d) Serve as a fruit or vegetable meal component replacement.
- (17) A meat alternative shall include:
  - (a) Tofu;
  - (b) Soy products;
  - (c) Cheese, including cottage or ricotta cheese;
  - (d) Eggs;
  - (e) Cooked dry beans;
  - (f) Peanut butter or soy nut butter;
  - (g) Yogurt, plain or flavored; or
  - (h) Peanuts, soy nuts, tree nuts, or seeds.
- (18) Cheese shall be natural and pasteurized processed cheese. Children shall not be served cheese product, imitation cheese, cheese food, or cheese spread as a meat alternative.
- (19) For food provided by the center, all children in the center shall be offered the same food items unless:
  - (a) A parent provides written authorization to substitute the food with an alternative that meets the same component requirement; or
  - (b) A physician provides written authorization to substitute the food or the food component and includes the food that the child shall not have and the food substitution that the child shall have.
- (20) Children shall be served all daily food components required by Section 10 or 11 of this administrative regulation.

#### Section 10. Meal Planning Requirements for a Center that Provides Meals.

- (1) Breakfast shall include the following three (3) components:
  - (a) Milk;
  - (b) Bread or grain, which may:
    - 1. Be exchanged for a meat or meat alternative up to three (3) times per week; and
    - 2. Include ready-to-eat cereal with six (6) grams of sugar or less per dry ounce; and
  - (c)
    - 1. Fruit;
    - 2. Vegetable; or
    - 3. 100 percent juice.
- (2) A snack shall include two (2) of the following components:
  - (a) Milk;
  - (b) Meat or meat alternative;
  - (c) Bread or grain; or
  - (d)
    - 1. Fruit;



- 2. Vegetable; or
- 3. 100 percent juice.
- (3) Lunch, and dinner if served, shall include the following components:
  - (a) Milk;
  - (b) Meat or meat alternative;
  - (c) Bread or grain; and
  - (d)
    - 1. Two (2) different vegetables; or
    - 2. One (1) fruit and one (1) vegetable.
- (4) A grain-based dessert shall not replace the bread or grain component of a meal.
- (5) Yogurt served to children shall have twenty-three (23) grams of sugar or less per six (6) ounces.
- (6) The serving size for milk shall be:
  - (a) Four (4) ounces for one (1) or two (2) year old children;
  - (b) Six (6) ounces for three (3) to five (5) year old children; or
  - (c) Eight (8) ounces for school-age children.
- (7) At least one (1) whole grain bread or grain shall be served daily.
- (8) A component shall be considered "whole grain" if:
  - (a) The product is listed by any state agency's Special Supplemental Nutrition Program for Women, Infants, and Children as whole grain;
  - (b) The product is labeled as "whole wheat" and has a Standard of Identity issued by the U.S. Food and Drug Administration (FDA);
  - (c) The product includes one of the FDA-approved whole grain health claims on its packaging, exactly as written;
  - (d) The product meets the whole grain-rich criteria under the National School Lunch Program (NSLP);
  - (e) The product is identified on the package as "whole grain," "whole wheat," or "whole grain-rich"; or
  - (f) Proper documentation from a manufacturer or standardized recipe demonstrates that whole grains are the primary grain ingredient by weight.
- (9) A weekly menu shall be:
  - (a) Prepared;
  - (b) Dated;
  - (c) Posted in advance in a conspicuous place;
  - (d) Kept on file for thirty (30) days; and
  - (e) Amended in writing with any substitutions on the day the meal is served.

#### Section 11. Meal Planning Requirements for a Center that Does Not Provide Meals.

- (1) A child-care center that does not provide meals shall serve:
  - (a)
    - 1. Breakfast; or
    - 2. A mid-morning snack;
  - (b)
    - 1. Lunch; or
    - 2. A mid-afternoon snack; and
  - (c) Dinner, if appropriate.
- (2) Breakfast shall include three (3) of the following components:
  - (a) Milk;
  - (b) Bread or grain;
  - (c) Meat or meat alternative; or
  - (d)
    - 1. Fruit;

- 2. Vegetable; or
- 3. 100 percent juice.
- (3) A snack shall include two (2) of the following components:
  - (a) Milk;
  - (b) Bread or grain;
  - (c) Meat or meat alternative; or
  - (d)
    - 1. Fruit;
    - 2. Vegetable; or
    - 3. 100 percent juice.
- (4) Lunch, and dinner if served, shall include:
  - (a) Milk;
  - (b) Bread or grain;
  - (c) Meat or meat alternative; and
  - (d)
    - 1. Two (2) different vegetables; or
    - 2. One (1) fruit and one (1) vegetable.

#### Section 12. Toilet, Diapering, and Toiletry Requirements.

- (1) A child-care center, per building, shall have a minimum of one (1) toilet and one (1) lavatory for each twenty (20) children. Urinals may be substituted for up to one-half (1/2) of the number of toilets required for a male toilet room.
- (2) A toilet room shall:
  - (a)
    - 1. Be provided for each gender; or
    - 2. A plan shall be implemented to use the same toilet room at separate times;
  - (b) Have a supply of toilet paper; and
  - (c) Be cleaned and disinfected daily.
- (3) A sink shall be:
  - (a) Located in or immediately adjacent to toilet rooms;
  - (b) Equipped with hot and cold running water that allows for hand washing;
  - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;
  - (d) Equipped with liquid soap;
  - (e) Equipped with hand-drying blower or single use disposable hand drying material;
  - (f) Equipped with an easily cleanable waste receptacle; and
  - (g) Immediately adjacent to a changing area used for infants and toddlers.
- (4) Each toilet shall:
  - (a) Be kept in clean condition;
  - (b) Be kept in good repair;
  - (c) Be in a lighted room; and
  - (d) Have ventilation to outside air.
- (5) Toilet training shall be coordinated with the child's parent.
- (6) An adequate quantity of freshly laundered or disposable diapers and clean clothing shall be available.
- (7) If a toilet training chair is used, the chair shall be:
  - (a) Used over a surface that is impervious to moisture;
  - (b) Out of reach of other toilets or toilet training chairs;
  - (c) Emptied promptly; and
  - (d) Disinfected after each use.
- (8) Diapers or clothing shall be:
  - (a) Changed when soiled or wet;

- (b) Stored in a covered container temporarily; and
- (c) Washed or disposed of at least once a day.
- (9) The proper methods of diapering and hand-washing shall be posted at each diaper changing area.
- (10) When a child is diapered, the child shall:
  - (a) Not be left unattended; and
  - (b) Be placed on a surface that is:
    - 1. Clean;
    - 2. Padded;
    - 3. Free of holes, rips, tears, or other damage;
    - 4. Nonabsorbent;
    - 5. Easily cleaned; and
    - 6. Free of any items not used for diaper changing.
- (11) Unless the child is allergic, individual disposable washcloths shall be used to thoroughly clean the affected area of the child.
- (12) Staff shall disinfect the diapering surface after each child is diapered.
- (13) If staff wears disposable gloves, the gloves shall be changed and disposed after each child is diapered.
- (14) Combs, towels or washcloths, brushes, and toothbrushes used by a child shall be:
  - (a) Individually stored in separate containers; and
  - (b) Plainly labeled with the child's name.
- (15) Toothbrushes shall be:
  - (a) Individually identified;
  - (b) Allowed to air dry; and
  - (c) Protected from contamination.
- (16) Toothpaste used by multiple children shall be dispensed onto an intermediate surface, such as waxed paper, to avoid cross contamination.

### Section 13. Toys and Furnishings.

- (1) All toys and furniture contacted by a child shall be:
  - (a) Kept clean and in good repair; and
  - (b) Free of peeling, flaking, or chalking paint.
- (2) Indoor and outdoor equipment shall:
  - (a) Be clean, safe, and in good repair;
  - (b) Meet the physical, developmental needs, and interests of children of different age groups;
  - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
  - (d) Be designed to guard against entrapment or situations that may cause strangulation.
- (3) Toys shall be:
  - (a) Used according to the manufacturer's safety specifications;
  - (b) Durable; and
  - (c) Without sharp points or edges.
- (4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:
  - (a)
    - 1. Scrubbing in warm, soapy water using a brush to reach into crevices;
    - 2. Rinsing in clean water;
    - 3. Submerging in a sanitizing solution for at least two (2) minutes; and
    - 4. Air dried; or
  - (b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.

- (5) Tables and chairs shall be of suitable size for children.
- (6) Chairs appropriate for staff shall be provided to use while feeding, holding, or playing with a child.

#### Section 14. Transportation.

- (1) A center shall document compliance with KRS Chapter 186 and 603 KAR 5:072 pertaining to:
  - (a) Vehicles;
  - (b) Drivers; and
  - (c) Insurance.
- (2) A center providing or arranging transportation service shall:
  - (a) Be licensed and approved by the cabinet or its designee prior to transporting a child;
  - (b) Have a written plan that details the type of transportation, staff schedule, transportation schedule, and transportation route; and
  - (c) Have written policies and procedures, including emergency procedures practiced monthly by staff who transports children.
- (3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the:
  - (a) Type of transportation offered;
  - (b) Type of vehicle used for transportation;
  - (c) Plan for ensuring staff perform duties relating to transportation properly;
  - (d) Full insurance coverage for each vehicle;
  - (e) Agency policy and procedures relating to an emergency plan for evacuating the vehicle;
  - (f) Contracts, agreements, or documents detailing arrangements with any third party for services; and
  - (g) Safety procedures for:
    - 1. Transporting a child;
    - 2. Loading and unloading a child; and
    - 3. Providing adequate supervision of a child.
- (4) A vehicle used to transport children shall be equipped with:
  - (a) A fire extinguisher;
  - (b) First aid supplies as established in Section 7 of this administrative regulation;
  - (c) Emergency reflective triangles; and
  - (d) A device to cut the restraint system, if necessary.
- (5) Transportation provided by licensed public transportation or a school bus shall comply with subsections (1) and (2) of this section.
- (6) A vehicle used to transport children shall comply with the requirements established in paragraphs (a) through (d) of this subsection.
  - (a) For a twelve (12) or more passenger vehicle, the child-care center shall maintain a current certification of inspection from the Transportation Cabinet.
  - (b) A vehicle that requires traffic to stop while loading and unloading a child shall be equipped with a system of:
    - 1. Signal lamps;
    - 2. Identifying colors; and
    - 3. Cautionary words.
  - (c) A vehicle shall be equipped with seat belts for each occupant to be individually secured.
  - (d) A vehicle shall not transport children and hazardous materials at the same time.
- (7) The appropriate car safety seat meeting federal and state motor vehicle safety standards in 49 C.F.R. 571.213 and KRS 189.125 shall be used for each child.

(8) A daily inspection of the vehicle shall be performed prior to the vehicle's use and documented for:

- (a) Tire inflation consistent with tire manufacturer's recommended air pressure;
- (b) Working lights, signals, mirrors, gauges, and wiper blades;
- (c) Working safety restraints;
- (d) Adequate fuel level; and
- (e) Cleanliness and good repair.

(9)

(a) The staff-to-child ratios set forth in Section 2(2) of this administrative regulation shall apply to vehicle transport, if not inconsistent with special requirements or exceptions in this section.

(b) An individual who is driving with a child in the vehicle shall supervise no more than four (4) children under the age of five (5).

(10) Each child shall:

- (a) Have a seat;
- (b) Be individually belted or harnessed in the seat; and
- (c) Remain seated while the vehicle is in motion.

(11) A child shall not be left unattended:

- (a) At the site of aftercare delivery; or
- (b) In a vehicle.

(12) If the parent or designee is unavailable, a prearranged written plan shall be completed to designate where the child can be picked up.

(13) A child shall not be picked up or delivered to a location that requires crossing the street or highway unless accompanied by an adult.

(14) A vehicle transporting a child shall have the headlamps on.

(15) If a vehicle needs to be refueled, it shall be refueled only while not being used to transport a child. If emergency refueling or repair is necessary during transporting, all children shall be removed and supervised by an adequate number of adults while refueling or repair is occurring.

(16) If the driver is not in the driver's seat, the:

- (a) Engine shall be turned off;
- (b) Keys shall be removed; and
- (c) Emergency brake shall be set.

(17) Transportation services provided shall:

- (a) Be recorded in writing and include:
  - 1. The first and last name of the child transported; and
  - 2. The time each child gets on and the time each child gets off;
- (b) Be completed by a staff member other than the driver; and
- (c) Be kept for five (5) years.

(18) A driver of a vehicle transporting a child for a center shall:

- (a) Be at least twenty-one (21) years old;
- (b) Complete:
  - 1. The background checks as described in 922 KAR 2:280; and
  - 2. An annual check of the:
    - a. Kentucky driver history records in accordance with KRS 186.018; or
    - b. Driver history records through the state transportation agency that issued the driver's license;
- (c) Hold a current driver's license that has not been suspended or revoked during the last five (5) years; and
- (d) Not caused an accident that resulted in the death of a person.

(19) Firearms, ammunition, alcohol, or illegal substances shall not be transported in a vehicle transporting children.

(20)

(a) Based on the harm, threat, or danger to a child's health, safety, and welfare, the cabinet shall revoke a center's privilege to transport a child or pursue an adverse action in accordance with Section 15, 16, 17, or 18 of 922 KAR 2:090:

1. For a violation of this section; or
2. If the center:
  - a. Fails to report an accident in accordance with 922 KAR 2:090, Section 13; or
  - b. Transports more passengers than the vehicle's seating capacity and safety restraints can accommodate.

(b) Revocation of a center's privilege to provide transportation services in accordance with paragraph (a) of this subsection shall:

1. Apply to each site listed under the licensee; and
2. Remain effective for no less than a twelve (12) month period.

(21) A parent may use the parent's vehicle to transport the parent's child during a field trip.

#### Section 15. Animals.

(1) An animal shall not be allowed in the presence of a child in care:

- (a) Unless:
1. The animal is under the supervision and control of an adult;
  2. Written parental consent has been obtained; and
  3. The animal is certified as vaccinated against rabies; or

(b) Except in accordance with subsection (3) of this section.

(2) A parent shall be notified in writing if a child has been bitten or scratched by an animal.

(3) An animal that is considered undomesticated, wild, or exotic shall not be allowed at a child-care center unless the animal is:

- (a) A part of a planned program activity led by an animal specialist affiliated with a zoo or nature conservatory; and
- (b) In accordance with 301 KAR 2:081 and 301 KAR 2:082.

(4) This section shall not apply to wild animals on the outer property of the child-care center that are expected to be found outdoors, such as squirrels and birds, if they are not:

- (a) Disturbed; or
- (b) Brought indoors.

*LESA DENNIS, Commissioner*

*ERIC C. FRIEDLANDER, Secretary*

APPROVED BY AGENCY: May 9, 2024

FILED WITH LRC: May 20, 2024 at 12:45 p.m.

**PUBLIC HEARING AND COMMENT PERIOD:** A public hearing on this administrative regulation shall, if requested, be held on August 26, 2024, at 9:00 a.m. using the CHFS Office of Legislative and Regulatory Affairs Zoom meeting room. The Zoom invitation will be emailed to each requestor the week prior to the scheduled hearing. Individuals interested in attending this virtual hearing shall notify this agency in writing by August 19, 2024, five (5) workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who attends virtually will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on this proposed administrative regulation until August 31, 2024. Send written notification of intent

to attend the public hearing or written comments on the proposed administrative regulation to the contact person. Pursuant to KRS 13A.280(8), copies of the statement of consideration and, if applicable, the amended after comments version of the administrative regulation shall be made available upon request.

CONTACT PERSON: Krista Quarles, Policy Analyst, Office of Legislative and Regulatory Affairs, 275 East Main Street 5 W-A, Frankfort, Kentucky 40621; phone 502-564-7476; fax 502-564-7091; email [CHFSregs@ky.gov](mailto:CHFSregs@ky.gov).

## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

**Contact Person:**Krista Quarles or Laura Begin

**(1) Provide a brief summary of:**

**(a) What this administrative regulation does:**

This administrative regulation establishes health and safety standards for child-care centers.

**(b) The necessity of this administrative regulation:**

This administrative regulation is necessary to establish standards regarding health and safety in child-care centers.

**(c) How this administrative regulation conforms to the content of the authorizing statutes:**

This administrative regulation conforms to the content of the authorizing statutes by establishing minimum health and safety standards for child-care centers as conditions of their licensure.

**(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes:**

KRS 199.896 authorizes the cabinet to establish standards of care and service for child-care centers. This administrative regulation assists in the effective administration of the statutes by establishing health and safety standards in those facilities.

**(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:**

**(a) How the amendment will change this existing administrative regulation:**

This amendment addresses non-compliance issues identified during a recent federal monitoring visit. The necessary amendments are requirements associated with the federal Child Care and Development Fund (CCDF). The amendment adds requirements for the prevention and response to emergencies due to food and allergic reactions and response planning in accordance with 45 C.F.R. 98.41(a)(1)(vii); adds requirements for the identification of and protection from vehicular traffic in accordance with 45 C.F.R. 98.41(a)(1)(v); shaken baby syndrome, head trauma, and child maltreatment prevention training in accordance with 45 C.F.R. 98.41(a)(1)(vi); emergency and response planning in accordance with 45 C.F.R. 98.41(a)(1)(vii); and handling and storage of hazardous materials and biocontaminants disposal in accordance with 45 C.F.R. 98.41(a)(1)(viii).

**(b) The necessity of the amendment to this administrative regulation:**

The amendment is necessary to address federal non-compliance issues mentioned above and to avoid federal financial penalties.

**(c) How the amendment conforms to the content of the authorizing statutes:**

The amendment conforms to the Child Care and Development Fund (CCDF) program, 45 C.F.R. Part 98, and authorizing statutes in KRS Chapter 199.

**(d) How the amendment will assist in the effective administration of the statutes:**

The amendments will ensure Kentucky is meeting federal requirements identified in a non-compliance letter received as a result of a federal monitoring visit.

**(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation:**



As of April 2024, there were 1,807 licensed child-care programs in Kentucky regulated by this administrative regulation. The Department for Community Based Services, Division of Child Care, and the Office of the Inspector General, Division of Regulated Child Care, will be impacted as the child care regulating and monitoring agencies, respectively.

**(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:**

**(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment:**

The amendment adds requirements that are federally required to further protect children from vehicular traffic, shaken baby syndrome, head trauma, child maltreatment, hazardous materials, and biocontaminants. These requirements are already in place for certified family child-care homes that provide care for children.

**(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3):**

Many providers already have these measures in place as best practices. Additional training may be required. No expenditures are expected.

**(c) As a result of compliance, what benefits will accrue to the entities identified in question (3):**

Child-care providers and the children in their care will benefit from the health and safety measures.

**(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:**

**(a) Initially:**

The amendment to this administrative regulation will not result in new initial costs to the administrative body.

**(b) On a continuing basis:**

The amendment to this administrative regulation will not result in ongoing costs to the administrative body

**(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation:**

The Child Care and Development Fund Block Grant, state match, and maintenance of effort for the block grant, and limited agency funds support the implementation of this administrative regulation and program.

**(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment:**

There is no increase in fees or funding required as a result of this amendment.

**(8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees:**

This administrative regulation does not establish any fees, or directly or indirectly increase any fees.

**(9) TIERING: Is tiering applied?**

Tiering is not applied as all licensed child-care centers are regulated by this administrative regulation.

## **FEDERAL MANDATE ANALYSIS COMPARISON**

**(1) Federal statute or regulation constituting the federal mandate.**

16 C.F.R. 1219, 1220, 1221, 45 C.F.R. 98.2, 49 C.F.R. 571.213

**(2) State compliance standards.**

KRS 194A.050(1), 199.896(2), 199.8962(2)

**(3) Minimum or uniform standards contained in the federal mandate.**

The provisions of the administrative regulation comply with the federal mandate.

**(4) Will this administrative regulation impose stricter requirements, or additional or different responsibilities or requirements, than those required by the federal mandate?**

This administrative regulation does not impose stricter, additional or different responsibilities or requirements than those required by the federal mandate.

**(5) Justification for the imposition of the stricter standard, or additional or different responsibilities or requirements.**

This administrative regulation does not impose stricter, additional or different responsibilities or requirements than those required by the federal mandate.

## **FISCAL IMPACT STATEMENT**

**(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation.**

KRS 194A.050(1), 199.896(2), 199.8962(2), 16 C.F.R. 1219, 1220, 1221, 45 C.F.R. 98.2, 49 C.F.R. 571.213

**(2) Identify the promulgating agency and any other affected state units, parts, or divisions:**

The Cabinet for Health and Family Services is impacted by this administrative regulation and administers this program.

**(a) Estimate the following for the first year:**

**Expenditures:**No expenditures are expected.

**Revenues:**This administrative regulation does not generate revenue.

**Cost Savings:**No cost savings are expected.

**(b) How will expenditures, revenues, or cost savings differ in subsequent years?**

The amendment to this administrative regulation will not generate revenue in subsequent years.

**(3) Identify affected local entities (for example: cities, counties, fire departments, school districts):**

Licensed child-care centers.

**(a) Estimate the following for the first year:**

**Expenditures:**No expenditures are expected. Additional training may be required.

**Revenues:**This administrative regulation does not generate revenue.

**Cost Savings:**No cost savings are expected.

**(b) How will expenditures, revenues, or cost savings differ in subsequent years?**

Expenditures, revenues, and cost savings will not differ in subsequent years.

**(4) Identify additional regulated entities not listed in questions (2) or (3):**

Not applicable.

**(a) Estimate the following for the first year:**

**Expenditures:**Not applicable.

**Revenues:**Not applicable.

**Cost Savings:**Not applicable.

**(b) How will expenditures, revenues, or cost savings differ in subsequent years?**

Not applicable.

**(5) Provide a narrative to explain the:**

**(a) Fiscal impact of this administrative regulation:**

The amendment adds requirements that are federally required to further protect children from vehicular traffic, shaken baby syndrome, head trauma, child maltreatment, hazardous materials, and biocontaminants. Many providers already have these measures in place as best practices and these requirements are already in place for certified family child-care homes that provide child care.

**(b) Methodology and resources used to determine the fiscal impact:**

These entities are already regulated and inspected by the cabinet.

**(6) Explain:**

**(a) Whether this administrative regulation will have an overall negative or adverse major economic impact to the entities identified in questions (2) - (4). (\$500,000 or more, in aggregate)**

This administrative regulation will not have an overall negative or adverse major economic impact to entities.

**(b) The methodology and resources used to reach this conclusion:**

Child-care providers are generally already protecting children in their care from these dangers as best practices.